

managed by Brookhaven Science Associates
for the U.S. Department of Energy

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December 29, 2015

Ms. Evelyn Landini, Contracting Officer
Business Management Division
U.S. Department of Energy
Brookhaven Site Office
Building 464
Upton, NY 11973

SUBJECT: BSA's Performance Commitment for FY 2016 Relative to the Accepted
Commitments for FY 2015 – FY 2025

Dear Evelyn:

Brookhaven Science Associates, LLC ("BSA"), in its capacity as manager and operator of Brookhaven National Laboratory ("BNL"), has proposed, and the DOE has accepted, over \$118M in commitments over the next decade from external sources to support our vision for BNL.

Contract No. DE-SC0012704 Section J - Appendix B (the "Contract") requires an annual performance plan by BSA that provides the DOE an objective measurement of BSA's Commitments that advance the Laboratory mission, as stated in PEMP 4.3. In addition, PEMP Notable Outcome for Objective 4.3 states:

"Develop and submit a plan for delivery of the FY 2016 Appendix D Prime Contract Commitments no later than December 31, 2015. Meet the milestones established in the plan by September 30, 2016."

The table below reflects the Commitments over the decade, including the amount of that Commitment that can be expected in FY16 (October 1, 2015 – September 30, 2016). Since the award of Contract, the amounts attributable to specific items have been refined. Notwithstanding, the total Commitments remain firm. In addition, following the table, I have laid out the plan and FY16 milestones for actions associated with New York State funding.

Source/Commitment	Alignment with BSA's Critical Outcomes or Other Initiatives	Delivery Timeframe	Prior Year Cumulative total	FY16 Commitment	Estimated Value
New York State					
High-energy x-ray beamline at NSLS-II	Transformation of synchrotron science; in operando/in situ energy science	2015–2020			\$25 million

Accelerator & Electron Ion Collider (EIC) Design Building	Origins of matter and mass	2015–2020			\$25 million
Establish Big Data Consortium—Center for Data-Driven Discovery (CD3)	Data-driven discovery	2015–2020			\$15 million
	NYS Total		N/A	See Action Plan / Milestones	\$65 million
Brookhaven Science Associates					
Capitalize Agreements for Commercializing Technology (ACT) and non-federal Work for Others (WFO) to accelerate Laboratory growth agenda	Growth in WFO, energy technology; workforce development; community relations	2015–2025			\$3.2 million
STEM education and community outreach	Workforce development; community relations	2015–2025			\$2.0 million
Laboratory employee college scholarships	Workforce attraction and retention	2015–2025			\$1.22 million
Laboratory Director discretionary funds	All Critical Outcomes	2015–2025			\$15.78 million
	BSA Total		\$3.514M	\$2.05M	\$22.2 million
Stony Brook University					
Stony Brook-BNL Joint Appointments	Synchrotron Sciences; Energy Sciences; High-Energy Physics (HEP); Environmental; Data-driven Discovery	2015–2025			\$26.2 million
Stony Brook-BNL Seed Grant Program and other related support	All Science Critical Outcomes	2015–2025			\$2 million
	Stony Brook Total		\$2.480M	\$2.5M	\$28.2 million
Partner Universities					
Partner Universities - BNL Joint Appointments	Transformation of synchrotron science; in operando/in situ energy science	2015–2020			\$3.0 million
	Partner Universities Total		\$2.256M	\$3.33M	\$3.0 million
GRAND TOTAL			\$6.25M	\$4.88M	\$118.4 million

Action Plan/Milestones:

With respect to the New York State funding, we are actively engaged in discussions with officials within the State administration to identify the specific New York State organization(s) that will fund the three (3) projects and establish definitive agreements with these

organization(s). BSA plans to commence the three (3) projects during FY16. We will keep you updated on our progress.

Similar to practice in FY15, it is BSA's intention to deliver a recap to DOE-BHSO at the end of the year that provides actual performance compared to the FY16 Commitments.

If you have any questions, please do not hesitate to contact me.

Sincerely,



Peter Ferrara
BSA Interim Chief Financial Officer

cc: J. Anderson (BSA/BNL)
B. Boyle (BSA/BNL)
F. Crescenzo (DOE-BHSO)
D. Evans (Battelle)
R. Gordon (DOE-BHSO)
D. Gibbs (BSA/BNL)
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S. Stanley (BSA/SBU)
R. Townsend (Battelle)
R. Tribble (BSA/BNL)

Chapin, Kerry

From: Chapin, Kerry
Sent: Tuesday, December 22, 2015 11:31 AM
To: Level II Managers; Mehlinger, Janine; Business Development & Analysis; McKeon, Susan I; Madonia, Christine; Koebel, Kenneth; Internal Audit Personnel; Procurement & Property Management Personnel; Level I Managers; * Fiscal; Guadagni, Anthony; Boyle, Brian J; Business Services Directorate; Information Technology Personnel; Business Ops Managers; Landini, Evelyn (BHSO); Crescenzo, Frank (BHSO); Gordon, Robert (BHSO); Meadows, John (BHSO)
Cc: Level I Managers Assistants; Level II Assistants
Subject: Delegation of Authority for Peter Ferrara - Interim Chief Financial Officer

Good Afternoon,

Peter Ferrara will be away from the Laboratory tomorrow, Thursday, December 24, 2015, through Wednesday, December 30, 2015, returning on Monday, January 4, 2016.

Please note: Thursday, December 31, 2015, and Friday, January 1, 2016, are Lab Holidays

Brian Boyle has delegated authority for all CFO matters and can be reached at Ext. 2750, e-mail: brianb@bnl.gov. Assistance can also be provided by Kerry Chapin at Ext. 3555, e-mail: kchapin@bnl.gov.

Thank you and have a wonderful day.

Best regards,

Kerry A. Chapin

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