

Collins and Sivers effects and Drell-Yan production

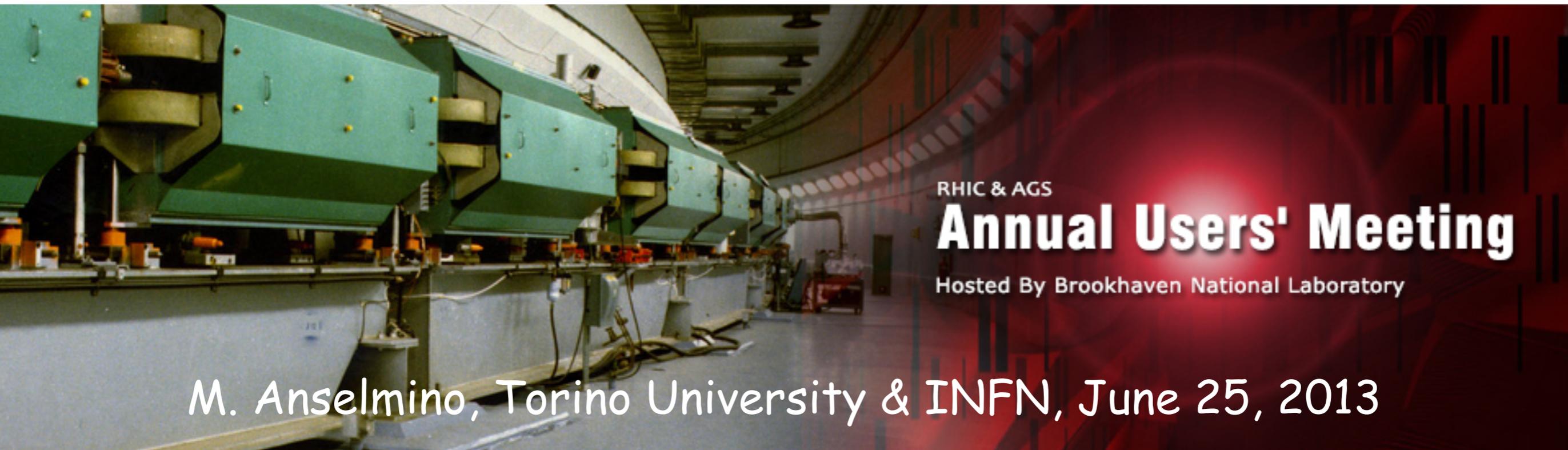
The origin ($A_N, p p \rightarrow \pi X$)

more theory and data: SIDIS and TMDs

TMDs and the nucleon structure

TMDs and QCD: factorization, universality, back to A_N

Future experiments (D-Y)



RHIC & AGS

Annual Users' Meeting

Hosted By Brookhaven National Laboratory

M. Anselmino, Torino University & INFN, June 25, 2013

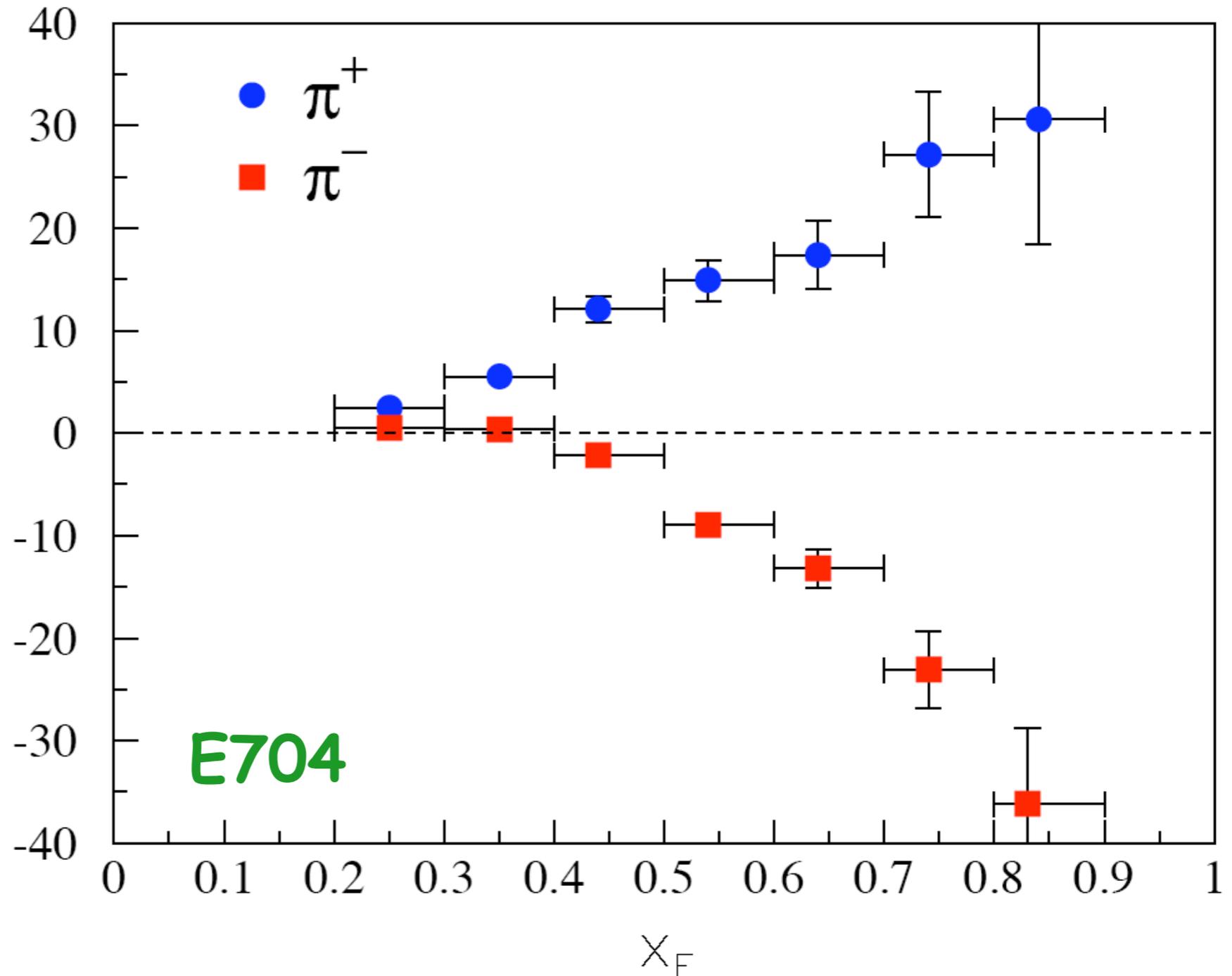
where it all started from ... (1991)

large P_T

$p^\uparrow p \rightarrow \pi X$

Single Spin Asymmetry A_N (%)

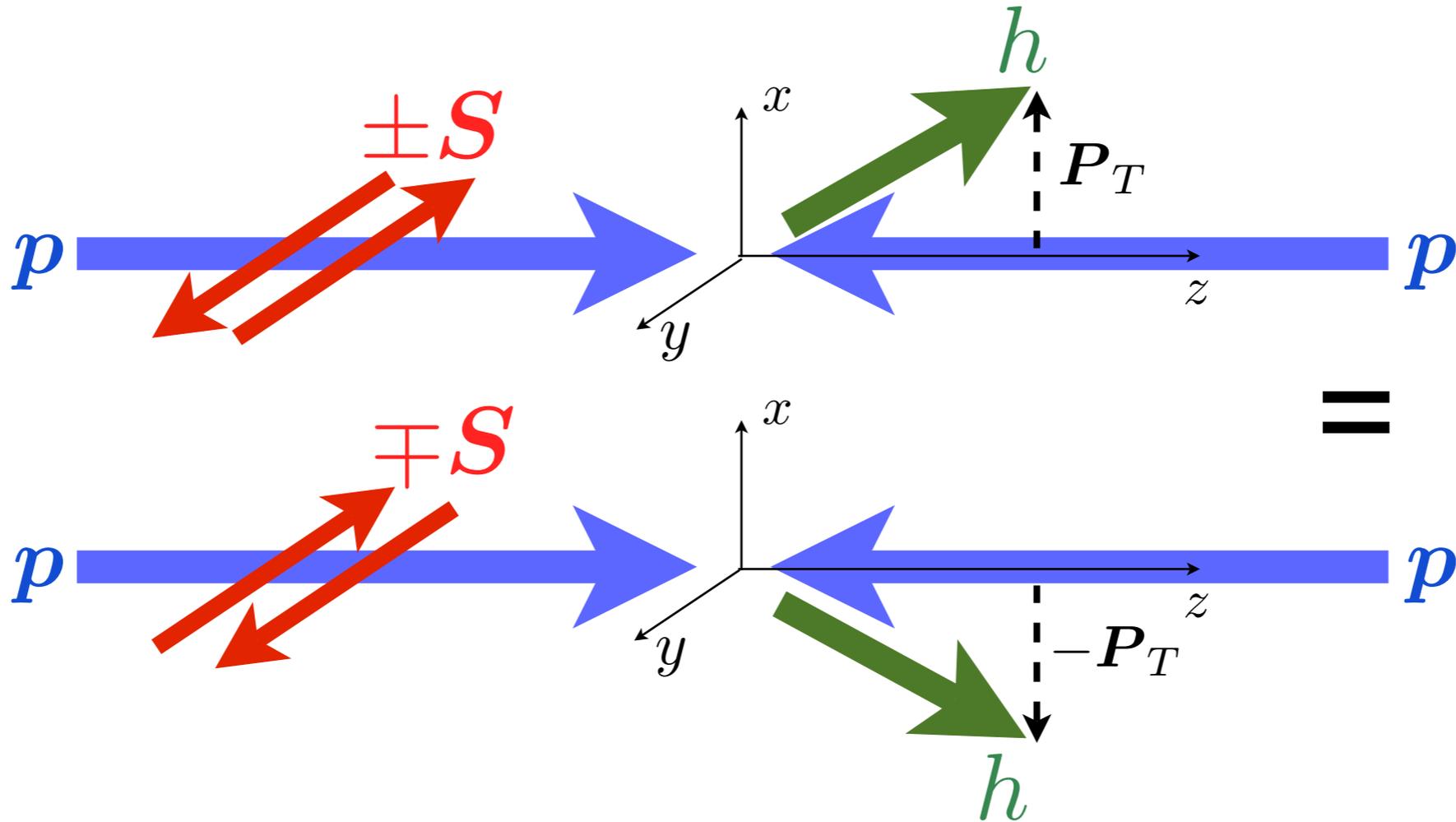
$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$



E704 $\sqrt{s} = 20 \text{ GeV}$ $0.7 < p_T < 2.0$

(some earlier measurements at smaller energy and p_T)

A_N = simple left-right asymmetry



$$A_N = \frac{d\sigma^\uparrow(\mathbf{P}_T) - d\sigma^\downarrow(\mathbf{P}_T)}{d\sigma^\uparrow(\mathbf{P}_T) + d\sigma^\downarrow(\mathbf{P}_T)} = \frac{d\sigma^\uparrow(\mathbf{P}_T) - d\sigma^\uparrow(-\mathbf{P}_T)}{2 d\sigma^{\text{unp}}(P_T)}$$

$$A_N \equiv \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow} \propto \mathbf{S} \cdot (\mathbf{p} \times \mathbf{P}_T) \propto \sin \theta$$

transverse Single Spin Asymmetry (SSA) $\pm \mathbf{S} = \uparrow, \downarrow$

The birth of TMDs: D. Sivers

PRD 41 (1990) 83

$$G_{a/p}(x; \mu^2) \rightarrow G_{a/p}(x, \mathbf{k}_T; \mu^2)$$

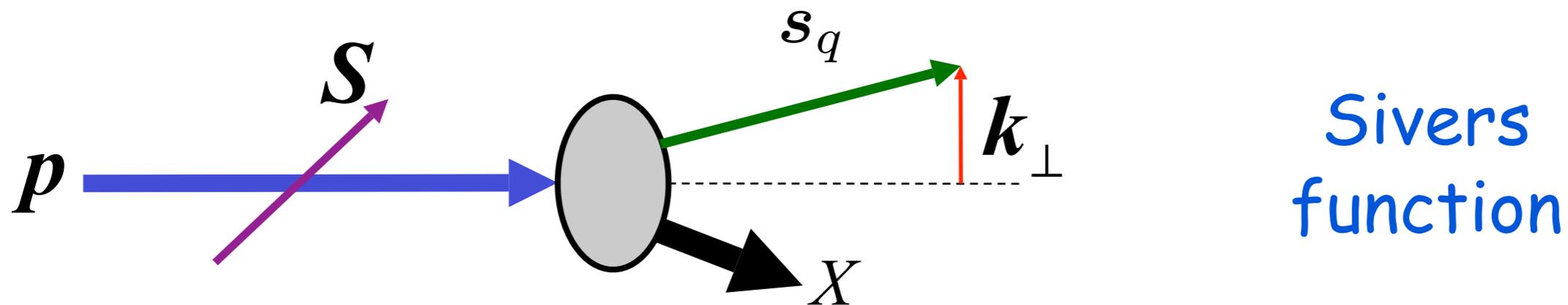
The relevance of the transverse momentum for the asymmetry can be seen from the venerable Chou-Yang¹ model of the constituent structure of a transversely polarized proton. If we assume a correlation between the spin of the proton and the orbital motion of its constituents, Chou and Yang showed the existence of a nontrivial A_N in elastic scattering. *The coherent dynamics which correlates the spin of the proton with the orbital angular momentum of the quarks and gluons can also produce a constituent-level asymmetry in transverse momentum:*

$$\begin{aligned} \Delta^N G_{a/p(\uparrow)}(x, \mathbf{k}_T; \mu^2) &= \sum_h [G_{a(h)/p(\uparrow)}(x, \mathbf{k}_T; \mu^2) - G_{a(h)/p(\downarrow)}(x, \mathbf{k}_T; \mu^2)] \\ &= \sum_h [G_{a(h)/p(\uparrow)}(x, \mathbf{k}_T; \mu^2) - G_{a(h)/p(\uparrow)}(x, -\mathbf{k}_T; \mu^2)] \end{aligned}$$

¹ T. T. Chou and C. N. Yang, Nucl. Phys. B107, 1 (1976)

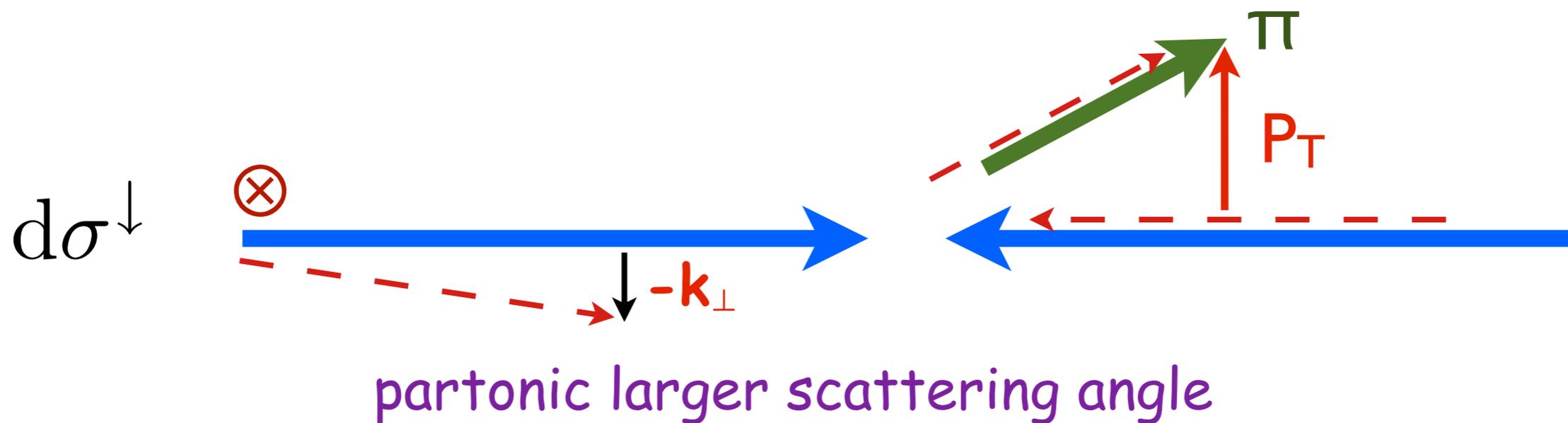
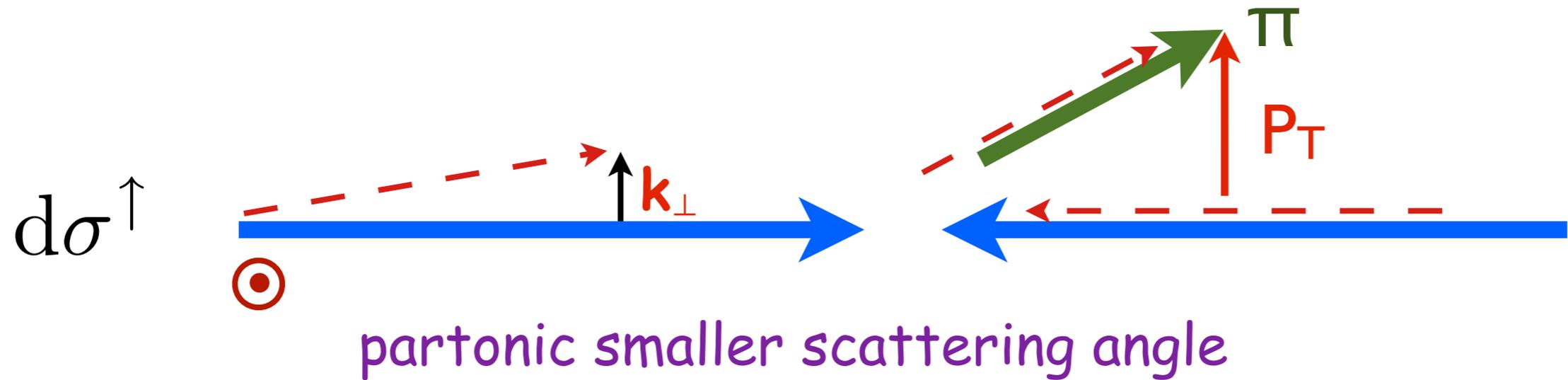
$$A_N \left[E \frac{d^3 \sigma}{d^3 p} (pp_{\uparrow} \rightarrow mX) \right] \simeq \sum_{ab \rightarrow cd} \int d^2 \mathbf{k}_T^a dx_a \int d^2 \mathbf{k}_T^b dx_b \int d^2 \mathbf{k}_{TC} \frac{dx_c}{x_c^2} \Delta^N G_{a/p_{\uparrow}}(x_a, k_T^a; \mu^2) \\ \times G_{b/p}(x_b, k_T^b; \mu^2) D_{m/c}(x_c, k_T^c; \mu^2) \times \tilde{s} \frac{d\sigma}{d\tilde{t}}(ab \rightarrow cd) \delta(\tilde{s} + \tilde{t} + \tilde{u})$$

.... this equation corresponds to a probabilistic formula in the original spirit of the parton model where the unknown soft nonperturbative dynamics have been absorbed into the specification of the density $\Delta^N G \dots$



$$f_{q/p, \mathbf{S}}(x, \mathbf{k}_{\perp}) = f_{q/p}(x, k_{\perp}) + \frac{1}{2} \Delta^N f_{q/p_{\uparrow}}(x, k_{\perp}) \mathbf{S} \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{k}}_{\perp}) \\ = f_{q/p}(x, k_{\perp}) - \frac{k_{\perp}}{M} f_{1T}^{\perp q}(x, k_{\perp}) \mathbf{S} \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{k}}_{\perp})$$

simple physical picture for Sivers effect (correlation between S and k_{\perp})

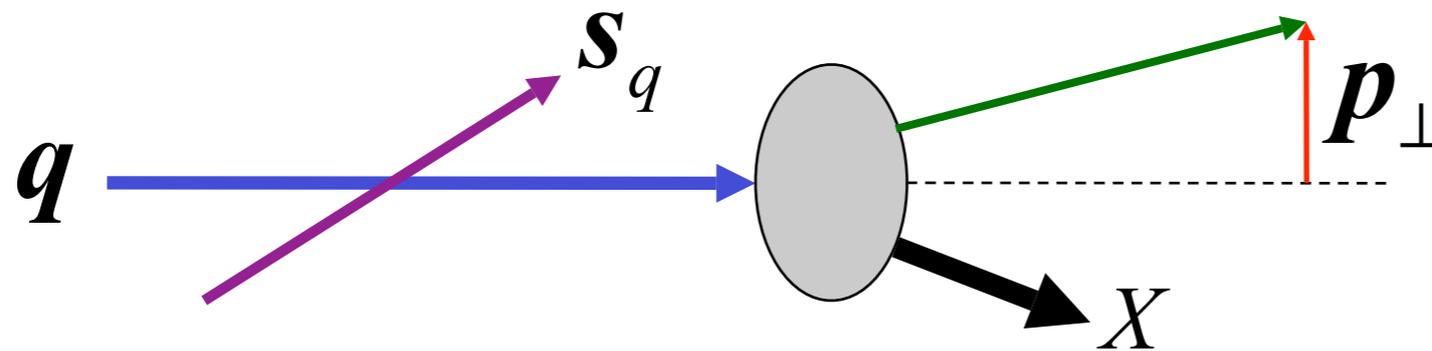


$$d\sigma^{\uparrow} \neq d\sigma^{\downarrow}$$

Collins fragmentation function

Nucl. Phys. B396 (1993) 161

It is shown that the azimuthal dependence of the distribution of hadrons in a quark jet is a probe of the transverse spin of the quark initiating the jet. This results in a new spin-dependent fragmentation function that acts at the twist-2 level.



Collins
function

$$\begin{aligned} D_{h/q, \mathbf{s}_q}(z, \mathbf{p}_\perp) &= D_{h/q}(z, p_\perp) + \frac{1}{2} \Delta^N D_{h/q^\uparrow}(z, p_\perp) \mathbf{s}_q \cdot (\hat{\mathbf{p}}_q \times \hat{\mathbf{p}}_\perp) \\ &= D_{h/q}(z, p_\perp) + \frac{p_\perp}{zM_h} H_1^{\perp q}(z, p_\perp) \mathbf{s}_q \cdot (\hat{\mathbf{p}}_q \times \hat{\mathbf{p}}_\perp) \end{aligned}$$

Collins, Nucl. Phys. B396 (1993) 161

It follows from the parity and time-reversal invariance of QCD that the number density of quarks is independent of the spin state of the initial hadron, so that we have

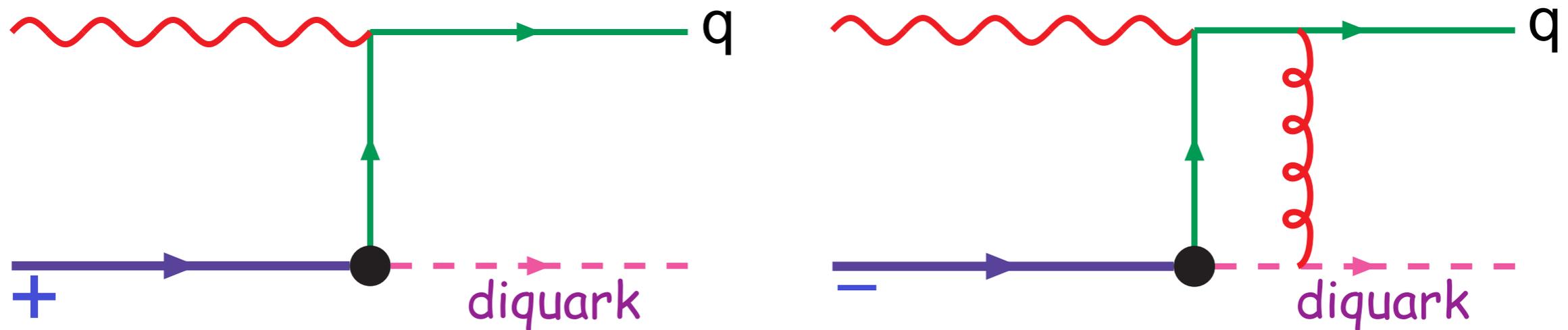
$$\hat{f}_{a/A}(x, |k_{\perp}|) \equiv \int \frac{dy^{-} d^2y_{\perp}}{(2\pi)^3} e^{-ixp^{+}y^{-} + ik_{\perp} \cdot y_{\perp}} \langle p | \bar{\psi}_i(0, y^{-}, y_{\perp}) \frac{\gamma^{+}}{2} \psi_i(0) | p \rangle$$

We have ignored here the subtleties needed to make this a gauge invariant definition: an appropriate path ordered exponential of the gluon field is needed [18].

Sivers suggested that the k_{\perp} distribution of the quark could have an azimuthal asymmetry when the initial hadron has transverse polarization. However, such an asymmetry is prohibited because QCD is time-reversal invariant....

premature death of Sivers effect?

gauge links have physical consequences;
 quark models for non vanishing Sivers function,
 SIDIS final state interactions



Brodsky, Hwang, Schmidt, PL B530 (2002) 99 - Collins, PL B536 (2002) 43

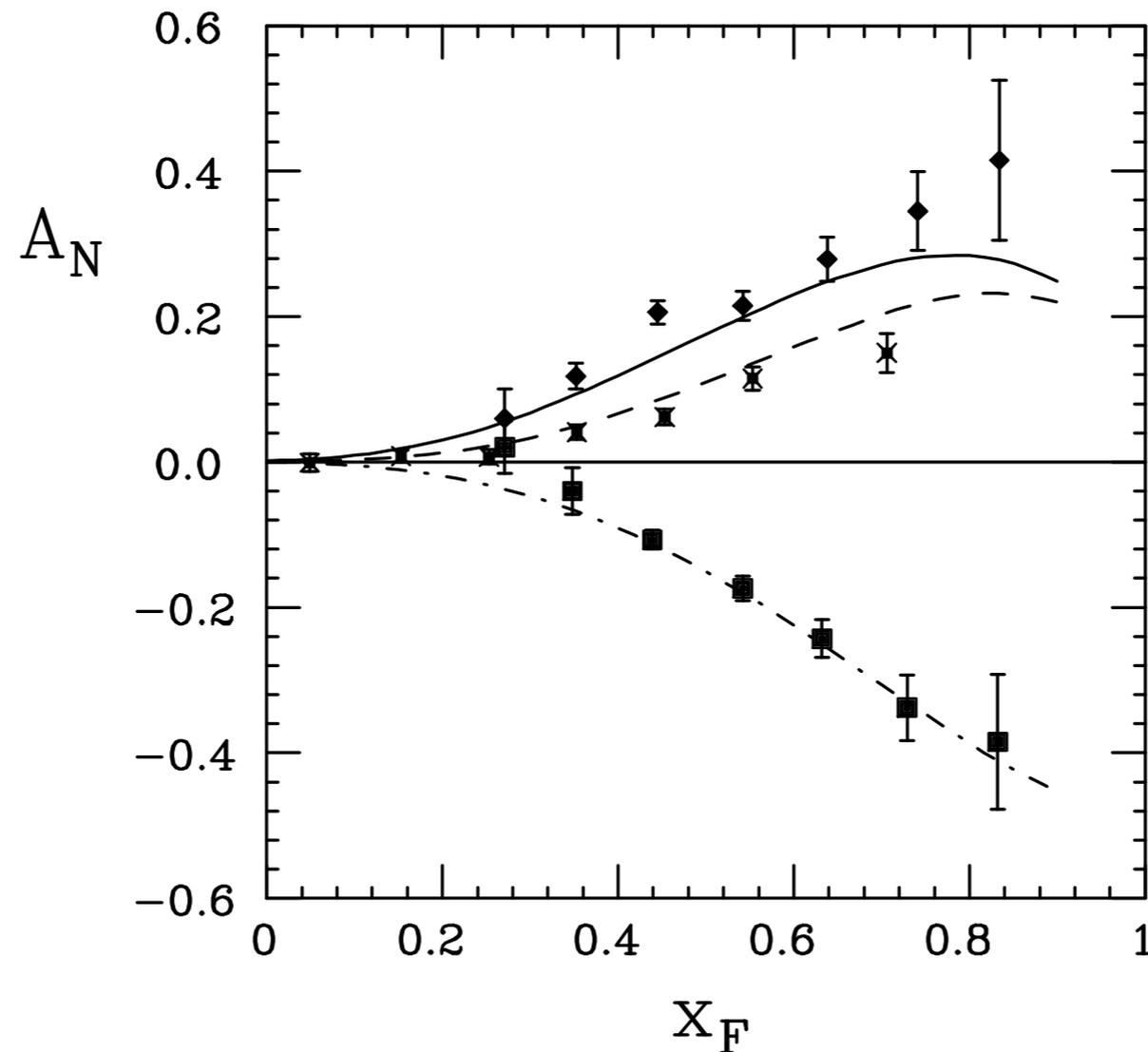
An earlier proof that the Sivers asymmetry vanishes because of time-reversal invariance is invalidated by the path-ordered exponential of the gluon field in the operator definition of parton densities. Instead, the time-reversal argument shows that the Sivers asymmetry is reversed in sign in hadron-induced hard processes (e.g., Drell-Yan), thereby violating naive universality of parton densities. Previous phenomenology with time-reversal-odd parton densities is therefore validated.

$$[f_{1T}^{q\perp}]_{\text{SIDIS}} = -[f_{1T}^{q\perp}]_{\text{DY}}$$

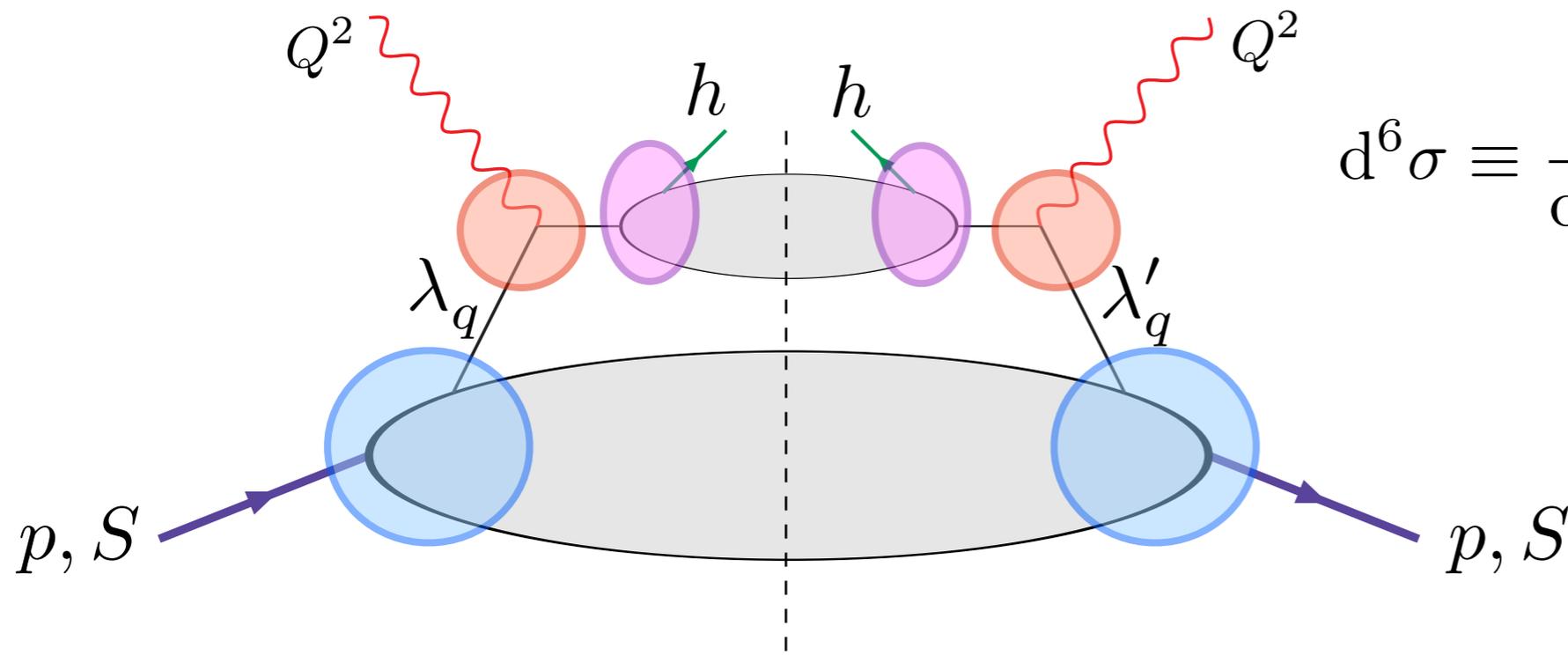
early A_N phenomenology with Sivers function

(M.A., M. Boglione and F. Murgia, PL B 362 (1995) 164)

$$\frac{E_\pi d\sigma^{p^\uparrow p \rightarrow \pi X}}{d^3\mathbf{p}_\pi} \sim \frac{1}{2} \sum_{a,b,c,d} \sum_{\lambda_a, \lambda'_a; \lambda_b; \lambda_c, \lambda'_c; \lambda_d} \int dx_a dx_b \frac{1}{z} \rho_{\lambda_a, \lambda'_a}^{a/p^\uparrow} f_{a/p^\uparrow}(x_a) f_{b/p}(x_b) \hat{M}_{\lambda_c, \lambda_d; \lambda_a, \lambda_b} \hat{M}_{\lambda'_c, \lambda_d; \lambda'_a, \lambda_b}^* D_{\pi/c}^{\lambda_c, \lambda'_c}(z)$$



theory: SSAs and TMDs in SIDIS



$$d^6\sigma \equiv \frac{d^6\sigma^{\ell p^\uparrow \rightarrow \ell h X}}{dx_B dQ^2 dz_h d^2\mathbf{P}_T d\phi_S}$$

$$\mathbf{p}_\perp \simeq \mathbf{P}_T - z_h \mathbf{k}_\perp$$

TMD factorization holds at large Q^2 , and $P_T \approx k_\perp \approx \Lambda_{\text{QCD}}$

Two scales: $P_T \ll Q^2$

TMD-PDFs

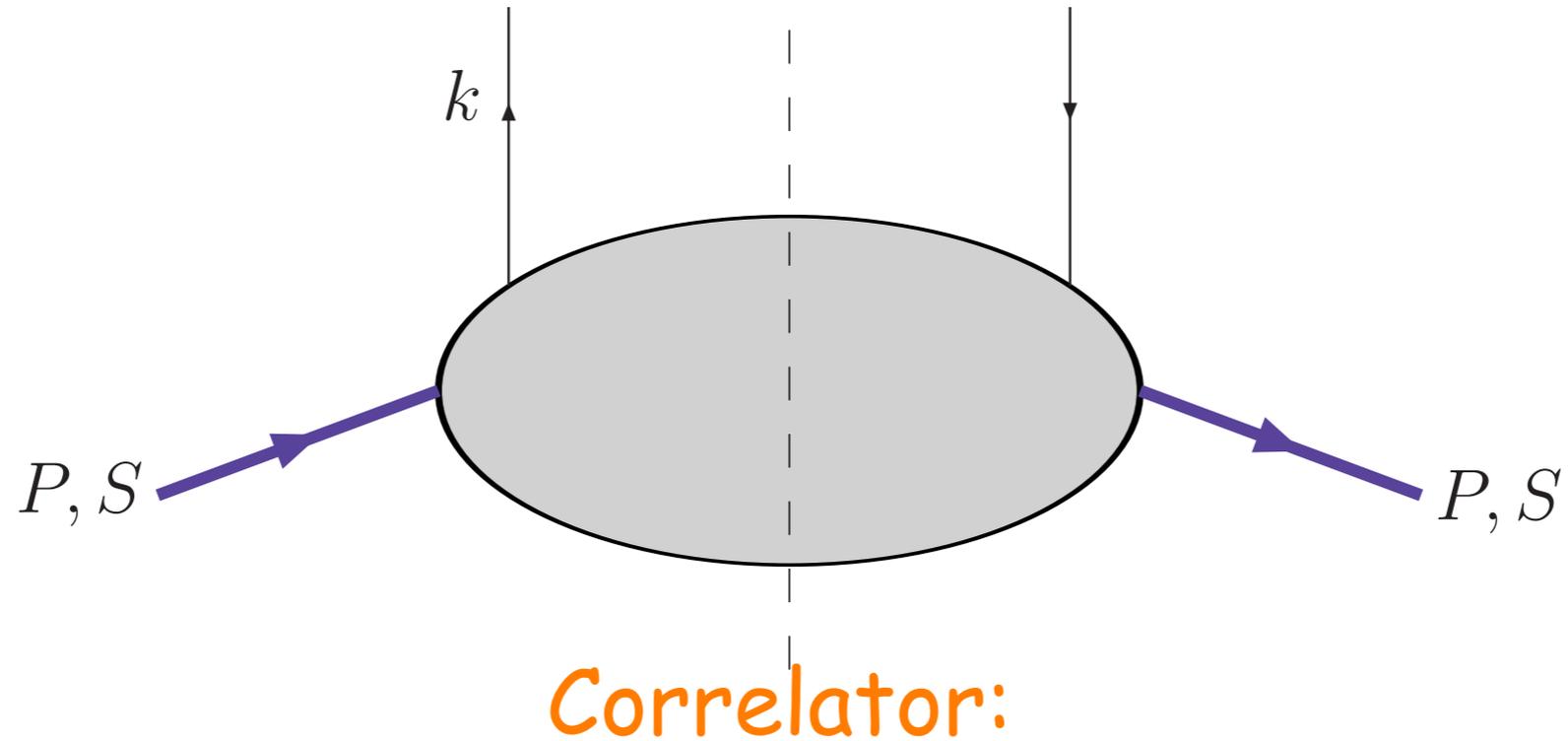
hard scattering

TMD-FFs

$$d\sigma^{\ell p \rightarrow \ell h X} = \sum_q f_q(x, \mathbf{k}_\perp; Q^2) \otimes d\hat{\sigma}^{\ell q \rightarrow \ell q}(y, \mathbf{k}_\perp; Q^2) \otimes D_q^h(z, \mathbf{p}_\perp; Q^2)$$

(Collins, Soper, Ji, J.P. Ma, Yuan, Qiu, Vogelsang, Collins, Metz...)

The nucleon, as probed in DIS, in collinear configuration: 3 distribution functions

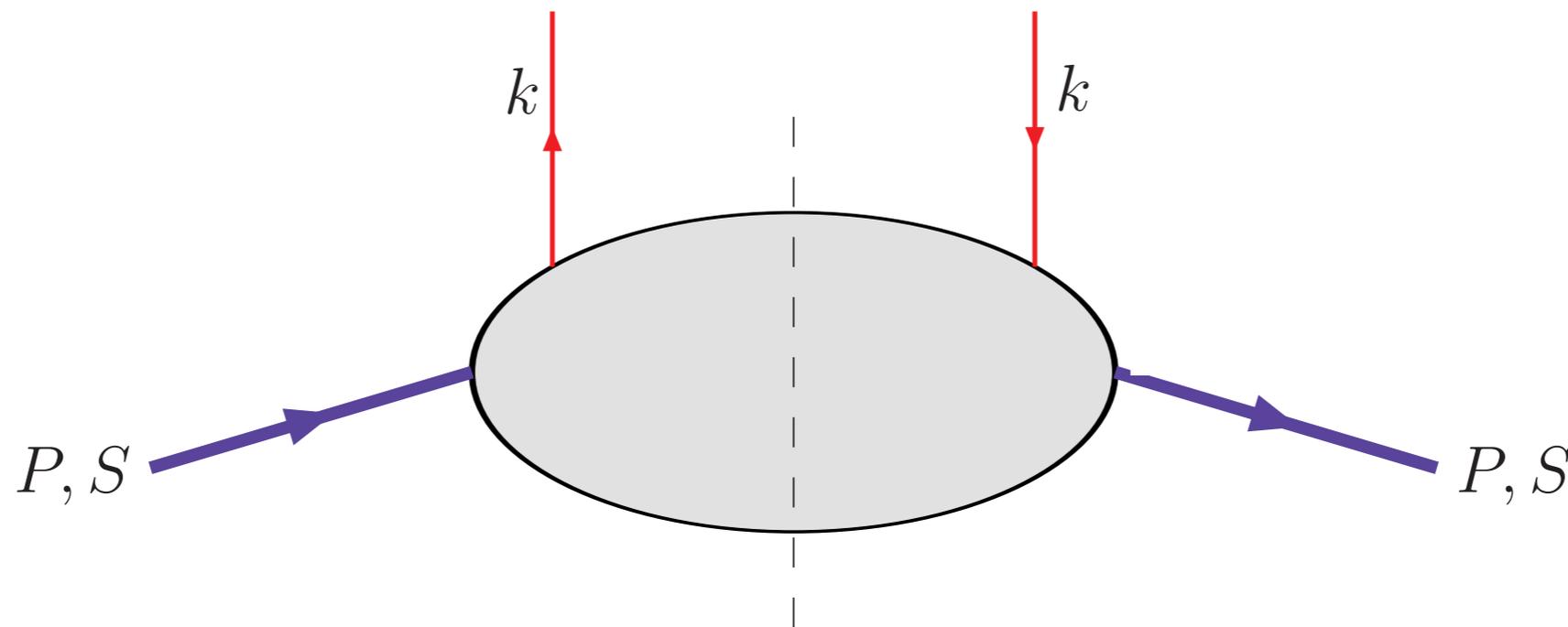


$$\begin{aligned} \Phi_{ij}(k; P, S) &= \sum_X \int \frac{d^3 \mathbf{P}_X}{(2\pi)^3 2E_X} (2\pi)^4 \delta^4(P - k - P_X) \langle PS | \bar{\Psi}_j(0) | X \rangle \langle X | \Psi_i(0) | PS \rangle \\ &= \int d^4 \xi e^{ik \cdot \xi} \langle PS | \bar{\Psi}_j(0) \Psi_i(\xi) | PS \rangle \end{aligned}$$

$$\Phi(x, S) = \frac{1}{2} \left[\underbrace{f_1(x)}_{\mathbf{q}} \not{n}_+ + S_L \underbrace{g_{1L}(x)}_{\Delta \mathbf{q}} \gamma^5 \not{n}_+ + \underbrace{h_{1T}}_{\Delta_T \mathbf{q}} i\sigma_{\mu\nu} \gamma^5 n_+^\mu S_T^\nu \right]$$

TMD-PDFs: the leading-twist correlator, with intrinsic k_{\perp} , contains 8 independent functions

$$\begin{aligned} \Phi(x, \mathbf{k}_{\perp}) = & \frac{1}{2} \left[f_1 \not{n}_+ + f_{1T}^{\perp} \frac{\epsilon_{\mu\nu\rho\sigma} \gamma^{\mu} n_+^{\nu} k_{\perp}^{\rho} S_T^{\sigma}}{M} + \left(S_L g_{1L} + \frac{\mathbf{k}_{\perp} \cdot \mathbf{S}_T}{M} g_{1T}^{\perp} \right) \gamma^5 \not{n}_+ \right. \\ & + h_{1T} i\sigma_{\mu\nu} \gamma^5 n_+^{\mu} S_T^{\nu} + \left(S_L h_{1L}^{\perp} + \frac{\mathbf{k}_{\perp} \cdot \mathbf{S}_T}{M} h_{1T}^{\perp} \right) \frac{i\sigma_{\mu\nu} \gamma^5 n_+^{\mu} k_{\perp}^{\nu}}{M} \\ & \left. + h_1^{\perp} \frac{\sigma_{\mu\nu} k_{\perp}^{\mu} n_+^{\nu}}{M} \right] \end{aligned}$$



with partonic interpretation
not the complete story yet...

Sivers function universality - gauge links

$$\Phi_{ij}^{[U]}(x, p_T; n) = \int \frac{d\xi \cdot P d^2\xi_T}{(2\pi)^3} e^{ip \cdot \xi} \langle P | \bar{\psi}_j(0) U_{[0, \xi]} \psi_i(\xi) | P \rangle \Big|_{\xi \cdot n = 0}$$

$$\Phi^{[U]}(x, p_T; n) = \left\{ f_1^{[U]}(x, p_T^2) - f_{1T}^{\perp [U]}(x, p_T^2) \frac{\epsilon_T^{\rho\sigma} p_{T\rho} S_{T\sigma}}{M} + g_{1s}^{[U]}(x, p_T) \gamma_5 \right. \\ \left. + h_{1T}^{[U]}(x, p_T^2) \gamma_5 \not{S}_T + h_{1s}^{\perp [U]}(x, p_T) \frac{\gamma_5 \not{p}_T}{M} + i h_1^{\perp [U]}(x, p_T^2) \frac{\not{p}_T}{M} \right\} \frac{\not{P}}{2}$$

$$g_{1s}^{[U]}(x, p_T) = S_L g_{1L}^{[U]}(x, p_T^2) - \frac{p_T \cdot S_T}{M} g_{1T}^{[U]}(x, p_T^2)$$

factorization of TMD moments in:
(universal TMDs) \times (process dependent factors)

$$f_{1T}^{\perp (1) [U]}(x) = C_G^{[U]} f_{1T}^{\perp (1)}(x)$$

Buffing, Mukherjee, Mulders, PRD 86 (2012) 074030

there are 8 independent TMD-PDFs

(partonic structure of the nucleon in momentum space)

$f_1^q(x, \mathbf{k}_\perp^2)$ unpolarized quarks in unpolarized protons
unintegrated unpolarized distribution

$g_{1L}^q(x, \mathbf{k}_\perp^2)$ correlate s_L of quark with S_L of proton
unintegrated helicity distribution

$h_{1T}^q(x, \mathbf{k}_\perp^2)$ correlate s_T of quark with S_T of proton
unintegrated transversity distribution

only these survive in the collinear limit

$f_{1T}^\perp{}^q(x, \mathbf{k}_\perp^2)$ correlate k_\perp of quark with S_T of proton (Sivers)

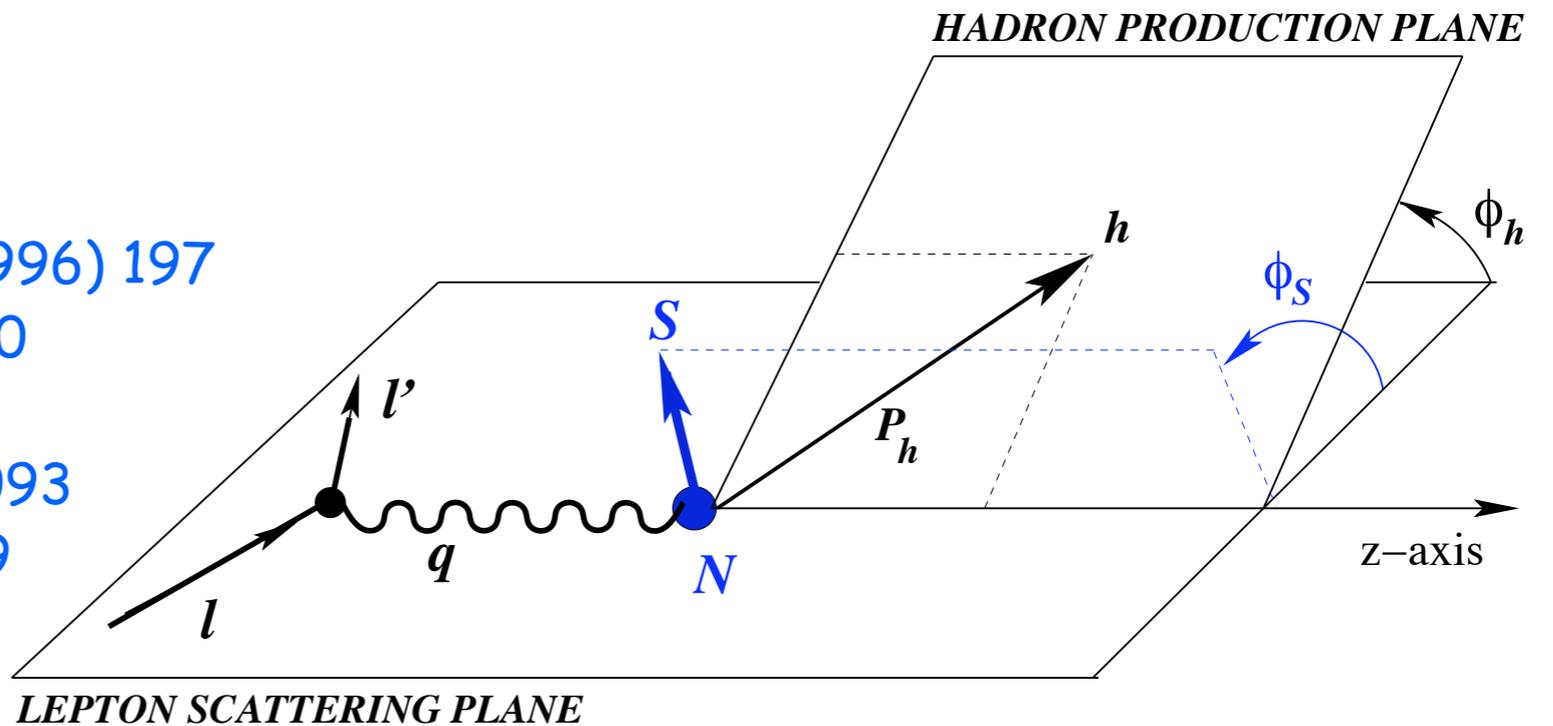
$h_1^\perp{}^q(x, \mathbf{k}_\perp^2)$ correlate k_\perp and s_T of quark (Boer-Mulders)

$g_{1T}^\perp{}^q(x, \mathbf{k}_\perp^2)$ $h_{1L}^\perp{}^q(x, \mathbf{k}_\perp^2)$ $h_{1T}^\perp{}^q(x, \mathbf{k}_\perp^2)$

different double-spin correlations

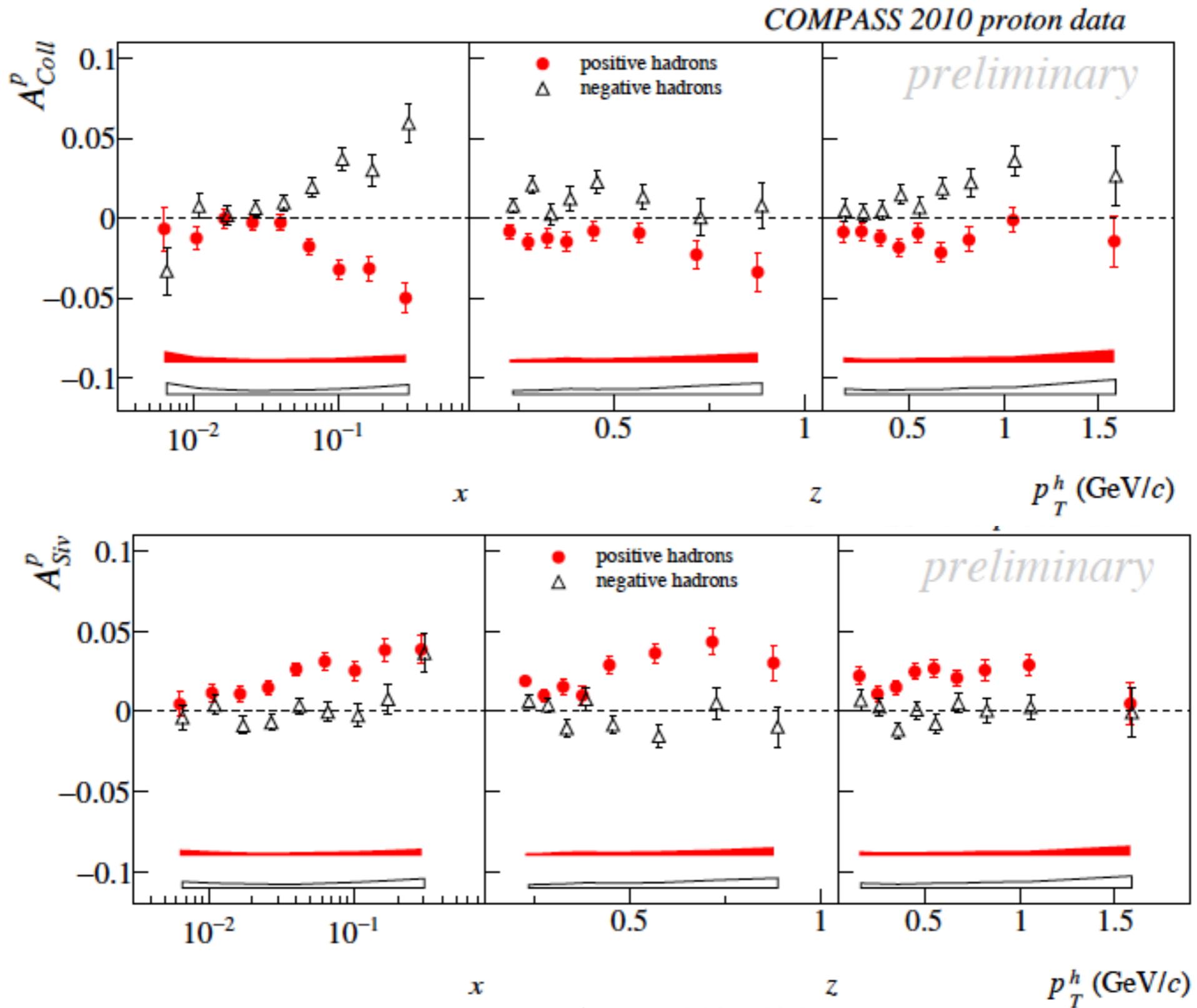
$$\begin{aligned}
\frac{d\sigma}{d\phi} = & F_{UU} + \cos(2\phi) F_{UU}^{\cos(2\phi)} + \frac{1}{Q} \cos \phi F_{UU}^{\cos \phi} + \lambda \frac{1}{Q} \sin \phi F_{LU}^{\sin \phi} \\
& + S_L \left\{ \sin(2\phi) F_{UL}^{\sin(2\phi)} + \frac{1}{Q} \sin \phi F_{UL}^{\sin \phi} + \lambda \left[F_{LL} + \frac{1}{Q} \cos \phi F_{LL}^{\cos \phi} \right] \right\} \\
& + S_T \left\{ \underbrace{\sin(\phi - \phi_S) F_{UT}^{\sin(\phi - \phi_S)}}_{\text{Sivers}} + \underbrace{\sin(\phi + \phi_S) F_{UT}^{\sin(\phi + \phi_S)}}_{\text{Collins}} + \sin(3\phi - \phi_S) F_{UT}^{\sin(3\phi - \phi_S)} \right. \\
& + \frac{1}{Q} \left[\sin(2\phi - \phi_S) F_{UT}^{\sin(2\phi - \phi_S)} + \sin \phi_S F_{UT}^{\sin \phi_S} \right] \\
& \left. + \lambda \left[\cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)} + \frac{1}{Q} \left(\cos \phi_S F_{LT}^{\cos \phi_S} + \cos(2\phi - \phi_S) F_{LT}^{\cos(2\phi - \phi_S)} \right) \right] \right\}
\end{aligned}$$

Kotzinian, NP B441 (1995) 234
 Mulders and Tangermann, NP B461 (1996) 197
 Boer and Mulders, PR D57 (1998) 5780
 Bacchetta et al., PL B595 (2004) 309
 Bacchetta et al., JHEP 0702 (2007) 093
 Anselmino et al., PR D83 (2011) 114019



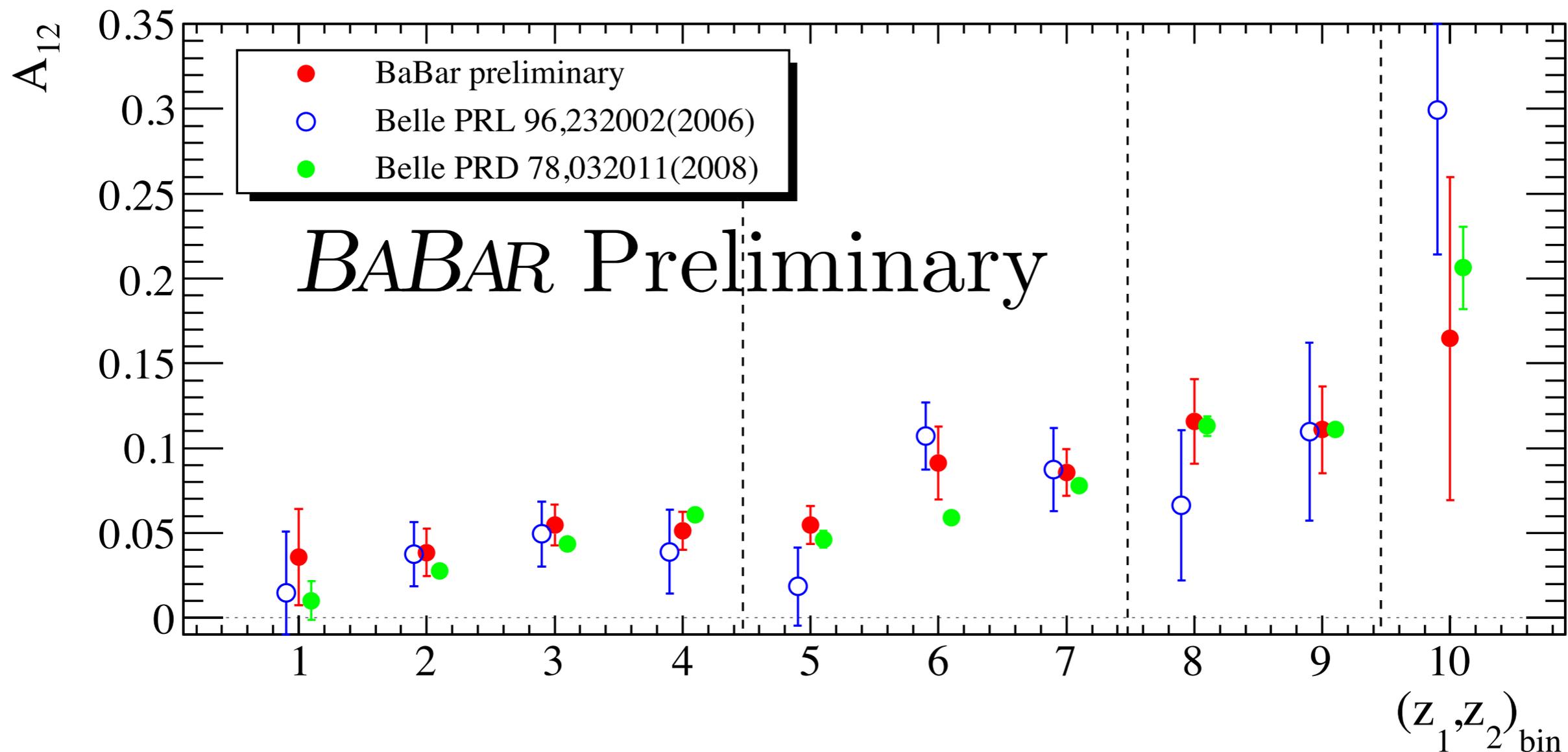
the $F_{S_B S_T}^{(\dots)}$ contain the TMDs; plenty of Spin Asymmetries

Clear evidence for Sivers and Collins effects from SIDIS data



independent evidence for Collins effect from e^+e^- data at Belle and BaBar

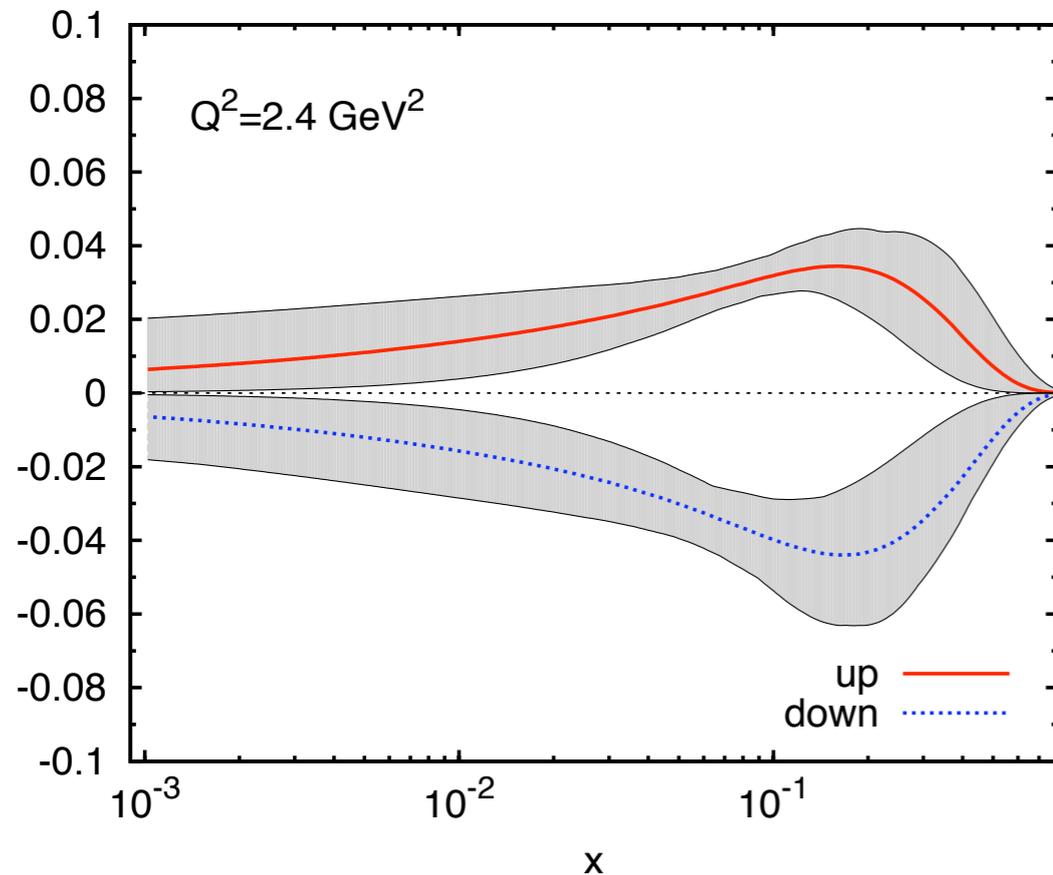
$$A_{12}(z_1, z_2) \sim \Delta^N D_{h_1/q^\uparrow}(z_1) \otimes \Delta^N D_{h_2/\bar{q}^\uparrow}(z_2)$$



extraction of u and d Sivers functions - first phase

M.A, M. Boglione, U. D'Alesio, S. Melis, F. Murgia,
A. Prokudin (agreement with several other groups)

$$x \Delta^N f_q^{(1)}(x, Q)$$



$$\begin{aligned} & \Delta^N f_q^{(1)}(x, Q) \\ &= \int d^2 \mathbf{k}_\perp \frac{k_\perp}{4M_p} \Delta^N \hat{f}_{q/p^\uparrow}(x, k_\perp; Q) \\ &= -f_{1T}^{\perp(1)q}(x, Q) \end{aligned}$$

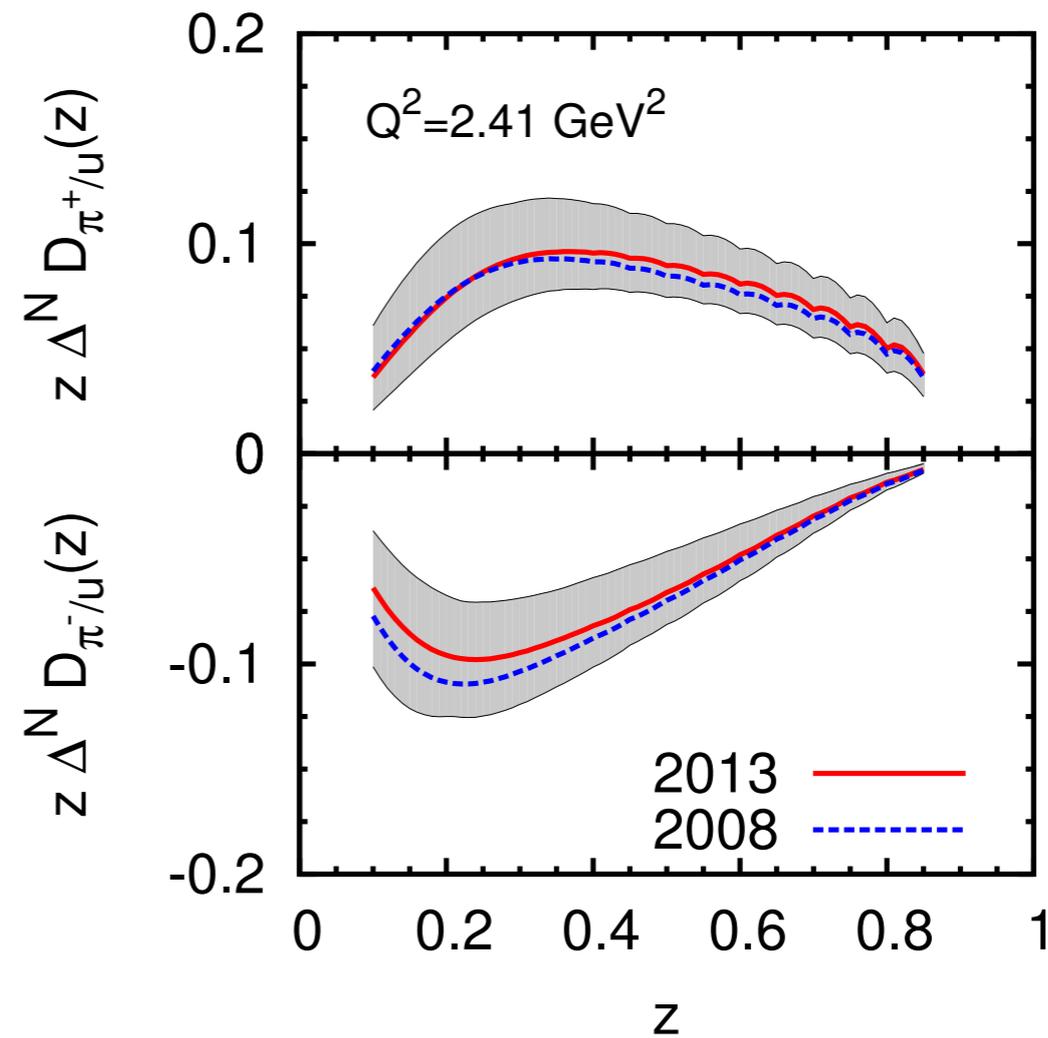
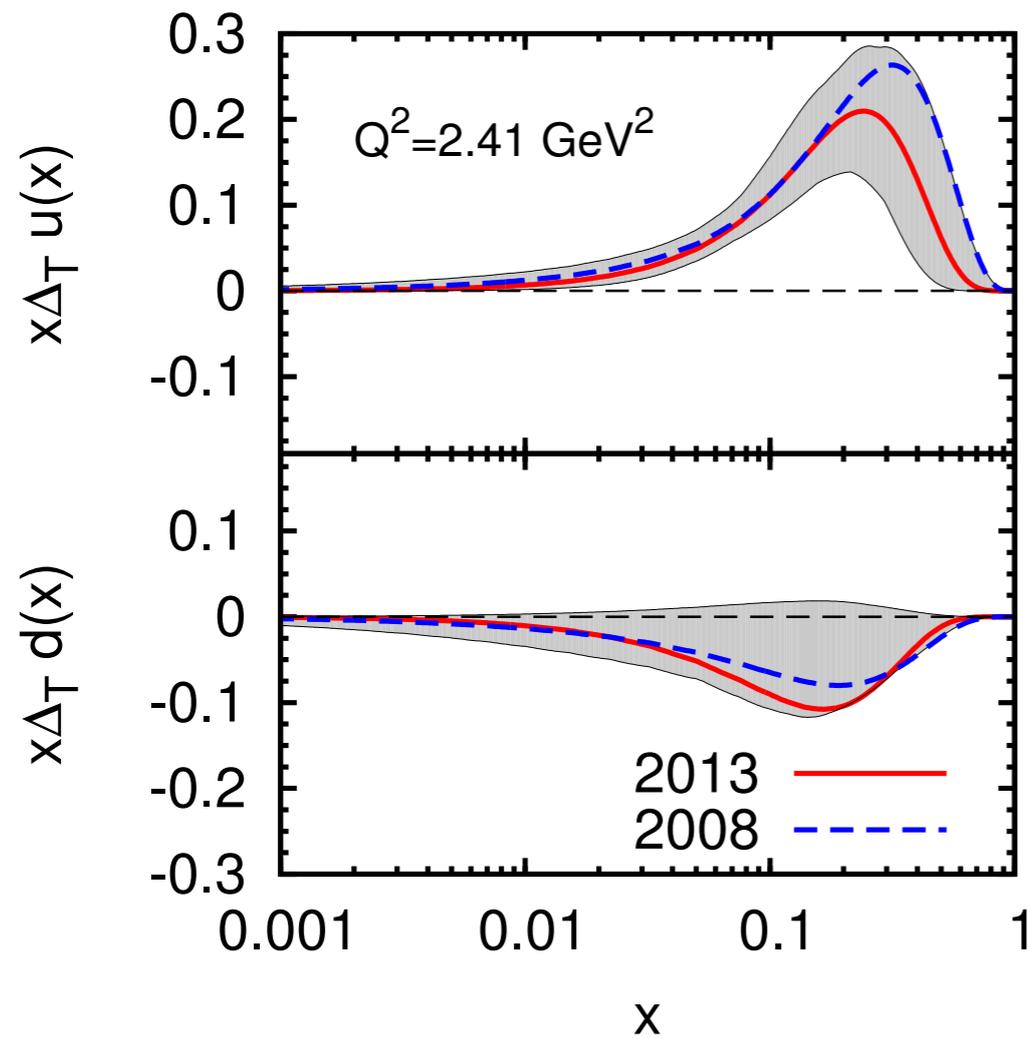
parameterization of the
Sivers function:

$$\Delta^N \hat{f}_{q/p^\uparrow}(x, k_\perp; Q) = 2 \mathcal{N}(x) h(k_\perp) \underbrace{f_q(x, Q)}_{\text{circled}} \frac{1}{\pi \langle k_\perp^2 \rangle} e^{-k_\perp^2 / \langle k_\perp^2 \rangle}$$

Q^2 evolution only taken into account in the
collinear part (usual PDF)

extraction of transversity and Collins functions

M. A., M. Boglione, U. D'Alesio, S. Melis, F. Murgia, A. Prokudin, PRD 87 (2013) 094019



$$\Delta_T q(x, k_\perp) = \frac{1}{2} \mathcal{N}_q^T(x) [f_{q/p}(x) + \Delta q(x)] \frac{e^{-k_\perp^2 / \langle k_\perp^2 \rangle_T}}{\pi \langle k_\perp^2 \rangle_T}$$

$$\Delta^N D_{h/q^\uparrow}(z, p_\perp) = 2 \mathcal{N}_q^C(z) D_{h/q}(z) h(p_\perp) \frac{e^{-p_\perp^2 / \langle p_\perp^2 \rangle}}{\pi \langle p_\perp^2 \rangle}$$

simple parameterization, no TMD evolution

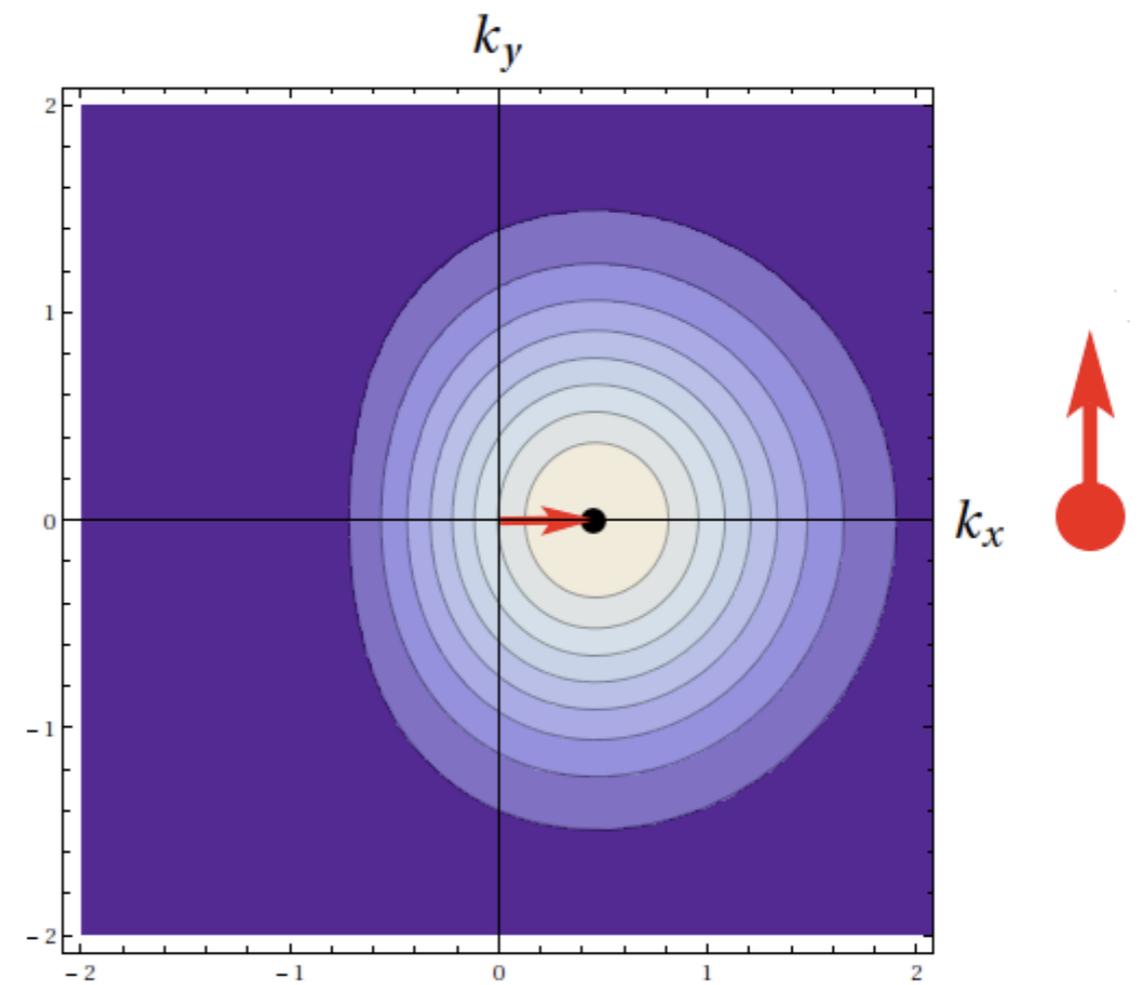
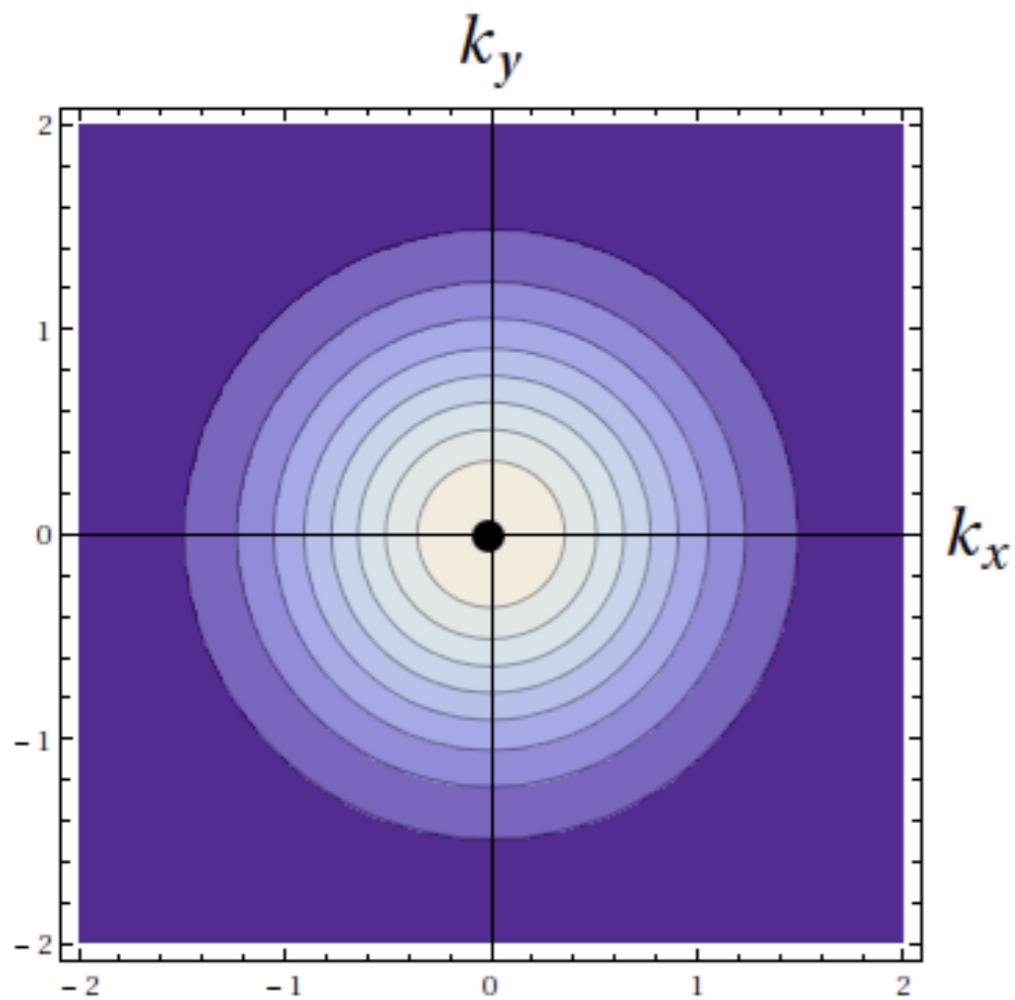
what do we learn from the Sivers function? dipole deformation

$$\hat{f}_{q/p^\uparrow}(x, \mathbf{k}_\perp, S \hat{\mathbf{j}}; Q) = \hat{f}_{q/p}(x, k_\perp; Q) - \hat{f}_{1T}^{\perp q}(x, k_\perp; Q) \frac{k_\perp^x}{M_p}$$

$$S = 0$$

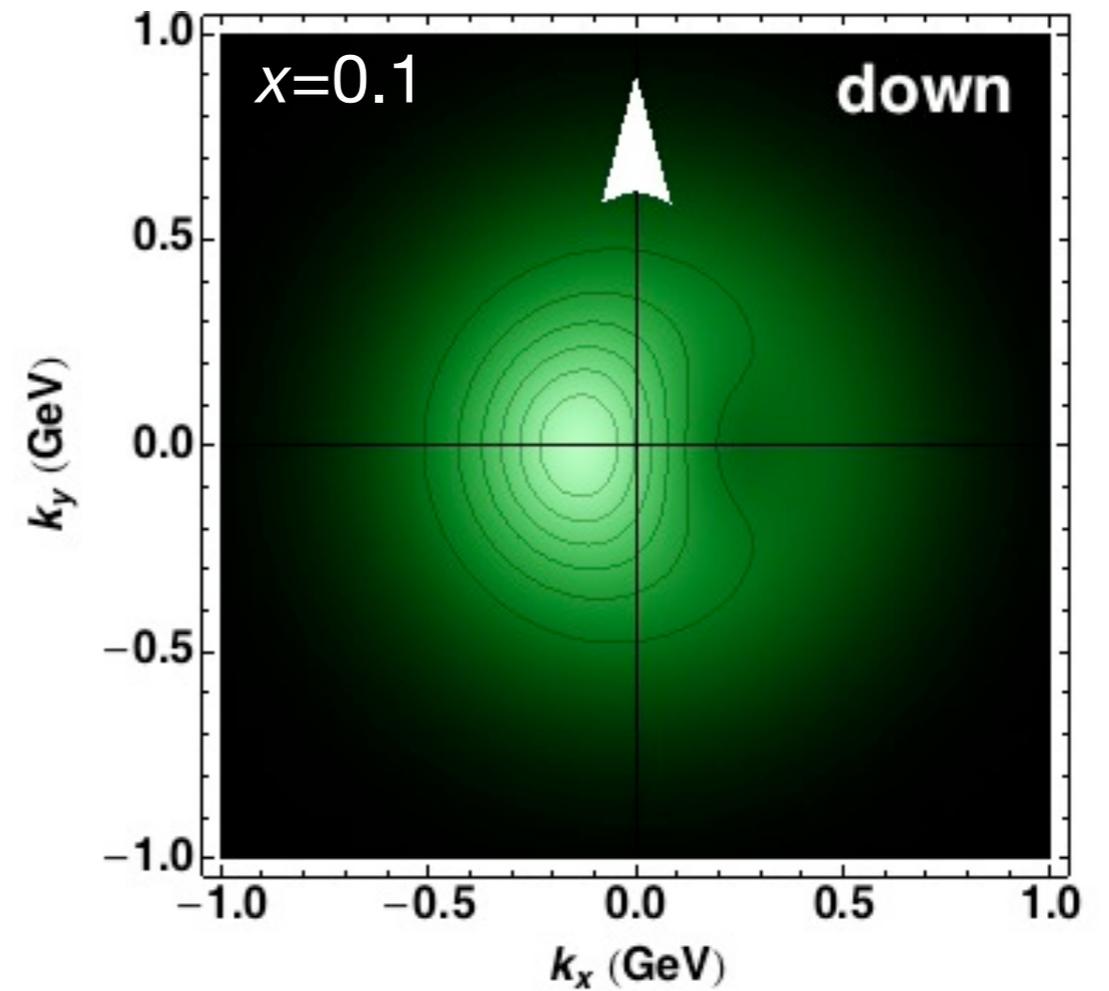
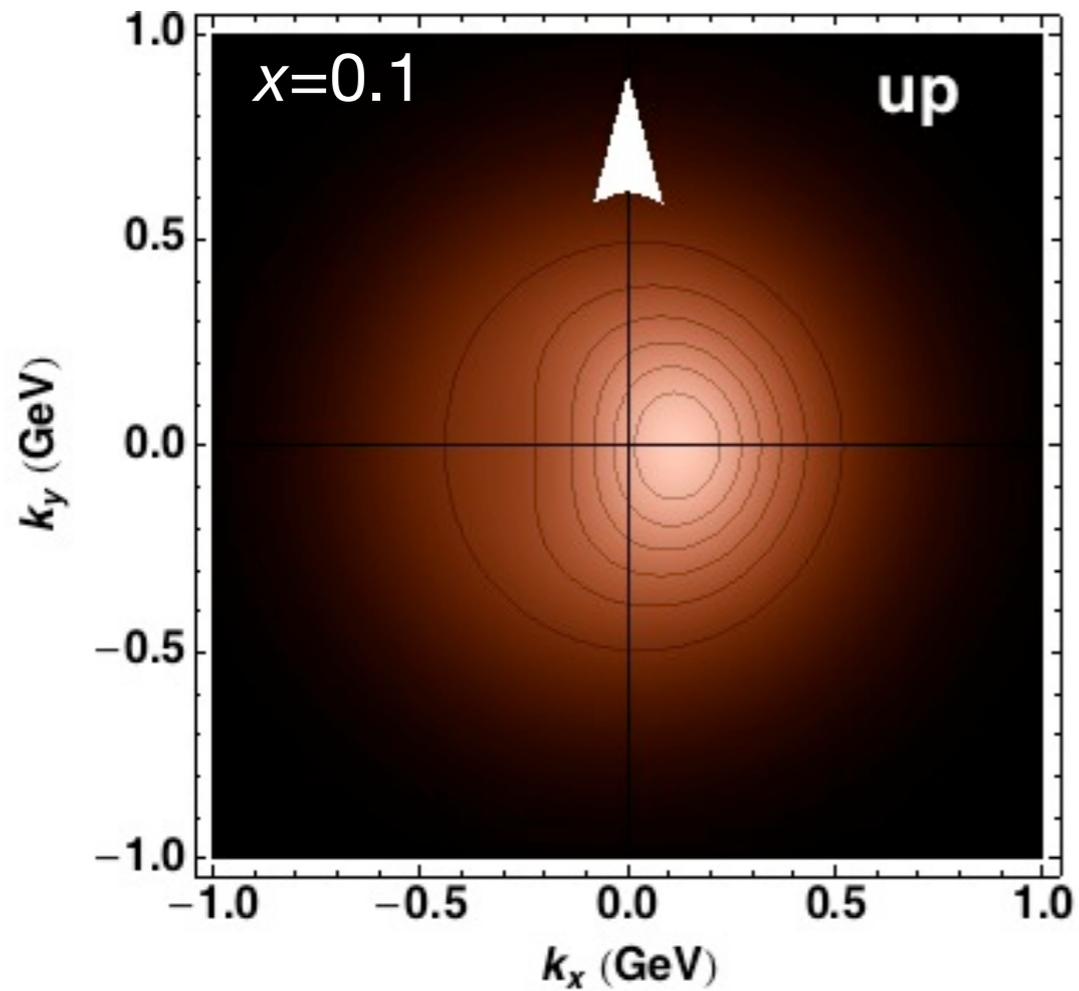
u quark

$$S = S \hat{\mathbf{j}}$$



courtesy of Alexei Prokudin

transverse momentum distortion



courtesy of Alessandro Bacchetta

Sivers function and orbital angular momentum

Ji's sum rule

forward limit of GPDs

$$J^q = \frac{1}{2} \int_0^1 dx x [H^q(x, 0, 0) + E^q(x, 0, 0)]$$

usual PDF $q(x)$

cannot be
measured directly

anomalous magnetic moments

$$\kappa^p = \int_0^1 \frac{dx}{3} [2E^{u_v}(x, 0, 0) - E^{d_v}(x, 0, 0) - E^{s_v}(x, 0, 0)]$$

$$\kappa^n = \int_0^1 \frac{dx}{3} [2E^{d_v}(x, 0, 0) - E^{u_v}(x, 0, 0) - E^{s_v}(x, 0, 0)]$$

$$(E^{q_v} = E^q - E^{\bar{q}})$$

Sivers function and orbital angular momentum

assume

$$f_{1T}^{\perp(0)a}(x; Q_L^2) = -L(x)E^a(x, 0, 0; Q_L^2)$$

$$f_{1T}^{\perp(0)a}(x, Q) = \int d^2\mathbf{k}_{\perp} \hat{f}_{1T}^{\perp a}(x, k_{\perp}; Q)$$

$L(x)$ = lensing function

(unknown, can be computed in models)

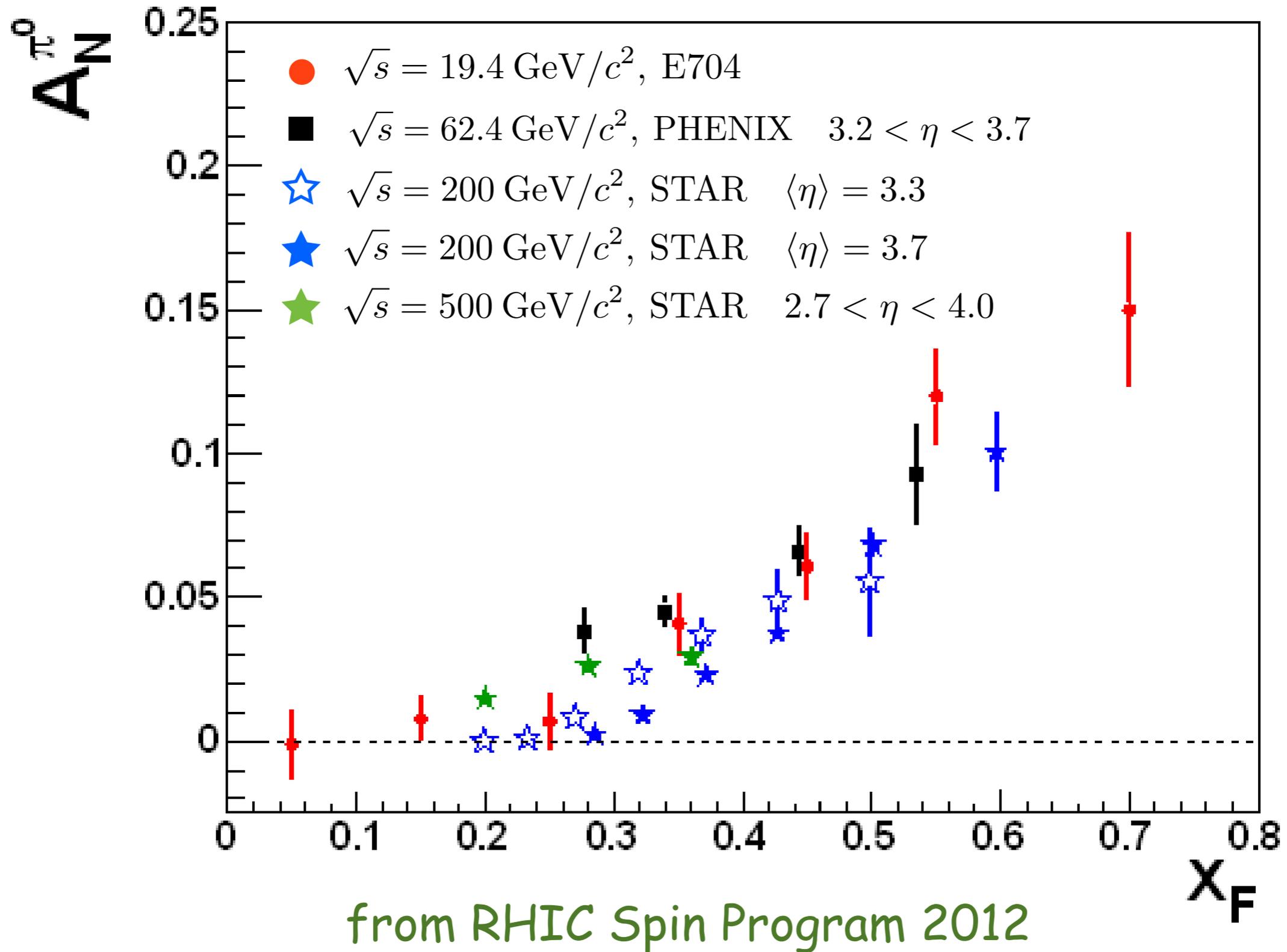
parameterize Sivers and lensing functions

fit SIDIS and magnetic moment data

obtain E^q and estimate orbital angular momentum

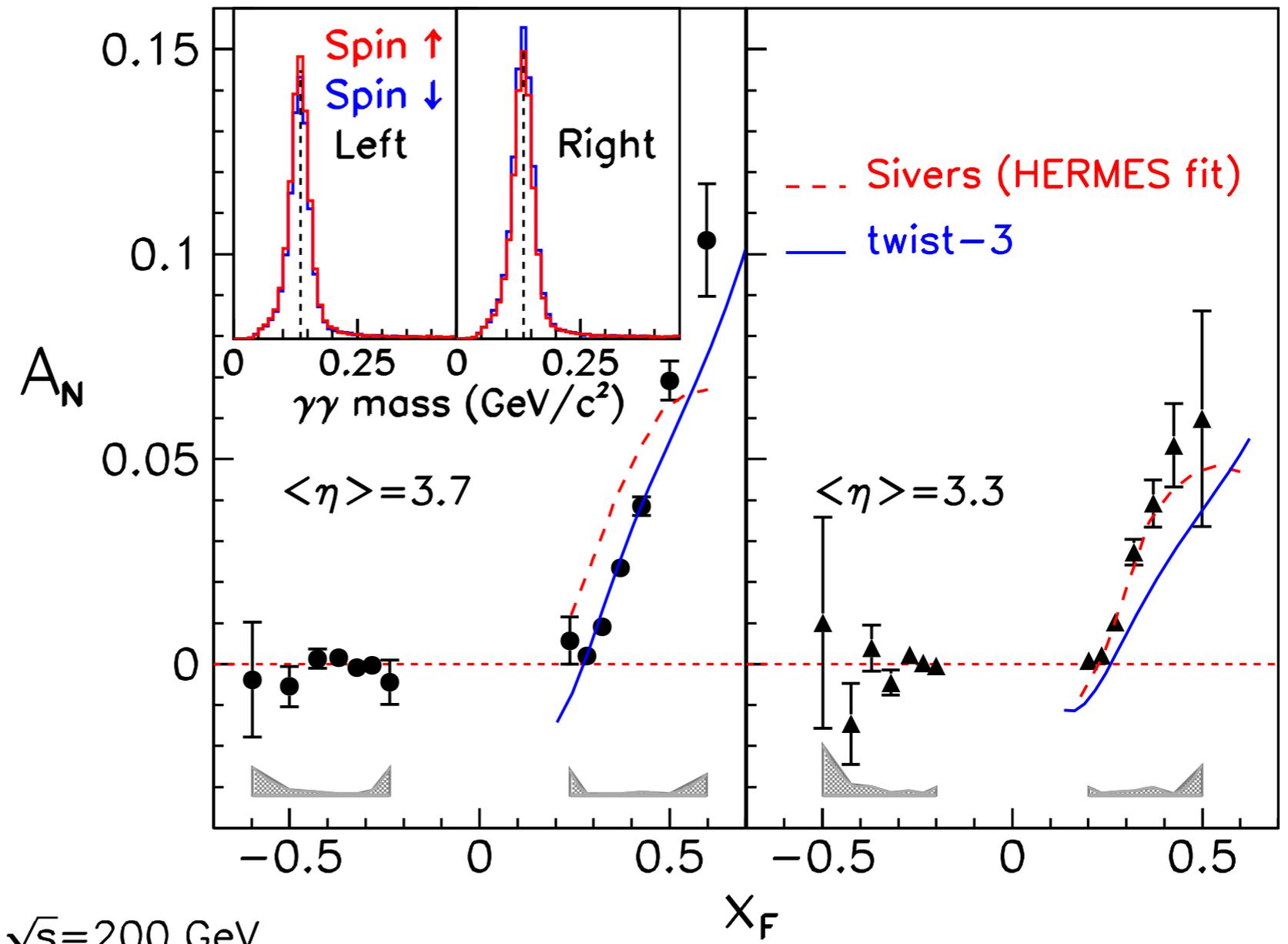
results at $Q^2 = 4 \text{ GeV}^2$: $J^u \approx 0.23$, $J^{q \neq u} \approx 0$

meanwhile, what happened to A_N ? it remained, of course

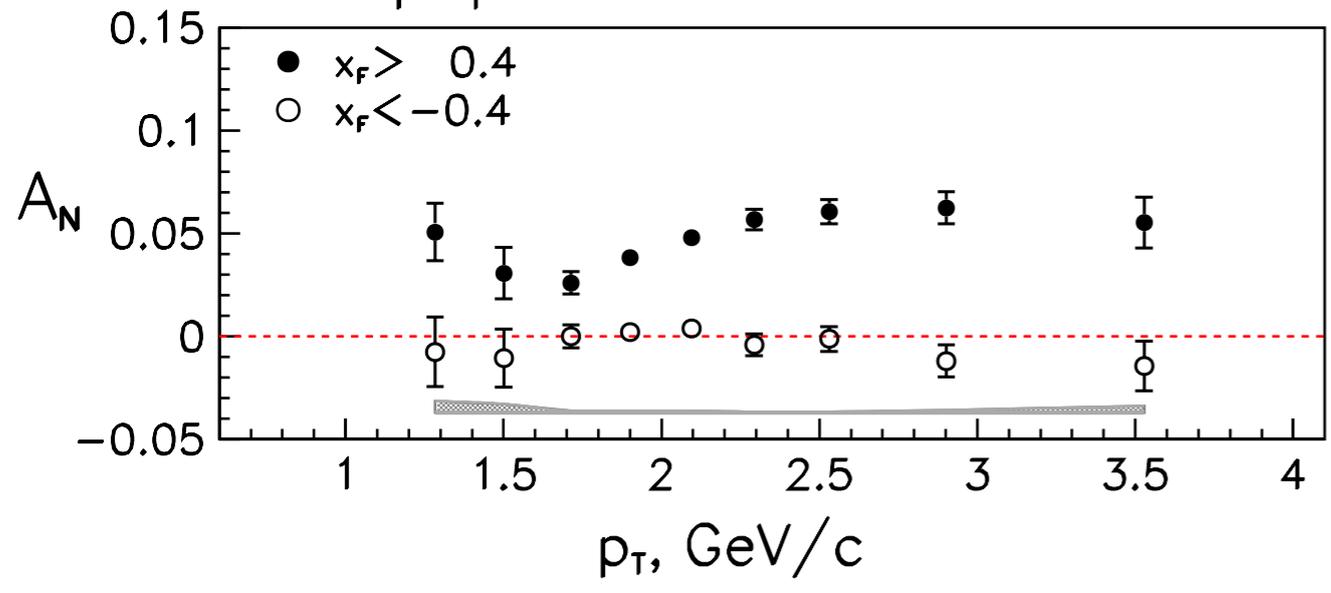


$p+p \rightarrow \pi^0 + X$ at $\sqrt{s}=200$ GeV

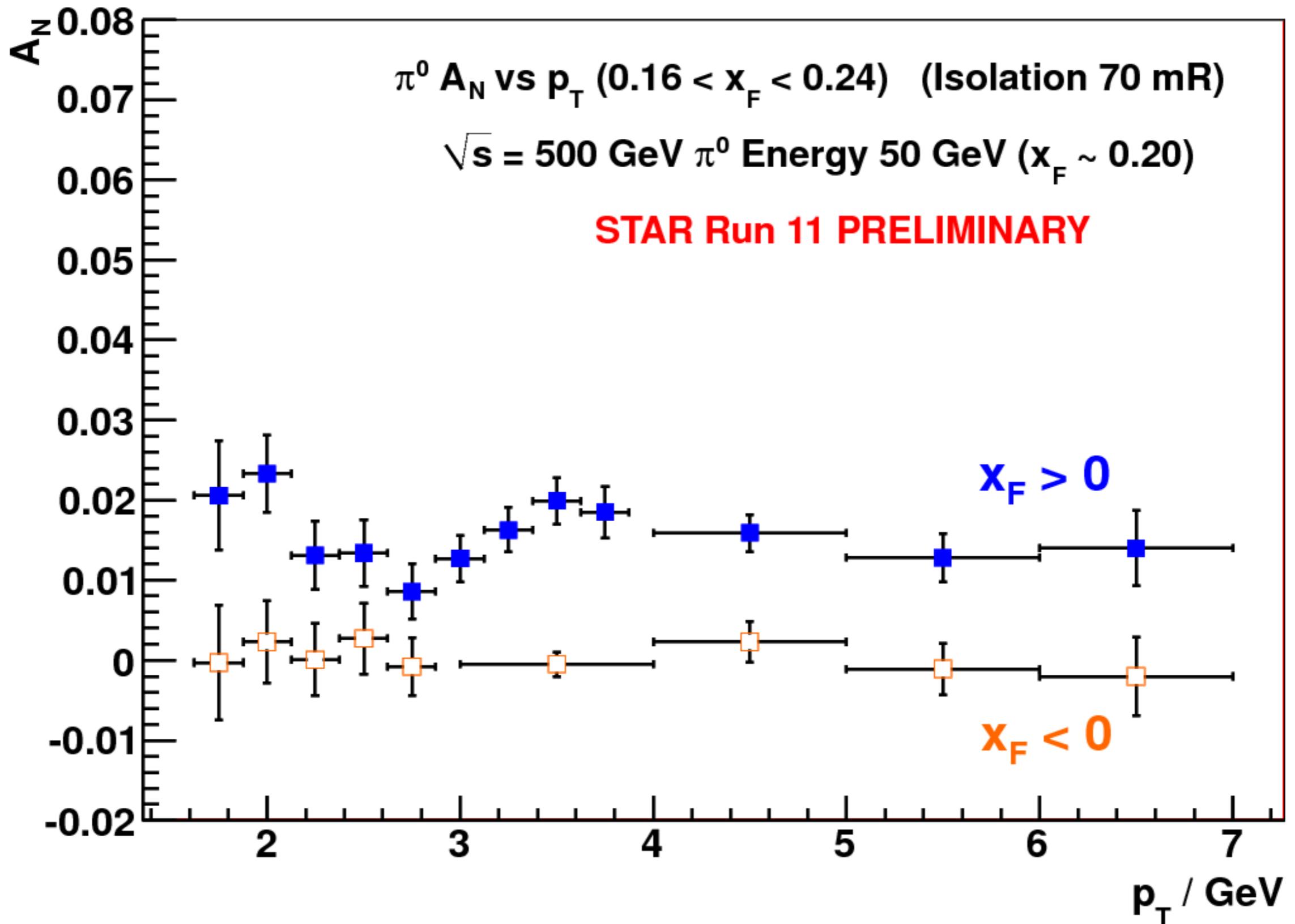
STAR data



$p+p \rightarrow \pi^0 + X$ at $\sqrt{s}=200$ GeV



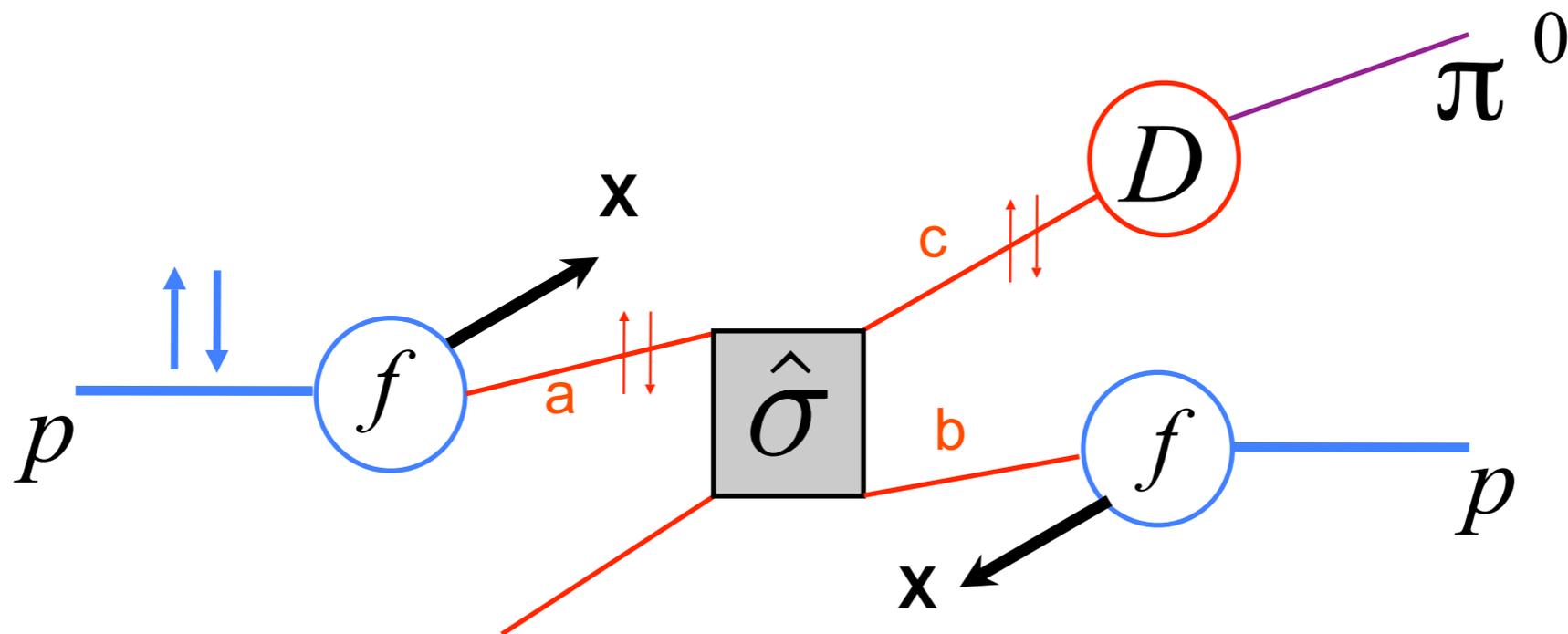
PRL 101, 222001 (2008)



SSA in hadronic processes: TMDs, higher-twist correlations?

Two main different (?) approaches

1. Generalization of collinear scheme (assuming factorization)



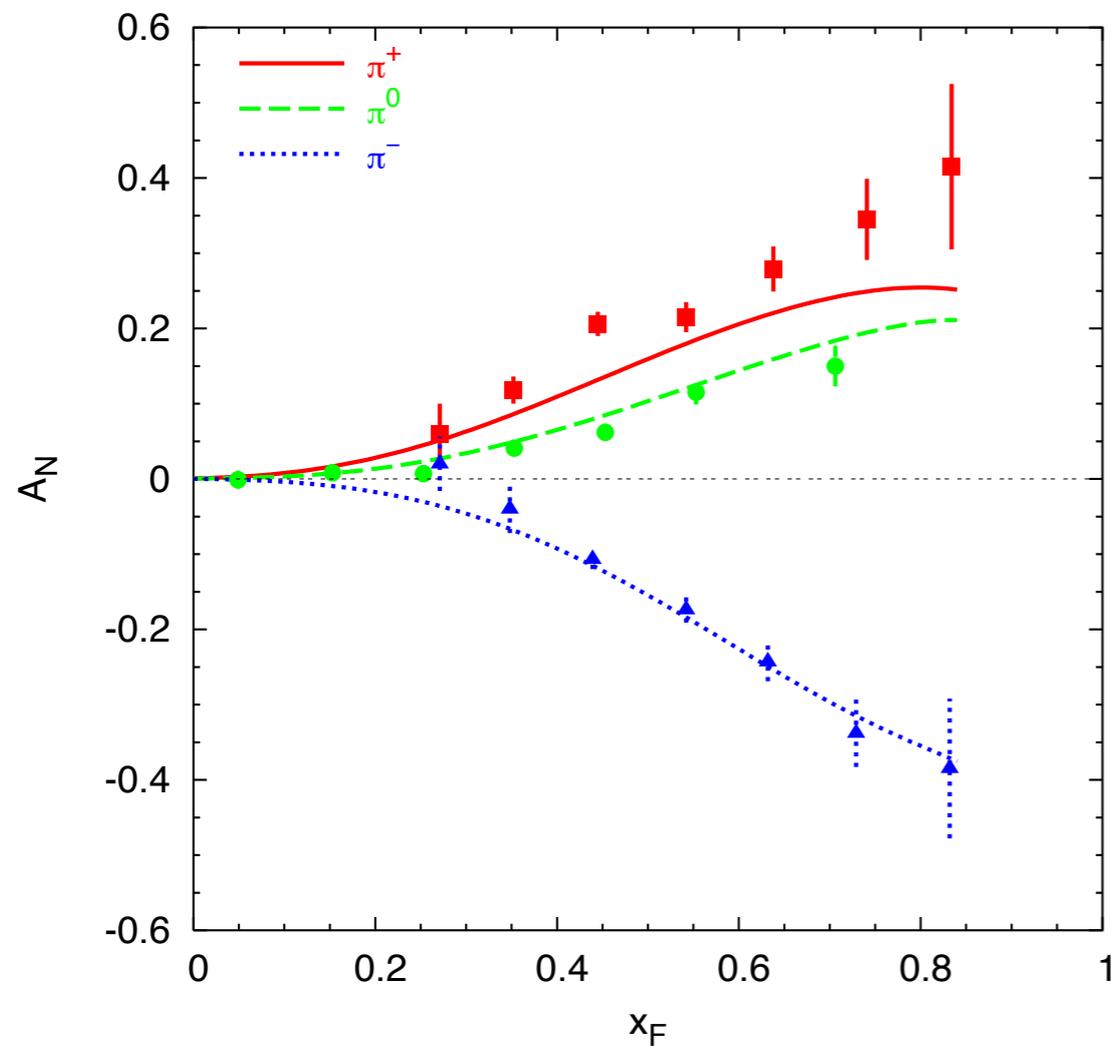
$$d\sigma^\uparrow = \sum_{a,b,c=q,\bar{q},g} \underbrace{f_{a/p^\uparrow}(x_a, \mathbf{k}_{\perp a})}_{\text{single spin effects in TMDs}} \otimes \underbrace{f_{b/p}(x_b, \mathbf{k}_{\perp b})}_{\text{single spin effects in TMDs}} \otimes d\hat{\sigma}^{ab \rightarrow cd}(\mathbf{k}_{\perp a}, \mathbf{k}_{\perp b}) \otimes \underbrace{D_{\pi/c}(z, \mathbf{p}_{\perp \pi})}_{\text{single spin effects in TMDs}}$$

M.A., M. Boglione, U. D'Alesio, E. Leader, S. Melis, F. Murgia, A. Prokudin, ...
Field-Feynman

generalized TMD factorization at work

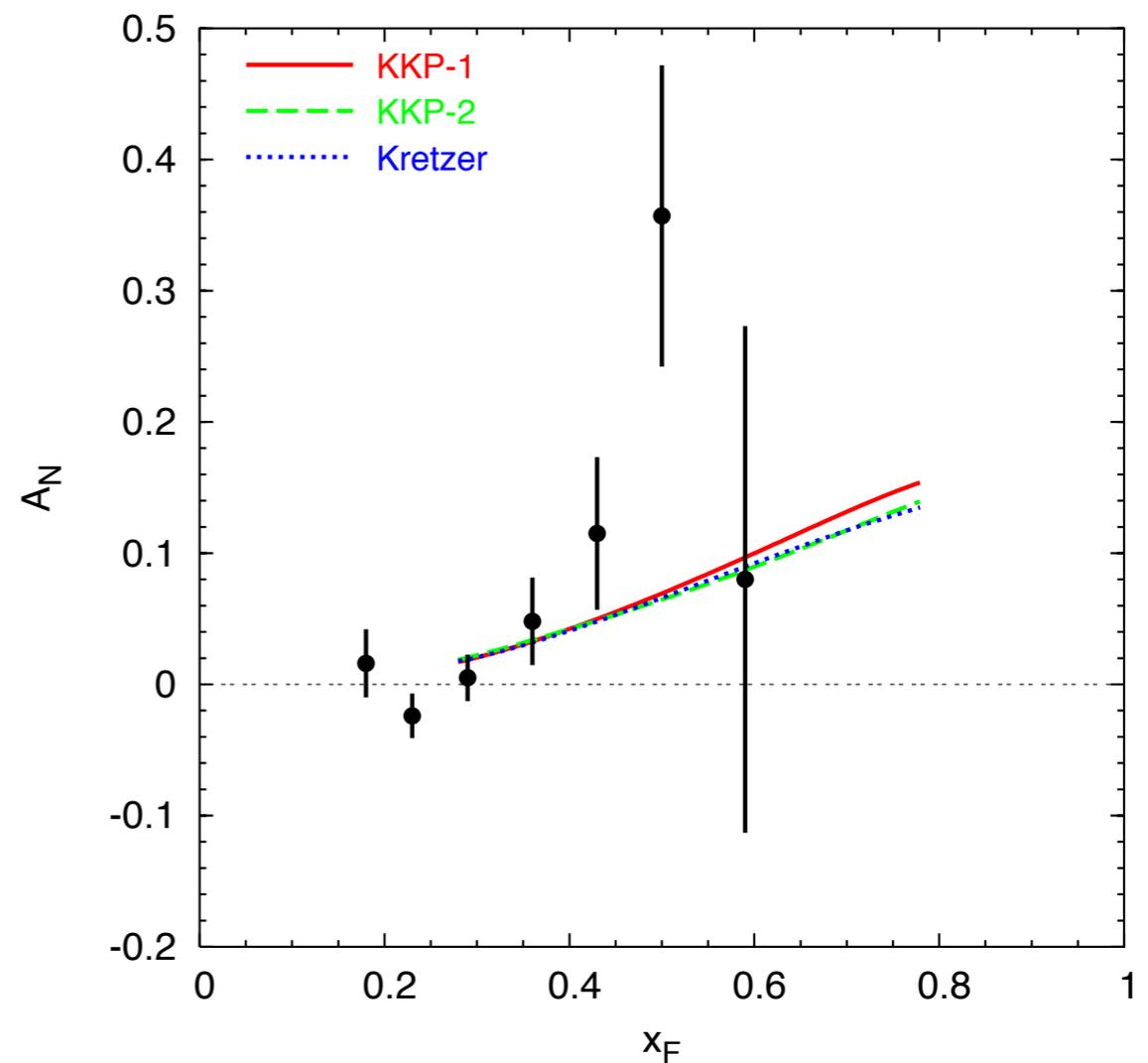
U. D'Alesio, F. Murgia, PR D70 (2004) 074009

E704 data



fit

STAR data



prediction

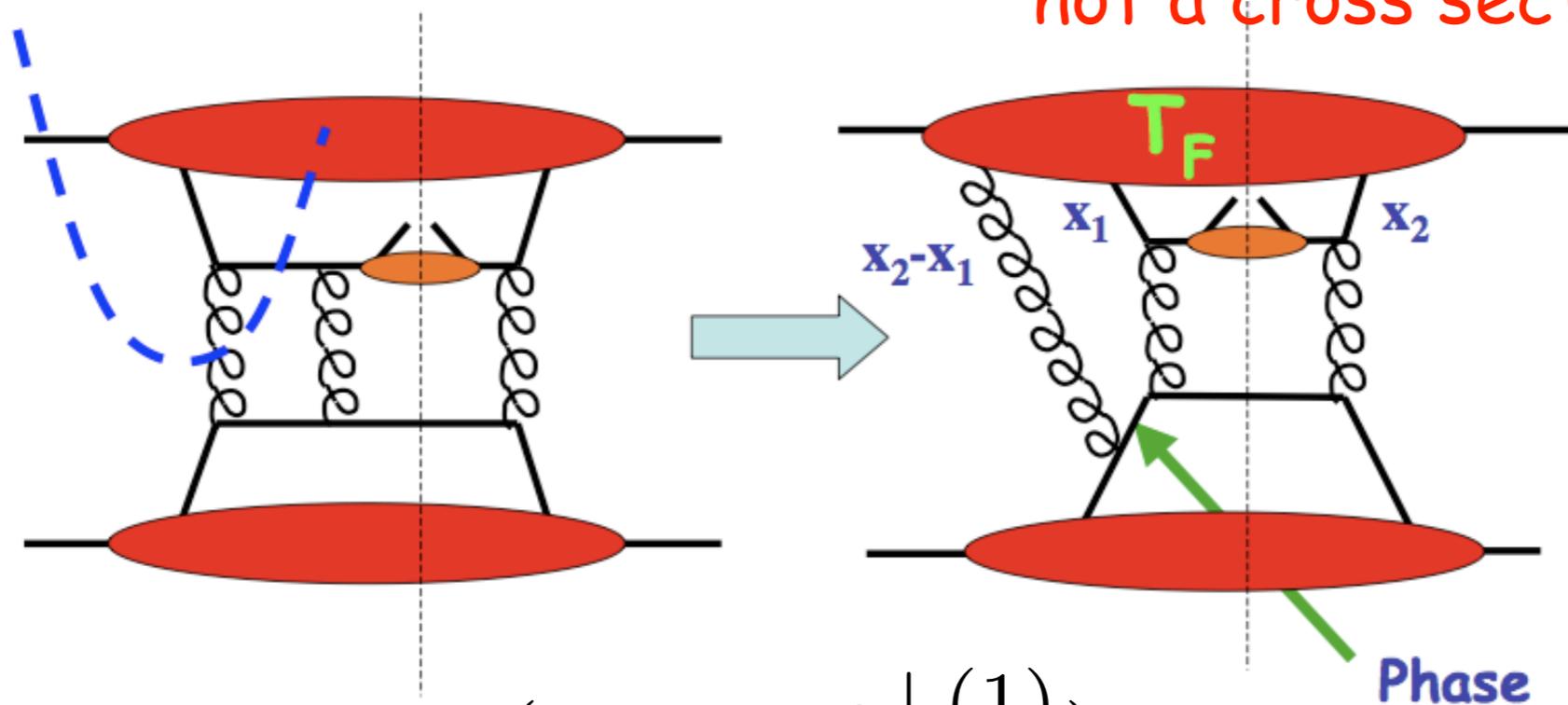
Sivers effect $pp \rightarrow \pi X$

2. Higher-twist partonic correlations

(Efremov, Teryaev, Ratcliffe; Qiu, Sterman; Kouvaris, Vogelsang, Yuan; Bacchetta, Bomhof, Mulders, Pijlman; Koike; Gamberg, Kang...)

higher-twist partonic correlations - factorization OK

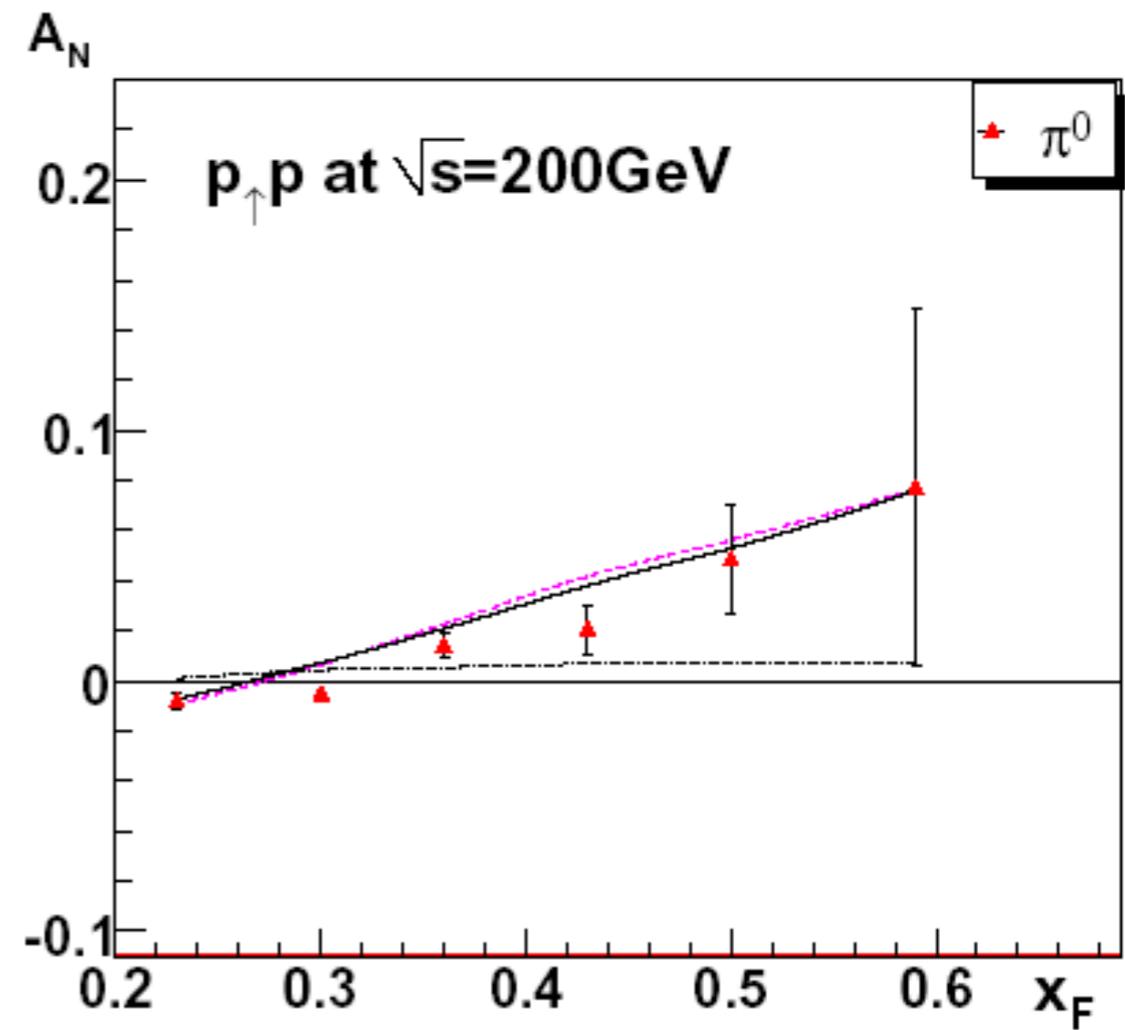
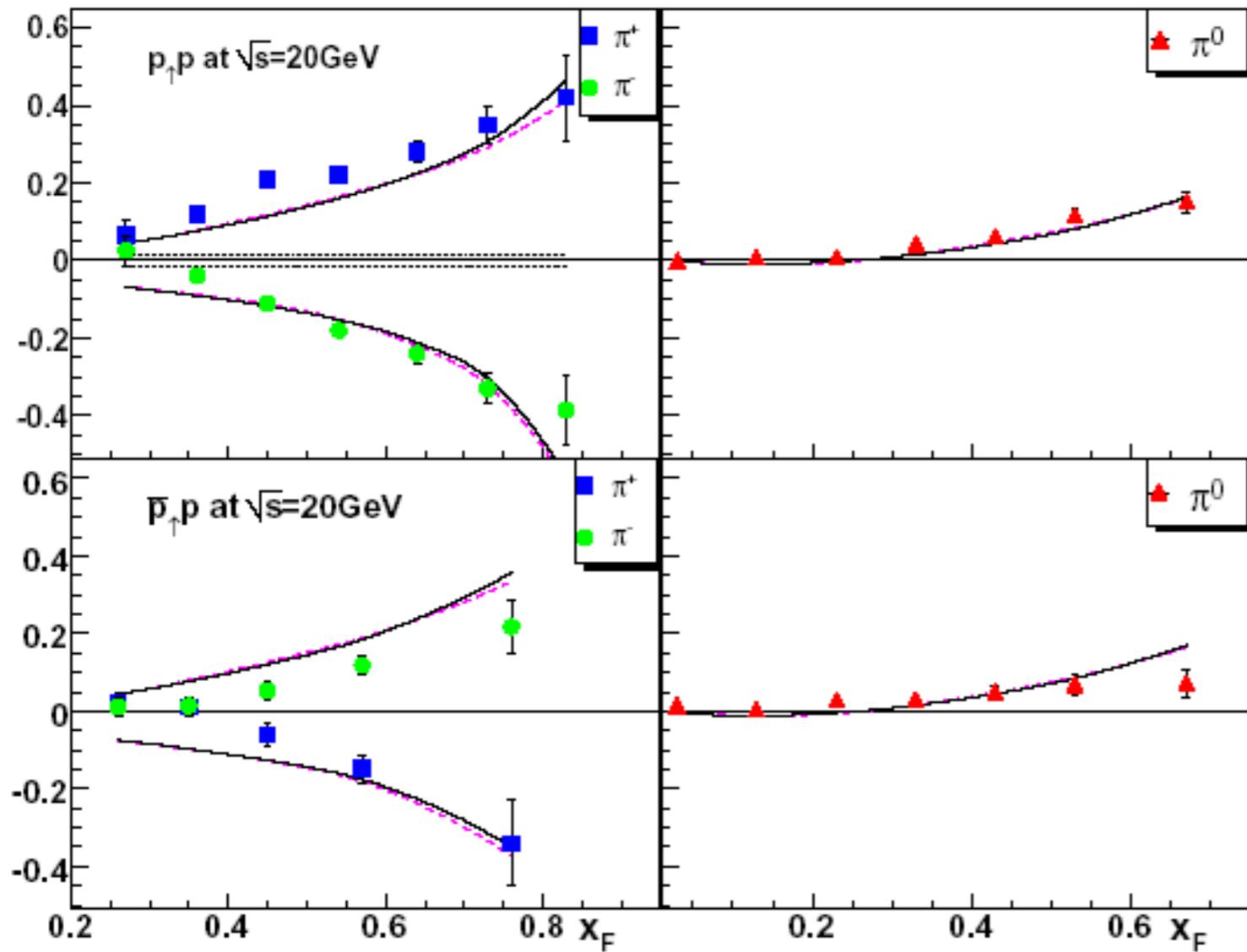
$$d\Delta\sigma \propto \sum_{a,b,c} \underbrace{T_a(k_1, k_2, \mathbf{S}_\perp)}_{\text{twist-3 functions}} \otimes f_{b/B}(x_b) \otimes \underbrace{H^{ab \rightarrow c}(k_1, k_2)}_{\text{hard interaction, not a cross section}} \otimes D_{h/c}(z)$$



$$(T_a \propto f_{1T}^{\perp(1)})$$

possible project: compute T_a using SIDIS extracted Sivers functions

(courtesy of W. Vogelsang)



fits of E704 and STAR data
 Kouvaris, Qiu, Vogelsang, Yuan

sign mismatch

(Kang, Qiu, Vogelsang, Yuan, PR D83 (2011) 094001)

compare

$$gT_{q,F}(x, x) = - \int d^2 k_{\perp} \frac{|k_{\perp}|^2}{M} f_{1T}^{\perp q}(x, k_{\perp}^2)|_{\text{SIDIS}}$$

as extracted from fitting A_N data, with that obtained by inserting in the above relation the SIDIS extracted Siverson functions

similar magnitude, but opposite sign!

the same mismatch does not occur adopting TMD factorization; the reason is that the hard scattering part in higher-twist factorization is negative

node in the Siverson function (Boer, Kang, Prokudin...)?
Study it at large x values

generalized TMD factorization: role of (SIDIS extracted) Collins and Sivers functions

(M.A., M. Boglione, U. D'Alesio, E. Leader, S. Melis, F. Murgia, A. Prokudin)

A phenomenological study

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

main contribution from Sivers and Collins effects, negligible contributions from other TMDs

$$d\sigma^\uparrow - d\sigma^\downarrow \equiv \frac{E_\pi d\sigma^{p \rightarrow \pi X}}{d^3 \mathbf{p}_\pi} - \frac{E_\pi d\sigma^{p \rightarrow \pi X}}{d^3 \mathbf{p}_\pi} = [d\sigma^\uparrow - d\sigma^\downarrow]_{\text{Sivers}} + [d\sigma^\uparrow - d\sigma^\downarrow]_{\text{Collins}}$$

$$[d\sigma^\uparrow - d\sigma^\downarrow]_{\text{Sivers}} = \sum_{q_a, b, q_c, d} \int \frac{dx_a dx_b dz}{16 \pi^2 x_a x_b z^2 s} d^2 \mathbf{k}_{\perp a} d^2 \mathbf{k}_{\perp b} d^3 \mathbf{p}_\perp \delta(\mathbf{p}_\perp \cdot \hat{\mathbf{p}}_c) J(p_\perp) \delta(\hat{s} + \hat{t} + \hat{u})$$

$\times \Delta^N f_{a/p}(x_a, k_{\perp a}) \cos \phi_a \longrightarrow$ Sivers function + phase

$\times f_{b/p}(x_b, k_{\perp b}) \frac{1}{2} \left[|\hat{M}_1^0|^2 + |\hat{M}_2^0|^2 + |\hat{M}_3^0|^2 \right]_{ab \rightarrow cd} D_{\pi/c}(z, p_\perp)$
 unpolarized cross section TMD-FF

$$\begin{aligned}
[d\sigma^\uparrow - d\sigma^\downarrow]_{\text{Collins}} &= \sum_{q_a, b, q_c, d} \int \frac{dx_a dx_b dz}{16 \pi^2 x_a x_b z^2 s} d^2 \mathbf{k}_{\perp a} d^2 \mathbf{k}_{\perp b} d^3 \mathbf{p}_{\perp} \delta(\mathbf{p}_{\perp} \cdot \hat{\mathbf{p}}_c) J(p_{\perp}) \delta(\hat{s} + \hat{t} + \hat{u}) \\
&\times \Delta_T q_a(x_a, k_{\perp a}) \cos(\phi_a + \varphi_1 - \varphi_2 + \phi_{\pi}^H) \longrightarrow \text{transversity + phases} \\
&\times f_{b/p}(x_b, k_{\perp b}) \left[\hat{M}_1^0 \hat{M}_2^0 \right]_{q_a b \rightarrow q_c d} \Delta^N D_{\pi/q_c}(z, p_{\perp}) \\
&\quad \text{spin transfer} \qquad \text{Collins function} \\
&\quad \text{cross section}
\end{aligned}$$

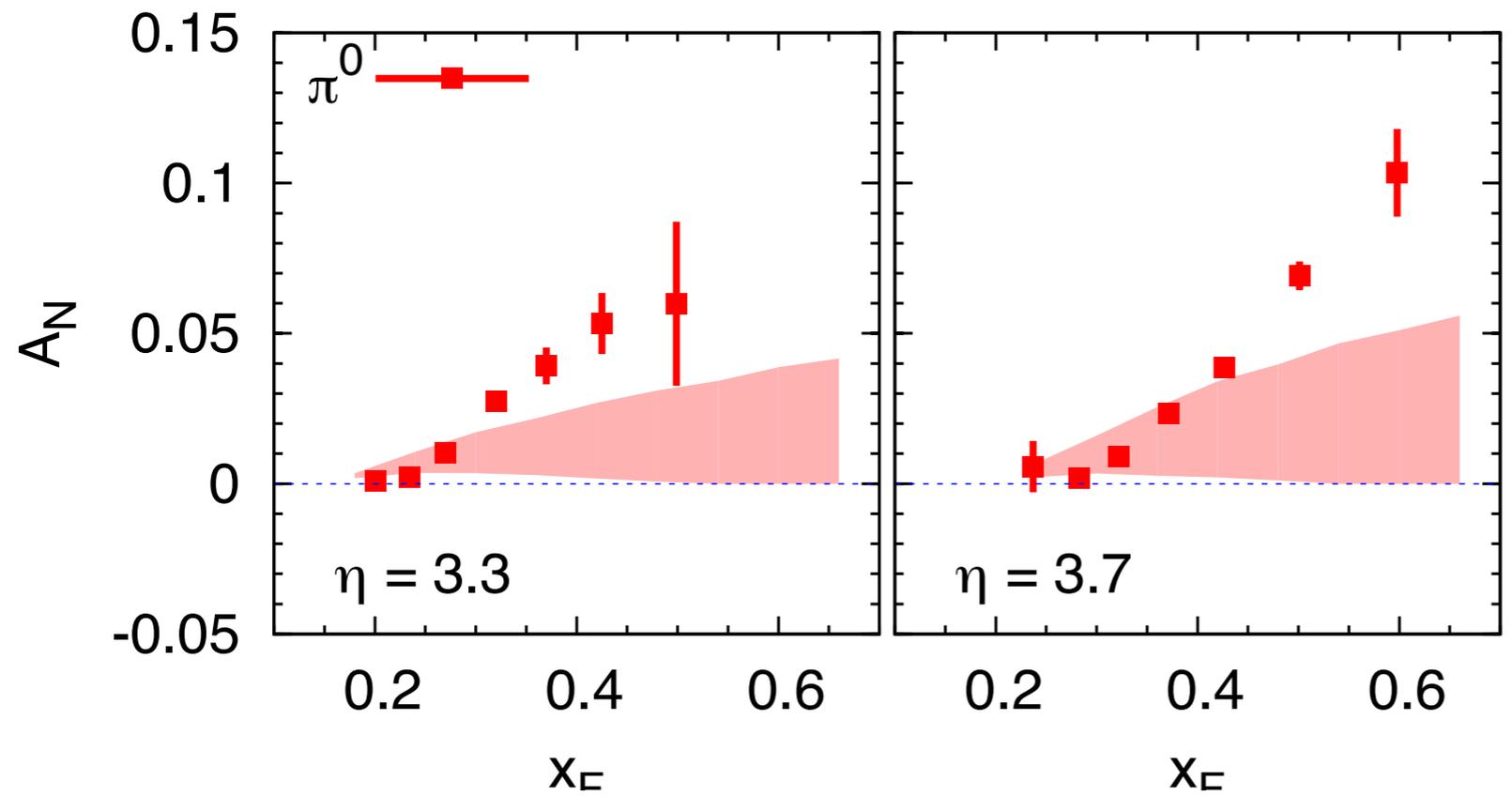
SIDIS data do not fix the large x behaviour of the transversity and Sivers distributions

$$\Delta_T q(x, k_{\perp}) = \frac{1}{2} \mathcal{N}_q^T(x) \left[f_{q/p}(x) + \Delta q(x) \right] \frac{e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle_T}}{\pi \langle k_{\perp}^2 \rangle_T}$$

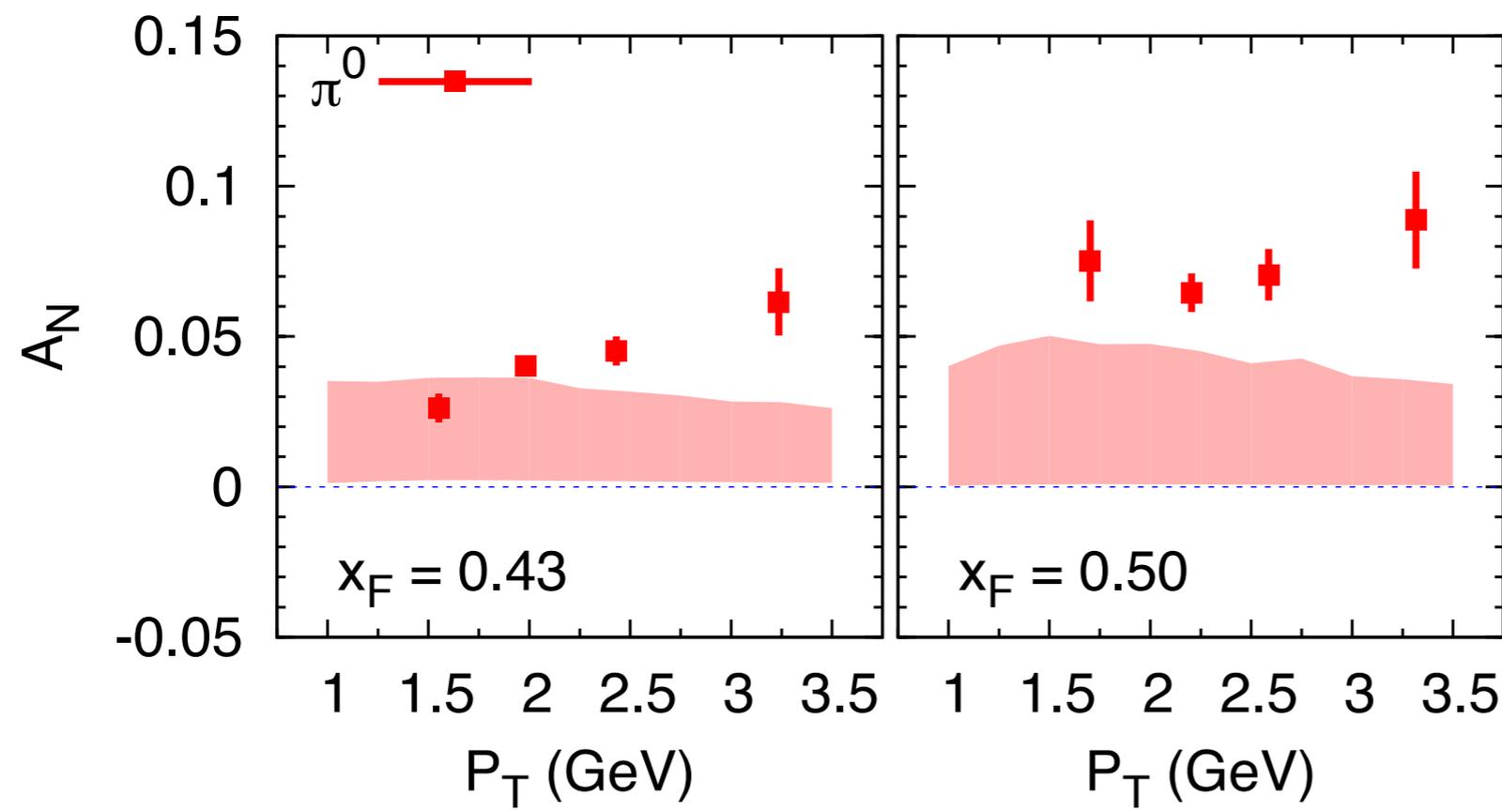
$$\Delta^N f_{q/p\uparrow}(x, k_{\perp}) = 2 \mathcal{N}_q^S(x) f_{q/p}(x) h(k_{\perp}) \frac{e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}}{\pi \langle k_{\perp}^2 \rangle}$$

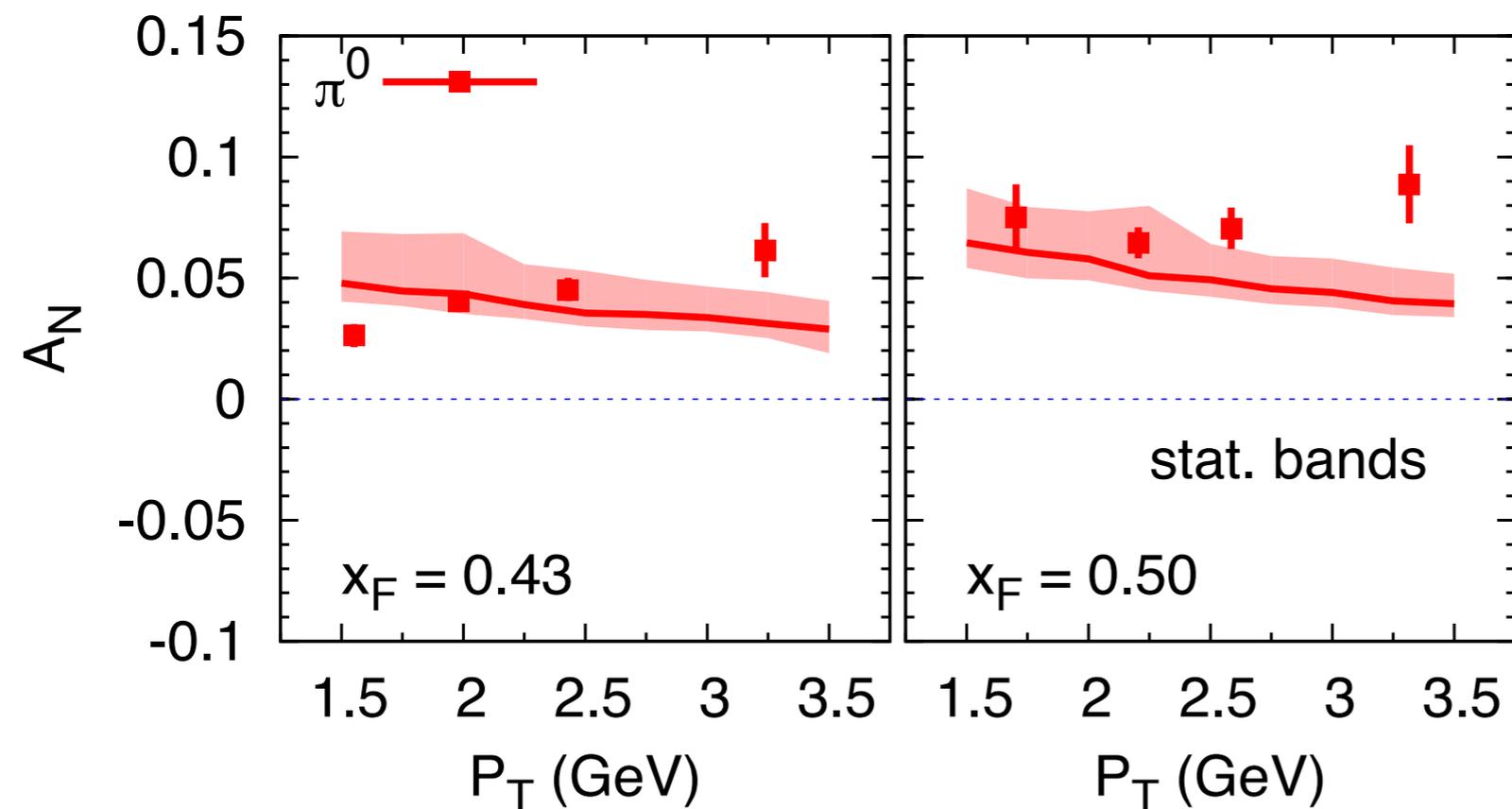
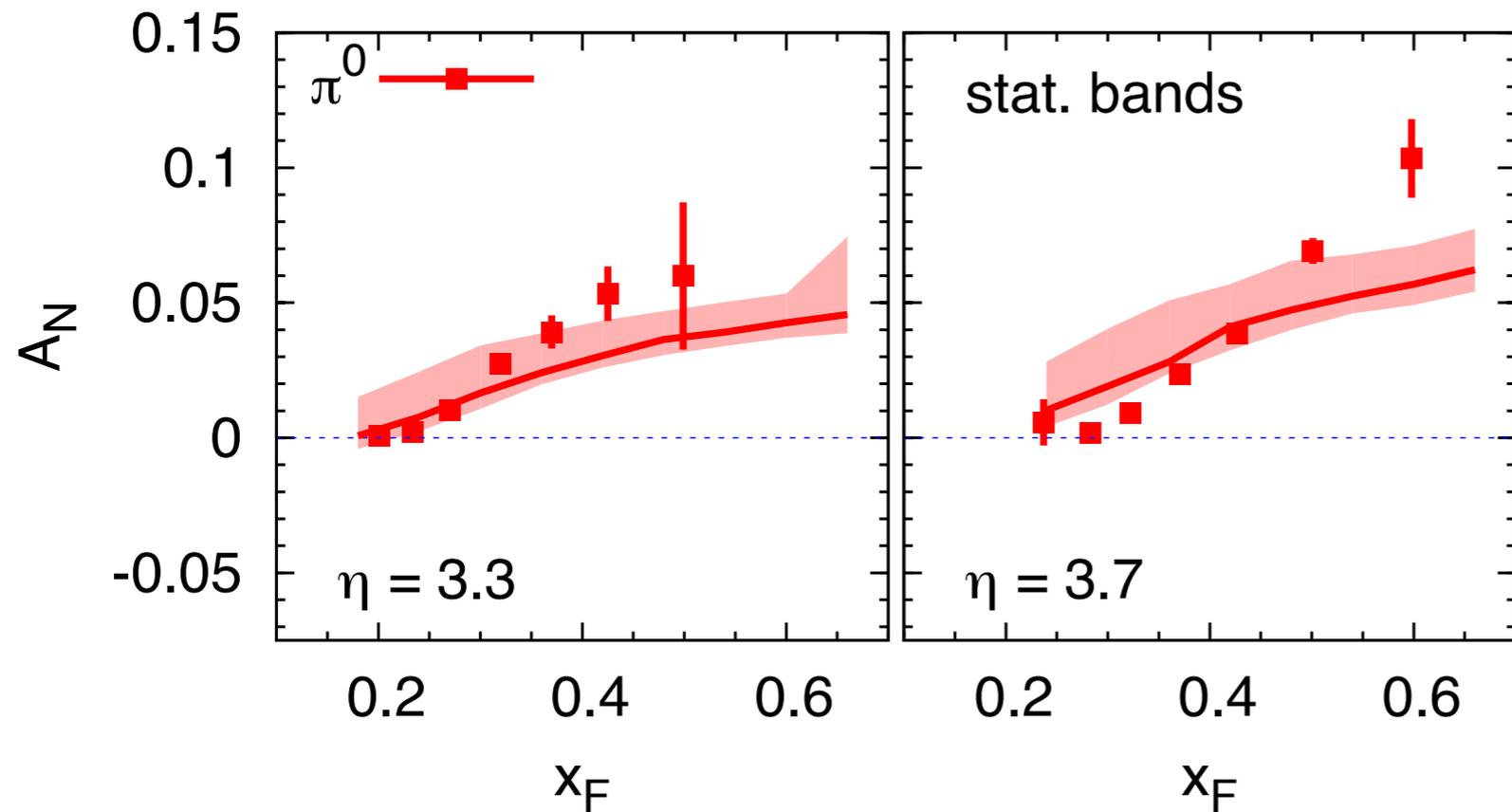
$$\mathcal{N}(x) \sim x^{\alpha} (1 - x)^{\beta}$$

A_N sensitive to large x values: let β parameters vary

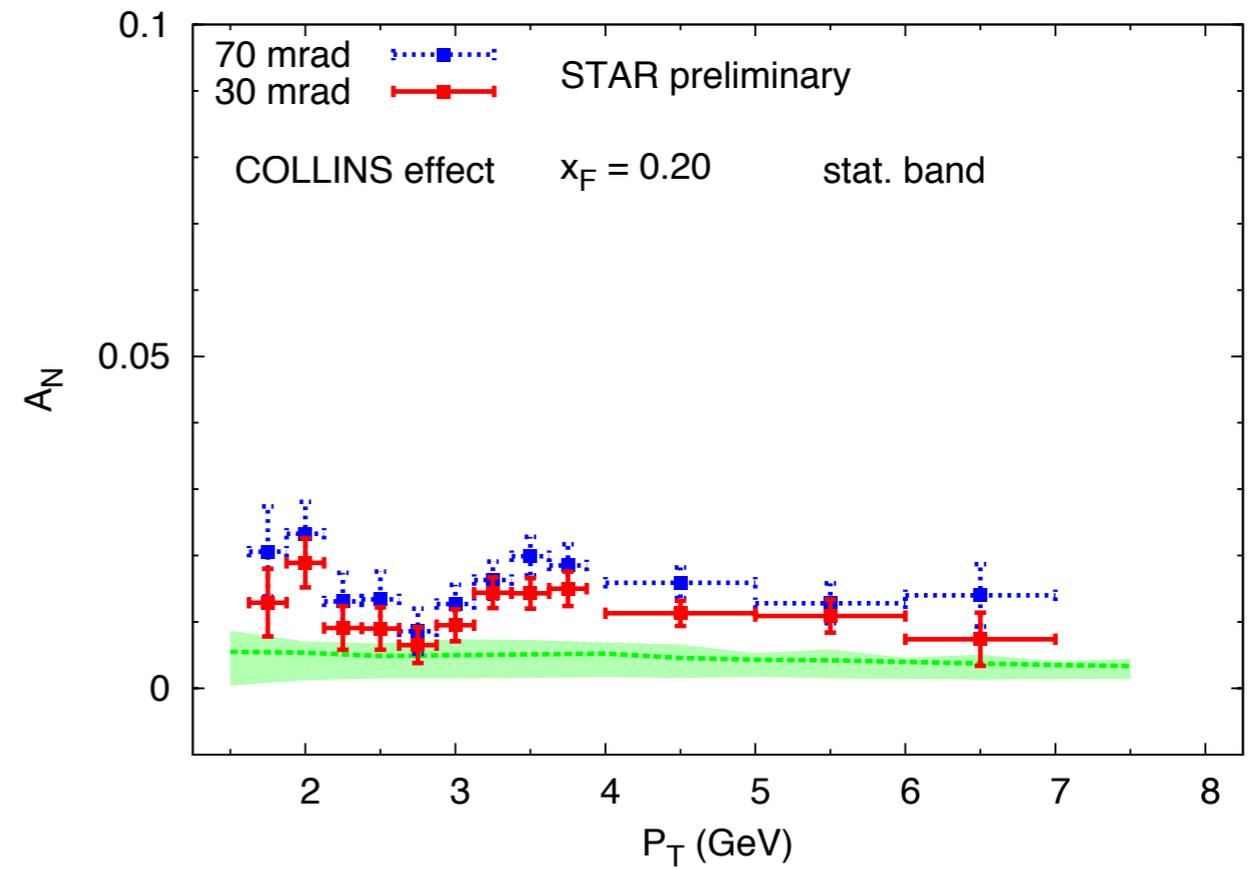
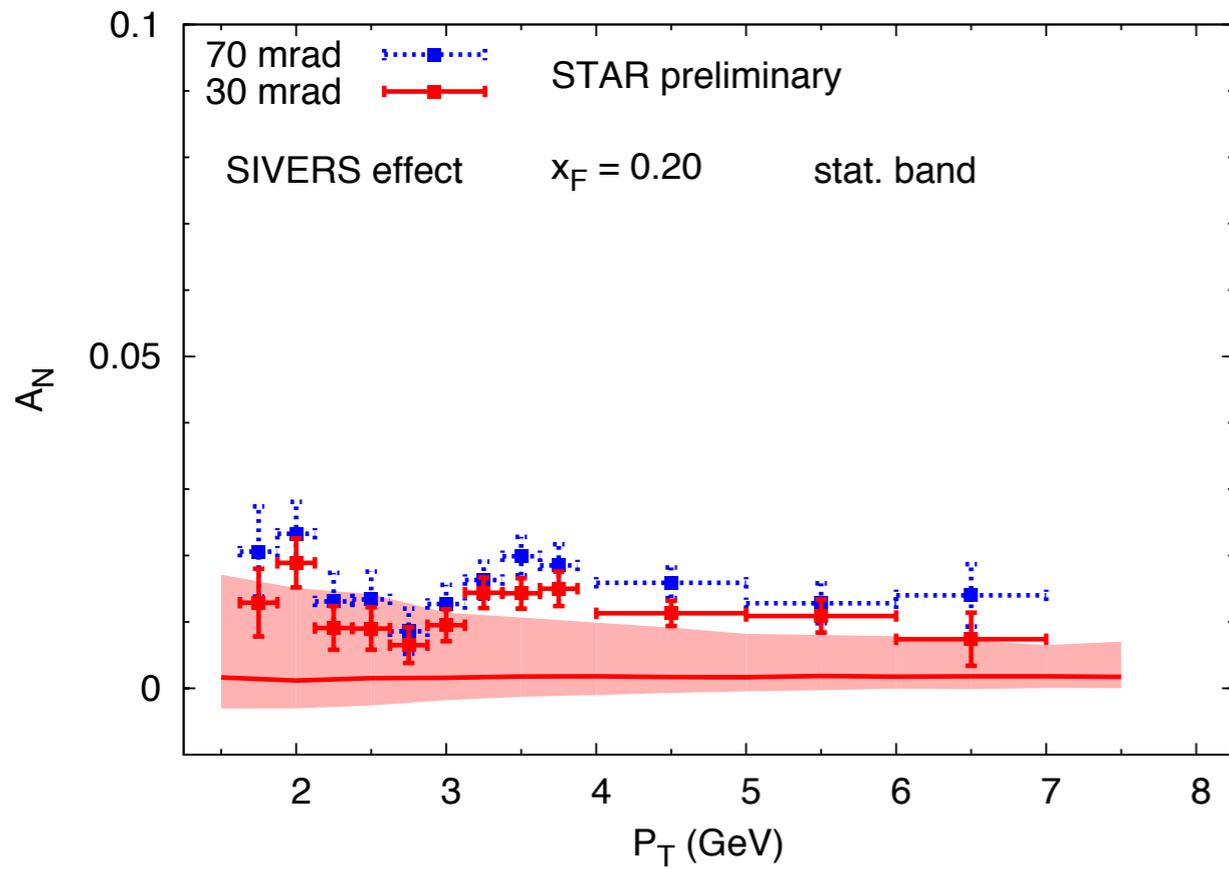


Collins effect
STAR data,
problems at
large x_F :
Collins effect
alone is not
enough

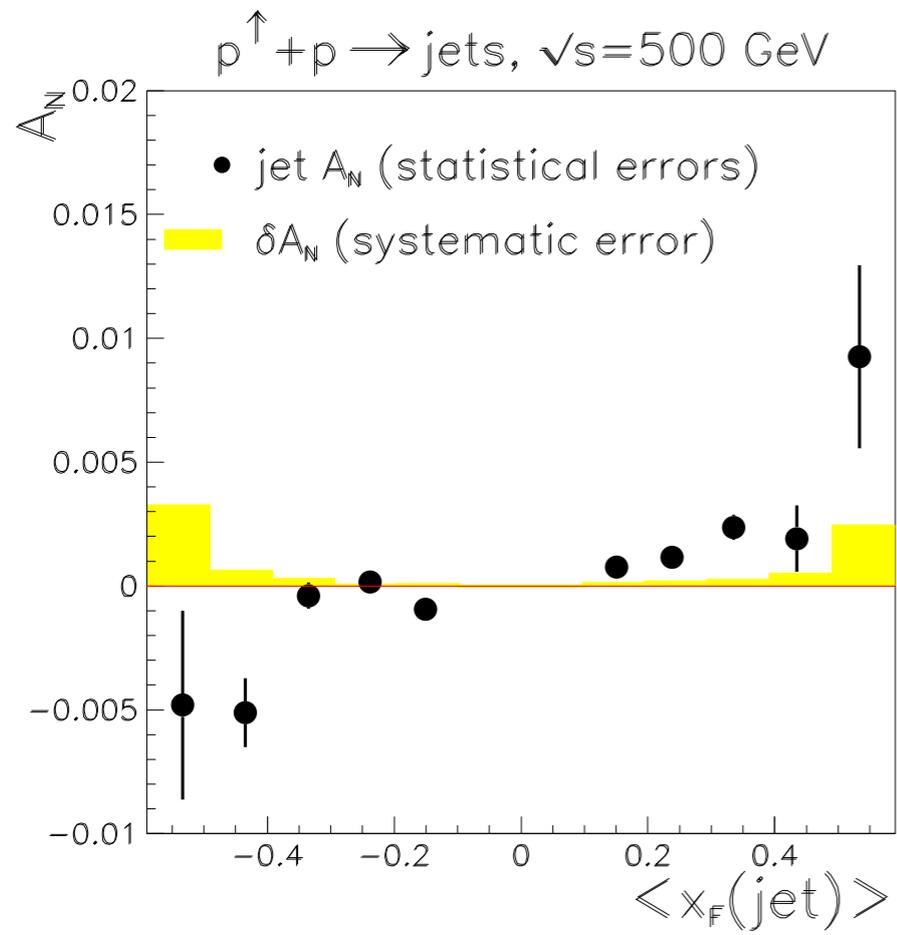




Siverts effect
 STAR data, one
 particular set of
 parameters with
 statistical band.
 Siverts effect
 alone can explain
 most data



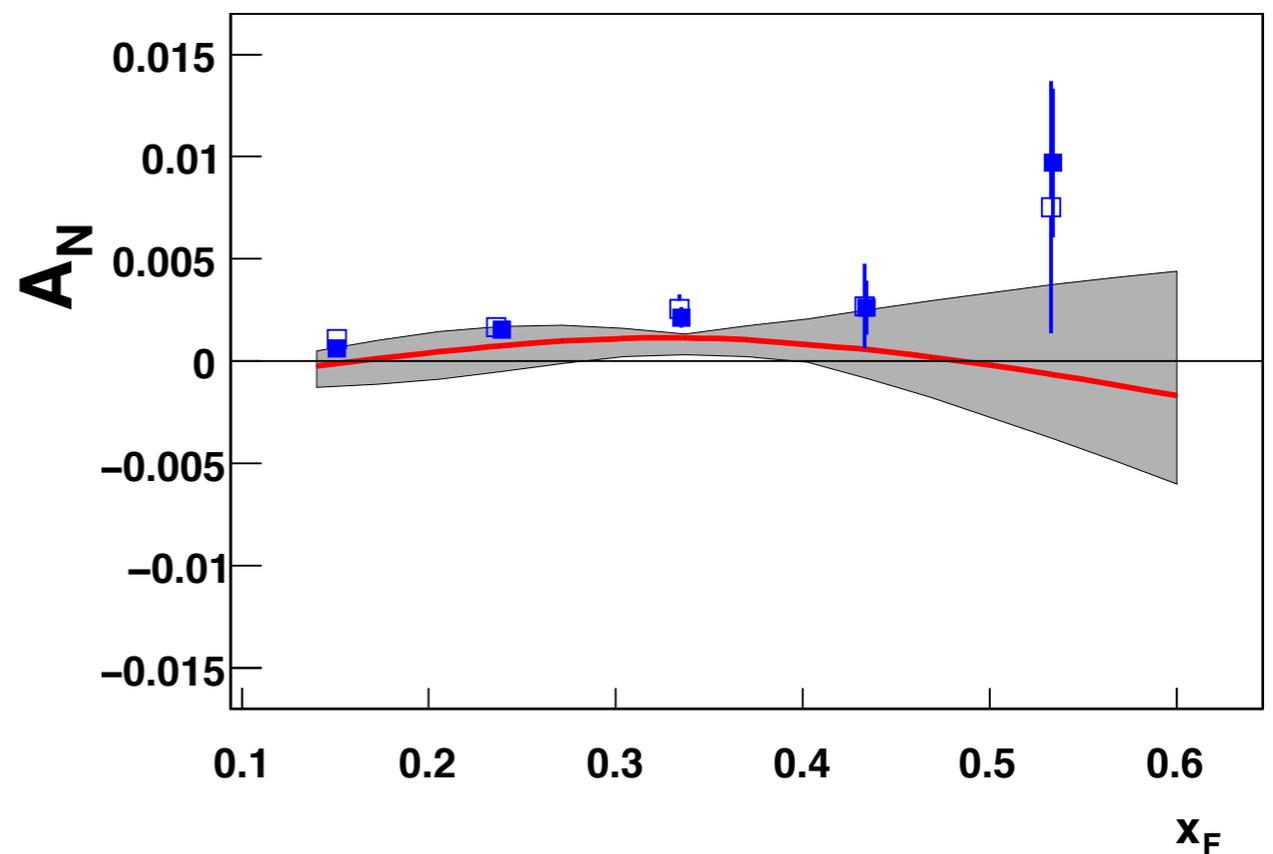
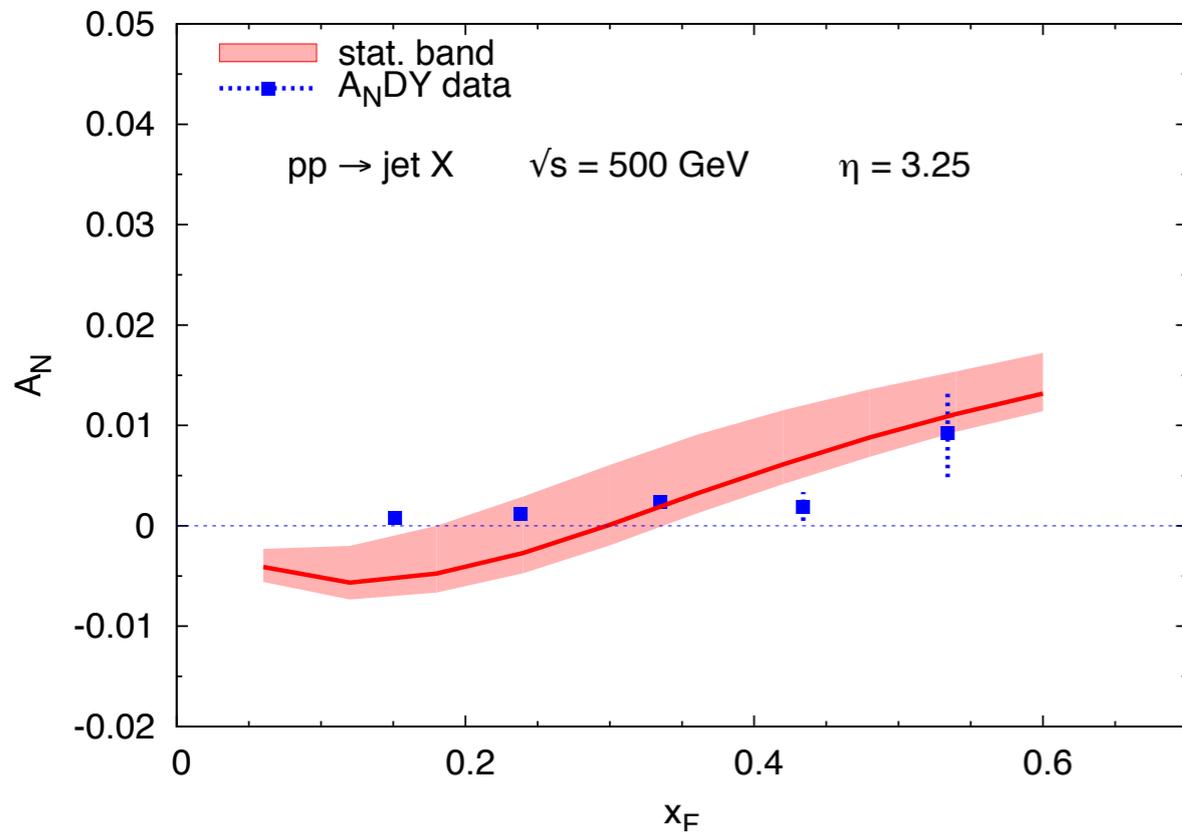
Sivers + Collins effects might explain also the
 500 GeV, large P_T STAR data



A_N for jet production at $A_N DY$

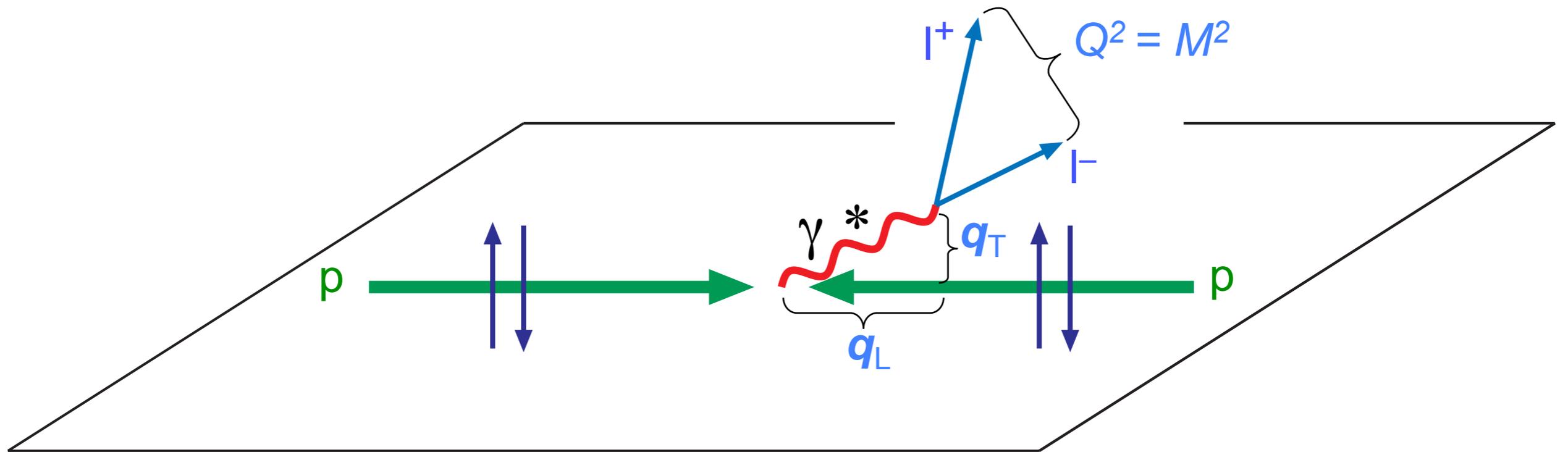
lower left plot: A_N assuming TMD factorization

lower right plot: A_N with twist-3 correlation function (Gamberg, Prokudin)



Future: TMDs in Drell-Yan processes

COMPASS, RHIC, Fermilab, NICA, AFTER...



factorization holds, two scales, M^2 , and $q_T \ll M$

$$d\sigma^{D-Y} = \sum_a f_q(x_1, \mathbf{k}_{\perp 1}; Q^2) \otimes f_{\bar{q}}(x_2, \mathbf{k}_{\perp 2}; Q^2) d\hat{\sigma}^{q\bar{q} \rightarrow l^+ l^-}$$

direct product of TMDs
no fragmentation process

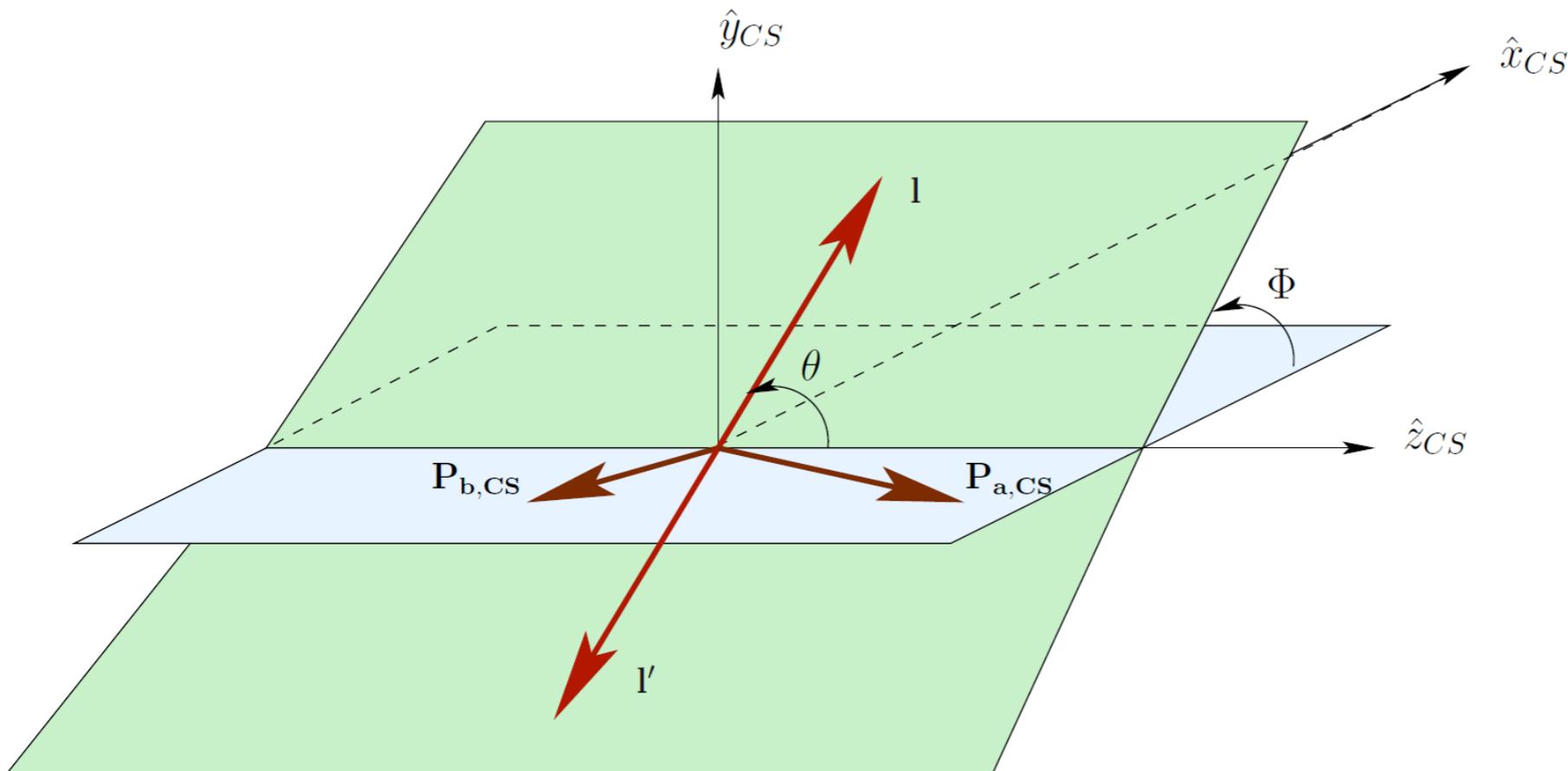
cross-section: most general pp leading-twist expression

$$\frac{d\sigma}{d^4q d\Omega} = \frac{\alpha_{em}^2}{F q^2} \times \quad \text{S. Arnold, A. Metz and M. Schlegel, PR D79 (2009) 034005}$$

$$\begin{aligned} & \left\{ \left((1 + \cos^2 \theta) F_{UU}^1 + (1 - \cos^2 \theta) F_{UU}^2 + \sin 2\theta \cos \phi F_{UU}^{\cos \phi} + \sin^2 \theta \cos 2\phi F_{UU}^{\cos 2\phi} \right) \right. \\ & + S_{aL} \left(\sin 2\theta \sin \phi F_{LU}^{\sin \phi} + \sin^2 \theta \sin 2\phi F_{LU}^{\sin 2\phi} \right) \\ & + S_{bL} \left(\sin 2\theta \sin \phi F_{UL}^{\sin \phi} + \sin^2 \theta \sin 2\phi F_{UL}^{\sin 2\phi} \right) \\ & + |\vec{S}_{aT}| \left[\sin \phi_a \left((1 + \cos^2 \theta) F_{TU}^1 + (1 - \cos^2 \theta) F_{TU}^2 + \sin 2\theta \cos \phi F_{TU}^{\cos \phi} + \sin^2 \theta \cos 2\phi F_{TU}^{\cos 2\phi} \right) \right. \\ & \quad \left. + \cos \phi_a \left(\sin 2\theta \sin \phi F_{TU}^{\sin \phi} + \sin^2 \theta \sin 2\phi F_{TU}^{\sin 2\phi} \right) \right] \\ & + |\vec{S}_{bT}| \left[\sin \phi_b \left((1 + \cos^2 \theta) F_{UT}^1 + (1 - \cos^2 \theta) F_{UT}^2 + \sin 2\theta \cos \phi F_{UT}^{\cos \phi} + \sin^2 \theta \cos 2\phi F_{UT}^{\cos 2\phi} \right) \right. \\ & \quad \left. + \cos \phi_b \left(\sin 2\theta \sin \phi F_{UT}^{\sin \phi} + \sin^2 \theta \sin 2\phi F_{UT}^{\sin 2\phi} \right) \right] \\ & + S_{aL} S_{bL} \left((1 + \cos^2 \theta) F_{LL}^1 + (1 - \cos^2 \theta) F_{LL}^2 + \sin 2\theta \cos \phi F_{LL}^{\cos \phi} + \sin^2 \theta \cos 2\phi F_{LL}^{\cos 2\phi} \right) \\ & + S_{aL} |\vec{S}_{bT}| \left[\cos \phi_b \left((1 + \cos^2 \theta) F_{LT}^1 + (1 - \cos^2 \theta) F_{LT}^2 + \sin 2\theta \cos \phi F_{LT}^{\cos \phi} + \sin^2 \theta \cos 2\phi F_{LT}^{\cos 2\phi} \right) \right. \\ & \quad \left. + \sin \phi_b \left(\sin 2\theta \sin \phi F_{LT}^{\sin \phi} + \sin^2 \theta \sin 2\phi F_{LT}^{\sin 2\phi} \right) \right] \\ & + |\vec{S}_{aT}| S_{bL} \left[\cos \phi_a \left((1 + \cos^2 \theta) F_{TL}^1 + (1 - \cos^2 \theta) F_{TL}^2 + \sin 2\theta \cos \phi F_{TL}^{\cos \phi} + \sin^2 \theta \cos 2\phi F_{TL}^{\cos 2\phi} \right) \right. \\ & \quad \left. + \sin \phi_a \left(\sin 2\theta \sin \phi F_{TL}^{\sin \phi} + \sin^2 \theta \sin 2\phi F_{TL}^{\sin 2\phi} \right) \right] \\ & + |\vec{S}_{aT}| |\vec{S}_{bT}| \left[\cos(\phi_a + \phi_b) \left((1 + \cos^2 \theta) F_{TT}^1 + (1 - \cos^2 \theta) F_{TT}^2 + \sin 2\theta \cos \phi F_{TT}^{\cos \phi} + \sin^2 \theta \cos 2\phi F_{TT}^{\cos 2\phi} \right) \right. \\ & \quad + \cos(\phi_a - \phi_b) \left((1 + \cos^2 \theta) \bar{F}_{TT}^1 + (1 - \cos^2 \theta) \bar{F}_{TT}^2 + \sin 2\theta \cos \phi \bar{F}_{TT}^{\cos \phi} + \sin^2 \theta \cos 2\phi \bar{F}_{TT}^{\cos 2\phi} \right) \\ & \quad + \sin(\phi_a + \phi_b) \left(\sin 2\theta \sin \phi F_{TT}^{\sin \phi} + \sin^2 \theta \sin 2\phi F_{TT}^{\sin 2\phi} \right) \\ & \quad \left. + \sin(\phi_a - \phi_b) \left(\sin 2\theta \sin \phi \bar{F}_{TT}^{\sin \phi} + \sin^2 \theta \sin 2\phi \bar{F}_{TT}^{\sin 2\phi} \right) \right] \left. \right\} \end{aligned}$$

Case of one polarized nucleon only

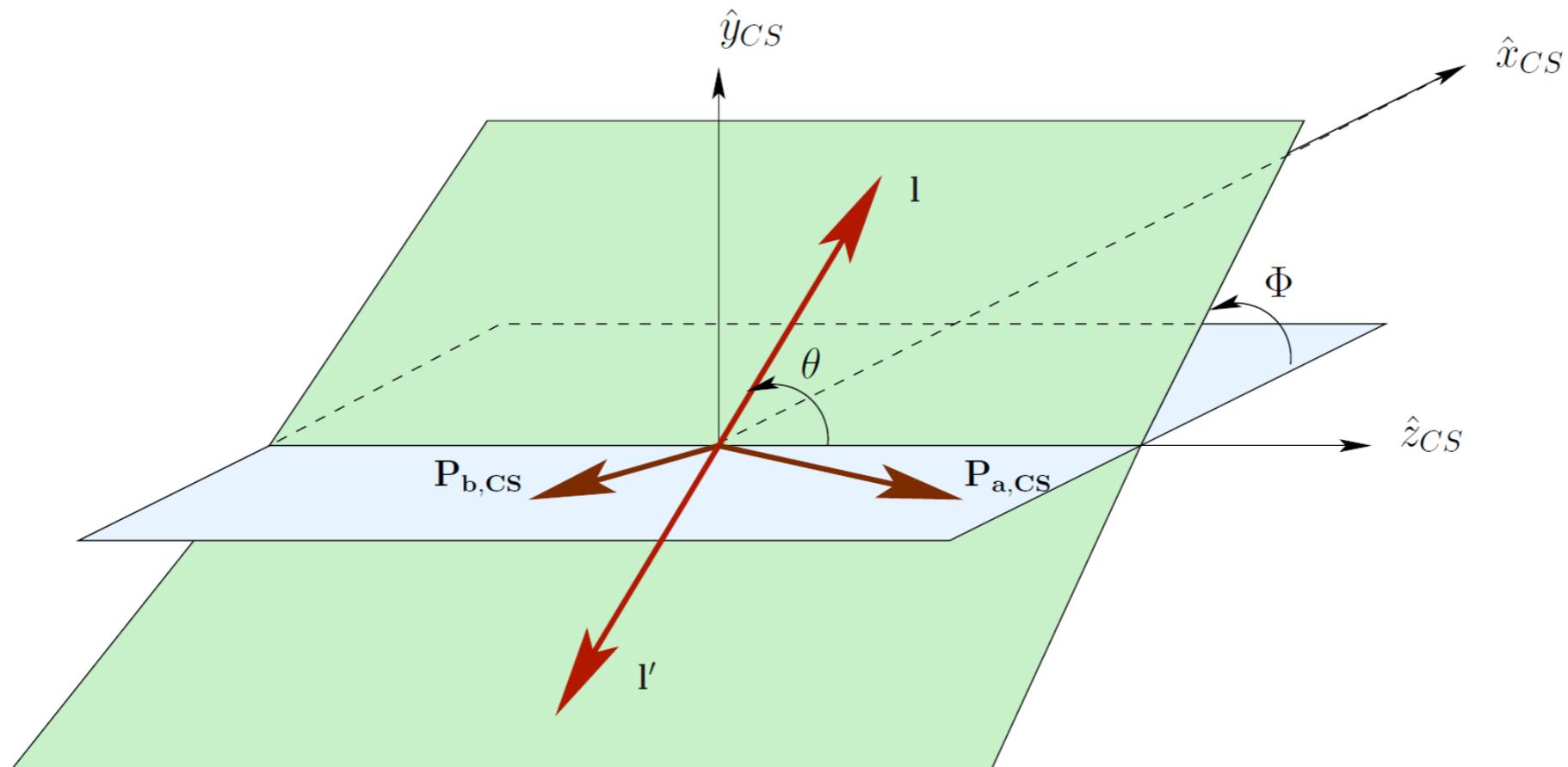
$$\begin{aligned}
 \frac{d\sigma}{d^4q d\Omega} = & \frac{\alpha^2}{\Phi q^2} \left\{ (1 + \cos^2 \theta) F_U^1 + (1 - \cos^2 \theta) F_U^2 + \sin 2\theta \cos \phi F_U^{\cos \phi} + \sin^2 \theta \cos 2\phi F_U^{\cos 2\phi} \right. \\
 & + S_L \left(\sin 2\theta \sin \phi F_L^{\sin \phi} + \sin^2 \theta \sin 2\phi F_L^{\sin 2\phi} \right) \\
 & + S_T \left[\left(F_T^{\sin \phi_S} + \cos^2 \theta \tilde{F}_T^{\sin \phi_S} \right) \sin \phi_S + \sin 2\theta \left(\sin(\phi + \phi_S) F_T^{\sin(\phi + \phi_S)} \right. \right. \\
 & \quad \left. \left. + \sin(\phi - \phi_S) F_T^{\sin(\phi - \phi_S)} \right) \right. \\
 & \left. + \sin^2 \theta \left(\sin(2\phi + \phi_S) F_T^{\sin(2\phi + \phi_S)} + \sin(2\phi - \phi_S) F_T^{\sin(2\phi - \phi_S)} \right) \right] \left. \right\}
 \end{aligned}$$



Collins-Soper
frame

Unpolarized cross section already very interesting

$$\frac{1}{\sigma} \frac{d\sigma}{d\Omega} = \frac{3}{4\pi} \frac{1}{\lambda + 3} \left(1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \phi + \frac{\nu}{2} \sin^2 \theta \cos 2\phi \right)$$



Collins-Soper frame

naive collinear parton model: $\lambda = 1$ $\mu = \nu = 0$

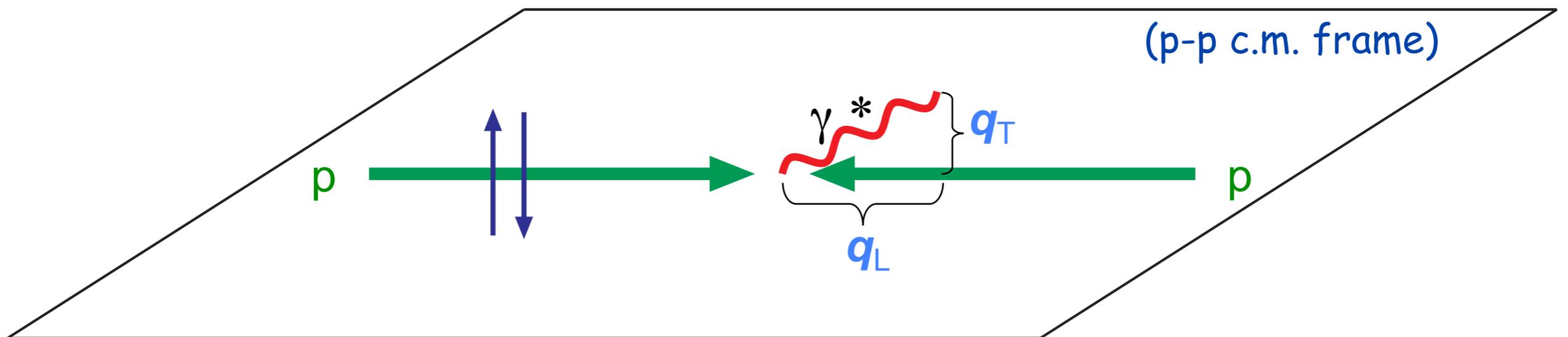
Sivers effect in D-Y processes

By looking at the $d^4\sigma/d^4q$ cross section one can single out the Sivers effect in D-Y processes

$$d\sigma^\uparrow - d\sigma^\downarrow \propto \sum_q \Delta^N f_{q/p^\uparrow}(x_1, \mathbf{k}_{\perp 1}) \otimes f_{\bar{q}/p}(x_2, k_{\perp 2}) \otimes d\hat{\sigma}$$

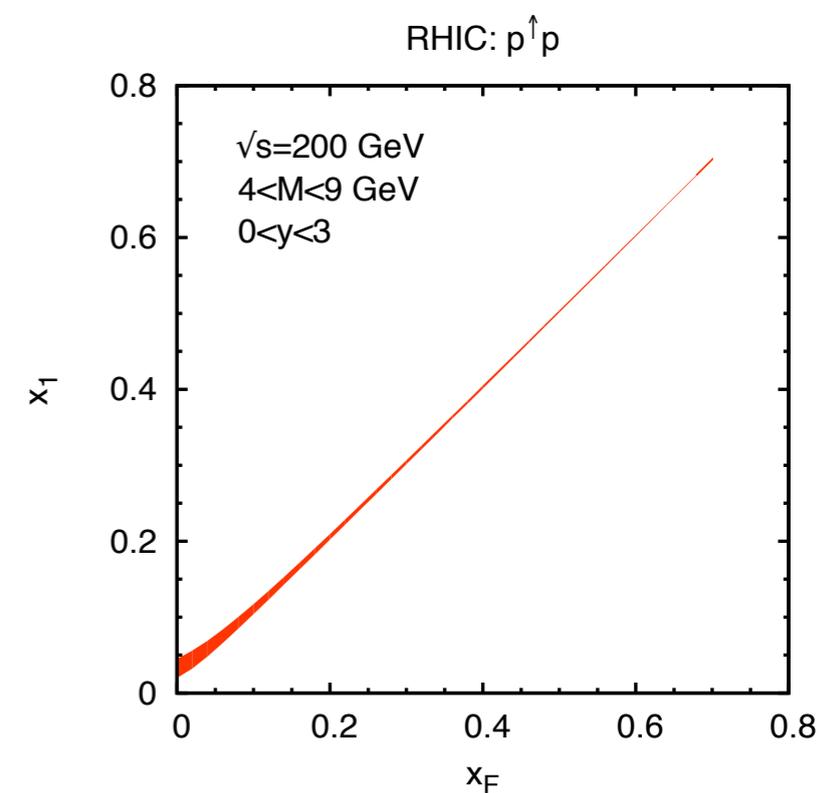
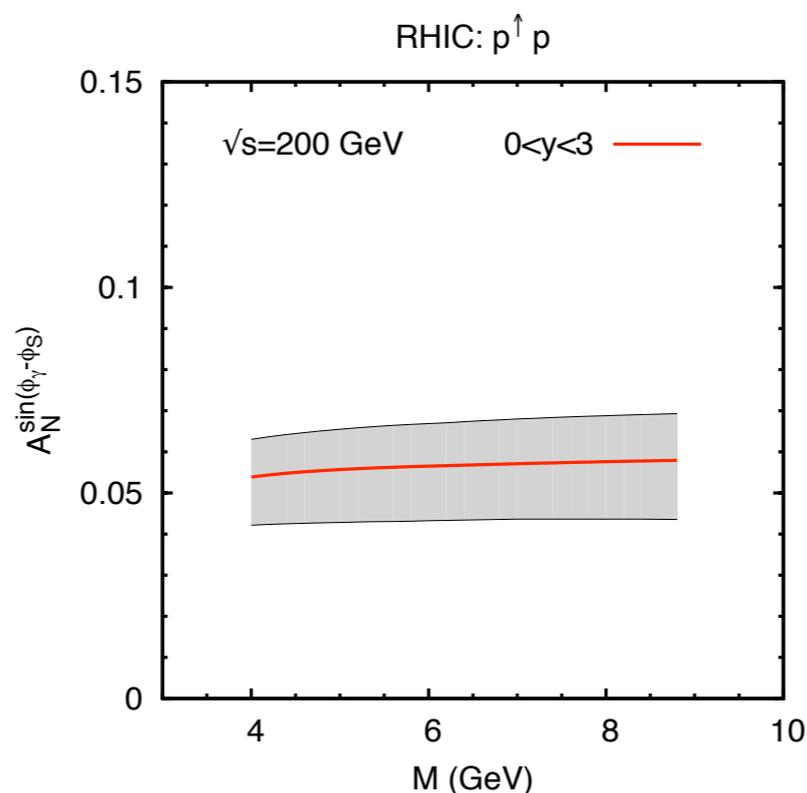
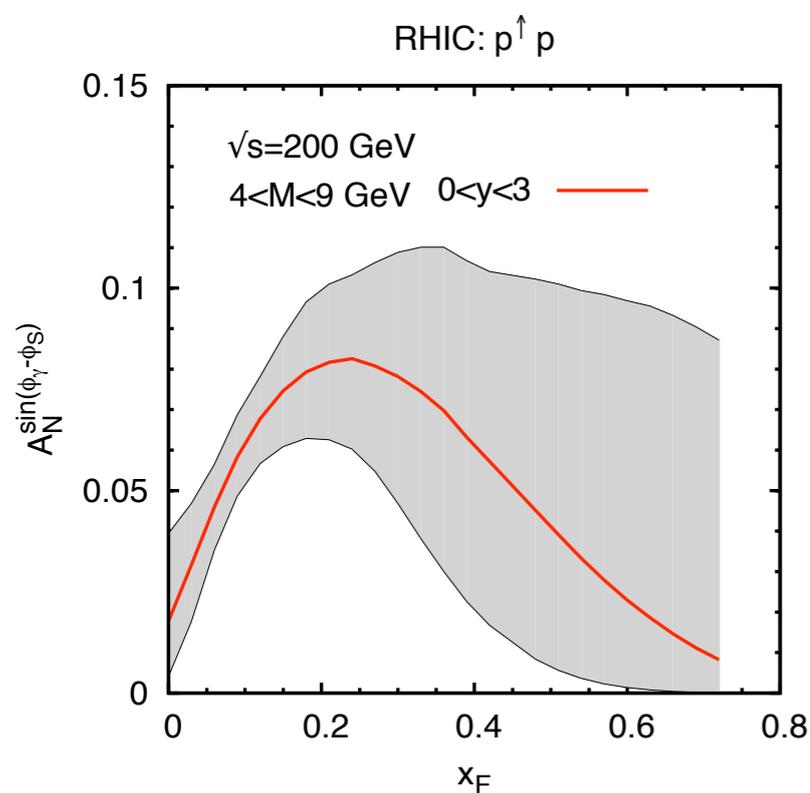
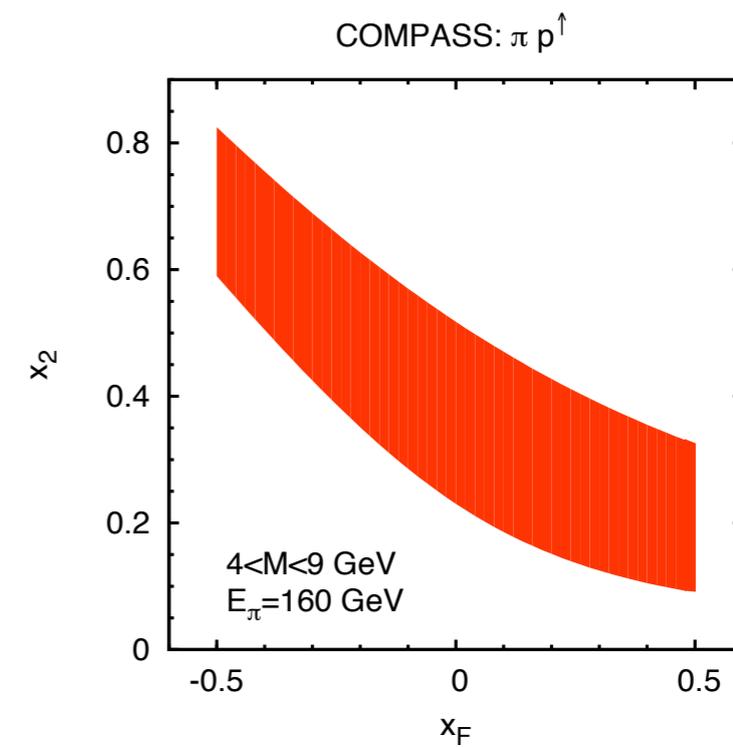
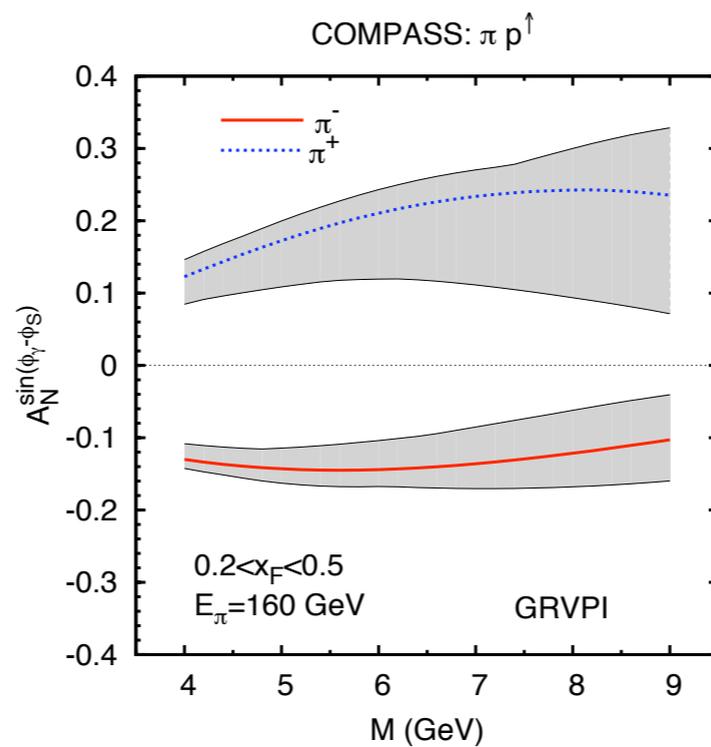
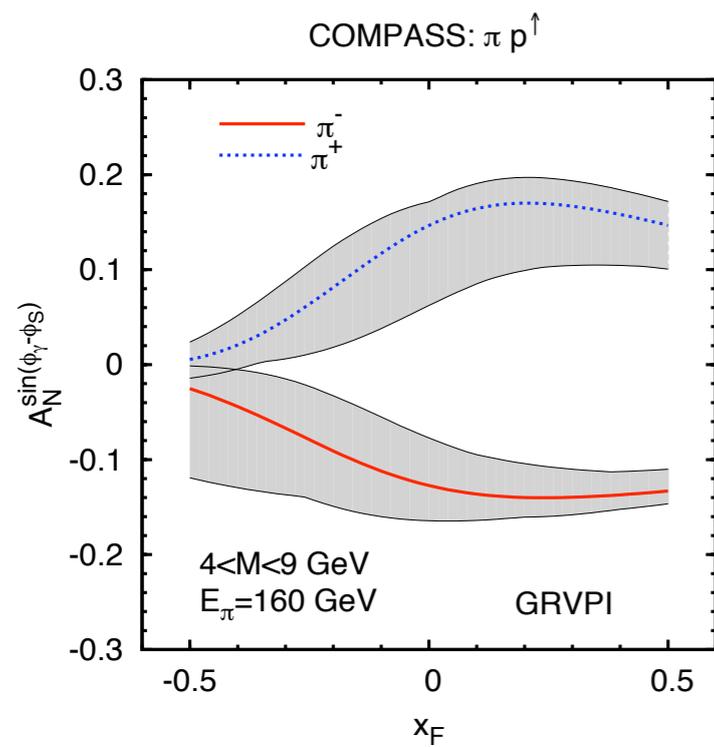
$q = u, \bar{u}, d, \bar{d}, s, \bar{s}$

$$A_N^{\sin(\phi_S - \phi_\gamma)} \equiv \frac{2 \int_0^{2\pi} d\phi_\gamma [d\sigma^\uparrow - d\sigma^\downarrow] \sin(\phi_S - \phi_\gamma)}{\int_0^{2\pi} d\phi_\gamma [d\sigma^\uparrow + d\sigma^\downarrow]}$$



Predictions for A_N - no TMD evolution

Sivers functions as extracted from SIDIS data, with opposite sign



Conclusions

SSAs in pp interactions keep being surprising and very interesting...

are they related to intrinsic nucleon properties? quark angular momentum...?

is there a common origin for SSAs observed in different processes? TMDs?

sign mismatch? sign change between SIDIS and D-Y Sivers function?

A_N for pions in jets (separation of Collins and Sivers effects); transversity via di-hadron fragmentation functions; ...

thank you