

Quantum-embedding formulation of the GA/RISB equations

Introduction to DFT+GA/RISB Code

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Introduction to Hands-on Training DFT+GA/RISB Code:

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Nicola Lanatà (Aarhus University)

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Why is it useful?

1. Orders of magnitude less computationally demanding than DMFT
(note also recent combination with ML).
2. Variational ($T=0$).
3. Accuracy can be systematically improved
(RISB formulation, recent extension g-GA)

Limitations

1. No accurate description of the Mott phase.
2. No access to high-energy excitations (Hubbard bands).
3. Mott metal-insulator transition-point can be overestimated.

(Note: recent extension may resolve these problems...)

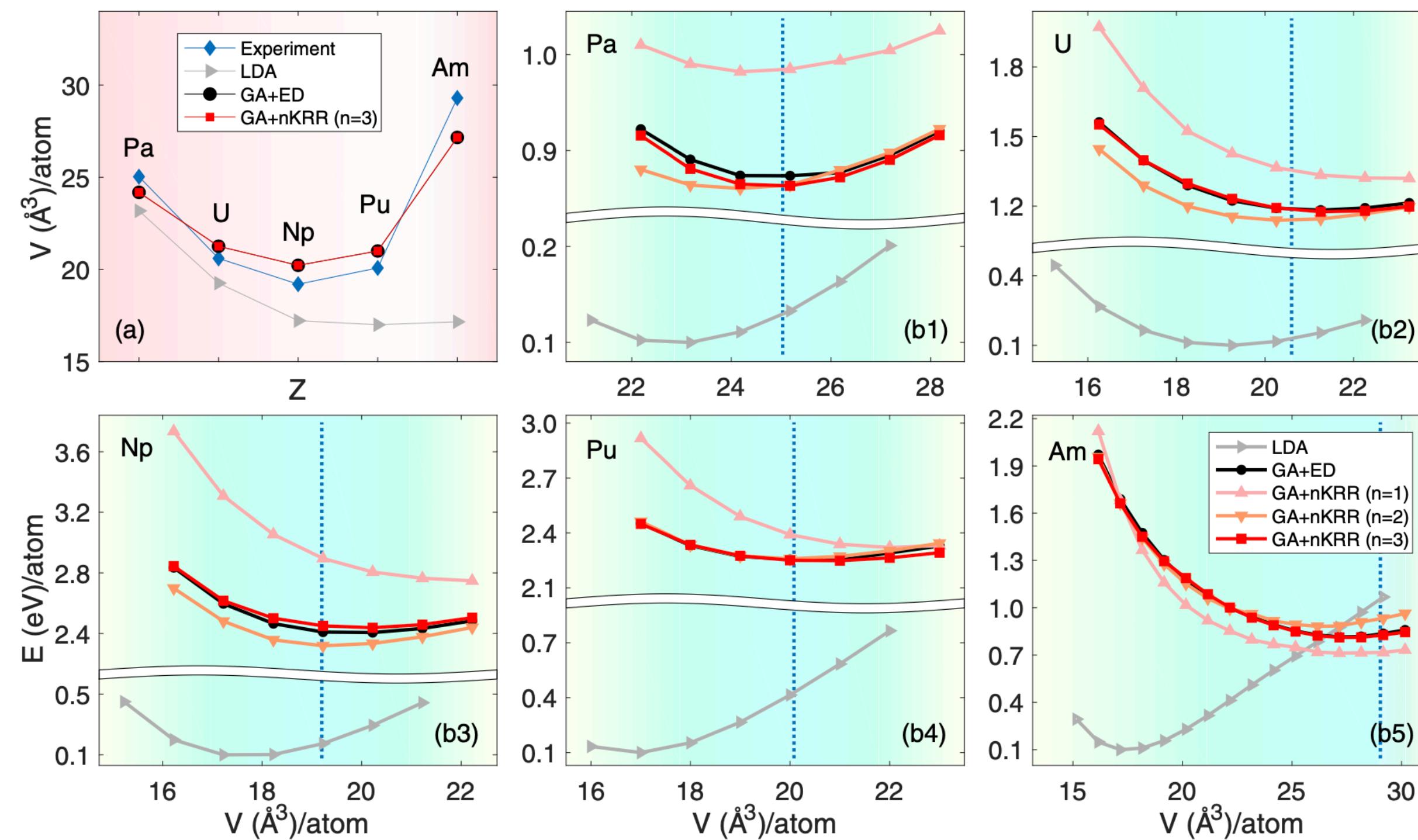
Why is computational speed important?

PHYSICAL REVIEW RESEARCH 3, 013101 (2021)

PHYSICAL REVIEW X 5, 011008 (2015)

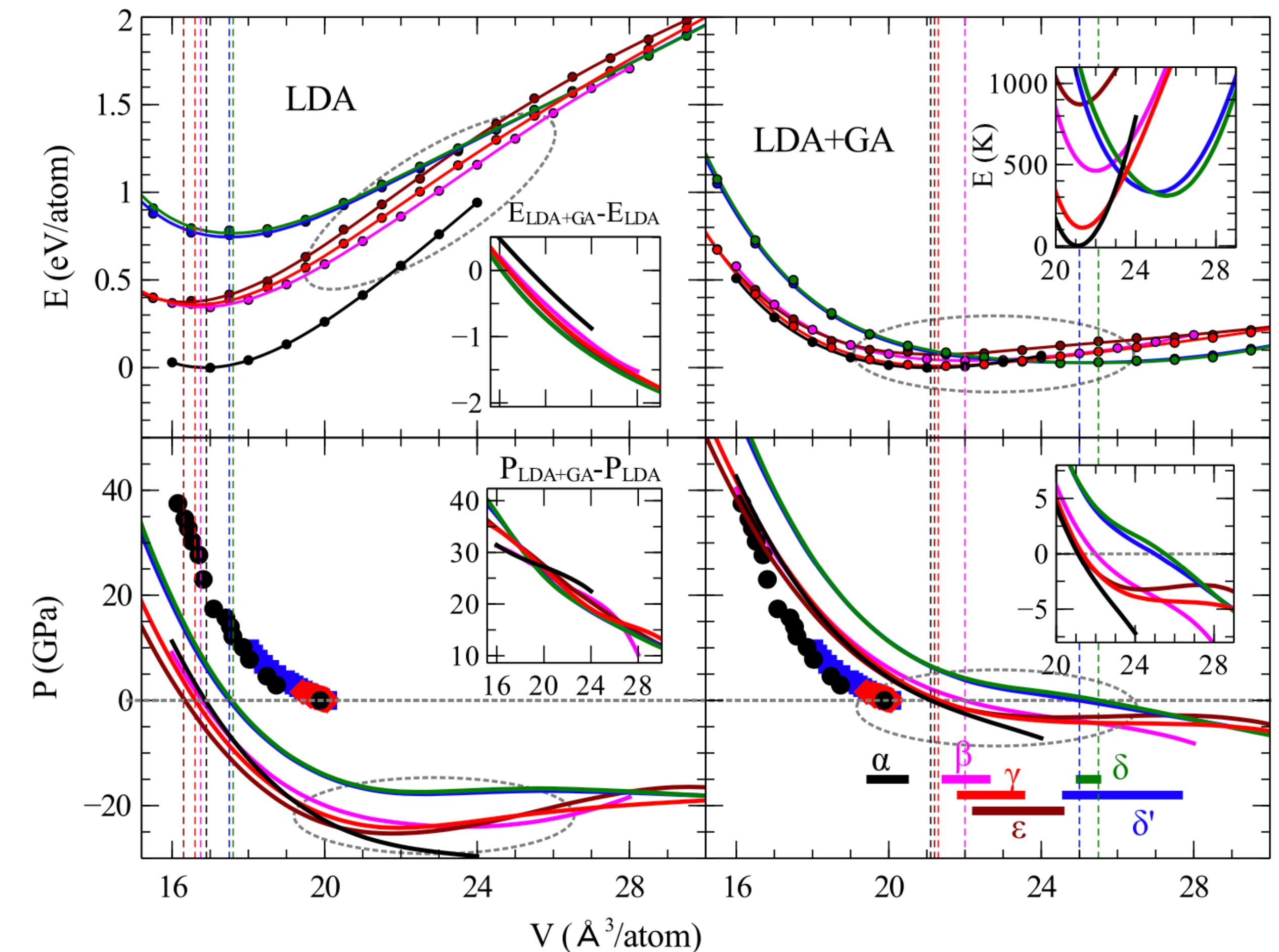
Bypassing the computational bottleneck of quantum-embedding theories for strong electron correlations with machine learning

John Rogers^{1,2}, Tsung-Han Lee³, Sahar Pakdel⁴, Wenhua Xu⁵, Vladimir Dobrosavljević², Yong-Xin Yao⁶, Ove Christiansen^{7,*} and Nicola Lanatà^{4,8,†}



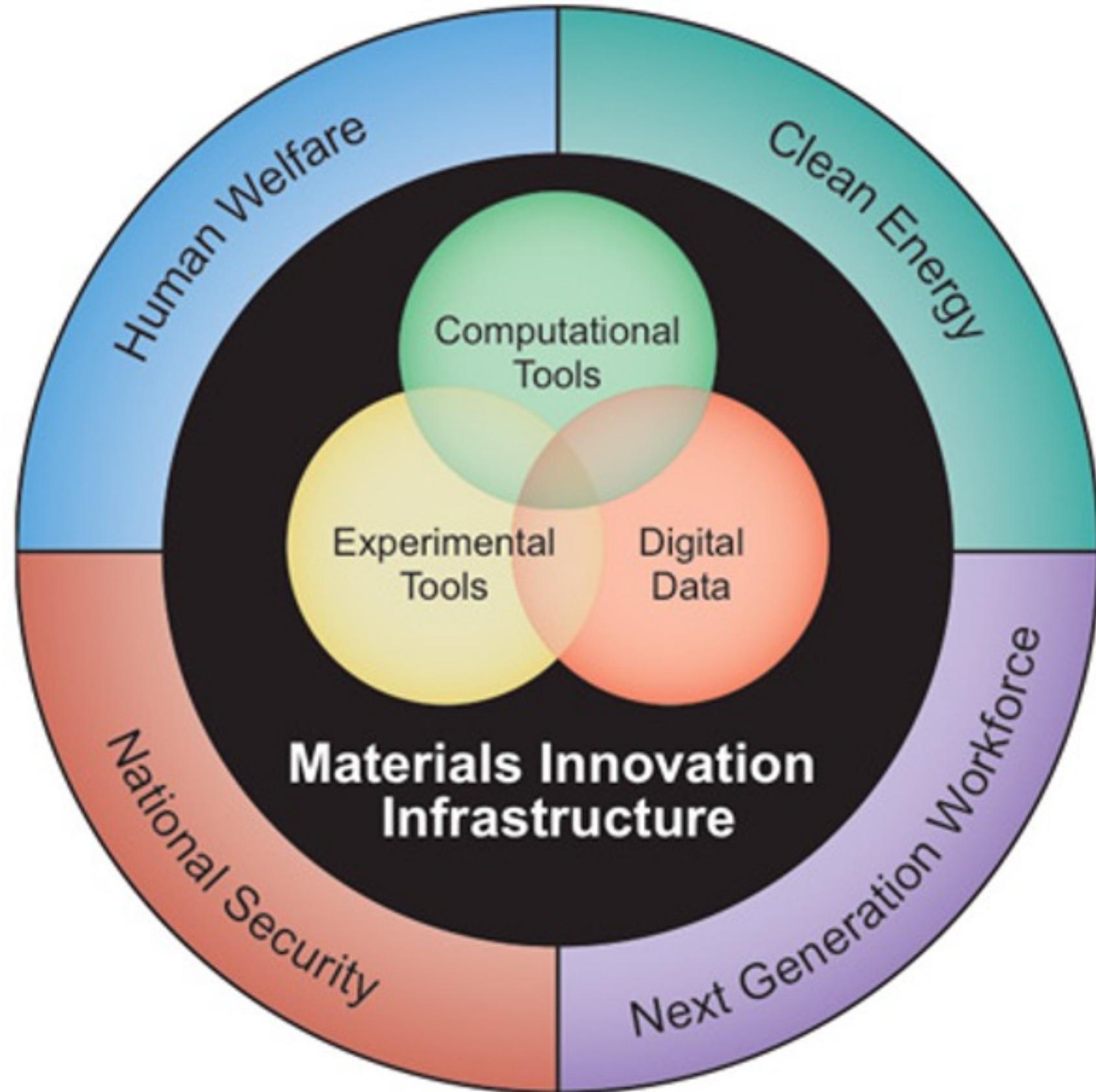
Phase Diagram and Electronic Structure of Praseodymium and Plutonium

Nicola Lanatà,^{1,*} Yongxin Yao,^{2,†} Cai-Zhuang Wang,² Kai-Ming Ho,² and Gabriel Kotliar¹



Why is computational speed important?

Materials are useful
for society !



THE U.S. MATERIALS GENOME INITIATIVE

“...to discover, develop, and deploy new materials twice as fast, we’re launching what we call the Materials Genome Initiative”
—President Obama, 2011

Meeting Societal Needs
Advanced materials are at the heart of innovation, economic opportunities, and global competitiveness. They are the foundation for new capabilities, tools, and technologies that meet urgent societal needs including clean energy, human welfare, and national security.

Clean Energy Human Welfare
National Security

Accelerating Our Pace
The U.S. Materials Genome Initiative (MGI) challenges researchers, policymakers, and business leaders to reduce the time and resources needed to bring new materials to market—a process that today can take 20 years or more.

Before MGI After MGI

Discovery Development Deployment

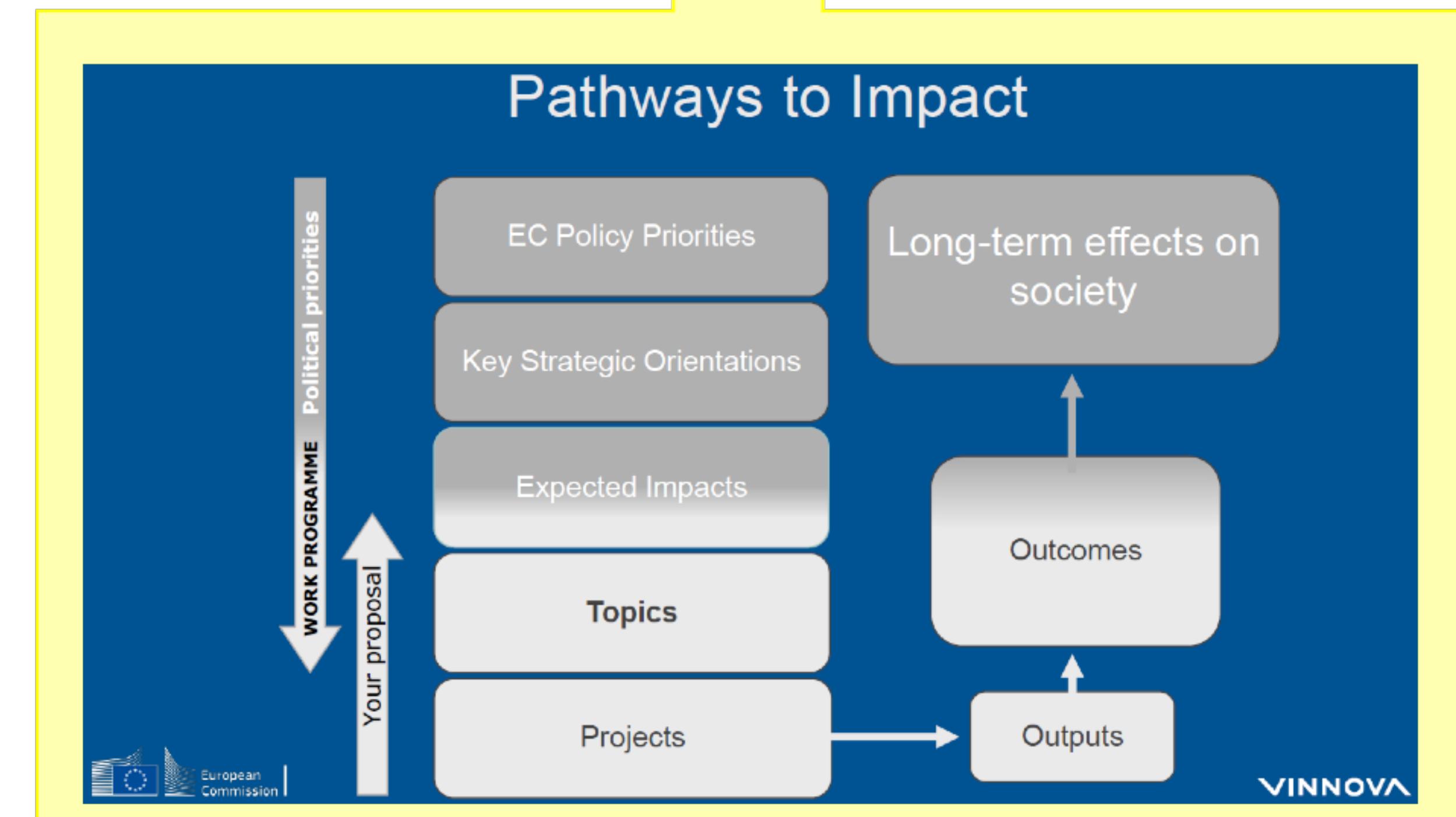
Building Infrastructure for Success
The MGI is a multi-agency initiative to renew investments in infrastructure designed for performance, and to foster a more open, collaborative approach to developing advanced materials, helping U.S. Institutions accelerate their time-to-market.

Computational tools Experimental tools Collaborative networks Digital data

Why is computational speed important?



Increase of scientific programs prioritising research that can benefit society



Outline

- A. GA method (multi-orbital models): QE formulation.
- B. DFT+GA algorithmic structure.
- C. Spectral properties.
- D. Recent formalism extensions.

The Hamiltonian:

$$\hat{H} = \sum_{\mathbf{k}} \sum_{i,j \geq 0} \sum_{\alpha=1}^{\nu_i} \sum_{\beta=1}^{\nu_j} t_{\mathbf{k},ij}^{\alpha\beta} c_{\mathbf{k}i\alpha}^\dagger c_{\mathbf{k}j\beta}$$
$$+ \sum_{\mathbf{R}} \sum_{i \geq 1} \hat{H}_{\mathbf{R}i}^{loc}$$

\mathbf{k} : Crystal momentum

\mathbf{R} : Unit cell

i : Projector information:

$i = 0$: Uncorrelated modes

$i = 1$: First subset of correlated modes (e.g. d orbitals of atom 1 in unit cell)

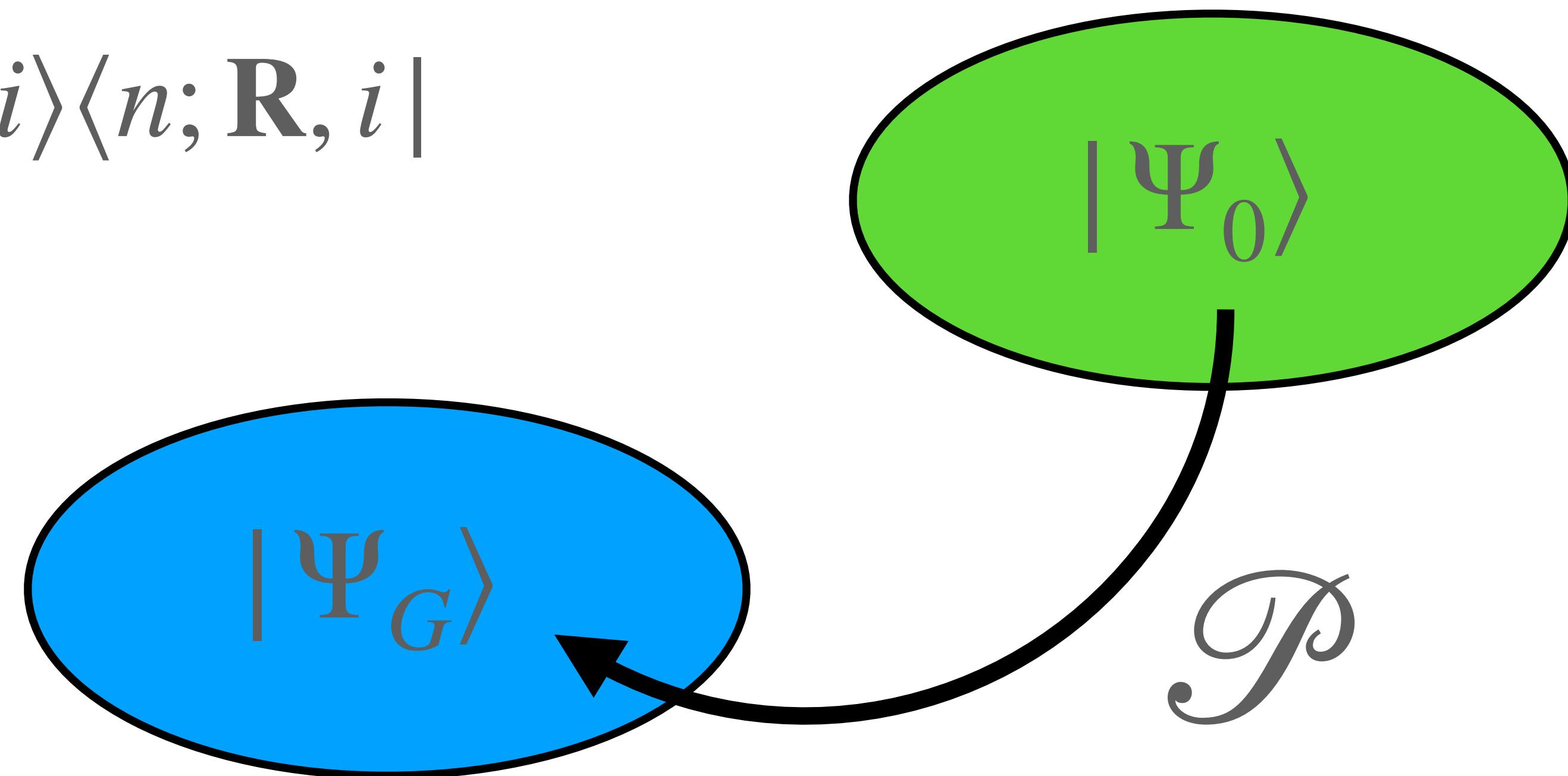
$i = 2$: Second subset of correlated modes (e.g. f orbitals of atom 1 in unit cell)

...

The GA variational wave function:

$$|\Psi_G\rangle = \mathcal{P}|\Psi_0\rangle = \prod_{\mathbf{R}, i \geq 1} \mathcal{P}_{\mathbf{R}i} |\Psi_0\rangle$$

$$\mathcal{P}_{\mathbf{R}i} = \sum_{\Gamma n} [\Lambda_i]_{\Gamma n} |\Gamma; \mathbf{R}, i\rangle \langle n; \mathbf{R}, i|$$



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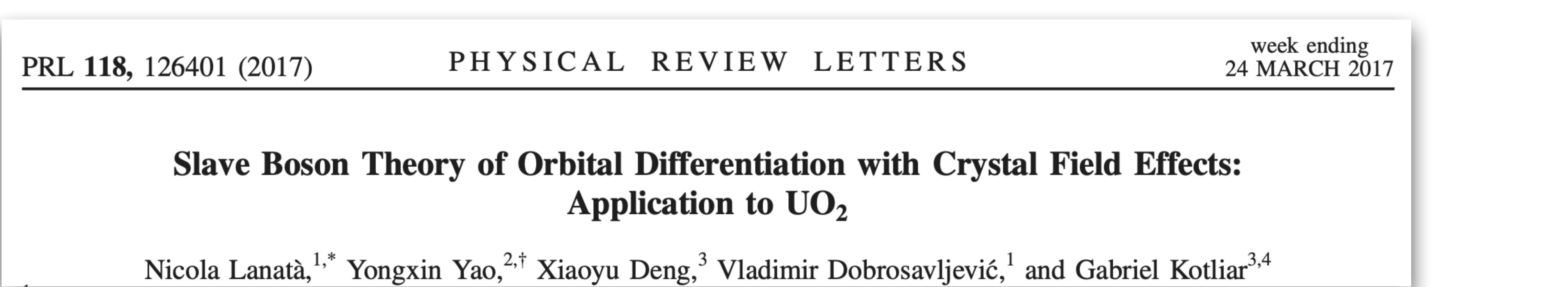
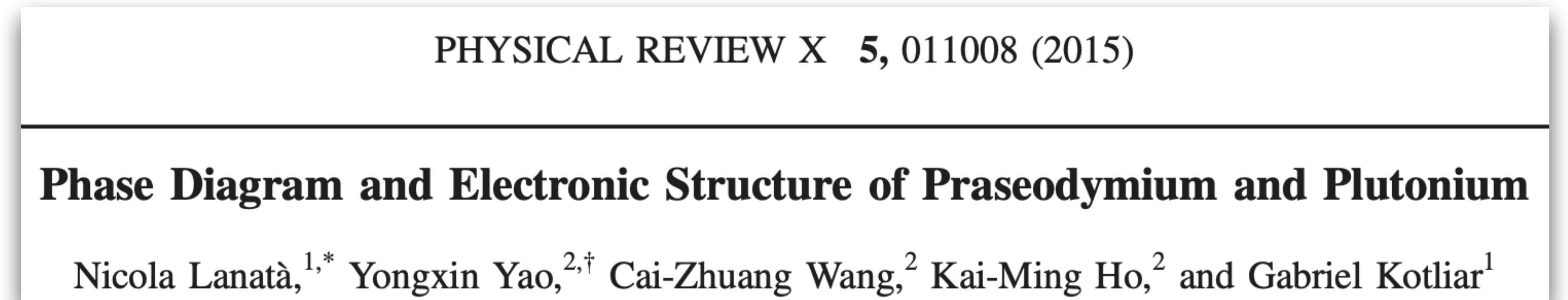
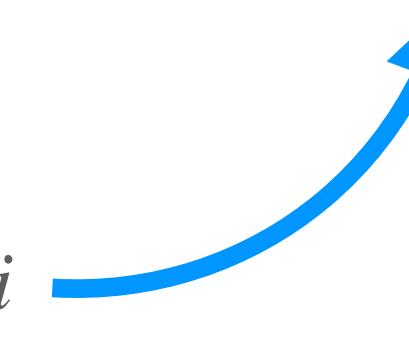
$$|\Gamma; \mathbf{R}, i\rangle = [c_{\mathbf{R}i1}^\dagger]^{q_1(\Gamma)} \cdots [c_{\mathbf{R}i\nu_i}^\dagger]^{q_{\nu_i}(\Gamma)} |0\rangle$$

$$|n; \mathbf{R}, i\rangle = [f_{\mathbf{R}i1}^\dagger]^{q_1(n)} \cdots [f_{\mathbf{R}i\nu_i}^\dagger]^{q_{\nu_i}(n)} |0\rangle$$

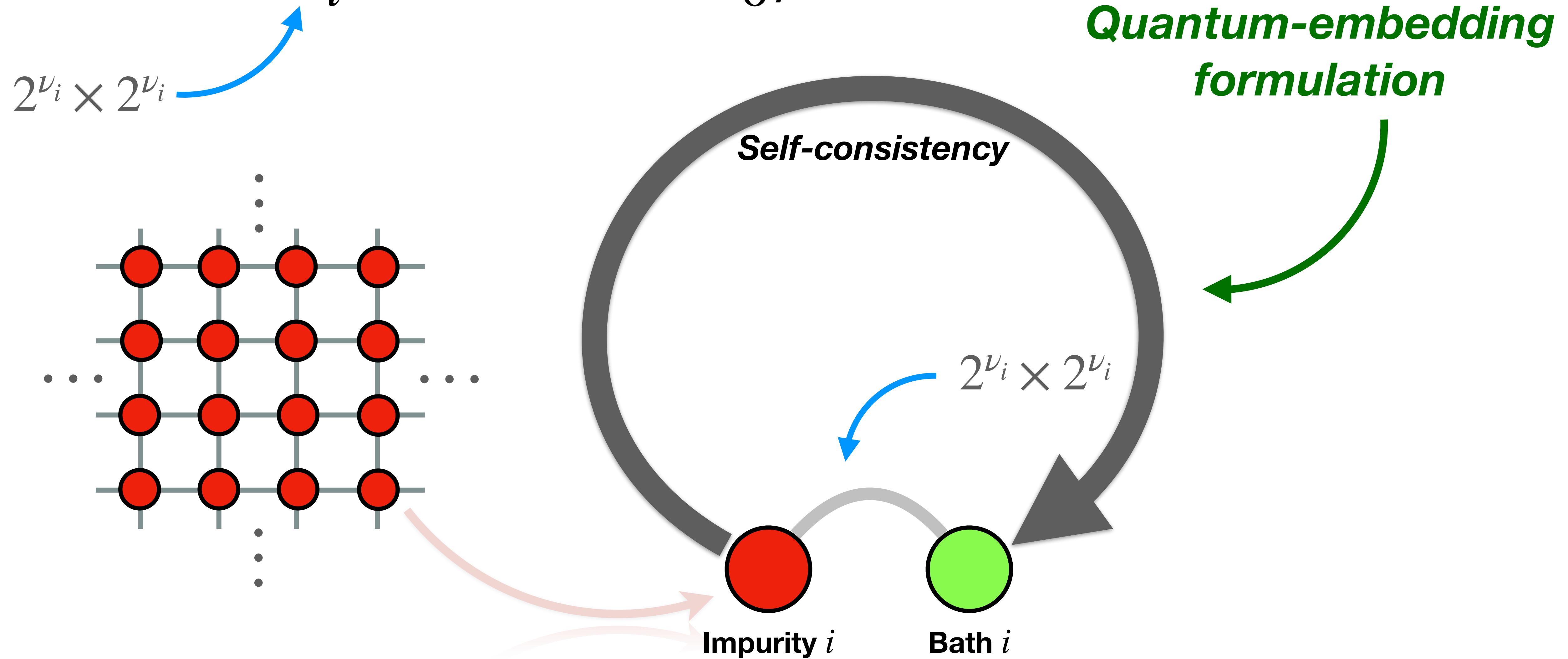


Our goal is to minimize $\langle \Psi_G | \hat{H} | \Psi_G \rangle$
w.r.t. $\{\Lambda_i | i \geq 1\}$, $|\Psi_0\rangle$.

$2^{\nu_i} \times 2^{\nu_i}$



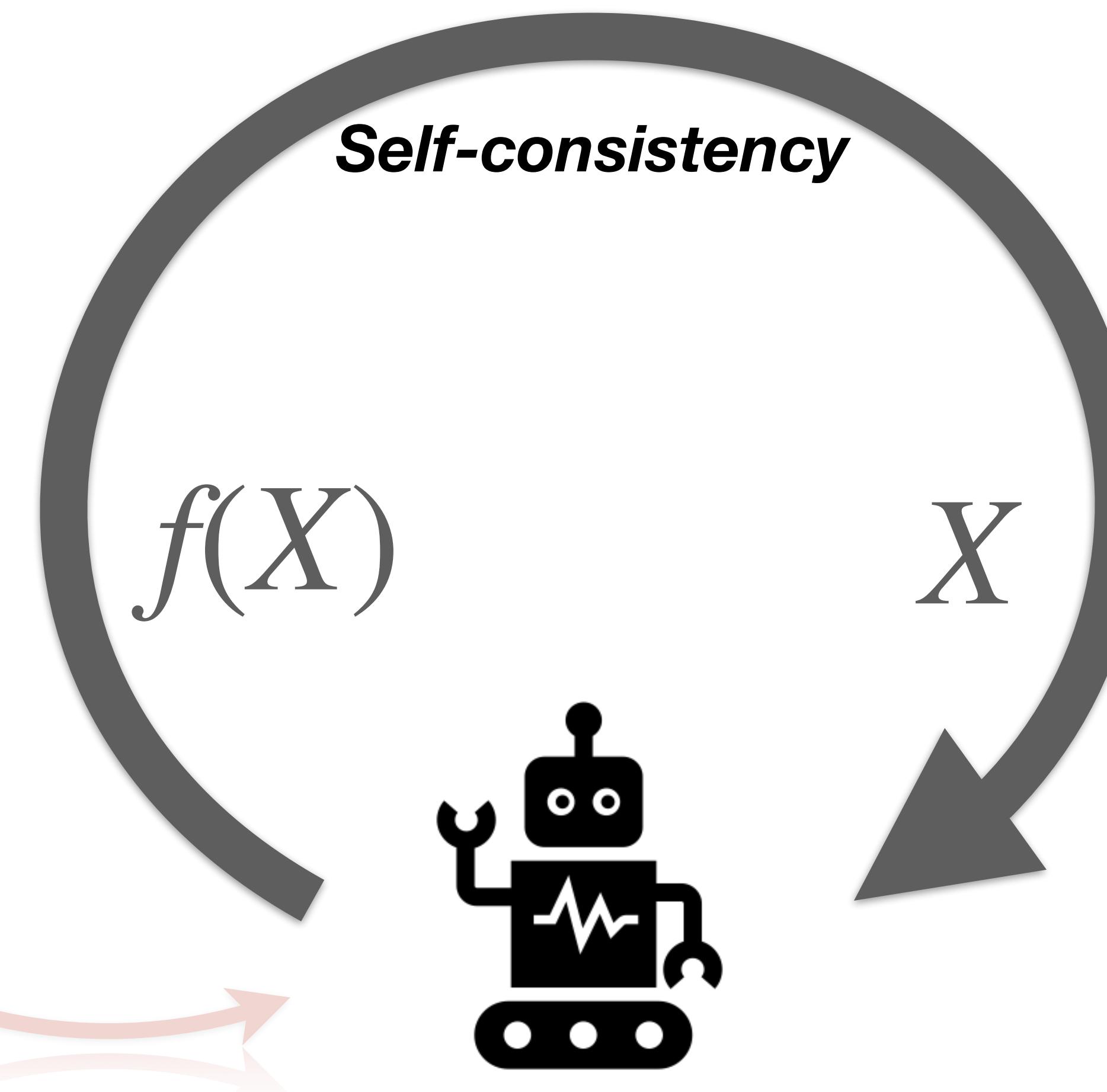
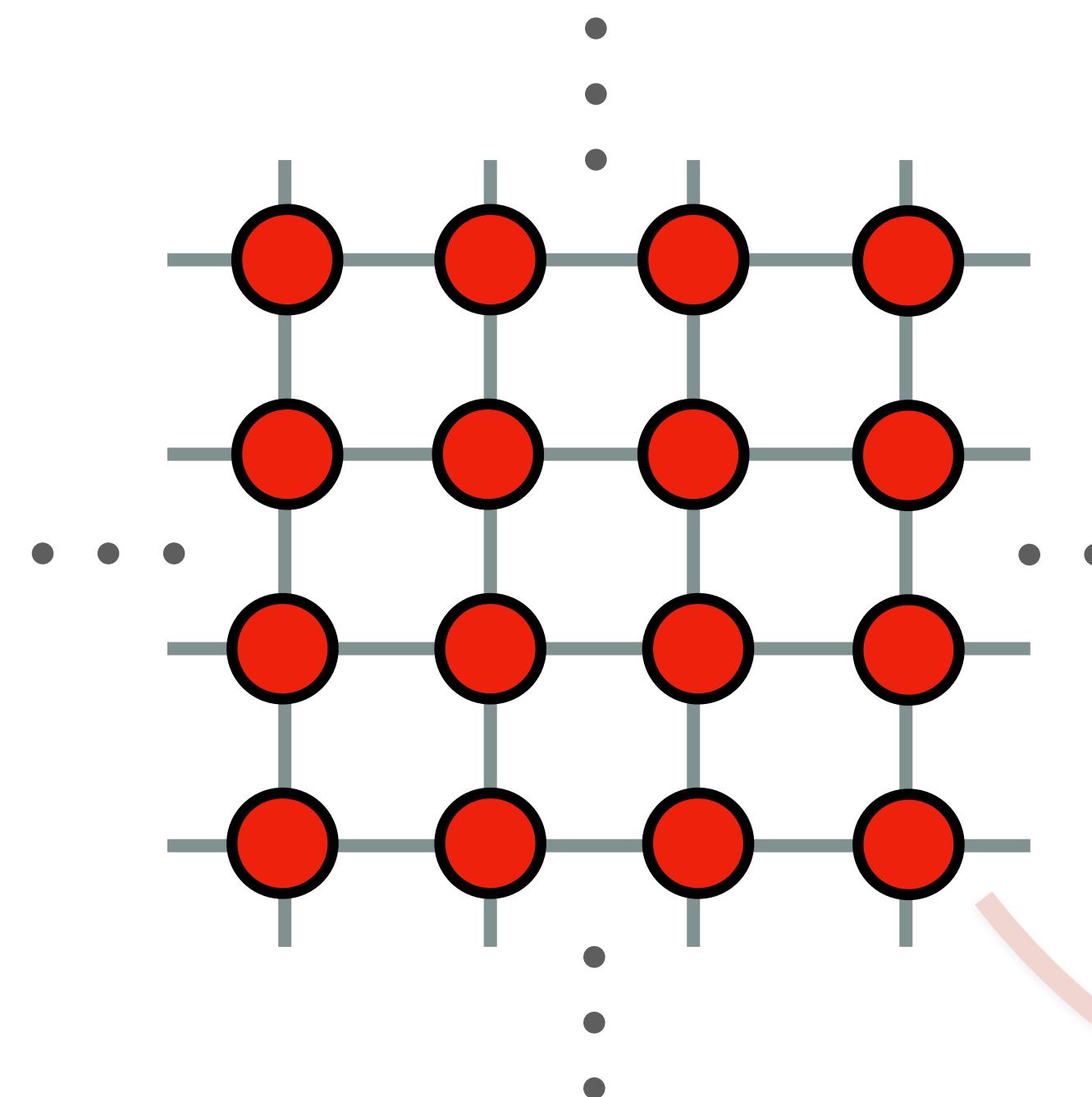
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**Bypassing the computational bottleneck of quantum-embedding theories
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**Quantum-embedding
formulation**



Necessary steps:

1. Definition of approximations (GA and G. constraints).
2. Evaluation of $\langle \Psi_G | \hat{H} | \Psi_G \rangle$ in terms of $\{\Lambda_{i \geq 1}\}$, $|\Psi_0\rangle$.
3. Definition of slave-boson (SB) amplitudes.
4. Mapping from SB amplitudes to embedding states.
5. Lagrange formulation of the optimization problem.

Gutzwiller approximation:

$|\Psi_G\rangle$ can be treated only numerically in general:

We will exploit simplifications that become exact in the limit of ∞ -coordination lattices.
In this sense, the GA is a variational approximation to DMFT.

Gutzwiller constraints:

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle = \langle \Psi_0 | \Psi_0 \rangle = 1$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle = \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle \quad \forall a, b \in \{1, \dots, \nu_i\}$$

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Wick's theorem: $\langle \Psi_0 | c_a^\dagger c_b^\dagger c_c c_d | \Psi_0 \rangle = \langle \Psi_0 | c_a^\dagger c_d | \Psi_0 \rangle \langle \Psi_0 | c_b^\dagger c_c | \Psi_0 \rangle - \langle \Psi_0 | c_a^\dagger c_c | \Psi_0 \rangle \langle \Psi_0 | c_b^\dagger c_d | \Psi_0 \rangle$

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Key consequence:

$$\begin{aligned} \langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle &= \langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle \\ &+ \langle \Psi_0 | [\mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i}] f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle_{2-legs} \end{aligned}$$

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$\forall a, b \in \{1, \dots, \nu_i\}$

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$$\hat{H} = \sum_{\mathbf{k}} \sum_{ij} \sum_{\alpha=1}^{\nu_i} \sum_{\beta=1}^{\nu_j} t_{\mathbf{k},ij}^{\alpha\beta} c_{\mathbf{k}ia}^\dagger c_{\mathbf{k}j\beta} + \sum_{\mathbf{R}} \sum_{i \geq 1} \hat{H}_{\mathbf{R}i}^{loc}$$

$$\sum_{\mathbf{k}} t_{\mathbf{k},ii}^{\alpha\beta} = 0 \quad \forall i \geq 1$$

\mathbf{k} : Crystal momentum

\mathbf{R} : Unit cell

i : Projector information:

$i = 0$: Uncorrelated modes

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...

Local operators:

$$\langle \Psi_G | \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] | \Psi_G \rangle = \langle \Psi_0 | \mathcal{P}^\dagger \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] \mathcal{P} | \Psi_0 \rangle$$

$$= \langle \Psi_0 | \left[\prod_{(\mathbf{R}', i') \neq (\mathbf{R}, i)} \mathcal{P}_{\mathbf{R}'i'}^\dagger \mathcal{P}_{\mathbf{R}'i'} \right] \mathcal{P}_{\mathbf{R}i}^\dagger \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle$$

Local operators: (disconnected terms)

$$\langle \Psi_0 | \left[\prod_{(\mathbf{R}', i') \neq (\mathbf{R}, i)} \mathcal{P}_{\mathbf{R}'i'}^\dagger \mathcal{P}_{\mathbf{R}'i'} \right] \mathcal{P}_{\mathbf{R}i}^\dagger \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle$$

$$= \langle \Psi_0 | \prod_{(\mathbf{R}', i') \neq (\mathbf{R}, i)} \mathcal{P}_{\mathbf{R}'i'}^\dagger \mathcal{P}_{\mathbf{R}'i'} | \Psi_0 \rangle \times \langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle$$

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(GA and G. constraints)

$$= \langle \Psi_0 | \prod_{(\mathbf{R}', i') \neq (\mathbf{R}, i)} \mathcal{P}_{\mathbf{R}'i'}^\dagger \mathcal{P}_{\mathbf{R}'i'} | \Psi_0 \rangle \times \langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle$$

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Local operators: (connected terms)

$$\langle \Psi_0 | \left[\prod_{(\mathbf{R}', i') \neq (\mathbf{R}, i)} \mathcal{P}_{\mathbf{R}' i'}^\dagger \mathcal{P}_{\mathbf{R}' i'} + \mathcal{P}_{\mathbf{R} i}^\dagger \hat{\mathcal{O}} [c_{\mathbf{R} i \alpha}^\dagger, c_{\mathbf{R} i \alpha}] \mathcal{P}_{\mathbf{R} i} \right] \Psi_0 \rangle$$

(GA and G. constraints)

Local operators:

$$\langle \Psi_G | \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] | \Psi_G \rangle = \boxed{\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle}$$

Non-local 1-body operators, i.e., $(\mathbf{R}, i) \neq (\mathbf{R}', i')$:

$$\langle \Psi_G | c_{\mathbf{R}i\alpha}^\dagger c_{\mathbf{R}'i'\beta} | \Psi_G \rangle = \boxed{\langle \Psi_0 | [\mathcal{P}_{\mathbf{R}i}^\dagger c_{\mathbf{R}i\alpha}^\dagger \mathcal{P}_{\mathbf{R}i}] [\mathcal{P}_{\mathbf{R}'i'}^\dagger c_{\mathbf{R}'i'\beta} \mathcal{P}_{\mathbf{R}'i'}] | \Psi_0 \rangle}$$

Non-local quadratic operators:

$$\begin{aligned} \langle \Psi_G | c_{\mathbf{R}ia}^\dagger c_{\mathbf{R}'i'\beta} | \Psi_G \rangle &= \langle \Psi_0 | \overbrace{[\mathcal{P}_{\mathbf{R}i}^\dagger c_{\mathbf{R}ia}^\dagger \mathcal{P}_{\mathbf{R}i}] [\mathcal{P}_{\mathbf{R}'i'}^\dagger c_{\mathbf{R}'i'\beta} \mathcal{P}_{\mathbf{R}'i'}]}^{\text{non-local}} | \Psi_0 \rangle \\ &= \langle \Psi_0 | \left[\sum_a [\mathcal{R}_i]_{aa} f_{\mathbf{R}ia}^\dagger \right] \left[\sum_b [\mathcal{R}_i]_{\beta b}^\dagger f_{\mathbf{R}'i'b} \right] | \Psi_0 \rangle \end{aligned}$$

Where \mathcal{R}_i is determined by:

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger c_{\mathbf{R}ia}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia} | \Psi_0 \rangle = \sum_{a'} [\mathcal{R}_i]_{a'a} \langle \Psi_0 | f_{\mathbf{R}ia'}^\dagger f_{\mathbf{R}ia} | \Psi_0 \rangle$$

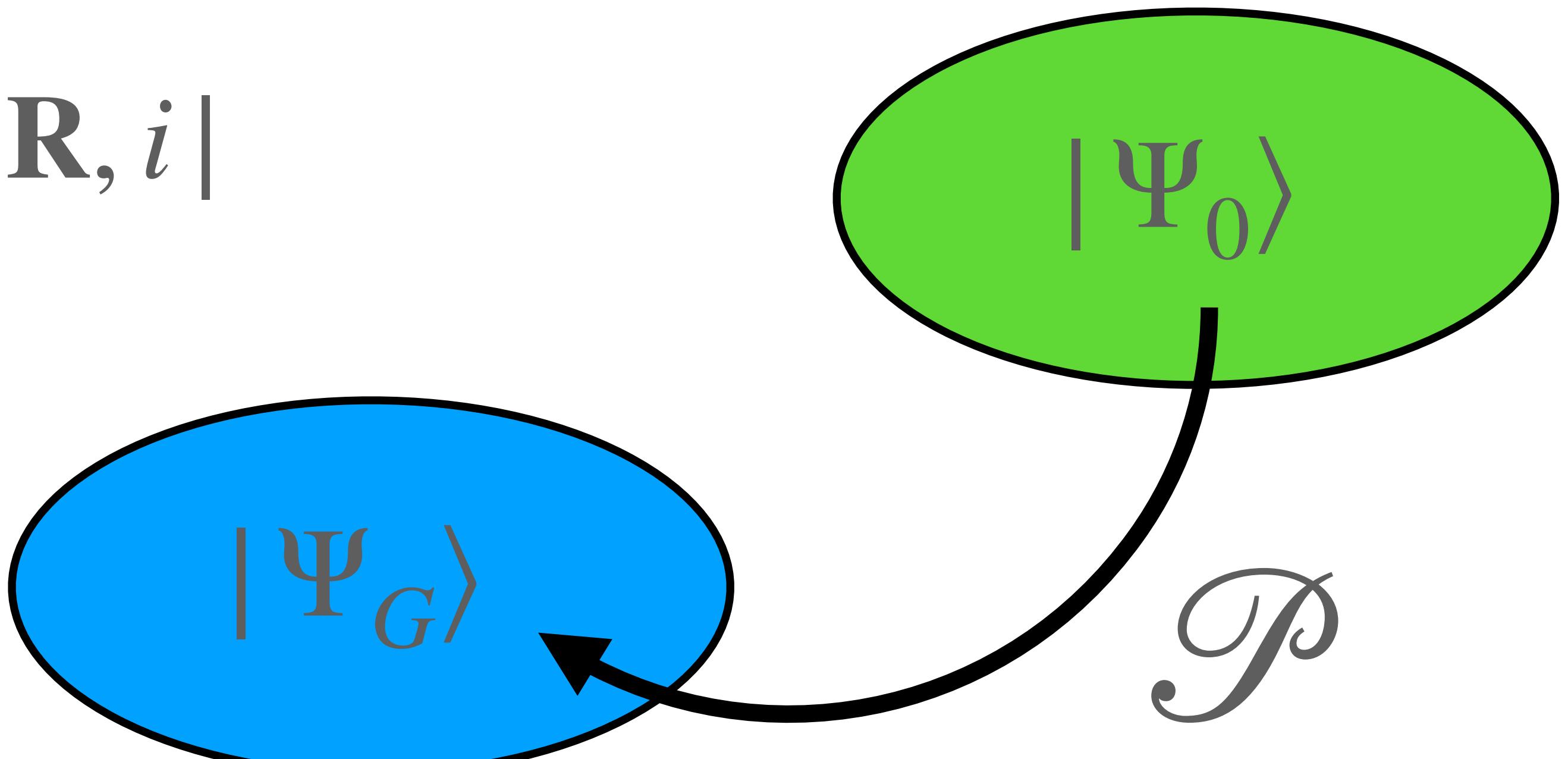
Non-local quadratic operators:

$$\mathcal{P}_{\mathbf{R}i}^\dagger c_{\mathbf{R}ia}^\dagger \mathcal{P}_{\mathbf{R}i} \rightarrow \sum_a [\mathcal{R}_i]_{aa} f_{\mathbf{R}ia}^\dagger$$

$$\mathcal{P}_{\mathbf{R}i} = \sum_{\Gamma, n} [\Lambda_i]_{\Gamma, n} |\Gamma; \mathbf{R}, i\rangle \langle n; \mathbf{R}, i|$$

$$|\Gamma; \mathbf{R}, i\rangle = [c_{\mathbf{R}i1}^\dagger]^{q_1(\Gamma)} \dots [c_{\mathbf{R}i\nu_i}^\dagger]^{q_{\nu_i}(\Gamma)} |0\rangle$$

$$|n; \mathbf{R}, i\rangle = [f_{\mathbf{R}i1}^\dagger]^{q_1(n)} \dots [f_{\mathbf{R}i\nu_i}^\dagger]^{q_{\nu_i}(n)} |0\rangle$$



Variational energy:

$$\hat{H} = \sum_{\mathbf{k}} \sum_{ij} \sum_{\alpha=1}^{\nu_i} \sum_{\beta=1}^{\nu_j} t_{\mathbf{k},ij}^{\alpha\beta} c_{\mathbf{k}i\alpha}^\dagger c_{\mathbf{k}j\beta} + \sum_{\mathbf{R}} \sum_{i \geq 1} \hat{H}_{\mathbf{R}i}^{loc}$$

$$\mathcal{E} = \sum_{\mathbf{k}ij} \sum_{ab} \left[\mathcal{R}_i t_{\mathbf{k},ij} \mathcal{R}_j^\dagger \right]_{ab} \langle \Psi_0 | f_{\mathbf{k}ia}^\dagger f_{\mathbf{k}jb} | \Psi_0 \rangle + \sum_{\mathbf{R}, i \geq 1} \langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \hat{H}_{\mathbf{R}i}^{loc} \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle$$

Where: $\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger c_{\mathbf{R}i\alpha}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia} | \Psi_0 \rangle = \sum_{a'} [\mathcal{R}_i]_{a'\alpha} \langle \Psi_0 | f_{\mathbf{R}ia'}^\dagger f_{\mathbf{R}ia} | \Psi_0 \rangle$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle = \langle \Psi_0 | \Psi_0 \rangle = 1$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle = \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle \quad \forall a, b \in \{1, \dots, \nu_i\}$$

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Variational energy:

$$\mathcal{E} = \sum_{\mathbf{k}ij} \sum_{ab} \left[\mathcal{R}_i t_{\mathbf{k},ij} \mathcal{R}_j^\dagger \right]_{ab} \langle \Psi_0 | f_{\mathbf{k}ia}^\dagger f_{\mathbf{k}jb} | \Psi_0 \rangle + \sum_{\mathbf{R},i \geq 1} \langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \hat{H}_{\mathbf{R}i}^{loc} \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle$$

Where: $\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger c_{\mathbf{R}i\alpha}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia} | \Psi_0 \rangle = \sum_{a'} [\mathcal{R}_i]_{a'\alpha} \langle \Psi_0 | f_{\mathbf{R}ia'}^\dagger f_{\mathbf{R}ia} | \Psi_0 \rangle$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle = \langle \Psi_0 | \Psi_0 \rangle = 1$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle = \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle \quad \forall a, b \in \{1, \dots, \nu_i\}$$

Variational energy:

$$\mathcal{E} = \sum_{\mathbf{k}ij} \sum_{ab} \left[\mathcal{R}_i t_{\mathbf{k},ij} \mathcal{R}_j^\dagger \right]_{ab} \langle \Psi_0 | f_{\mathbf{k}ia}^\dagger f_{\mathbf{k}jb} | \Psi_0 \rangle + \sum_{\mathbf{R}, i \geq 1} \boxed{\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \hat{H}_{\mathbf{R}i}^{loc} \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle}$$

Where: $\boxed{\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger c_{\mathbf{R}i\alpha}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia} | \Psi_0 \rangle} = \sum_{a'} [\mathcal{R}_i]_{a'\alpha} \boxed{\langle \Psi_0 | f_{\mathbf{R}ia'}^\dagger f_{\mathbf{R}ia} | \Psi_0 \rangle}$

$$\boxed{\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle} = \langle \Psi_0 | \Psi_0 \rangle = 1$$

$$\boxed{\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle} = \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle \quad \forall a, b \in \{1, \dots, \nu_i\}$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle = Tr[P_i^0 \Lambda_i^\dagger \Lambda_i] = 1$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle = Tr[P_i^0 \Lambda_i^\dagger \Lambda_i F_{ia}^\dagger F_{ib}] = \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle =: [\Delta_i]_{ab}$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle = Tr[P_i^0 \Lambda_i^\dagger \hat{\mathcal{O}}[F_{i\alpha}^\dagger, F_{i\alpha}] \Lambda_i]$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger c_{\mathbf{R}i\alpha}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia} | \Psi_0 \rangle = Tr[P_i^0 \Lambda_i^\dagger F_{i\alpha}^\dagger \Lambda_i F_{ia}]$$

Where:

$$[F_{ia}]_{\Gamma\Gamma'} = \langle \Gamma; \mathbf{R}, i | c_{\mathbf{R}i\alpha} | \Gamma'; \mathbf{R}, i \rangle$$

$$[F_{ia}]_{nn'} = \langle n; \mathbf{R}, i | f_{\mathbf{R}ia} | n'; \mathbf{R}, i \rangle$$

$$\mathcal{P}_{\mathbf{R}i} = \sum_{\Gamma n} [\Lambda_i]_{\Gamma n} | \Gamma; \mathbf{R}, i \rangle \langle n; \mathbf{R}, i |$$

$$| \Gamma; \mathbf{R}, i \rangle = [c_{\mathbf{R}i1}^\dagger]^{q_1(\Gamma)} \dots [c_{\mathbf{R}i\nu_i}^\dagger]^{q_{\nu_i}(\Gamma)} | 0 \rangle$$

$$| n; \mathbf{R}, i \rangle = [f_{\mathbf{R}i1}^\dagger]^{q_1(n)} \dots [f_{\mathbf{R}i\nu_i}^\dagger]^{q_{\nu_i}(n)} | 0 \rangle$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle = Tr[P_i^0 \Lambda_i^\dagger \Lambda_i] = 1$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle = Tr[P_i^0 \Lambda_i^\dagger \Lambda_i F_{ia}^\dagger F_{ib}] = \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle =: [\Delta_i]_{ab}$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle = Tr[P_i^0 \Lambda_i^\dagger \hat{\mathcal{O}}[F_{i\alpha}^\dagger, F_{i\alpha}] \Lambda_i]$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger c_{\mathbf{R}i\alpha}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia} | \Psi_0 \rangle = Tr[P_i^0 \Lambda_i^\dagger F_{i\alpha}^\dagger \Lambda_i F_{ia}]$$

Where:

$$[F_{ia}]_{\Gamma\Gamma'} = \langle \Gamma; \mathbf{R}, i | c_{\mathbf{R}i\alpha} | \Gamma'; \mathbf{R}, i \rangle$$

$$[F_{ia}]_{nn'} = \langle n; \mathbf{R}, i | f_{\mathbf{R}ia} | n'; \mathbf{R}, i \rangle$$

Matrix of SB amplitudes:

$$\phi_i = \Lambda_i \sqrt{P_i^0}$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle = Tr[\phi_i^\dagger \phi_i] = 1$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \mathcal{P}_{\mathbf{R}i} f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle = Tr[\phi_i^\dagger \phi_i F_{ia}^\dagger F_{ib}] = \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle =: [\Delta_i]_{ab}$$

$$\langle \Psi_0 | \mathcal{P}_{\mathbf{R}i}^\dagger \hat{\mathcal{O}}[c_{\mathbf{R}i\alpha}^\dagger, c_{\mathbf{R}i\alpha}] \mathcal{P}_{\mathbf{R}i} | \Psi_0 \rangle = Tr[\phi_i^\dagger \phi_i^\dagger \hat{\mathcal{O}}[F_{i\alpha}^\dagger, F_{i\alpha}]]$$

$$Tr[\phi_i^\dagger F_{i\alpha}^\dagger \phi_i F_{i\alpha}] = \sum_c [\mathcal{R}_i]_{c\alpha} [\Delta_i(1 - \Delta_i)]_{ca}^{\frac{1}{2}}$$

Matrix of SB amplitudes:

$$\phi_i = \Lambda_i \sqrt{P_i^0}$$

Variational energy:

$$\hat{H} = \sum_{\mathbf{k}} \sum_{ij} \sum_{\alpha=1}^{\nu_i} \sum_{\beta=1}^{\nu_j} t_{\mathbf{k},ij}^{\alpha\beta} c_{\mathbf{k}i\alpha}^\dagger c_{\mathbf{k}j\beta} + \sum_{\mathbf{R}} \sum_{i \geq 1} \hat{H}_{\mathbf{R}i}^{loc}$$

$$\mathcal{E} = \sum_{\mathbf{k}ij} \sum_{ab} \left[\mathcal{R}_i t_{\mathbf{k},ij} \mathcal{R}_j^\dagger \right]_{ab} \langle \Psi_0 | f_{\mathbf{k}ia}^\dagger f_{\mathbf{k}jb} | \Psi_0 \rangle + \sum_{\mathbf{R}, i \geq 1} Tr[\phi_i \phi_i^\dagger \hat{H}_{\mathbf{R}i}^{loc} [F_{ia}^\dagger, F_{ia}]]$$

Where: $Tr[\phi_i^\dagger F_{ia}^\dagger \phi_i F_{ia}] = \sum_c [\mathcal{R}_i]_{ca} [\Delta_i(1 - \Delta_i)]_{ca}^{-\frac{1}{2}}$

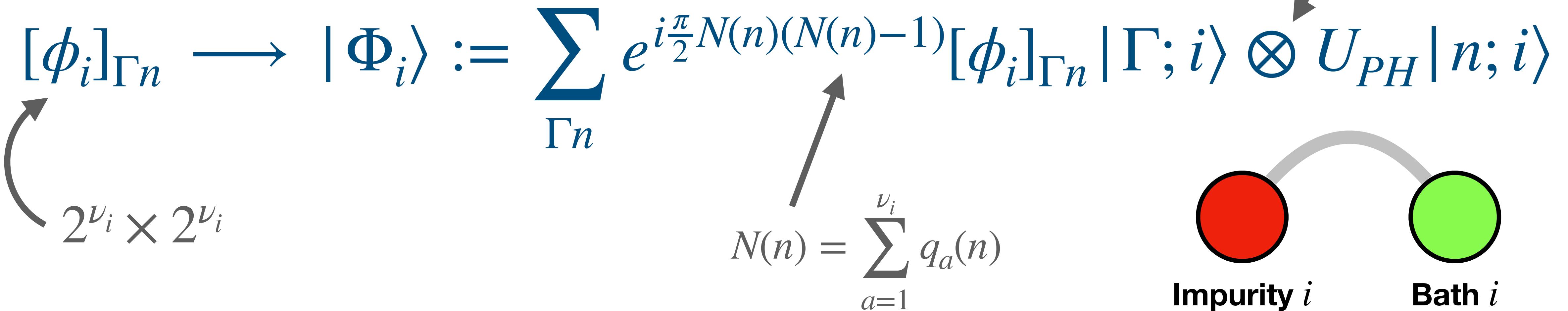
$$Tr[\phi_i^\dagger \phi_i] = \langle \Psi_0 | \Psi_0 \rangle = 1$$

$$Tr[\phi_i^\dagger \phi_i F_{ia}^\dagger F_{ib}] = \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle =: [\Delta_i]_{ab} \quad \forall a, b \in \{1, \dots, \nu_i\}$$

Necessary steps:

1. Definition of approximations (GA and G. constraints).
2. Evaluation of $\langle \Psi_G | \hat{H} | \Psi_G \rangle$ in terms of $\{\Lambda_{i \geq 1}\}$, $|\Psi_0\rangle$.
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4. Mapping from SB amplitudes to embedding states.
5. Lagrange formulation of the optimization problem.

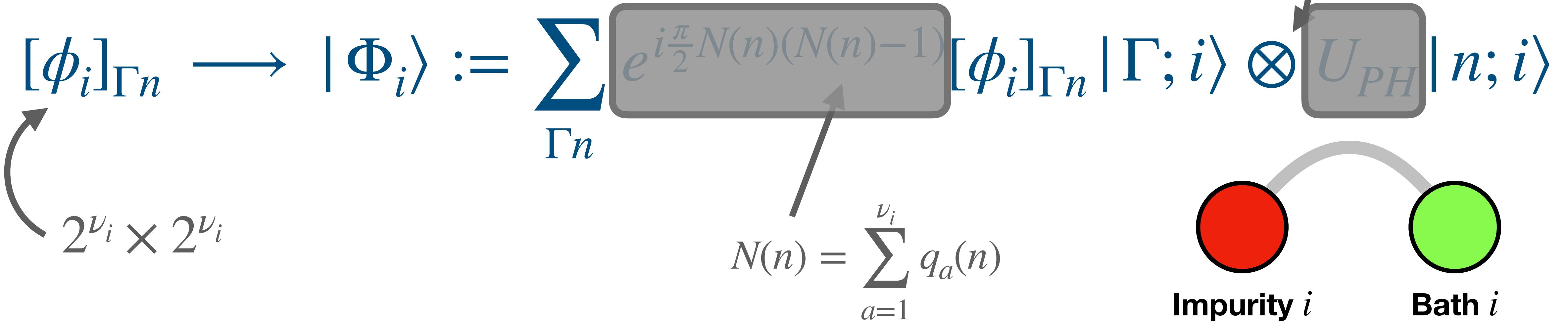
Quantum-embedding formulation



$$|\Gamma; i\rangle = [\hat{c}_{i1}^\dagger]^{q_1(\Gamma)} \dots [\hat{c}_{i\nu_i}^\dagger]^{q_{\nu_i}(\Gamma)} |0\rangle$$

$$|n; i\rangle = [\hat{f}_{i1}^\dagger]^{q_1(n)} \dots [\hat{f}_{i\nu_i}^\dagger]^{q_{\nu_i}(n)} |0\rangle$$

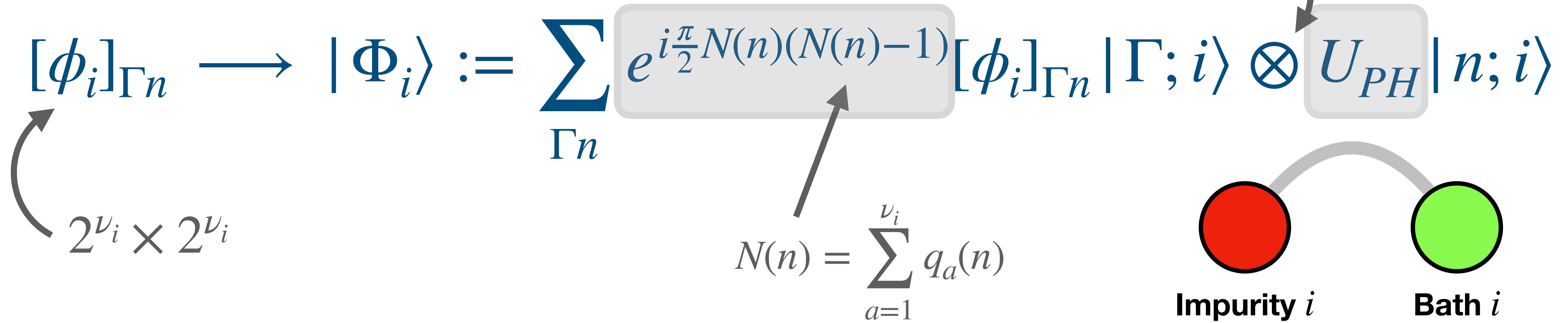
Quantum-embedding formulation



$$|\Gamma; i\rangle = [\hat{c}_{i1}^\dagger]^{q_1(\Gamma)} \dots [\hat{c}_{i\nu_i}^\dagger]^{q_{\nu_i}(\Gamma)} |0\rangle$$

$$|n; i\rangle = [\hat{f}_{i1}^\dagger]^{q_1(n)} \dots [\hat{f}_{i\nu_i}^\dagger]^{q_{\nu_i}(n)} |0\rangle$$

Quantum-embedding formulation



$$[\mathcal{P}_{\mathbf{R}i}, \hat{N}_{\mathbf{R},i}] = 0 \leftrightarrow \left[\sum_{\alpha=1}^{\nu_i} \hat{c}_\alpha^\dagger \hat{c}_\alpha + \sum_{a=1}^{\nu_i} \hat{f}_a^\dagger \hat{f}_a \right] |\Phi_i\rangle = \nu_i |\Phi_i\rangle$$

$$|\Gamma; i\rangle = [\hat{c}_{i1}^\dagger]^{q_1(\Gamma)} \dots [\hat{c}_{i\nu_i}^\dagger]^{q_{\nu_i}(\Gamma)} |0\rangle$$

$$|n; i\rangle = [\hat{f}_{i1}^\dagger]^{q_1(n)} \dots [\hat{f}_{i\nu_i}^\dagger]^{q_{\nu_i}(n)} |0\rangle$$

Quantum-embedding formulation

$$[\phi_i]_{\Gamma n} \longrightarrow |\Phi_i\rangle := \sum_{\Gamma n} e^{i\frac{\pi}{2}N(n)(N(n)-1)} [\phi_i]_{\Gamma n} |\Gamma; i\rangle \otimes U_{PH} |n; i\rangle$$

$N(n) = \sum_{a=1}^{\nu_i} q_a(n)$

$$Tr[\phi_i^\dagger \phi_i F_{ia}^\dagger F_{ib}] = \langle \Phi_i | \hat{f}_{ib} \hat{f}_{ia}^\dagger | \Phi_i \rangle = [\Delta_i]_{ab}$$

$$Tr[\phi_i \phi_i^\dagger \hat{\mathcal{O}}[F_{i\alpha}^\dagger, F_{i\alpha}]] = \langle \Phi_i | \hat{\mathcal{O}}[\hat{c}_{i\alpha}^\dagger, \hat{c}_{i\alpha}] | \Phi_i \rangle$$

$$Tr[\phi_i^\dagger F_{i\alpha}^\dagger \phi_i F_{ia}] = \langle \Phi_i | \hat{c}_{i\alpha}^\dagger \hat{f}_{ia} | \Phi_i \rangle$$

Variational energy:

$$\hat{H} = \sum_{\mathbf{k}} \sum_{ij} \sum_{\alpha=1}^{\nu_i} \sum_{\beta=1}^{\nu_j} t_{\mathbf{k},ij}^{\alpha\beta} c_{\mathbf{k}i\alpha}^\dagger c_{\mathbf{k}j\beta} + \sum_{\mathbf{R}} \sum_{i \geq 1} \hat{H}_{\mathbf{R}i}^{loc}$$

$$\mathcal{E} = \sum_{\mathbf{k}ij} \sum_{ab} \left[\mathcal{R}_i t_{\mathbf{k},ij} \mathcal{R}_j^\dagger \right]_{ab} \langle \Psi_0 | f_{\mathbf{k}ia}^\dagger f_{\mathbf{k}jb} | \Psi_0 \rangle + \sum_{\mathbf{R}, i \geq 1} \langle \Phi_i | \hat{H}_{\mathbf{R}i}^{loc} [\hat{c}_{i\alpha}^\dagger, \hat{c}_{i\alpha}] | \Phi_i \rangle$$

Where: $\langle \Phi_i | \hat{c}_{i\alpha}^\dagger \hat{f}_{ia} | \Phi_i \rangle = \sum_c [\mathcal{R}_i]_{c\alpha} [\Delta_i(1 - \Delta_i)]_{ca}^{-\frac{1}{2}}$

$$\langle \Phi_i | \Phi_i \rangle = \langle \Psi_0 | \Psi_0 \rangle = 1$$

$$\langle \Phi_i | \hat{f}_{ib} \hat{f}_{ia}^\dagger | \Phi_i \rangle = \langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle =: [\Delta_i]_{ab} \quad \forall a, b \in \{1, \dots, \nu_i\}$$

Necessary steps:

1. Definition of approximations (GA and G. constraints).
2. Evaluation of $\langle \Psi_G | \hat{H} | \Psi_G \rangle$ in terms of $\{\Lambda_{i \geq 1}\}$, $|\Psi_0\rangle$.
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Variational energy:

$$\mathcal{E} = \sum_{\mathbf{k}ij} \sum_{ab} \left[\mathcal{R}_i t_{\mathbf{k},ij} \mathcal{R}_j^\dagger \right]_{ab} \langle \Psi_0 | f_{\mathbf{k}ia}^\dagger f_{\mathbf{k}jb} | \Psi_0 \rangle + \sum_{\mathbf{R}, i \geq 1} \langle \Phi_i | \hat{H}_{\mathbf{R}i}^{loc} [\hat{c}_{i\alpha}^\dagger, \hat{c}_{i\alpha}] | \Phi_i \rangle$$

Where: $\langle \Phi_i | \hat{c}_{i\alpha}^\dagger \hat{f}_{ia} | \Phi_i \rangle =: \sum_c [\mathcal{R}_i]_{c\alpha} [\Delta_i(1 - \Delta_i)]_{ca}^{-\frac{1}{2}}$

$$\langle \Psi_0 | \Psi_0 \rangle = 1$$

$$\langle \Phi_i | \Phi_i \rangle = 1$$

$$\langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle =: [\Delta_i]_{ab}$$

$$\langle \Phi_i | \hat{f}_{ib}^\dagger \hat{f}_{ia}^\dagger | \Phi_i \rangle = [\Delta_i]_{ab}$$

Variational energy:

$$\mathcal{E} = \sum_{\mathbf{k}ij} \sum_{ab} \left[\mathcal{R}_i t_{\mathbf{k},ij} \mathcal{R}_j^\dagger \right]_{ab} \langle \Psi_0 | f_{\mathbf{k}ia}^\dagger f_{\mathbf{k}jb} | \Psi_0 \rangle + \sum_{\mathbf{R}, i \geq 1} \langle \Phi_i | \hat{H}_{\mathbf{R}i}^{loc} [\hat{c}_{i\alpha}^\dagger, \hat{c}_{i\alpha}] | \Phi_i \rangle$$

Where: $\langle \Phi_i | \hat{c}_{i\alpha}^\dagger \hat{f}_{ia} | \Phi_i \rangle =: \sum_c [\mathcal{R}_i]_{c\alpha} [\Delta_i(1 - \Delta_i)]_{ca}^{-\frac{1}{2}}$

$$\langle \Psi_0 | \Psi_0 \rangle = 1 \xleftarrow{E}$$

$$\langle \Phi_i | \Phi_i \rangle = 1 \xleftarrow{E_i^c}$$

$$\langle \Psi_0 | f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}ib} | \Psi_0 \rangle =: [\Delta_i]_{ab} \xleftarrow{[\lambda_i]_{ab}}$$

$$\langle \Phi_i | \hat{f}_{ib}^\dagger \hat{f}_{ia}^\dagger | \Phi_i \rangle = [\Delta_i]_{ab} \xleftarrow{[\lambda_i^c]_{ab}}$$

Lagrange function:

$$\begin{aligned}
\mathcal{L} = & \frac{1}{\mathcal{N}} \langle \Psi_0 | \hat{H}_{qp}[\mathcal{R}, \lambda] | \Psi_0 \rangle + E(1 - \langle \Psi_0 | \Psi_0 \rangle) \\
& + \sum_{i \geq 1} \langle \Phi_i | \hat{H}_i^{emb}[\mathcal{D}_i, \lambda_i^c] | \Phi_i \rangle + E_i^c(1 - \langle \Phi_i | \Phi_i \rangle) \\
& - \sum_{i \geq 1} \left[\sum_{ab} ([\lambda_i]_{ab} + [\lambda_i^c]_{ab}) [\Delta_i]_{ab} + \sum_{caa} ([\mathcal{D}_i]_{aa} [\mathcal{R}_{ca} [\Delta_i (1 - \Delta_i)]_{ca}^{\frac{1}{2}} + \text{c.c.}] \right]
\end{aligned}$$

Where:

$$\begin{aligned}
\hat{H}_{qp}[\mathcal{R}, \lambda] = & \sum_{\mathbf{k}, ij} \sum_{ab} \left[\mathcal{R}_i t_{\mathbf{k}, ij} \mathcal{R}_j^\dagger \right]_{ab} f_{\mathbf{k}ia}^\dagger f_{\mathbf{k}jb} + \sum_{\mathbf{R}i} \sum_{ab} [\lambda_i]_{ab} f_{\mathbf{R}ia}^\dagger f_{\mathbf{R}jb} \\
\hat{H}_i^{emb}[\mathcal{D}_i, \lambda_i^c] = & \hat{H}_{\mathbf{R}i}^{loc} [\hat{c}_{i\alpha}^\dagger, \hat{c}_{i\alpha}] + \sum_{a\alpha} ([\mathcal{D}_i]_{a\alpha} \hat{c}_{i\alpha}^\dagger \hat{f}_{ia} + \text{H.c.}) + \sum_{ab} [\lambda_i^c]_{ab} \hat{f}_{ib}^\dagger \hat{f}_{ia}^\dagger
\end{aligned}$$

Lagrange equations:

$$(\mathcal{R}, \lambda) \rightarrow \frac{1}{\mathcal{N}} \left[\sum_{\mathbf{k}} \Pi_i f(\mathcal{R} t_{\mathbf{k}} \mathcal{R}^\dagger + \lambda) \Pi_i \right]_{ba} = [\Delta_i]_{ab} \rightarrow [\Delta_i]_{ab}$$

$$\frac{1}{\mathcal{N}} \left[\sum_{\mathbf{k}} \Pi_i t_{\mathbf{k}} \mathcal{R}^\dagger f(\mathcal{R} t_{\mathbf{k}} \mathcal{R}^\dagger + \lambda) \Pi_i \right]_{aa} = \sum_{c,a=1}^{\nu_i} \sum_{\alpha=1}^{\nu_i} [\mathcal{D}_i]_{c\alpha} \left[\Delta_i (1 - \Delta_i) \right]^{\frac{1}{2}} \rightarrow [\mathcal{D}_i]_{c\alpha}$$

$$\sum_{c,b=1}^{\nu_i} \sum_{\alpha=1}^{\nu_i} \frac{\partial}{\partial [d_i^0]_s} \left(\left[\Delta_i (1 - \Delta_i) \right]^{\frac{1}{2}} [\mathcal{D}_i]_{ba} [\mathcal{R}_i]_{ca} + \text{c.c.} \right) + [l_i + l_i^c]_s = 0 \rightarrow l_i^c$$

$$\hat{H}_i^{\text{emb}} |\Phi_i\rangle = E_i^c |\Phi_i\rangle \rightarrow |\Phi_i\rangle$$

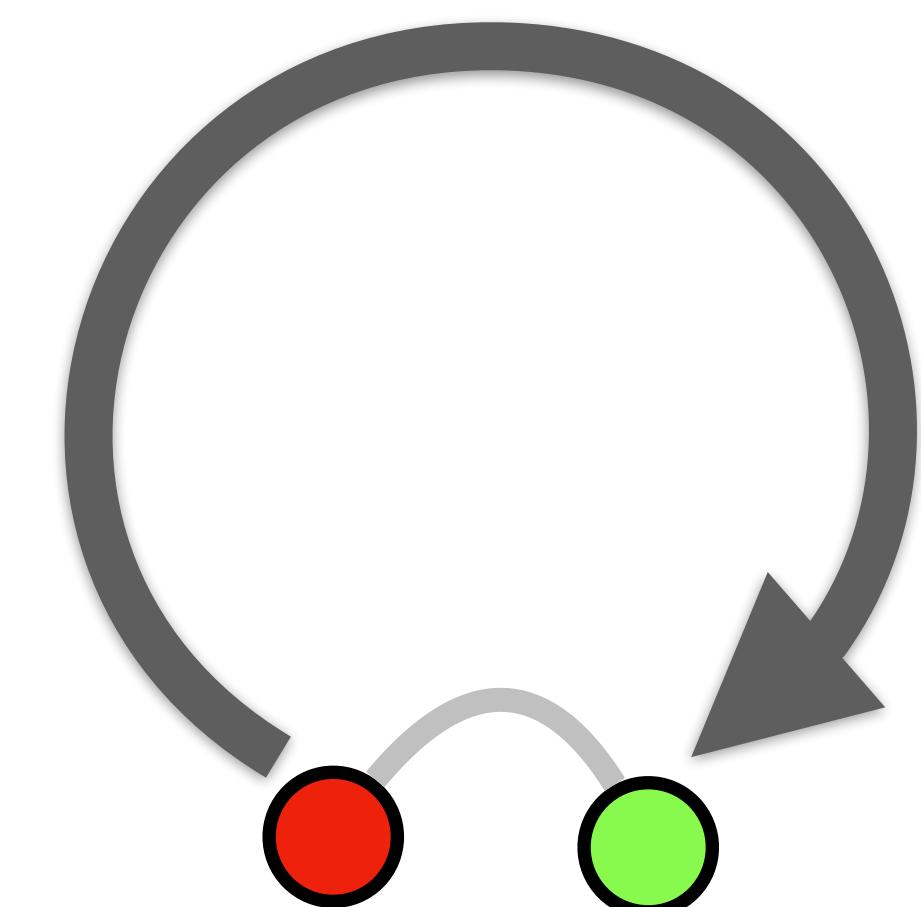
$$[\mathcal{F}_i^{(1)}]_{aa} = \langle \Phi_i | \hat{c}_{i\alpha}^\dagger \hat{f}_{ia} | \Phi_i \rangle - \sum_{c=1}^{\nu_i} \left[\Delta_i (1 - \Delta_i) \right]^{\frac{1}{2}} [\mathcal{R}_i]_{ca} \stackrel{!}{=} 0$$

$$[\mathcal{F}_i^{(2)}]_{ab} = \langle \Phi_i | \hat{f}_{ib} \hat{f}_{ia}^\dagger | \Phi_i \rangle - [\Delta_i]_{ab} \stackrel{!}{=} 0$$

$$\left\{ \begin{array}{l} \Delta_i = \sum_{s=1}^{\nu_i^2} [d_i^0]_s {}^t [h_i]_s \\ \lambda_i = \sum_{s=1}^{\nu_i^2} [l_i]_s [h_i]_s \\ \lambda_i^c = \sum_{s=1}^{\nu_i^2} [l_i^c]_s [h_i]_s \end{array} \right.$$

Lagrange equations:

$$(\mathcal{R}, \lambda) \rightarrow \frac{1}{\mathcal{N}} \left[\sum_{\mathbf{k}} \Pi_i f(\mathcal{R} t_{\mathbf{k}} \mathcal{R}^\dagger + \lambda) \Pi_i \right]_{ba} = [\Delta_i]_{ab} \rightarrow [\Delta_i]_{ab}$$



$$\frac{1}{\mathcal{N}} \left[\sum_{\mathbf{k}} \Pi_i t_{\mathbf{k}} \mathcal{R}^\dagger f(\mathcal{R} t_{\mathbf{k}} \mathcal{R}^\dagger + \lambda) \Pi_i \right]_{aa} = \sum_{c,a=1}^{\nu_i} \sum_{\alpha=1}^{\nu_i} [\mathcal{D}_i]_{ca} [\Delta_i (1 - \Delta_i)]^{\frac{1}{2}} \rightarrow [\mathcal{D}_i]_{ca}$$

$$\sum_{c,b=1}^{\nu_i} \sum_{\alpha=1}^{\nu_i} \frac{\partial}{\partial [d_i^0]_s} \left([\Delta_i (1 - \Delta_i)]^{\frac{1}{2}} [\mathcal{D}_i]_{ba} [\mathcal{R}_i]_{ca} + \text{c.c.} \right) + [l_i + l_i^c]_s = 0 \rightarrow l_i^c$$

$$\hat{H}_i^{\text{emb}} |\Phi_i\rangle = E_i^c |\Phi_i\rangle \rightarrow |\Phi_i\rangle$$

$$\boxed{\begin{aligned} [\mathcal{F}_i^{(1)}]_{aa} &= \langle \Phi_i | \hat{c}_{i\alpha}^\dagger \hat{f}_{ia} | \Phi_i \rangle - \sum_{c=1}^{\nu_i} [\Delta_i (1 - \Delta_i)]^{\frac{1}{2}} [\mathcal{R}_i]_{ca} \stackrel{!}{=} 0 \\ [\mathcal{F}_i^{(2)}]_{ab} &= \langle \Phi_i | \hat{f}_{ib} \hat{f}_{ia}^\dagger | \Phi_i \rangle - [\Delta_i]_{ab} \stackrel{!}{=} 0 \end{aligned}}$$

$$\left\{ \begin{array}{l} \Delta_i = \sum_{s=1}^{\nu_i^2} [d_i^0]_s^t [h_i]_s \\ \lambda_i = \sum_{s=1}^{\nu_i^2} [l_i]_s [h_i]_s \\ \lambda_i^c = \sum_{s=1}^{\nu_i^2} [l_i^c]_s [h_i]_s \end{array} \right.$$

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Outline

- A. GA method (multi-orbital models): QE formulation.
- B. DFT+GA algorithmic structure.
- C. Spectral properties.
- D. Recent formalism extensions.

DFT+GA: algorithmic structure

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Phase Diagram and Electronic Structure of Praseodymium and Plutonium

Nicola Lanatà,^{1,*} Yongxin Yao,^{2,†} Cai-Zhuang Wang,² Kai-Ming Ho,² and Gabriel Kotliar¹

Kohn-Sham scheme:

$$\left\{ \begin{array}{l} \mathcal{E}[\rho] = T_{KS}[\rho] + E_{HXC}[\rho] + \int d\mathbf{r} V(\mathbf{r}) \rho(\mathbf{r}) \\ T_{KS}[\rho] = \min_{\Psi_0 \rightarrow \rho} \langle \Psi_0 | \hat{T} | \Psi_0 \rangle \end{array} \right.$$

$$\min_{\rho} \mathcal{E}[\rho] = \min_{\Psi_0} \left[\langle \Psi_0 | \hat{T} + \int d\mathbf{r} V(\mathbf{r}) \hat{\rho}(\mathbf{r}) | \Psi_0 \rangle + E_{HXC}[\langle \Psi_0 | \hat{\rho} | \Psi_0 \rangle] \right]$$

Kohn-Sham scheme:

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$$\min_{\rho} \mathcal{E}[\rho] = \min_{\Psi_0} \left[\langle \Psi_0 | \hat{T} + \int d\mathbf{r} V(\mathbf{r}) \hat{\rho}(\mathbf{r}) | \Psi_0 \rangle + E_{HXC}[\langle \Psi_0 | \hat{\rho} | \Psi_0 \rangle] \right]$$

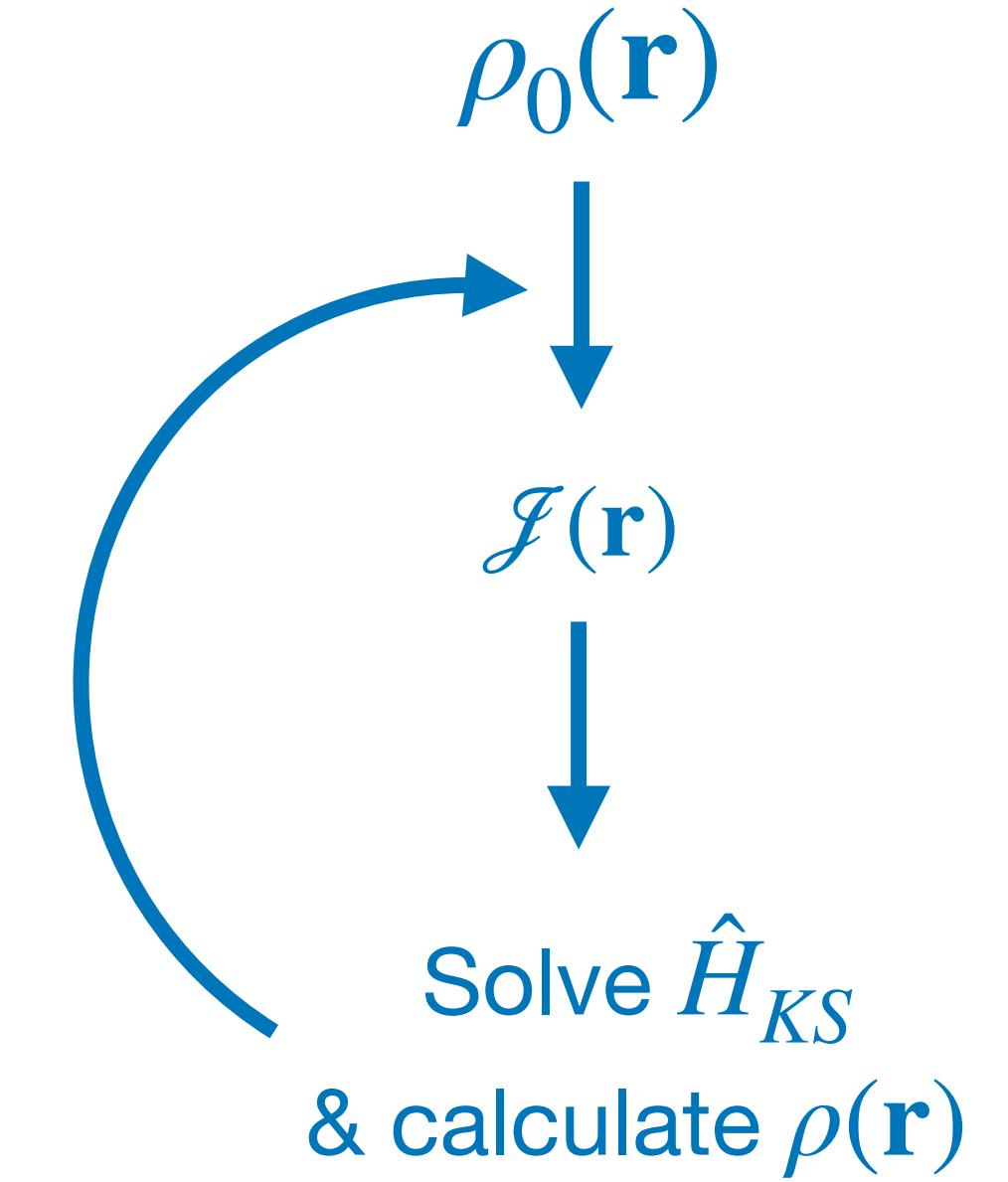
$$\mathcal{S}[\Psi_0, \rho(\mathbf{r}), \mathcal{J}(\mathbf{r})] = \langle \Psi_0 | \hat{T} + \int d\mathbf{r} V(\mathbf{r}) \hat{\rho}(\mathbf{r}) | \Psi_0 \rangle + E_{HXC}[\rho]$$

$$+ \int d\mathbf{r} \mathcal{J}(\mathbf{r}) (\langle \Psi_0 | \hat{\rho}(\mathbf{r}) | \Psi_0 \rangle - \rho(\mathbf{r}))$$

**Enforcing
definition of $\rho(\mathbf{r})$**

Kohn-Sham scheme:

$$\left\{ \begin{array}{l} \mathcal{E}[\rho] = T_{KS}[\rho] + E_{HXC}[\rho] + \int d\mathbf{r} V(\mathbf{r}) \rho(\mathbf{r}) \\ T_{KS}[\rho] = \min_{\Psi_0 \rightarrow \rho} \langle \Psi_0 | \hat{T} | \Psi_0 \rangle \end{array} \right.$$



$$\min_{\rho} \mathcal{E}[\rho] = \min_{\Psi_0} \left[\langle \Psi_0 | \hat{T} + \int d\mathbf{r} V(\mathbf{r}) \hat{\rho}(\mathbf{r}) | \Psi_0 \rangle + E_{HXC}[\langle \Psi_0 | \hat{\rho} | \Psi_0 \rangle] \right]$$

$$\mathcal{S}[\Psi_0, \rho(\mathbf{r}), J(\mathbf{r})] = \langle \Psi_0 | \hat{T} + \int d\mathbf{r} (V(\mathbf{r}) + J(\mathbf{r})) \hat{\rho}(\mathbf{r}) | \Psi_0 \rangle + E_{HXC}[\rho] - \int d\mathbf{r} J(\mathbf{r}) \rho(\mathbf{r})$$

\hat{H}_{KS}

Kohn-Sham-Hubbard scheme:

$$\left\{ \begin{array}{l} \mathcal{E}[\rho] = T_{KSH}[\rho] + E_{HXC}[\rho] + \int d\mathbf{r} V(\mathbf{r}) \rho(\mathbf{r}) \\ T_{KSH}[\rho] = \min_{\Psi_G \rightarrow \rho} \langle \Psi_G | \hat{T} | \Psi_G \rangle \\ + \sum_{i \geq 1} \hat{H}_i^{U_i, J_i} \\ + \sum_{i \geq 1} E_{dc}^{U_i, J_i} (\langle \Psi_G | \hat{N}_i | \Psi_G \rangle) \end{array} \right.$$

Projectors over “correlated” degrees of freedom

$$\min_{\rho} \mathcal{E}[\rho] = \min_{\Psi_G} \left[\langle \Psi_G | \hat{T} + \int d\mathbf{r} V(\mathbf{r}) \hat{\rho}(\mathbf{r}) + \sum_{i \geq 1} \hat{H}_i^{U_i, J_i} | \Psi_G \rangle + \right. \\ \left. + E_{HXC} [\langle \Psi_G | \hat{\rho} | \Psi_G \rangle] + E_{dc}^{U, J} (\langle \Psi_G | \hat{N}_i | \Psi_G \rangle) \right]$$

Kohn-Sham-Hubbard scheme:

$$\min_{\rho} \mathcal{E}[\rho] = \min_{\Psi_G} \left[\langle \Psi_G | \hat{T} + \int d\mathbf{r} V(\mathbf{r}) \hat{\rho}(\mathbf{r}) + \sum_{i \geq 1} \hat{H}_i^{U_i, J_i} | \Psi_G \rangle + E_{HXC} [\langle \Psi_G | \hat{\rho} | \Psi_G \rangle] + \sum_{i \geq 1} E_{dc}^{U_i, J_i} (\langle \Psi_G | \hat{N}_i | \Psi_G \rangle) \right]$$

$$+ \int d\mathbf{r} \mathcal{J}(\mathbf{r}) (\langle \Psi_G | \hat{\rho}(\mathbf{r}) | \Psi_G \rangle - \rho(\mathbf{r}))$$

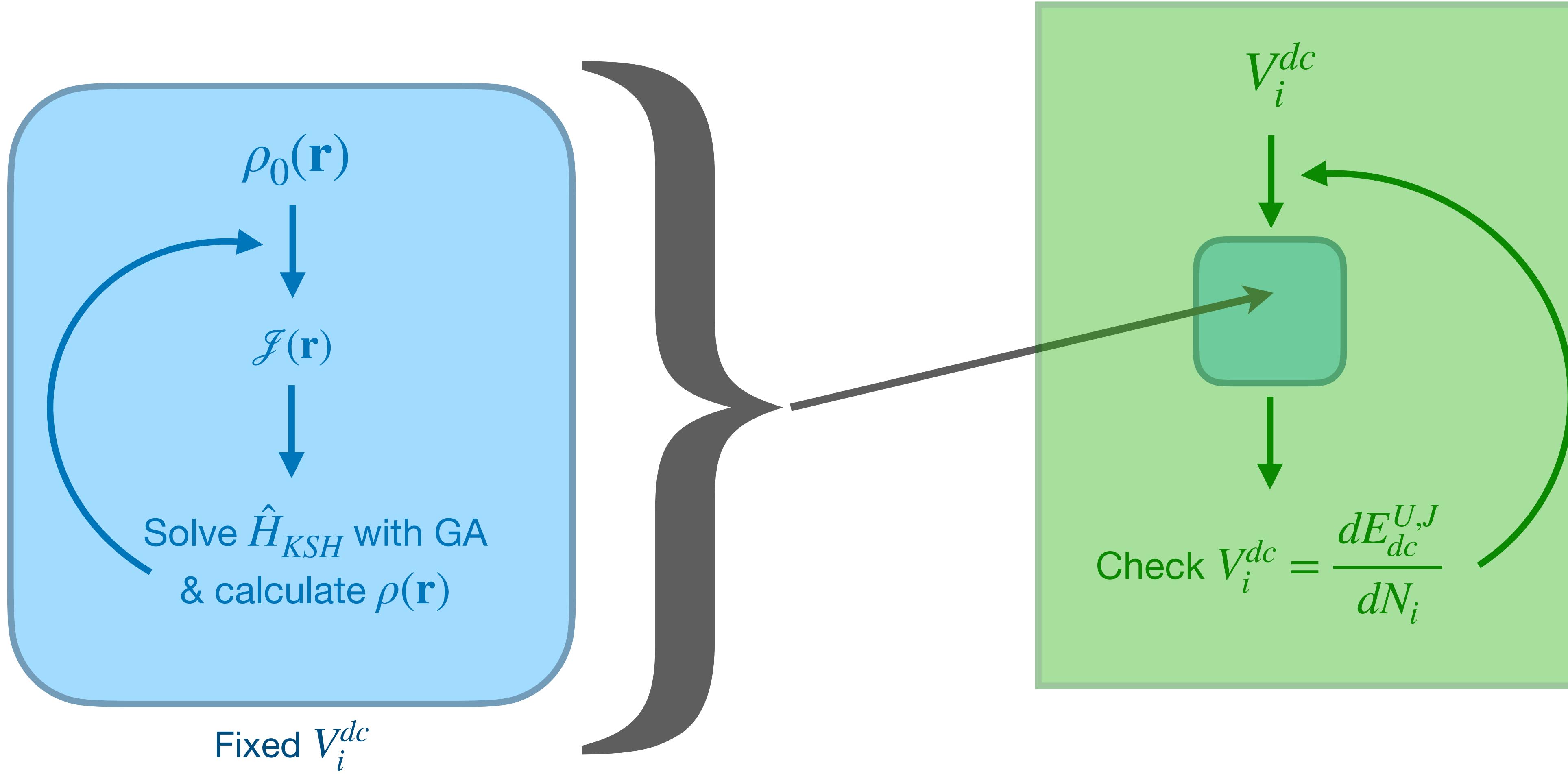
**Enforcing
definition of $\rho(\mathbf{r})$**

$$+ \sum_{i \geq 1} V_i^{dc} (\langle \Psi_G | \hat{N}_i | \Psi_G \rangle - N_i)$$

**Enforcing
definition of N_i**

Algorithmic structure:

$$\hat{H}_{KSH} = \hat{T} + \int d\mathbf{r} [V(\mathbf{r}) + \mathcal{J}(\mathbf{r})] \hat{\rho}(\mathbf{r}) + \sum_{i \geq 1} \left(\hat{H}_i^{U_i, J_i} + V_i^{dc} \hat{N}_i \right)$$



Outline

- A. GA method (multi-orbital models): QE formulation.
- B. DFT+GA algorithmic structure.
- C. Spectral properties.
- D. Recent formalism extensions.

Spectral properties

Ground state: $|\Psi_G\rangle = \mathcal{P}|\Psi_0\rangle$

Excited states: $|\Psi_G^{kn}\rangle = \mathcal{P}\xi_{kn}^\dagger|\Psi_0\rangle$

$$A_{i\alpha,j\beta}(\mathbf{k},\omega) = \langle\Psi_G|c_{\mathbf{k}i\alpha}\delta(\omega - \hat{H})c_{\mathbf{k}j\beta}^\dagger|\Psi_G\rangle + \langle\Psi_G|c_{\mathbf{k}j\beta}^\dagger\delta(\omega + \hat{H})c_{\mathbf{k}i\alpha}|\Psi_G\rangle$$

Spectral properties

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$$\mathcal{G}(\mathbf{k},\omega) = \int_{-\infty}^{\infty} d\epsilon \frac{A(\mathbf{k},\omega)}{\omega - \epsilon} \simeq \mathcal{R}^\dagger \frac{1}{\omega - [\mathcal{R}\epsilon_{\mathbf{k}}\mathcal{R}^\dagger + \lambda]} \mathcal{R} =: \frac{1}{\omega - t_{loc} - \Sigma(\omega)}$$

Spectral properties

Ground state: $|\Psi_G\rangle = \mathcal{P}|\Psi_0\rangle$

Excited states: $|\Psi_G^{kn}\rangle = \mathcal{P}\xi_{kn}^\dagger|\Psi_0\rangle$

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$$\Sigma(\omega) = \begin{pmatrix} [\mathbf{0}]_{\nu_0 \times \nu_0} & \mathbf{0} & \dots & \mathbf{0} \\ \mathbf{0} & \Sigma_1(\omega) & \dots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{0} & \dots & \dots & \Sigma_M(\omega) \end{pmatrix}$$

$$\Sigma_i(\omega) = t_{loc} - \omega \frac{\mathbf{1} - \mathcal{R}_i^\dagger \mathcal{R}_i}{\mathcal{R}_i^\dagger \mathcal{R}_i} + [\mathcal{R}_i]^{-1} \lambda_i [\mathcal{R}_i^\dagger]^{-1}$$

Outline

- A. GA method (multi-orbital models): QE formulation.
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A more accurate extension: the g-GA method

PHYSICAL REVIEW B **96**, 195126 (2017)

Emergent Bloch excitations in Mott matter

Nicola Lanatà,¹ Tsung-Han Lee,¹ Yong-Xin Yao,² and Vladimir Dobrosavljević¹

arXiv:2106.05985 (2021)

Quantum-embedding description of the Anderson lattice model with the ghost Gutzwiller Approximation

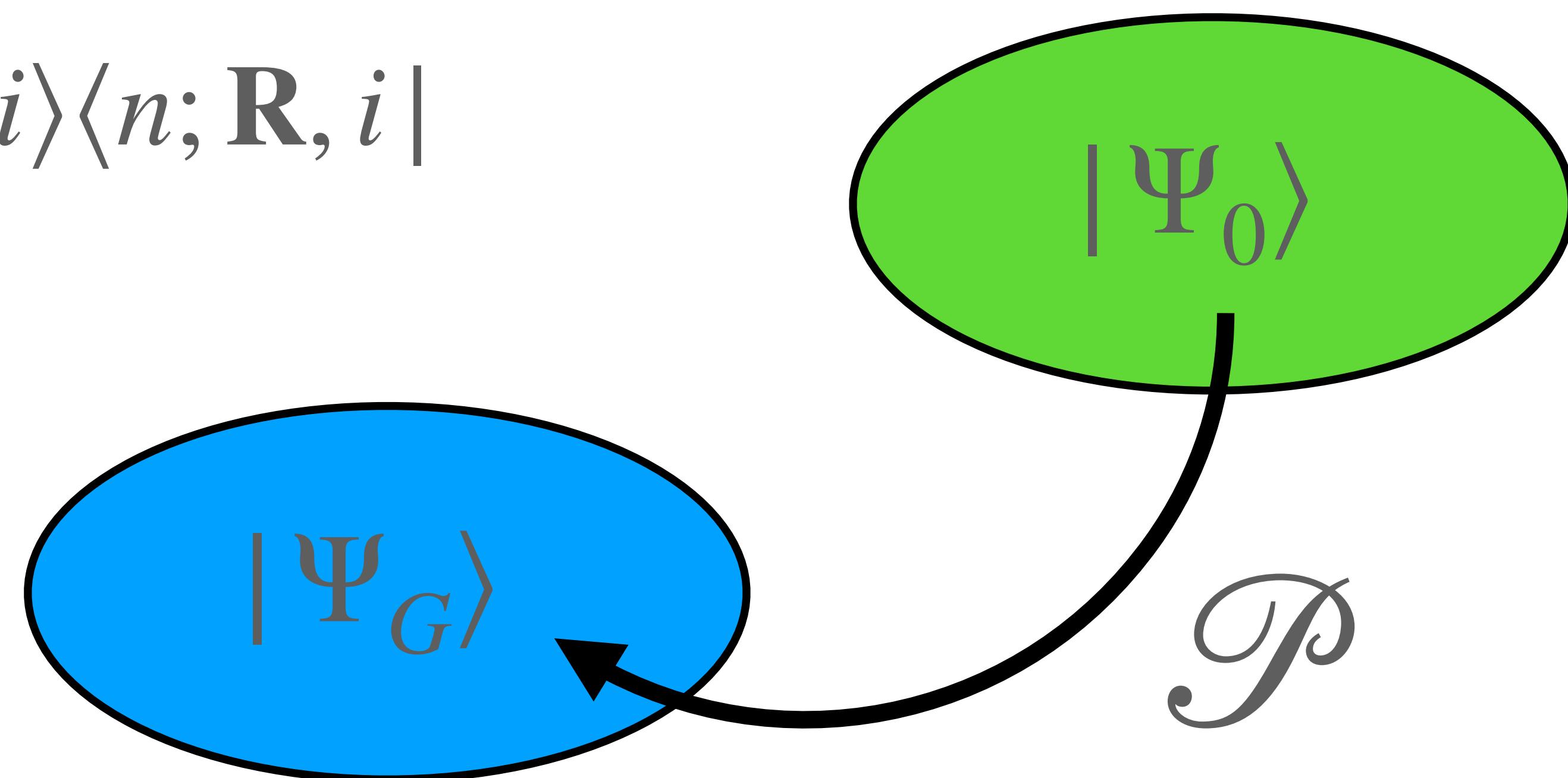
Marius S. Frank,¹ Tsung-Han Lee,² Gargee Bhattacharyya,¹ Pak Ki Henry Tsang,³
Victor L. Quito,^{4,3} Vladimir Dobrosavljević,³ Ove Christiansen,⁵ and Nicola Lanatà^{1, 6, *}

The GA variational wave function:

$$|\Psi_G\rangle = \mathcal{P} |\Psi_0\rangle = \prod_{\mathbf{R}, i \geq 1} \mathcal{P}_{\mathbf{R}i} |\Psi_0\rangle$$

$$\mathcal{P}_{\mathbf{R}i} = \sum_{\Gamma n} [\Lambda_i]_{\Gamma n} |\Gamma; \mathbf{R}, i\rangle \langle n; \mathbf{R}, i|$$

Square matrix: $2^{\nu_i} \times 2^{\nu_i}$



The g-GA variational wave function:

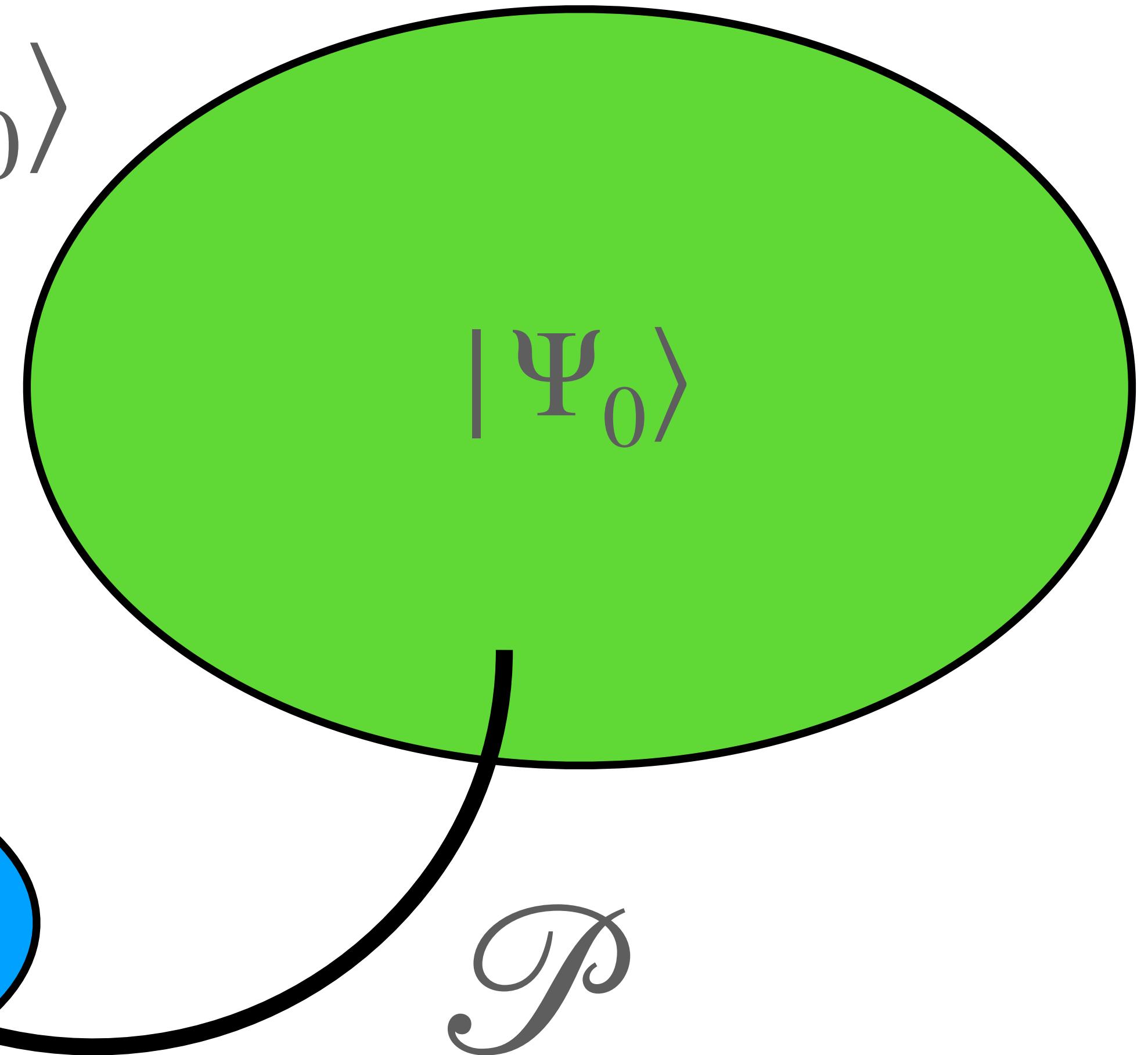
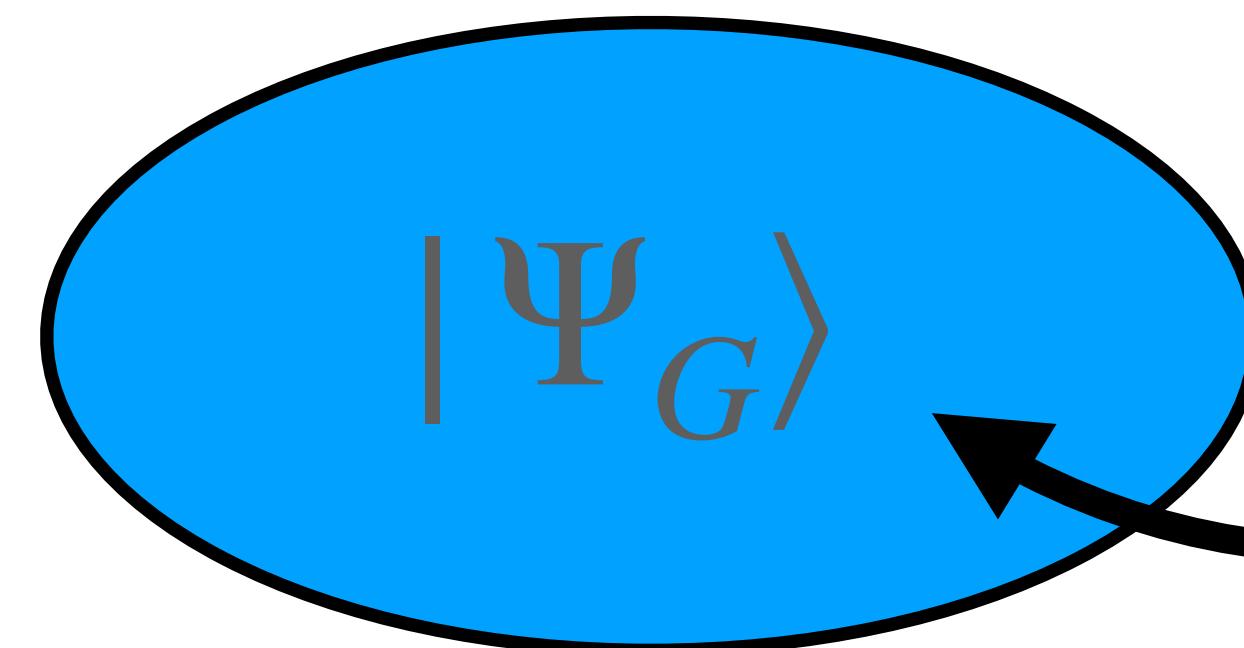
$$|\Psi_G\rangle = \mathcal{P} |\Psi_0\rangle = \prod_{\mathbf{R}, i \geq 1} \mathcal{P}_{\mathbf{R}i} |\Psi_0\rangle$$

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Γn



Rectangular matrix: $2^{\nu_i} \times 2^{\tilde{\nu}_i}$



The g-GA variational wave function:

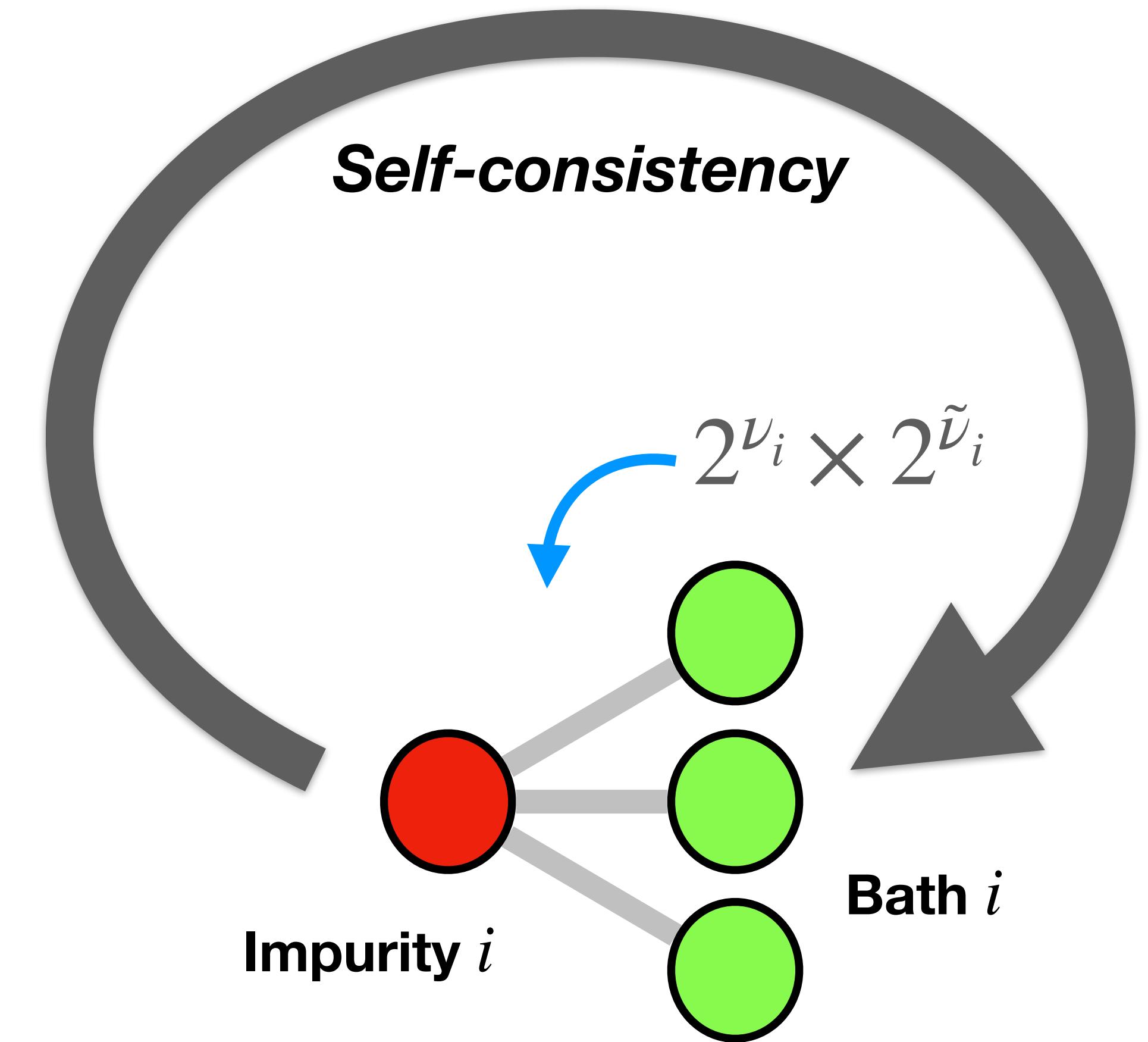
$$|\Psi_G\rangle = \mathcal{P} |\Psi_0\rangle = \prod_{\mathbf{R}, i \geq 1} \mathcal{P}_{\mathbf{R}i} |\Psi_0\rangle$$

$$\mathcal{P}_{\mathbf{R}i} = \sum_{\Gamma n} [\Lambda_i]_{\Gamma n} |\Gamma; \mathbf{R}, i\rangle \langle n; \mathbf{R}, i|$$

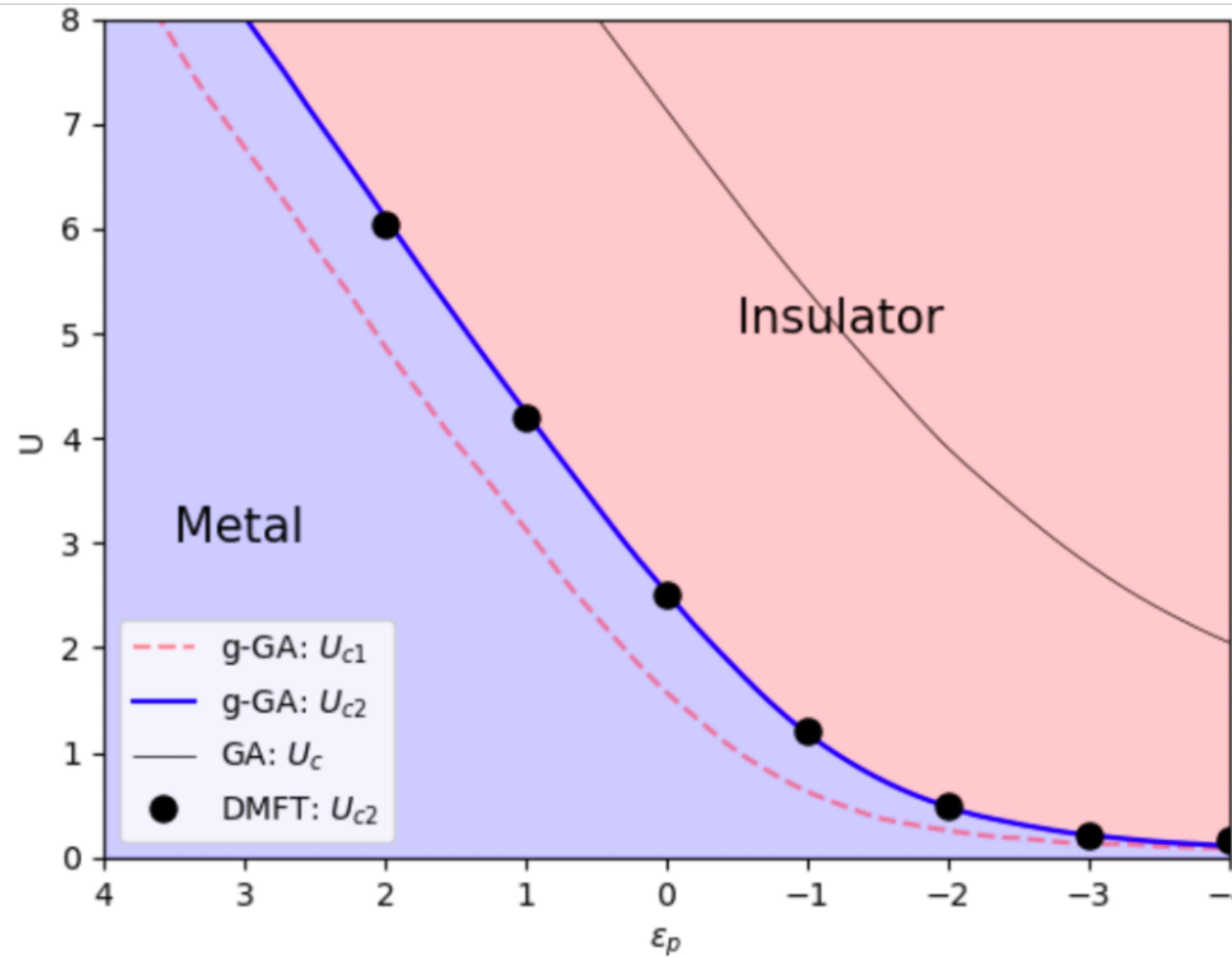
Γn



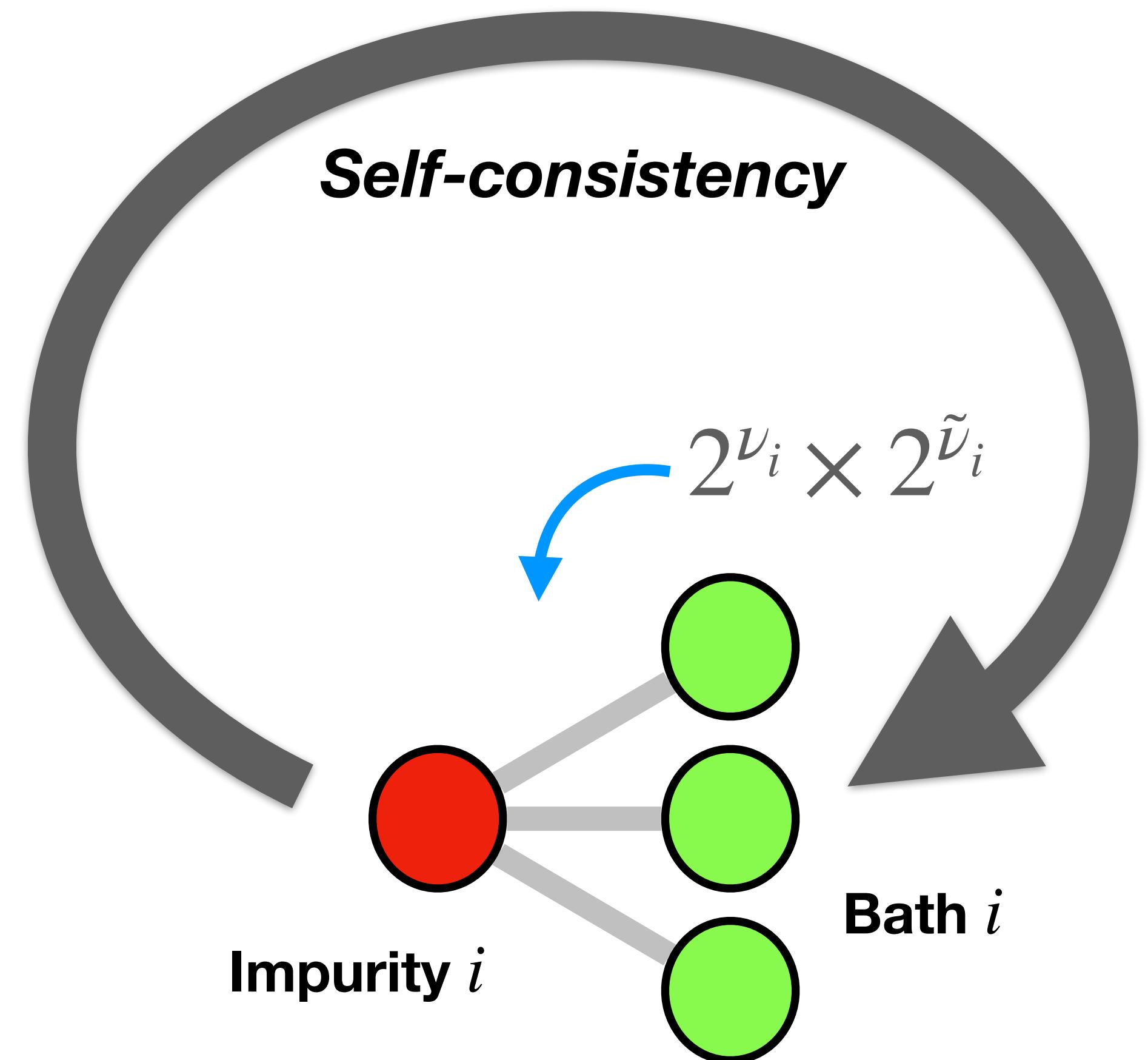
Rectangular matrix: $2^{\nu_i} \times 2^{\tilde{\nu}_i}$



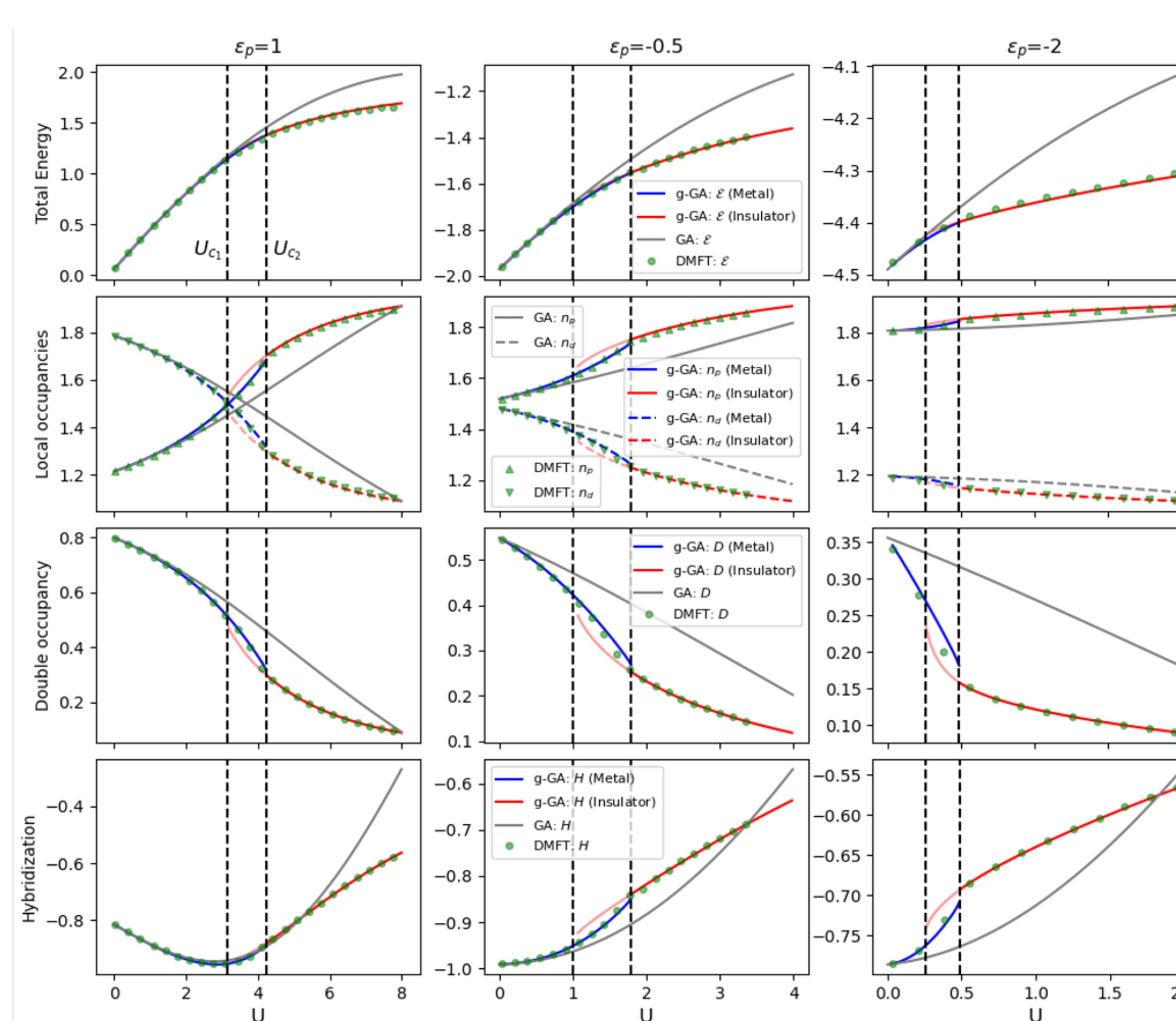
Benchmark calculations ALM:



$$\hat{H} = \sum_{ij} \sum_{\sigma} (t_{ij} + \delta_{ij}\epsilon_p) p_{i\sigma}^{\dagger} p_{j\sigma} + \sum_i \frac{U}{2} (\hat{n}_{di} - 1)^2 + V \sum_{i\sigma} (p_{i\sigma}^{\dagger} d_{i\sigma} + \text{H.c.}) - \mu \sum_i \hat{N}_i$$

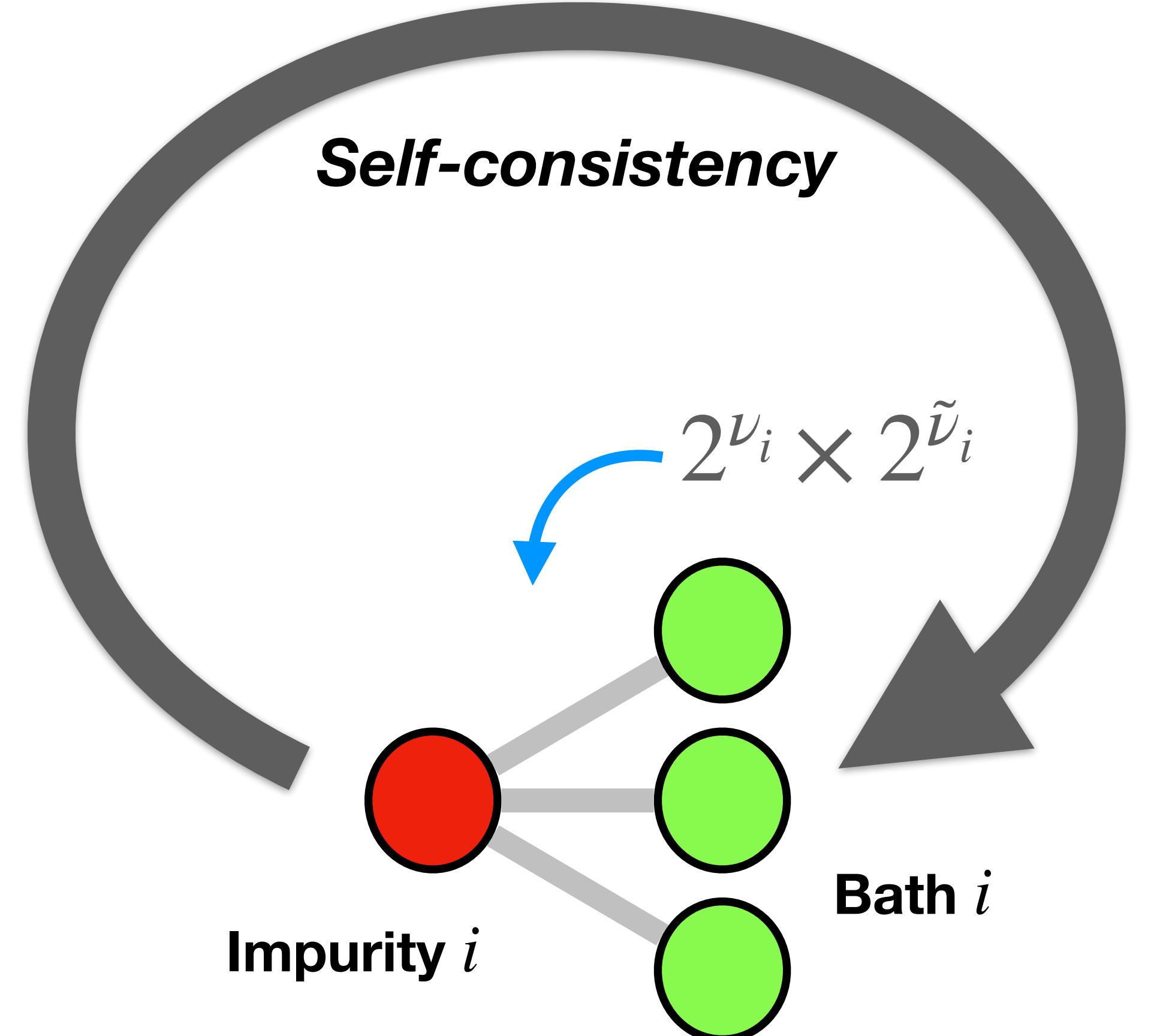


Benchmark calculations ALM:

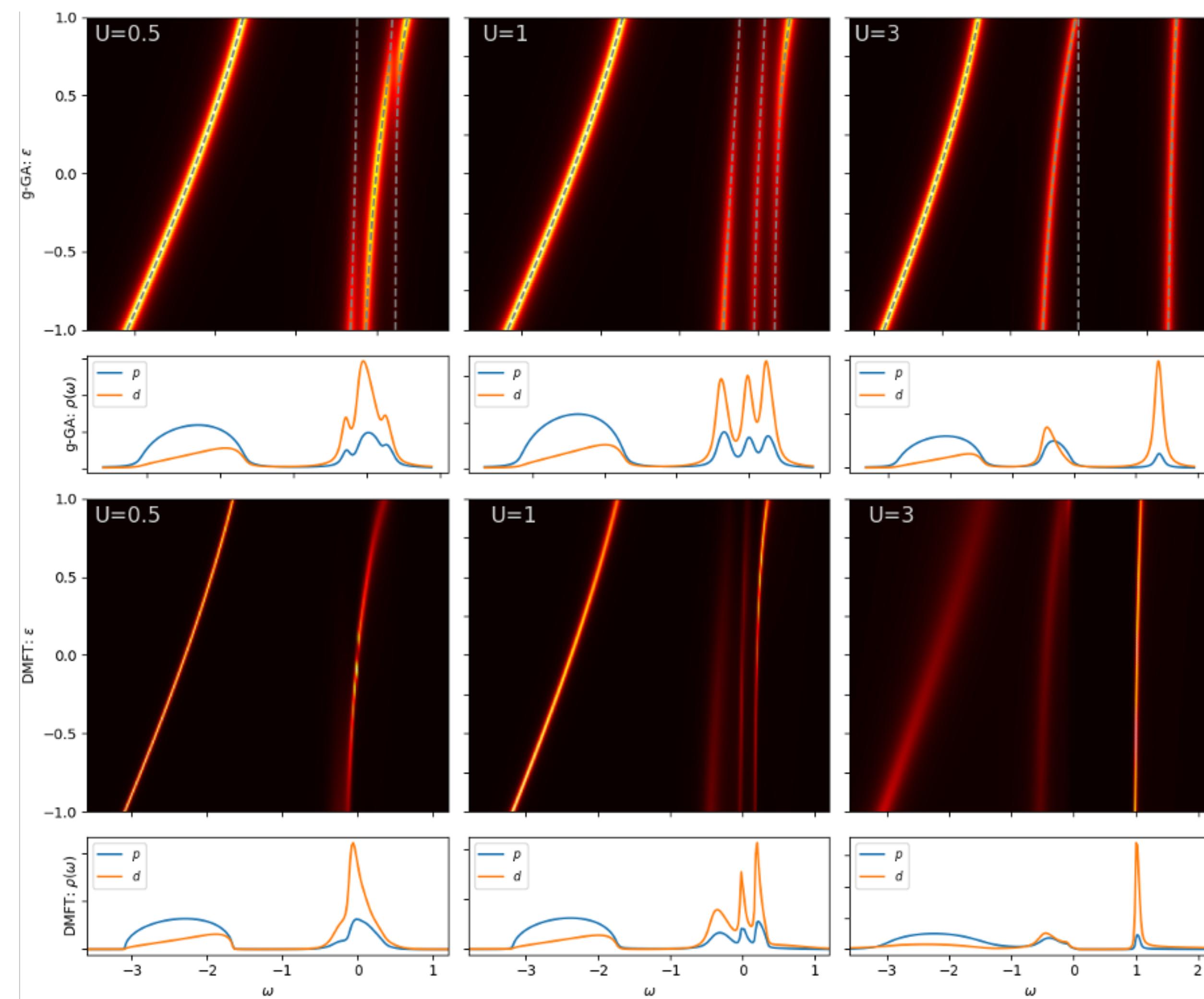


$$\hat{H} = \sum_{ij} \sum_{\sigma} (t_{ij} + \delta_{ij}\epsilon_p) p_{i\sigma}^{\dagger} p_{j\sigma} + \sum_i \frac{U}{2} (\hat{n}_{di} - 1)^2$$

$$+ V \sum_{i\sigma} (p_{i\sigma}^{\dagger} d_{i\sigma} + \text{H.c.}) - \mu \sum_i \hat{N}_i$$



Benchmark calculations ALM:



$$\hat{H} = \sum_{ij} \sum_{\sigma} (t_{ij} + \delta_{ij}\epsilon_p) p_{i\sigma}^{\dagger} p_{j\sigma} + \sum_i \frac{U}{2} (\hat{n}_{di} - 1)^2 + V \sum_{i\sigma} (p_{i\sigma}^{\dagger} d_{i\sigma} + \text{H.c.}) - \mu \sum_i \hat{N}_i$$

Analytical (approximate)
expression for self-energy

$$\Sigma_{dd}^{\text{g-GA}}(\omega) = \mu + \frac{U}{2} + \frac{l_1}{r_1^2} - \omega \frac{1-r_1^2}{r_1^2} + \frac{(\omega-l_1)^2}{r_1^4} \left[(\omega-l_3)r_2 + (\omega-l_2)r_3 \right] \left[(\omega-l_2)(\omega-l_3) + \frac{\omega-l_1}{r_1^2} (r_2(\omega-l_3) + r_3(\omega-l_2)) \right]^{-1}$$

**THANK YOU FOR YOUR
ATTENTION !!!**