

# Imaging Dirac-mass disorder from magnetic dopant atoms in the ferromagnetic topological insulator $\text{Cr}_x(\text{Bi}_{0.1}\text{Sb}_{0.9})_{2-x}\text{Te}_3$

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To achieve and use the most exotic electronic phenomena predicted for the surface states of 3D topological insulators (TIs), it is necessary to open a “Dirac-mass gap” in their spectrum by breaking time-reversal symmetry. Use of magnetic dopant atoms to generate a ferromagnetic state is the most widely applied approach. However, it is unknown how the spatial arrangements of the magnetic dopant atoms influence the Dirac-mass gap at the atomic scale or, conversely, whether the ferromagnetic interactions between dopant atoms are influenced by the topological surface states. Here we image the locations of the magnetic (Cr) dopant atoms in the ferromagnetic TI  $\text{Cr}_{0.08}(\text{Bi}_{0.1}\text{Sb}_{0.9})_{1.92}\text{Te}_3$ . Simultaneous visualization of the Dirac-mass gap  $\Delta(r)$  reveals its intense disorder, which we demonstrate is directly related to fluctuations in  $n(r)$ , the Cr atom areal density in the termination layer. We find the relationship of surface-state Fermi wavevectors to the anisotropic structure of  $\Delta(r)$  not inconsistent with predictions for surface ferromagnetism mediated by those states. Moreover, despite the intense Dirac-mass disorder, the anticipated relationship  $\Delta(r) \propto n(r)$  is confirmed throughout and exhibits an electron–dopant interaction energy  $J^* = 145 \text{ meV}\cdot\text{nm}^2$ . These observations reveal how magnetic dopant atoms actually generate the TI mass gap locally and that, to achieve the novel physics expected of time-reversal symmetry breaking TI materials, control of the resulting Dirac-mass gap disorder will be essential.

ferromagnetic topological insulator | Dirac-mass gapmap | Dirac-mass disorder | magnetic dopant atoms

That the surface states of 3D topological insulators (TIs) exhibit a “massless” Dirac spectrum  $E(\mathbf{k}) = \hbar v \mathbf{k} \cdot \boldsymbol{\sigma}$  with spin-momentum locking and protected by time-reversal symmetry is now firmly established. Opening a gap in this spectrum is key to the realization of several extraordinary new types of electronic phenomena. The prevalent approach to opening this “Dirac-mass gap” is to dope the materials with magnetic atoms (1–6). A plethora of new physics is then predicted, including  $\sigma_{xy} = \pm e^2/h$  quantum anomalous Hall effects (QAHE) (7, 8), topological surface-state magneto-electric effects (9–12), related magneto-optical Kerr and Faraday rotations (10, 13, 14), axionic-like electrodynamics (15, 16), and even  $E$ -field induced magnetic monopoles (17, 18). As yet, none of these phenomena except the QAHE (19–22) have been detected, and the QAHE itself is poorly understood because  $\sigma_{xy} = \pm e^2/h$  is observed only at temperatures far below 1 K.

Interactions between the TI surface electrons and the magnetic dopant atoms at random surface locations  $\mathbf{r}_i$  can be represented theoretically by a Hamiltonian of the type  $H_{DA} = -J^* \sum S_i \cdot s \delta(\mathbf{r} - \mathbf{r}_i)$ . Here  $S_i$  ( $s$ ) is the spin of each dopant (surface-state carrier) measured in units of  $\hbar$ , and  $J^*$  is their exchange-

interaction energy scale. In the simple case of a homogenous ferromagnetic state with magnetization parallel to the surface normal  $\hat{z}$ , the Hamiltonian becomes  $H = -J^* n_0 S_z \sigma_3 / 2$ , where  $n_0$  is the average 2D dopant-atom density and  $S_z$  the magnitude of the  $z$  component of the dopant spin. Such interactions should open a Zeeman-like energy gap of magnitude  $\Delta = J^* M_z / 2\mu_B (\equiv mv^2)$ , where  $M_z = n_0 S_z \mu_B$  is the homogeneous  $\hat{z}$ -aligned magnetization,  $m$  is the Dirac mass, and  $v$  is the Fermi velocity. The resulting surface-state dispersion is given by  $E_{\pm}(k) = E_D \pm \sqrt{(\hbar v)^2 k^2 + \Delta^2}$ , where  $E_D$  is the Dirac point of the ungapped bands measured relative to the surface-state Fermi energy  $E_F$ , and  $\Delta$  is the Dirac-mass gap. Angle-resolved photoemission studies provide good evidence that high densities of magnetic dopant atoms generate a ferromagnetic state and open such energy gaps in TI materials (23, 24). Nevertheless, theoretical studies of dopant effects (1–6) have raised several fundamental issues about the atomic-scale phenomenology of ferromagnetic TIs that can be resolved only by direct electronic

## Significance

Surface states of topological insulators (TIs) should exhibit extraordinary electronic phenomena when a ‘Dirac-mass gap’ is opened in their spectrum, typically by creating a ferromagnetic state. However, our direct visualization of the Dirac-mass gap  $\Delta(r)$  in a ferromagnetic TI reveals its intense disorder at the nanoscale. This is correlated with the density of magnetic dopant atoms  $n(r)$ , such that  $\Delta(r) \propto n(r)$  as anticipated for surface-state-mediated ferromagnetism. Consequent new perspectives on ferromagnetic TI physics include that the quantum anomalous Hall effect occurs in this environment of extreme Dirac-mass disorder and that paths of associated chiral edge states must be tortuous. To achieve all the exotic physics expected of ferromagnetic TIs, greatly improved control of dopant-induced Dirac-mass gap disorder is therefore required.

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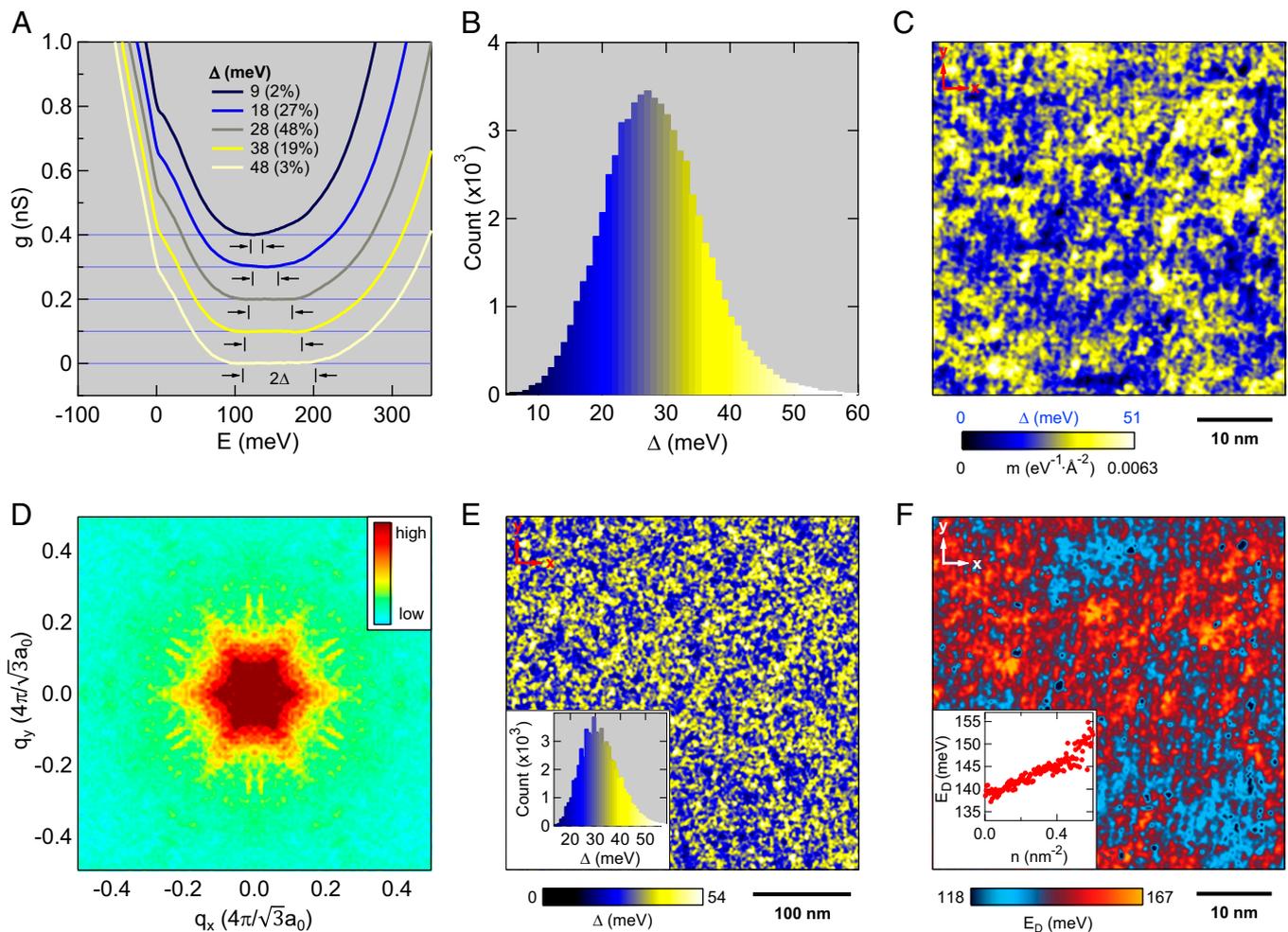
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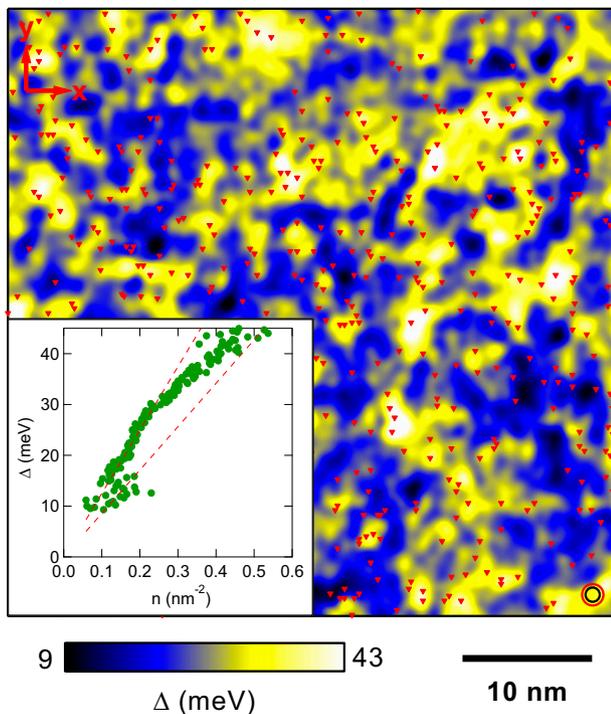


**Fig. 3.** Dirac-mass gapmap. (A) Measured conductance spectra,  $\bar{g}(\Delta)$ , each representing the average of all spectra with the same value of  $\Delta$  from the FOV of Fig. 1A. Each  $\bar{g}(\Delta)$  is offset vertically for clarity and the zero of conductance is shown by a fine horizontal line. The value  $2\Delta$  in each  $\bar{g}(\Delta)$  is the energy span between the pairs of arrows. (B) Histogram of the  $\Delta(r)$  measured in the FOV of Fig. 1A. (C) Dirac-mass gapmap  $\Delta(r)$  [or Dirac-mass map  $m(r)$ ] extracted from  $g(r, E)$  measured in the FOV of Fig. 1A. This is typical of maps made using similar parameters on multiple samples of this compound. Tip-induced band bending effects have been systematically ruled out by checking that these results are independent of the tip elevation. (D) Fourier transform of the Dirac-mass gap map  $\Delta(r)$  from the FOV of Fig. 1A. The  $q$ -space anisotropy in  $\Delta(q)$  is as would be expected due to the anisotropic values of  $k_F$  in the TI of the surface states. (E) Dirac-mass gap  $\Delta(r)$  measured in the  $360 \times 360$ -nm<sup>2</sup> FOV much larger than the map in C. *Inset* shows the histogram of  $\Delta(r)$ . (F) Map of estimated ungapped Dirac-point energy (gap center),  $E_D(r) (= \int f(r, E) \cdot E dE / \int f(r, E) dE)$  obtained in the same FOV as C, where  $f(r, E)$  is the gap mask function defined in the text. *Inset* shows each data point represents the average value of gap center  $E_D$  over all of the regions having the same value of Cr density  $n$ .

in the same field of view (FOV) as Fig. 1A, with Fig. 1C, *Inset* showing its spectral-density Fourier transform  $g(q, E)$ , which exemplifies the surface-state quasiparticle scattering interference (QPI) phenomena (32–34).

Here we introduce the QPI technique to the study of ferromagnetically gapped TI surface states, by simultaneously imaging the tunnel current  $I(r, E = eV)$  and  $g(r, E)$ . We use this approach because the density of surface electronic states  $N(r, E)$  is related to the differential tunneling conductance as  $g(r, E) \propto [eI_s / \int_0^{V_s} N(r, E') dE'] N(r, E)$  ( $I_s$  and  $V_s$  are arbitrary parameters). Thus, valid determination of  $N(r, E)$  is not possible because the denominator  $\int_0^{V_s} N(r, E') dE'$  is unknown and heterogeneous (ref. 28 and below). We mitigate the consequent and serious systematic errors by using the function  $K(r, E) = (I(E)g(r, E))/I(r, E)$  because  $I^{-1}(r, E) \propto \int_0^{V_s} N(r, E') dE'$  and  $\langle I(E) \rangle$  provides normalization from the spatially averaged current. Then, when  $g(r, E)$  and  $I(r, E)$  are measured at  $T = 4.5$  K in the FOV of Fig. 1A and  $K(q, E)$ , the spectral-density Fourier transform of  $K(r, E)$ , is determined (SI Text, section 3). Analyzing these QPI data for  $E < E_F$ , we find dispersion of the surface states consistent with angle

resolved photoemission spectroscopy (ARPES) studies of the same samples (SI Text, section 3). Moreover, above  $E_F$  the QPI data reveal vividly the appearance of the Dirac-mass gap starting at  $E \approx 130$  meV (SI Text, section 3). Fig. 2A–P illustrates this result directly, using a sequence of typical  $K(q, E)$  images that span the energy range  $105 \text{ meV} < E < 220 \text{ meV}$ . With increasing  $E$ , the surface-state QPI signature evolves smoothly and with diminishing  $|q|$  until  $q = 0$  is reached just above  $E \approx 130$  meV (Fig. 2G). At this point, the surface-state QPI disappear, leaving only noise near  $q = 0$ . Just below  $E \approx 200$  meV, the surface-state QPI signatures reappear once again, emerging from  $q = 0$  (Fig. 2M). In Fig. 2Q we show the measured  $K(q_y, E)|_{q_x=0}$  from the same  $K(q, E)$  data revealing directly how the QPI dispersion evolves toward  $q = 0$  for  $E < 130$  meV, disappears at  $130 \text{ meV} < E < 200$  meV, and then reappears to evolve away from  $q = 0$  at  $E > 200$  meV. This situation is very well described by two surface-state bands  $E_{\pm}(\mathbf{k}) = E_D \pm \sqrt{(\hbar v)^2 k^2 + \Delta^2}$ , meaning that the energy range devoid of QPI between the two band edges is twice the Dirac-mass gap  $\Delta$ . For comparison, the



**Fig. 4.** Atomic-scale measurements of interaction strength of surface states with magnetic dopant atoms. Shown above is a measured Dirac-mass gap map  $\Delta(r, \zeta=0.55 \text{ nm})$  with Gaussian smoothing length  $\zeta$ , overlaid with Cr locations measured from Fig. 1A (red triangles). Cr atoms are observed to be positioned with high probability in the larger gap areas (yellow), but rarely in the smaller gap areas (blue). The other fainter features in topography (white) are shown not to occur at Bi/Sb substitutional sites, so we do not assign them as magnetic dopant atoms. Red and black circles on the right bottom corner indicate the correlation radii  $\xi = 0.82 \text{ nm}$  and  $\zeta = 0.55 \text{ nm}$ , respectively. (Inset) Each data point represents the average value of Dirac mass gap over all of the regions having the same value of Cr density  $n$ . The resulting slope of best linear fit yields  $J^* = 145 \text{ meV}\cdot\text{nm}^2$ . This is to our knowledge the first atomic-scale measurement of the interaction strength of surface states with magnetic dopant atoms in a ferromagnetic TI. The uncertainty represented by two dashed lines is not statistical but comes from the systematic uncertainty in magnitude of  $S_z$ , which we take to be 20%.

spatially averaged differential conductance  $\bar{g}(E)$  in the same FOV is shown in Fig. 2R. Its magnitude becomes indistinguishable from zero between  $130 \text{ meV} < E < 200 \text{ meV}$ , demonstrating independently that the Dirac-mass gap  $\Delta$  has opened in this range as indicated by the arrows spanning  $2\Delta$ . Thus, the magnitude of  $\Delta$  can be detected both directly and locally by measuring half the energy range where tunneling conductance is indistinguishable from zero in  $g(r, E)$  (e.g., black arrows in Fig. 2R). These, and equivalent observations in multiple samples, also demonstrate that the ungapped Dirac point is somewhere near  $E_D = +150 \text{ meV}$ . More significantly they also show directly that the Dirac-mass gap magnitude is  $\Delta \sim 30 \text{ meV}$  and that the bulk states also seem gapped because no tunneling is detected at  $T = 4.5 \text{ K}$  in this energy range. Thus, as widely reported (19–22, 29–31), the  $\text{Cr}_x(\text{Bi}_y\text{Sb}_{1-y})_{2-x}\text{Te}_3$  materials appear to be excellent candidates to exhibit the exotic new phenomena predicted for the gapped surface states of a TI.

### Visualization of the Dirac-Mass Gap

Next we introduce the Dirac-mass “gapmap” technique designed to measure spatial arrangements of  $\Delta(r)$  and apply it throughout the FOV of Fig. 1A. Atomically resolved  $g(r, E)$  data are measured at  $4.5 \text{ K}$  and, for each pixel location  $r$ , we define a mask function  $f(r, E) = 1$  if  $g(r, E) < 40 \text{ pS}$  (the tunnel conductance

noise floor) and  $f(r, E) = 0$  otherwise. This determines the value of Dirac-mass gap  $\Delta(r) = (1/2) \int f(r, E) dE$ . Fig. 3A shows a sequence of spectra,  $\bar{g}(\Delta)$ , each representing the average of all spectra measured to have the same value of  $\Delta$ . Each  $\bar{g}(\Delta)$  is vertically offset by the same amount for clarity; the zero of conductance in each case is indicated by a fine horizontal line. The value of  $2\Delta$  is then indicated for each  $\bar{g}(\Delta)$  by the energy span between the pairs of arrows in Fig. 3A. Fig. 3B shows the histogram of all values of  $\Delta(r)$  detected in the FOV of Fig. 1A and labels each value of  $\Delta$ , using a color scale. The distribution of  $\Delta(r)$  is centered near  $\Delta = 28 \text{ meV}$  and exhibits a wide but approximately normal distribution. Finally, Fig. 3C shows the atomically resolved spatial arrangements of  $\Delta(r)$  in a Dirac-mass gapmap. The autocorrelation width of this image is  $1.24 \text{ nm}$  (SI Text, section 4), indicating that there are a wide variety of nanodomains of like  $\Delta$ , each with radius near  $0.62 \text{ nm}$ . Due to coalescence, of course, many regions of similar  $\Delta$  are significantly larger (Fig. 3C).

### Interplay of Surface States and Ferromagnetism

Because  $E_F$  is  $\sim 150 \text{ meV}$  below the implied Dirac point, the hexagonal warping of the Fermi surface (e.g., Fig. 1C and SI Text, section 3) should play a significant role, because the magnitude of  $k_F$  becomes a function of direction in momentum space. A rotational anisotropy in the Dirac-mass gap would then be expected from models similar to Eq. 1, if dopant atoms interact magnetically via the TI surface states. Taking the Fourier transform of the measured  $\Delta(r)$  (Fig. 3C), this is what we detect (Fig. 3D), with the lobes in  $\Delta(q)$  oriented in the expected directions. When viewed in a much larger field of view (Fig. 3E), the  $\Delta(r)$  remain rather uniformly distributed with no extreme outliers in the mass gap fluctuations. Moreover, we detect a small energy shift of each local Dirac point  $E_D(r)$  from analysis of the  $g(r, E)$  spectra (SI Text, section 5). This effect varies weakly in space (Fig. 3F) with fluctuations of  $E_D$  of up to  $\sim 10 \text{ meV}$  (Fig. 3F, Inset). Indeed, by comparing the  $q$ -space geometry from QPI measurements at  $E = 0$  and  $E = +10 \text{ mV}$  we can roughly estimate that (SI Text, section 5) at 8% substitution each Cr atom contributes  $\sim 0.01$  holes to the TI surface-state band. These minuscule dopant-induced surface-state band shifts are expected if Cr is an isovalent dopant and do not alter the Fermi surface geometry appreciably. Therefore, they negligibly impact the primary electronic processes leading to the Dirac-mass gap whose characteristic energy range is at least five times greater. Overall, the dominant revelation in these data is how strikingly disordered at the nanoscale (Fig. 3) are the Dirac-mass gaps of these doped ferromagnetic TIs.

### Atomic-Scale Influence of the Cr Dopant Atoms

Could the type of Dirac-mass disorder uncovered in Fig. 3C and  $E$  be driven by local variations of  $M_z(r)$  due to deviations in  $n(r)$  arising from the random distribution of the Cr dopant atoms? To study this issue, we first identify the location of each Cr atom  $Cr(r) = \delta(r - r_{Cr})$ , where  $r_{Cr}$  are the centers of all dark triangles in Fig. 1A. The results are indicated by the red triangles in Fig. 4. Next, to establish a local measure of areal density,  $n(r)$ , it is necessary to define a distance scale (SI Text, section 4). To do so we define two images  $n(r, \xi)$  and  $\Delta(r, \zeta)$ , where  $\xi(\zeta)$  is the Gaussian correlation length of  $n(r)$  ( $\Delta(r)$ ) images. Then we identify the maximum in the normalized cross-correlation of these two processed images  $n(r, \xi) : \Delta(r, \zeta)$  as a function of both  $\xi$  and  $\zeta$ . We find that it occurs at  $\xi \sim 0.82 \pm 0.1 \text{ nm}$ , the empirical radius of influence of each Cr atom at which their arrangements correspond maximally to the Dirac-mass arrangements in  $\Delta(r)$ , whereas for  $\Delta(r)$  this occurs at  $\zeta \sim 0.55 \pm 0.1 \text{ nm}$ . Notwithstanding which microscopic interactions drive the surface ferromagnetism in CBST, we find that the distribution of Cr atoms  $n(r)$  is correlated manifestly with the  $\Delta(r)$ . One can see this

directly in Fig. 4, which is a representative subset of Fig. 3C in which every Cr site is represented by a red triangle. Furthermore, Fig. 4, *Inset* shows the plot of the average value of  $\Delta(\mathbf{r})$  associated with each value of  $n(\mathbf{r})$  and reveals a quasi-linear relationship between local Dirac-mass gap and local Cr density. Indeed, the slope of  $\Delta(\mathbf{r}) = \lambda \cdot n(\mathbf{r})$  allows the surface-state–dopant interaction energy scale (e.g., Eq. 2) to be measured directly. Thus, for Cr-doped CBST, we find that  $J^* = 145 \pm 25$  meV·nm<sup>2</sup> everywhere, despite strong fluctuations in  $n(\mathbf{r})$  (Figs. 1A and 4).

## Conclusions and Discussion

To summarize, by studying the recently developed material Cr<sub>x</sub>(Bi<sub>y</sub>Sb<sub>1-y</sub>)<sub>2-x</sub>Te<sub>3</sub> we provide to our knowledge the first simultaneous visualization of the location of magnetic dopant atoms and the Dirac-mass gap in a ferromagnetic TI. By using QPI imaging of the TI surface states for  $E > E_F$ , we detect the Dirac mass gap of magnitude  $\Delta \sim 30$  meV with a hidden Dirac point at  $E_D \sim 150$  meV (Fig. 2). Imaging  $\Delta(\mathbf{r})$  reveals directly (Figs. 3C and 4) that its nanoscale disorder is intense. We then demonstrate that the  $\Delta(\mathbf{r})$  disorder is robustly correlated with fluctuations in the areal density  $n(\mathbf{r})$  of magnetic Cr atoms in the crystal termination layer (Fig. 4). Moreover, the miniscule scale of dopant-induced surface-state band shifts (Fig. 3F and *SI Text*, section 5) shows that  $\Delta(\mathbf{r})$  fluctuations are not due to chemical disorder. Conversely, we confirm everywhere the relationship  $\Delta(\mathbf{r}) \propto n(\mathbf{r})$ , a result not inconsistent with surface-state–mediated ferromagnetism (*SI Text*, section 1). Finally, in the latter context we derive a universal dopant–surface-state interaction energy scale of  $J^* = 145$  meV·nm<sup>2</sup> (Fig. 4) for Cr<sub>0.08</sub>(Bi<sub>0.1</sub>Sb<sub>0.9</sub>)<sub>1.92</sub>Te<sub>3</sub>.

The Dirac-mass gapmap technique introduced here reveals several new perspectives on the physics of ferromagnetic topological insulators. The key characteristics observed in  $\Delta(\mathbf{r})$  such as its overall magnitude, the spatial correlation of  $\Delta(\mathbf{r})$  with  $n(\mathbf{r})$

on the  $\sim 1.5$ -nm scale, the local proportionality  $\Delta(\mathbf{r}) \propto n(\mathbf{r})$ , and the structure of  $\Delta(\mathbf{q})$  oriented with anisotropic Fermi wavevectors  $k_F(E = 0)$  of the surface states are all consistent with Dirac-mass gap opening due to surface-state–mediated ferromagnetism. Moreover, the mysterious QAHE phenomenology (19–22) must now be reconsidered as occurring in the context of extreme Dirac-mass disorder at the nanoscale. Indeed, the chiral states expected at the perimeters of ferromagnetic domains (35) now seem required to navigate through a geometrically complex environment. A key consequence could be that, if FM domain walls percolate (as might be anticipated from Fig. 4), the chiral edges at the sample boundary can hybridize through the percolating internal edges at the domain walls and the QAHE conductance lose its quantization because the sample is situated at the critical point of the quantum Hall plateau transition. Therefore, to reliably achieve all of the exotic physics expected of time-reversal symmetry breaking TI materials (7–18), it now appears that an approach to controlling the severe dopant-induced Dirac-mass gap disorder will first need to be identified. Application of techniques initiated here provides a promising new approach to this important challenge.

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