

# Electron Microscopes and Cameras

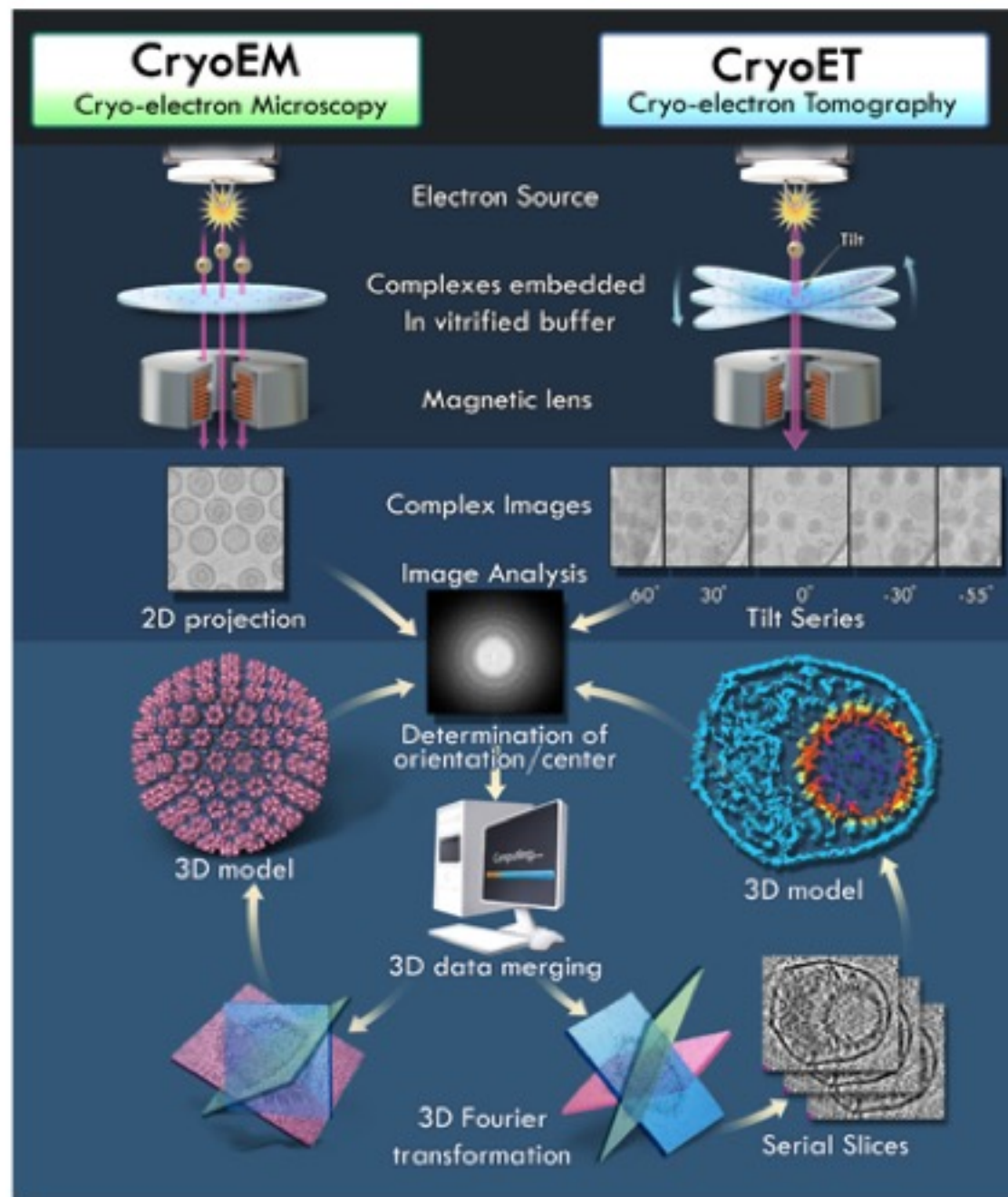
Chen Xu

UMass Chan Medical School

LBMS cryo-EM course June 14-17, 2022

# Transmission Cryo-Electron Microscopy

A tool used by structural biologists to study  
molecular nanomachines



# Outline

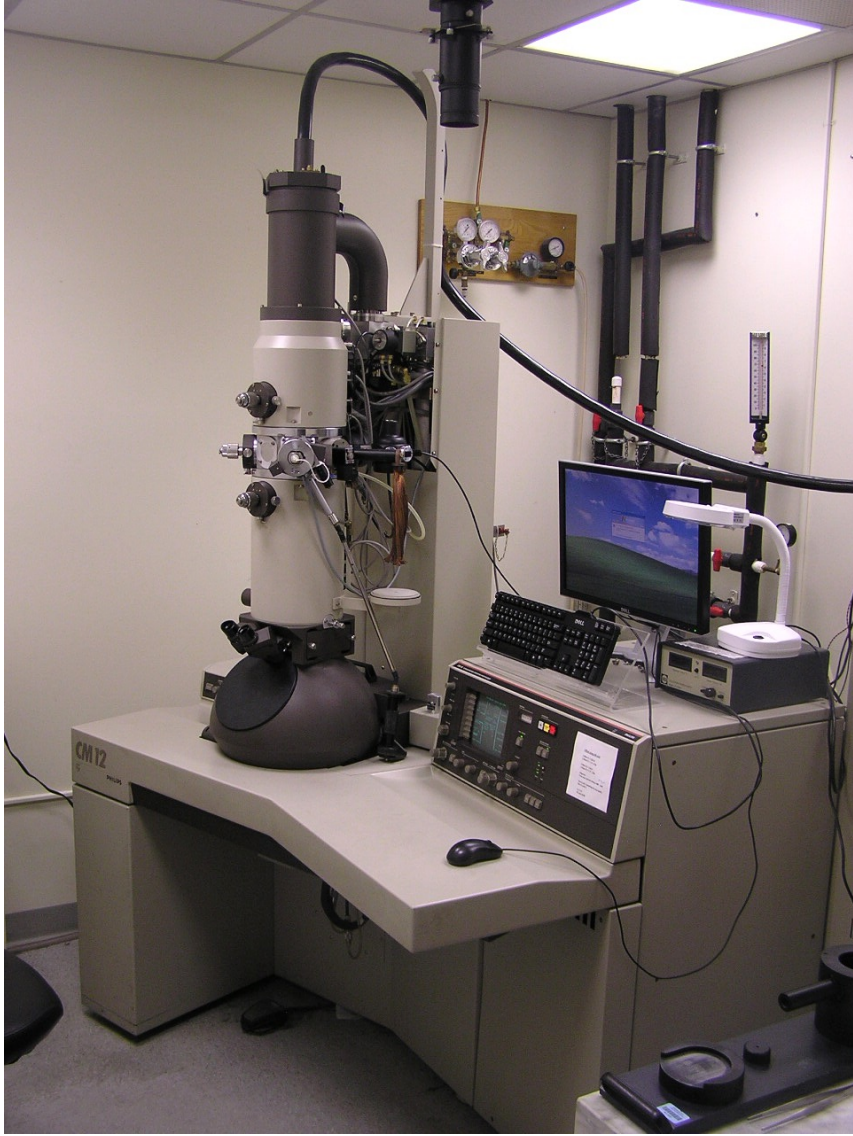
- I. Electron Microscope & Optics
- II. Cameras – from CCD to DED
- III. Advanced Software Control



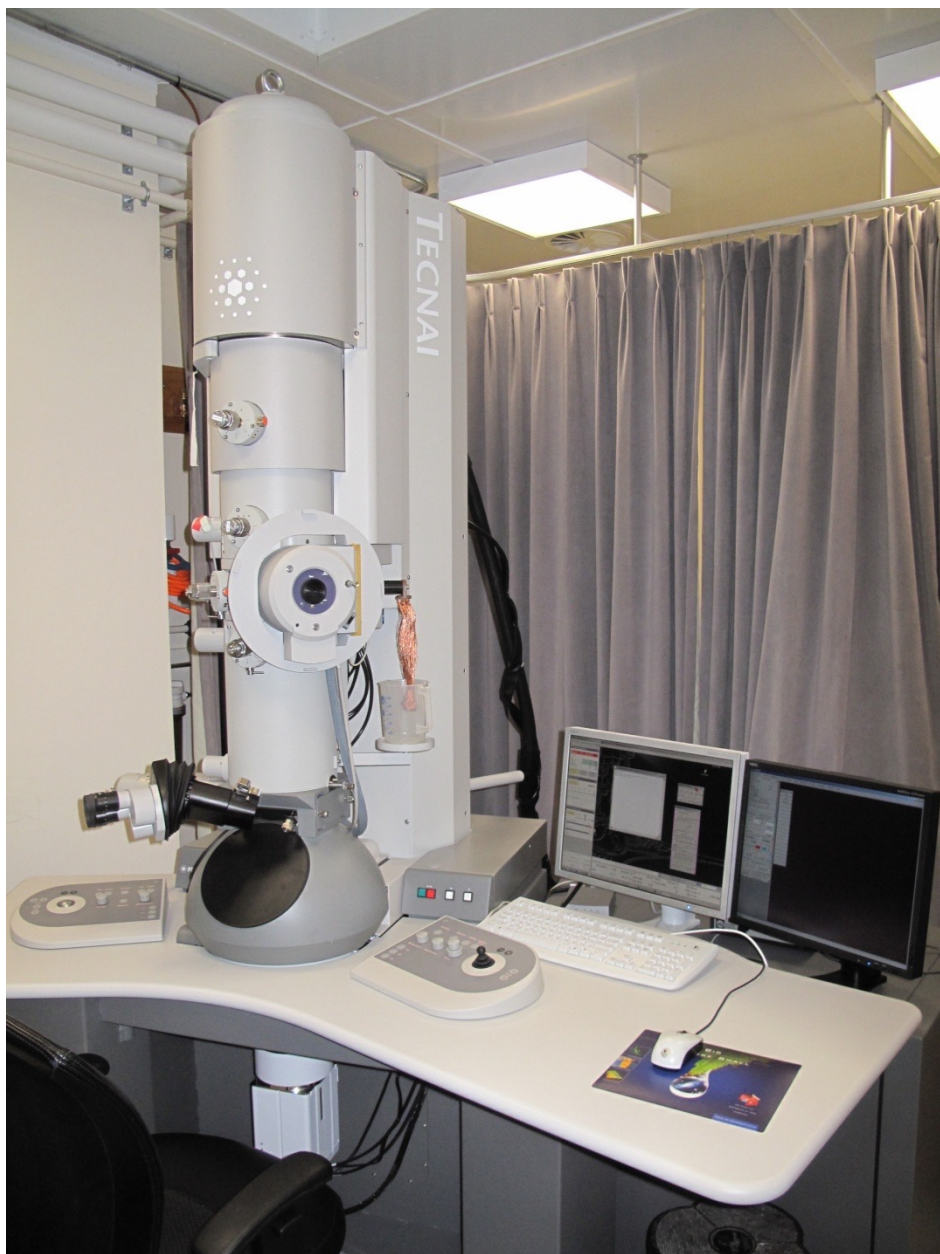
# I. Electron Microscope & Optics



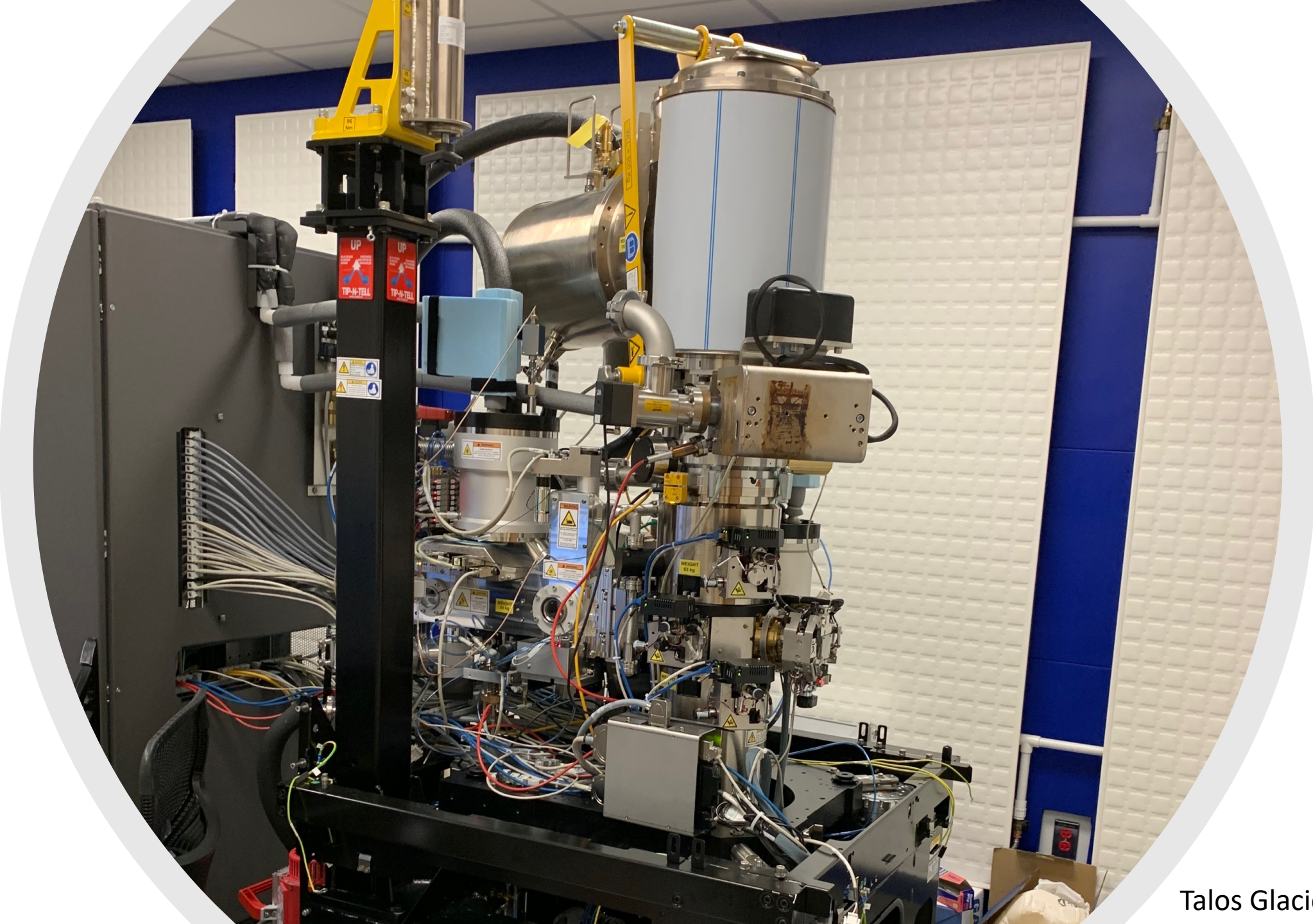
- 
- First EM was built by Ernst Ruska and Max Knoll in 1931









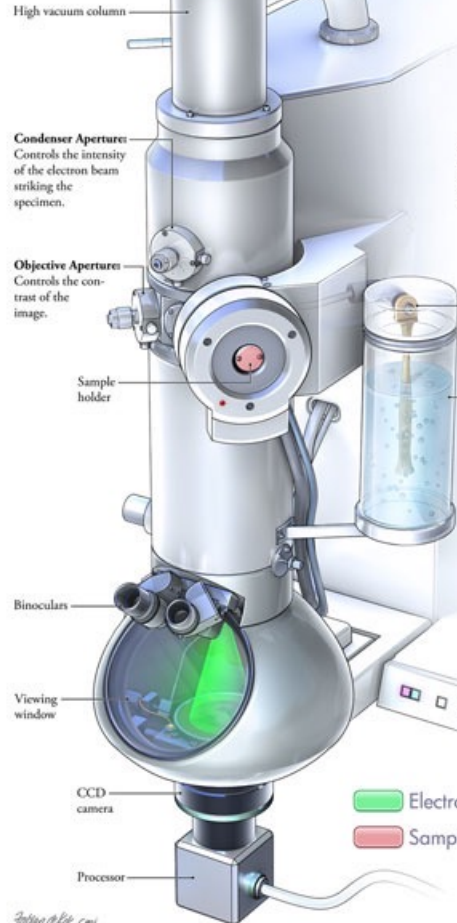


Talos Glacios @ UMass

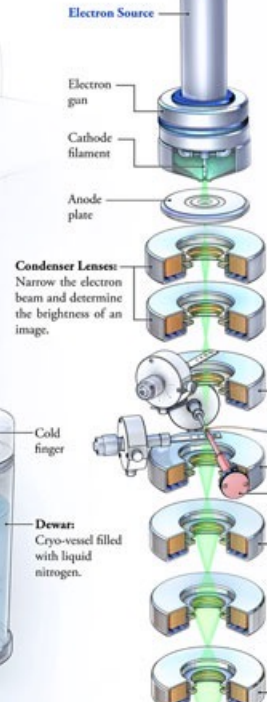


# Transmission Electron Microscopy

**Transmission Electron Microscopy (TEM):** TEM visualizes subcellular structures that cannot be observed with a light microscope. Using electrons instead of a light source, TEM records ultrastructural information at near atomic levels. Electromagnetic lenses manipulate the electron beam and magnify the image.

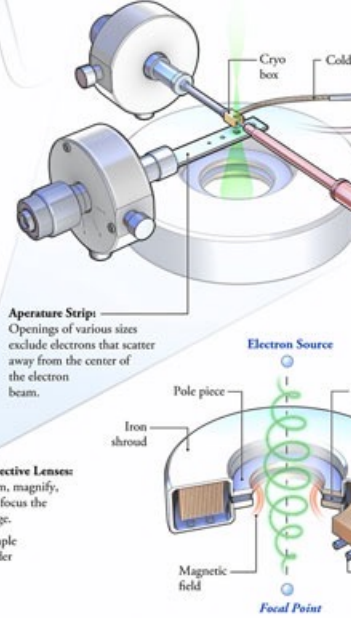


**Electron Gun:** Electrons are generated at a cathode filament from a high voltage source. A series of voltage differentials across the cathode filament, anode plate and successive lenses accelerate the electrons through the high vacuum column of the microscope. A small hole in the anode plate (aperture) focuses the electrons into beam.



# Structure, Function & 3D Reconstruction

**Cryo:** The highest resolution is attained with cryo-TEM. This is accomplished by freezing the specimen at  $-135^{\circ}\text{C}$ . The temperature is conducted to the specimen by a cold finger (copper wires) that sits within the Dewar.

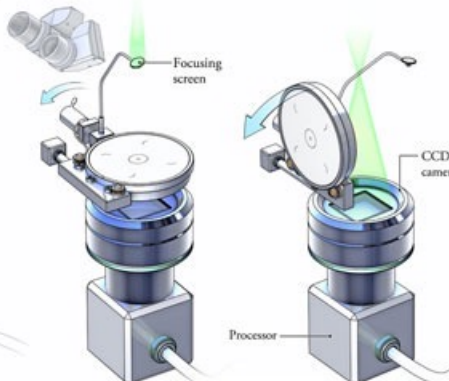


**Aperture Strips:** Openings of various sizes exclude electrons that scatter away from the center of the electron beam.

**Objective Lenses:** Form, magnify, and focus the image.

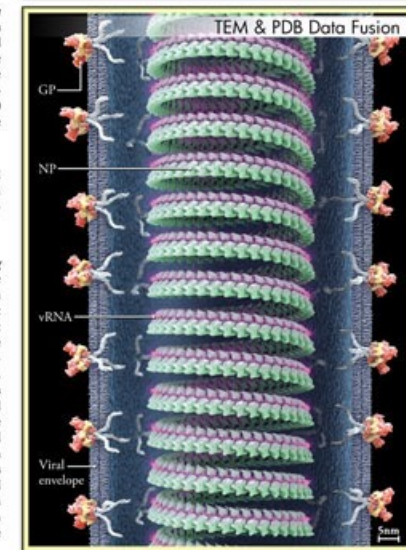
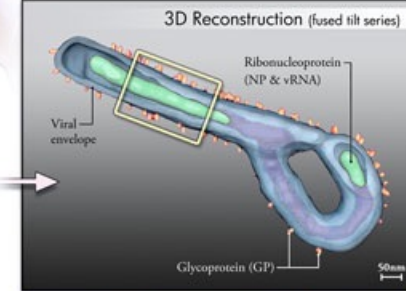
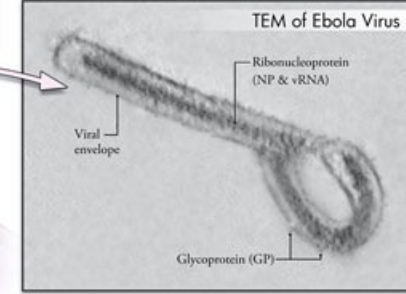
**Projector Lenses:** Magnify the image formed by the second objective lens and project it onto the fluorescent screen.

**Electromagnetic Lens:** An electromagnetic lens consists of a coil of tightly wound copper wires that's liquid cooled inside an iron shroud with a brass pole piece. A current applied to the coils creates an electromagnetic field within the bore of the pole piece, which is strongest at the pole piece gap. The magnetic field forces the electrons into a helical trajectory when passing through the lens and it converges them into a fine focal point after they emerge.



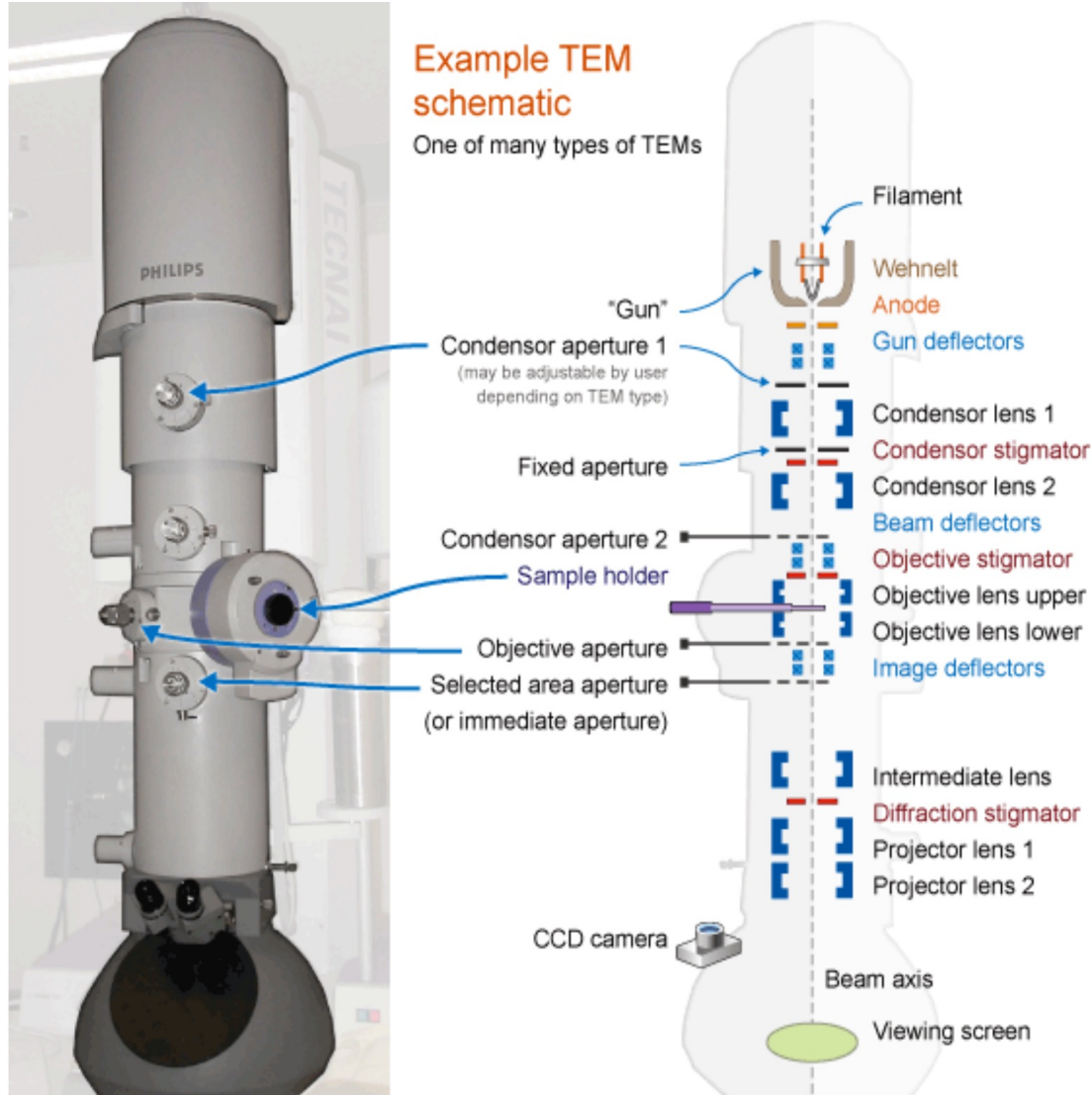
**Sample Holder & 3D Reconstructions:** A side-entry sample holder consists of a long metal rod with a mesh support grid at the tip. The specimen is placed on the grid and loaded into the bore of the TEM. 3D TEM reconstructions are created by tilting the sample holder from  $-70^{\circ}$  to  $+70^{\circ}$  and subsequently fusing the series of tilt images.

**Fluorescent & Focusing Screens:** Near the base of the TEM, the electron beam irradiates the fluorescent screen that generates light and creates a 2D image which can be viewed through the viewing window. Alternatively, the screen flips up and the beam is captured by a charge-coupled device (CCD) camera, processed and projected onto a computer screen. The focus of the image can be assessed by directing the electron beam onto a focusing screen and viewing it through the binoculars.



**TEM & PDB Data Fusion:** 2D and 3D data generated by TEM can be used in conjunction with volumetric data from the Protein Data Bank (PDB) to create accurate to-scale 3D models. The high contrast of TEM facilitates the evaluation of virus structure relationships.

# Anatomy of a TEM

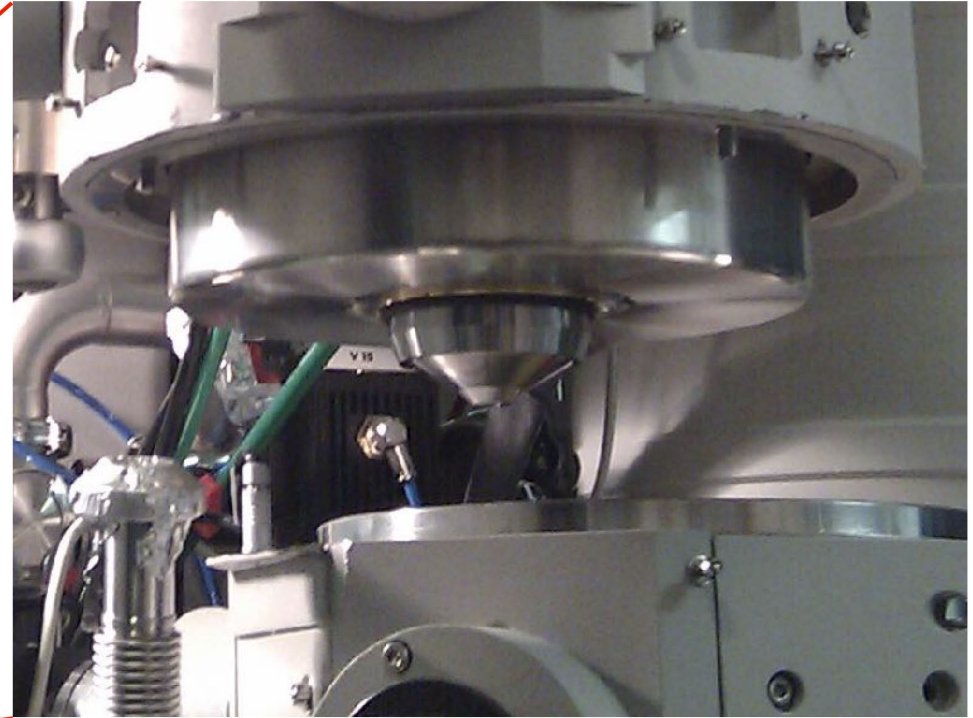
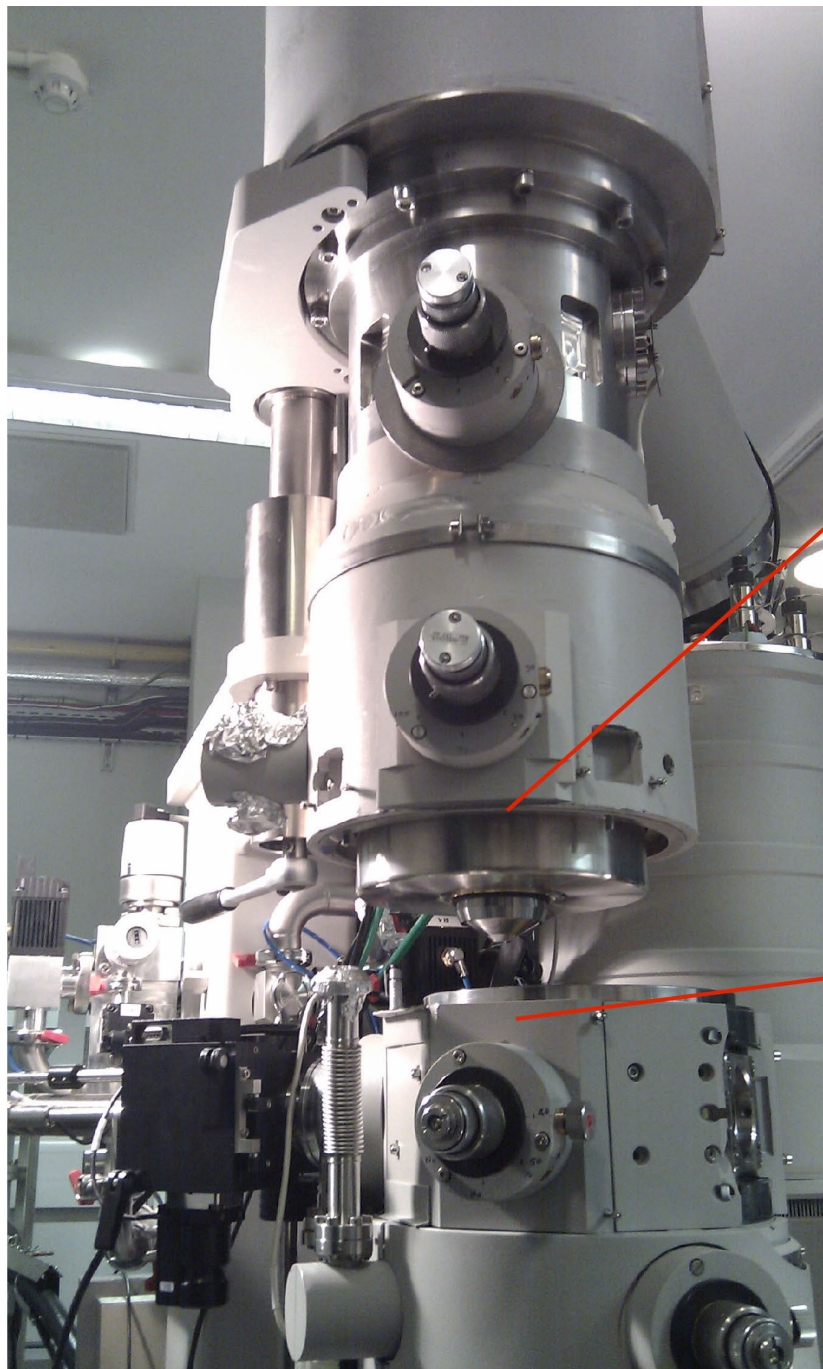


1. Electron Gun – beam source
2. Illumination System – Condenser lenses
3. Imaging System – Objective lenses
4. Projection system – Projector lens
5. Recording system - detectors

## Hardware Components

1. Electromagnetic lenses (condenser, objective, projectors)
2. Alignment coils, stigmator coils, blanker & shutter coils
3. Apertures
4. Cameras





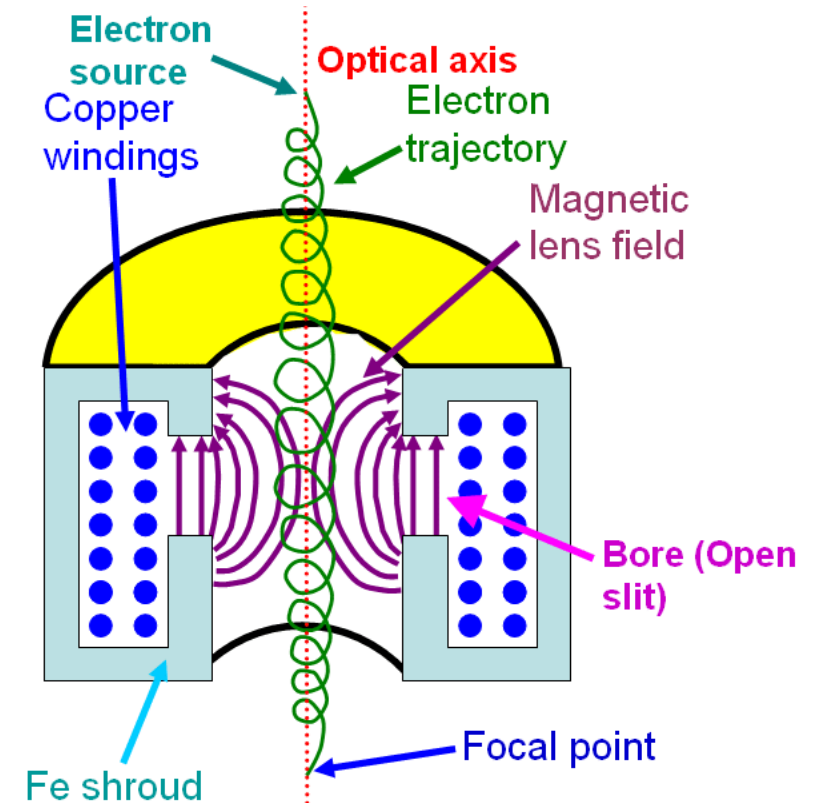
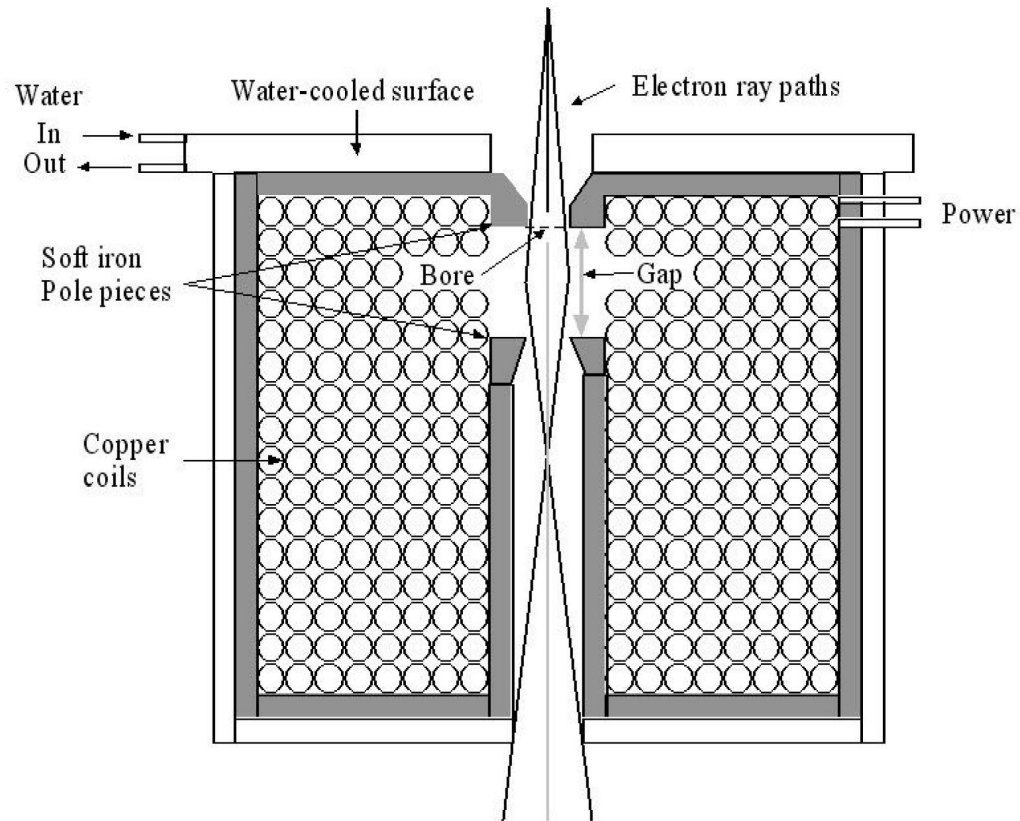


# Magnetic Lens

Concentrate flux

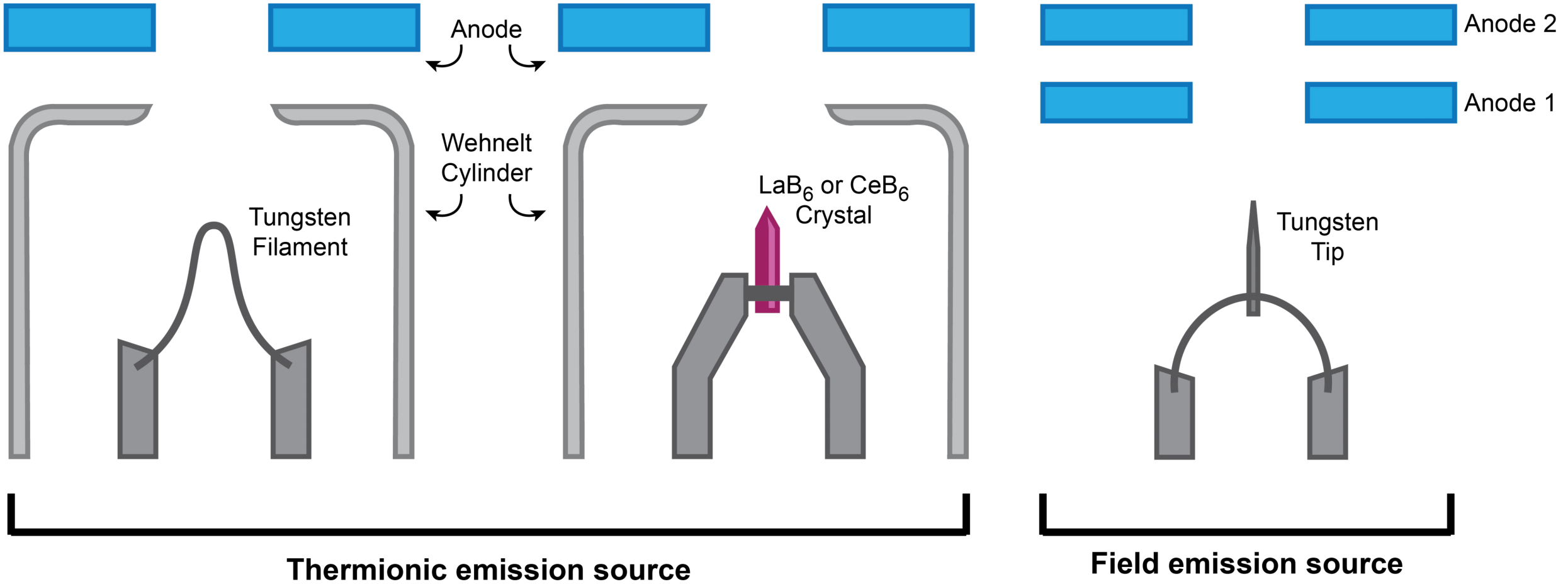
Field varies

Zero force on axial electrons

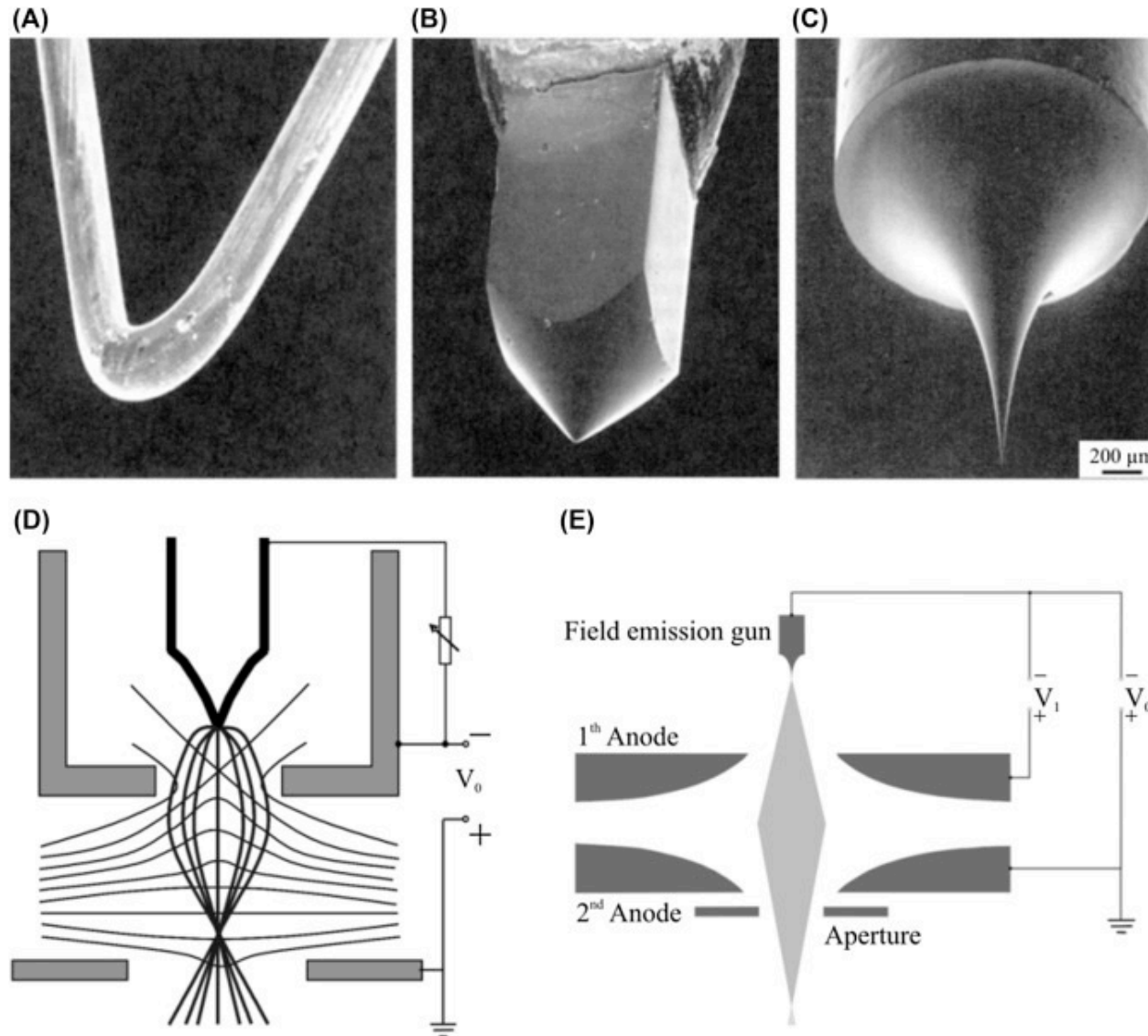


[www.globalsino.com/EM/](http://www.globalsino.com/EM/)

# Beam Source



# Beam Source



# FEG Beam Source Types

## Schottky-type

1. High temperature  $\sim 1800$  K
2. Energy spread  $\sim 0.7$  eV
3. Stable beam

## Cold-FEG

1. Room temperature
2. Energy spread  $\sim 0.3$  eV
3. Decrease with time

# Coherence of a Beam Source

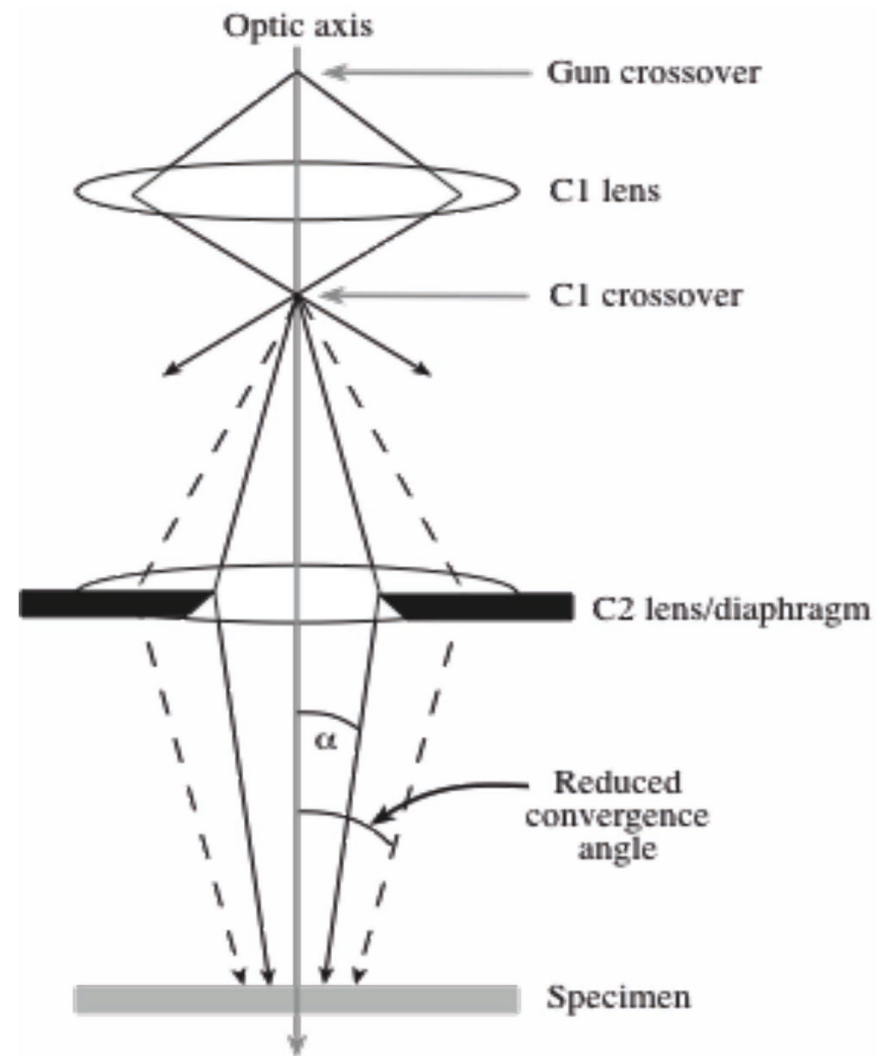
**Spatial Coherence** -- electrons emitting from small area

**Temporal Coherence** -- narrow wavelength range

# The Basic Electron Condenser System

Most TEMs 2 lenses + 1 aperture

Krios: 3 lenses + 1 aperture



# Aberrations due to Imperfect Lens

- Defocus
- Astigmatism
- Coma
- Spherical aberration
- CTF
- .....

They are corrected with **additional lenses** In the microscope

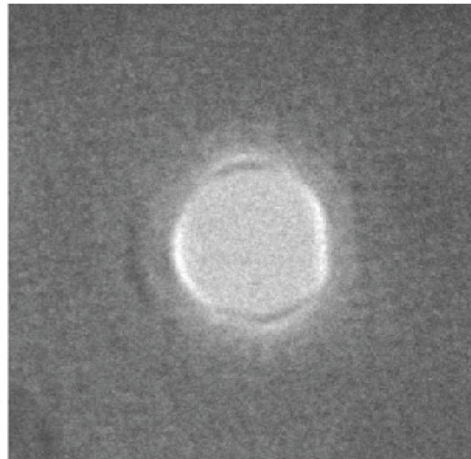
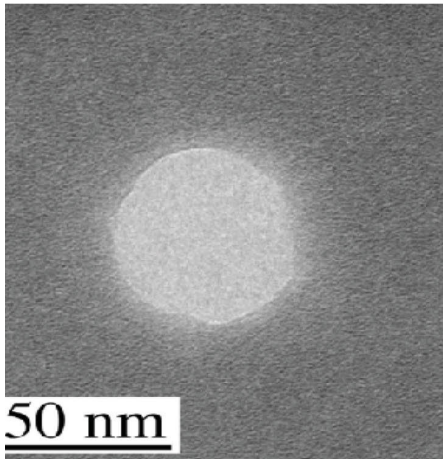
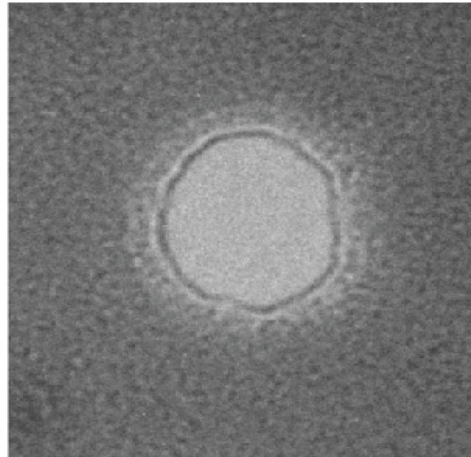
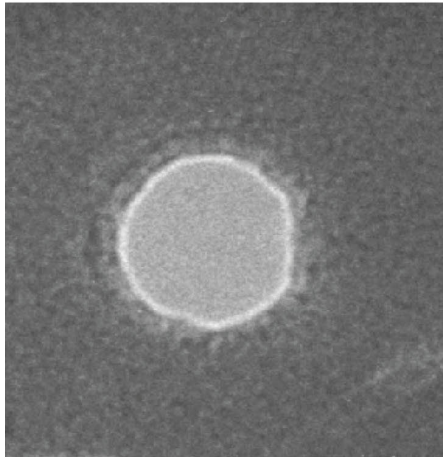
OR

In **software** after the image is collected  
("CTF correction")

# Focus terminology

underfocus

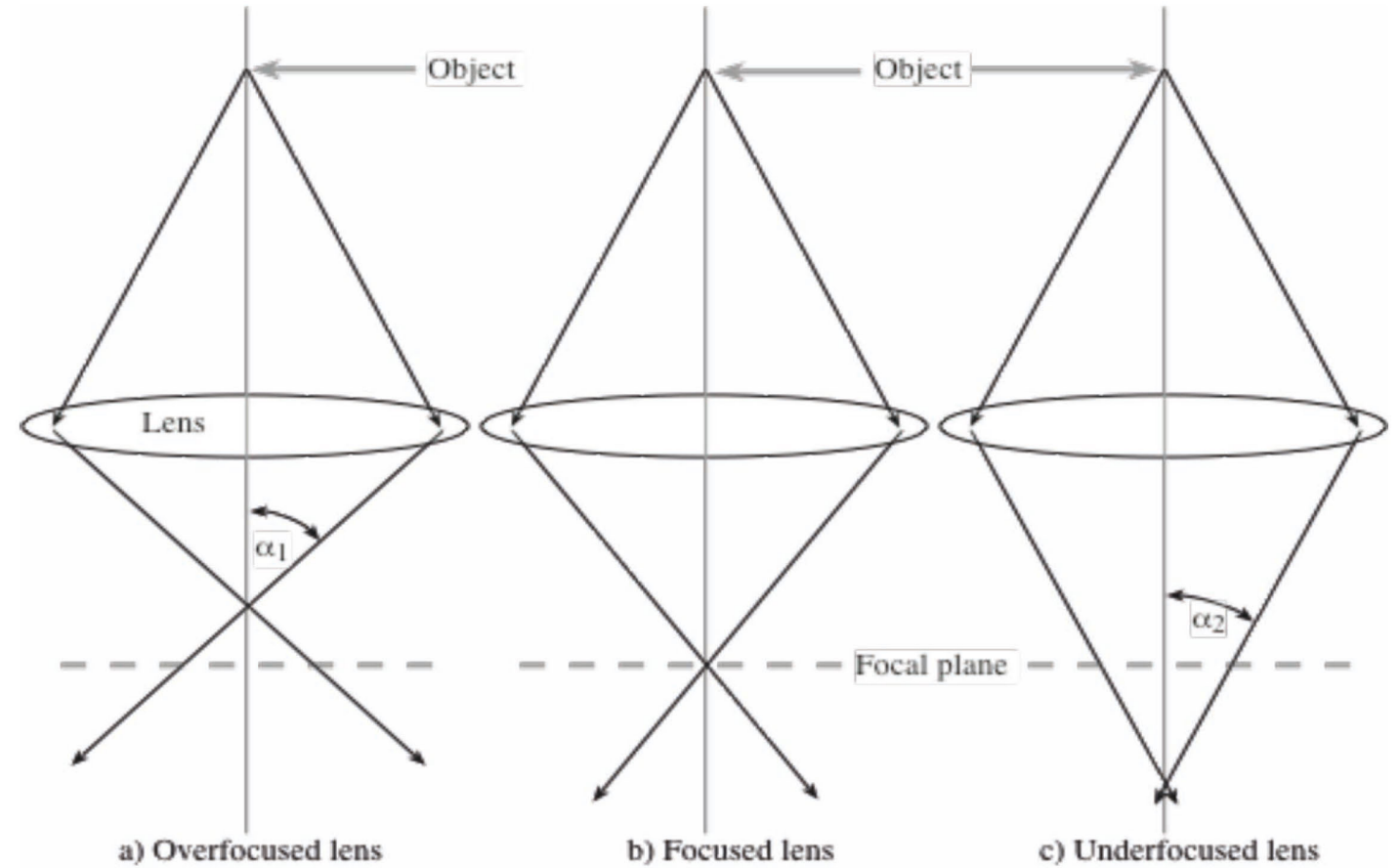
overfocus



50 nm

exact focus

astigmatism



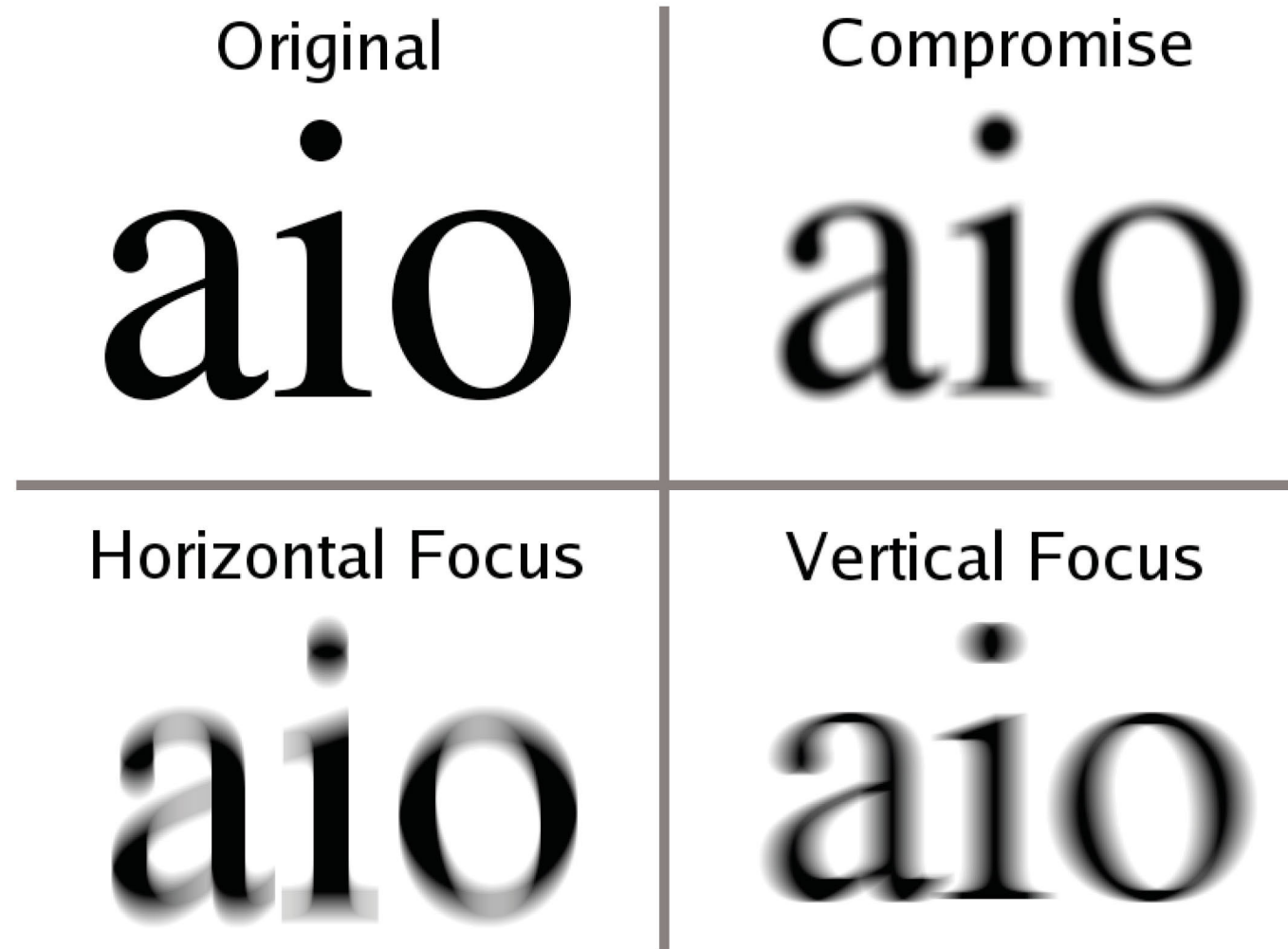
Too strong

Just right

Too weak



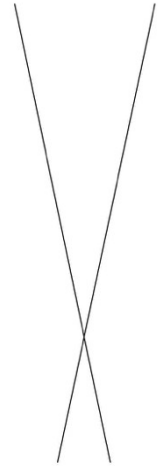
# Astigmatism (example)



# Condenser Beam Astigmatism Correction

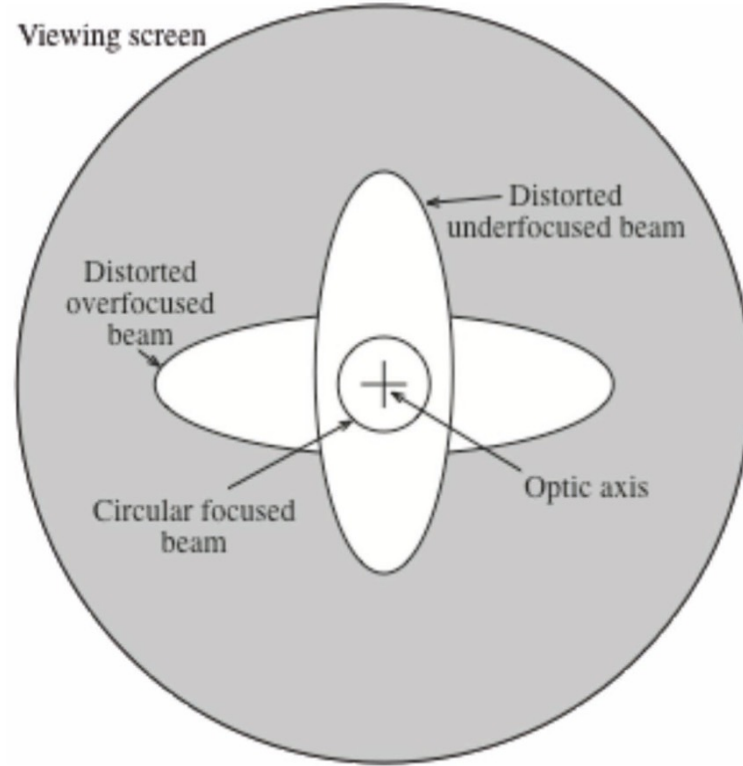
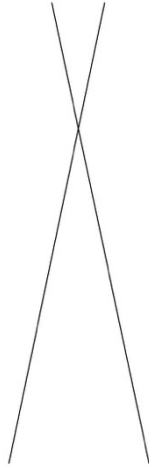
Just change focus

Underfocus



Overfocus

90°->



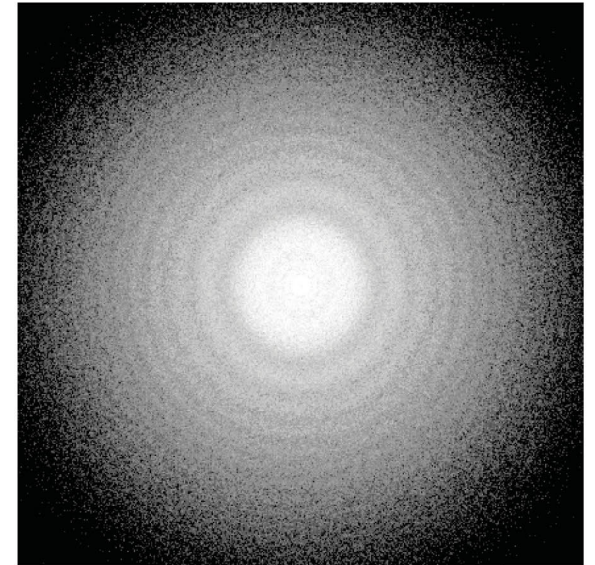
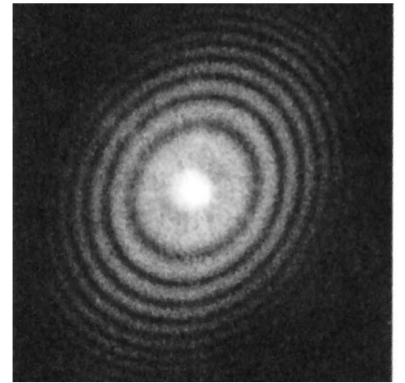
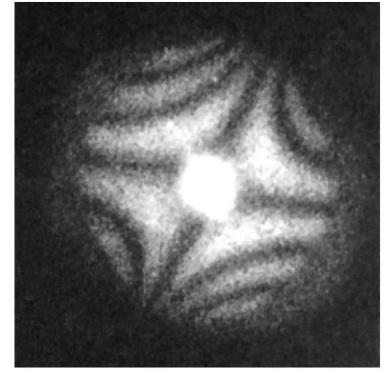
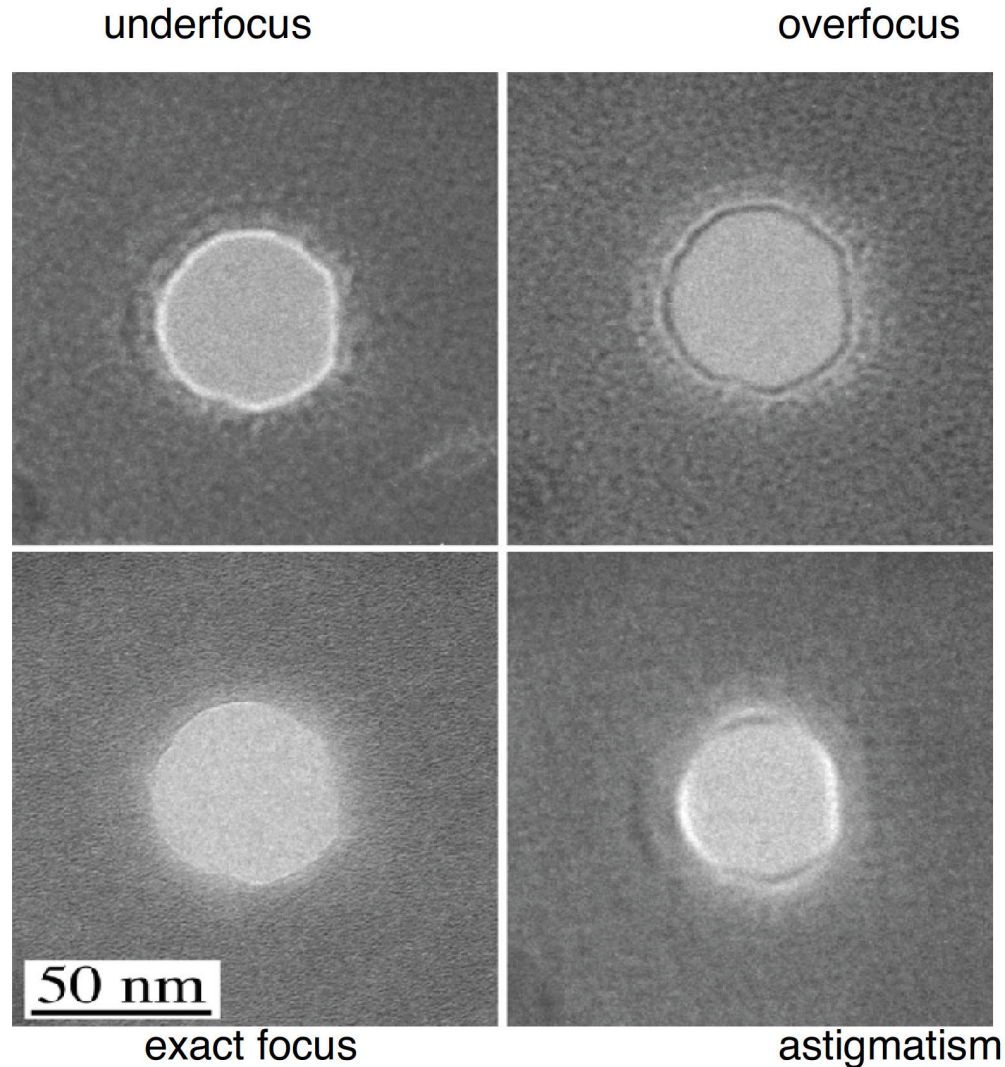
# Astigmatism Correction

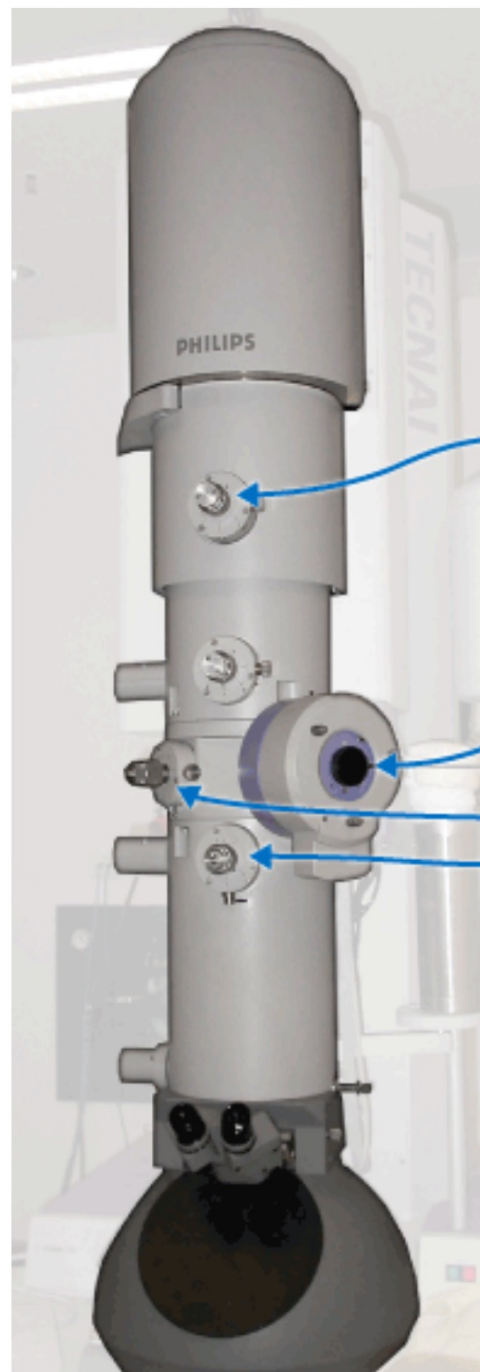
Correcting the  
astigmatism  
on the objective lens

Routine alignment using  
Fresnel fringe

**More accurate with FFT**

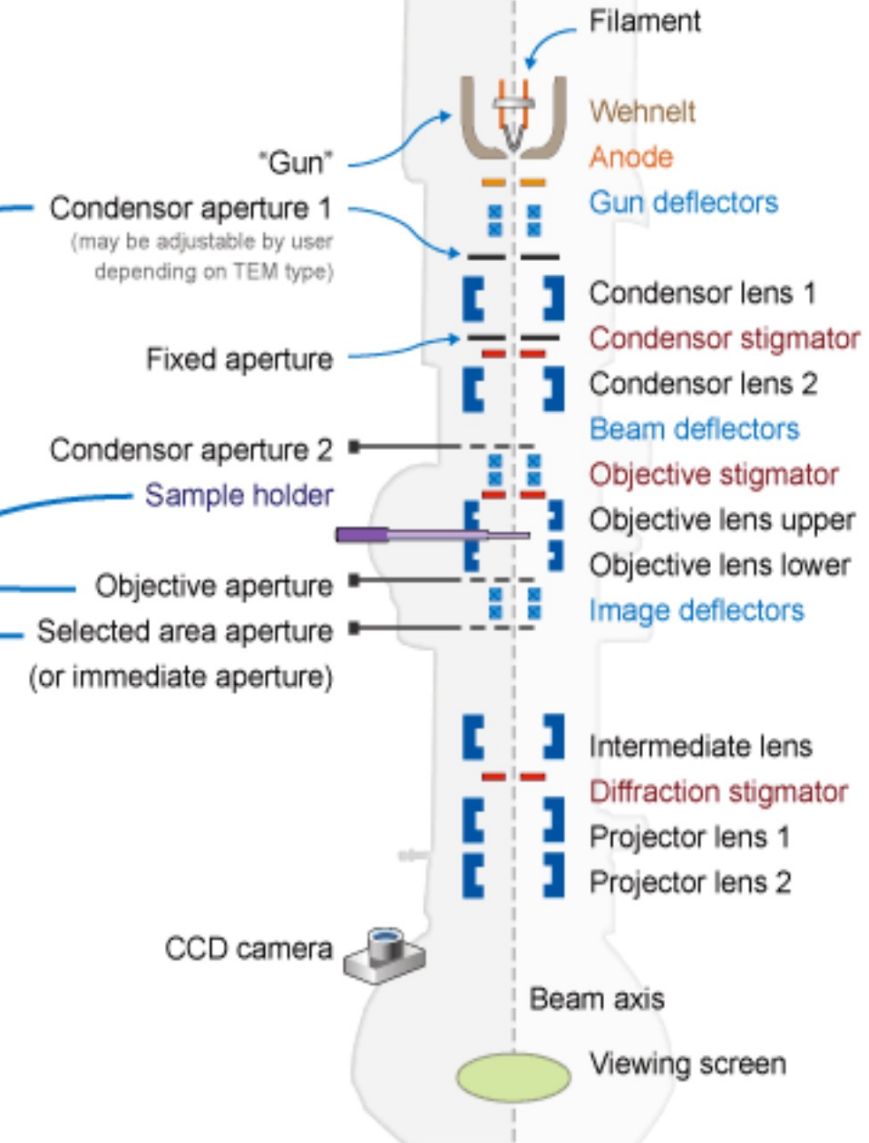
Remember to  
correct the  
condenser lens too





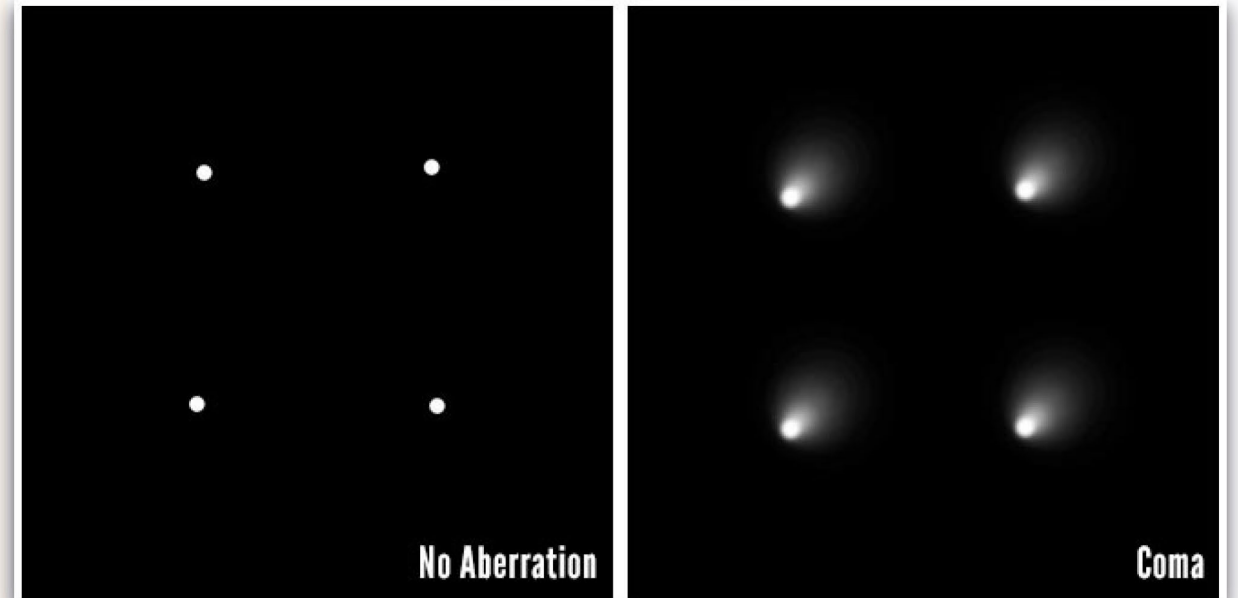
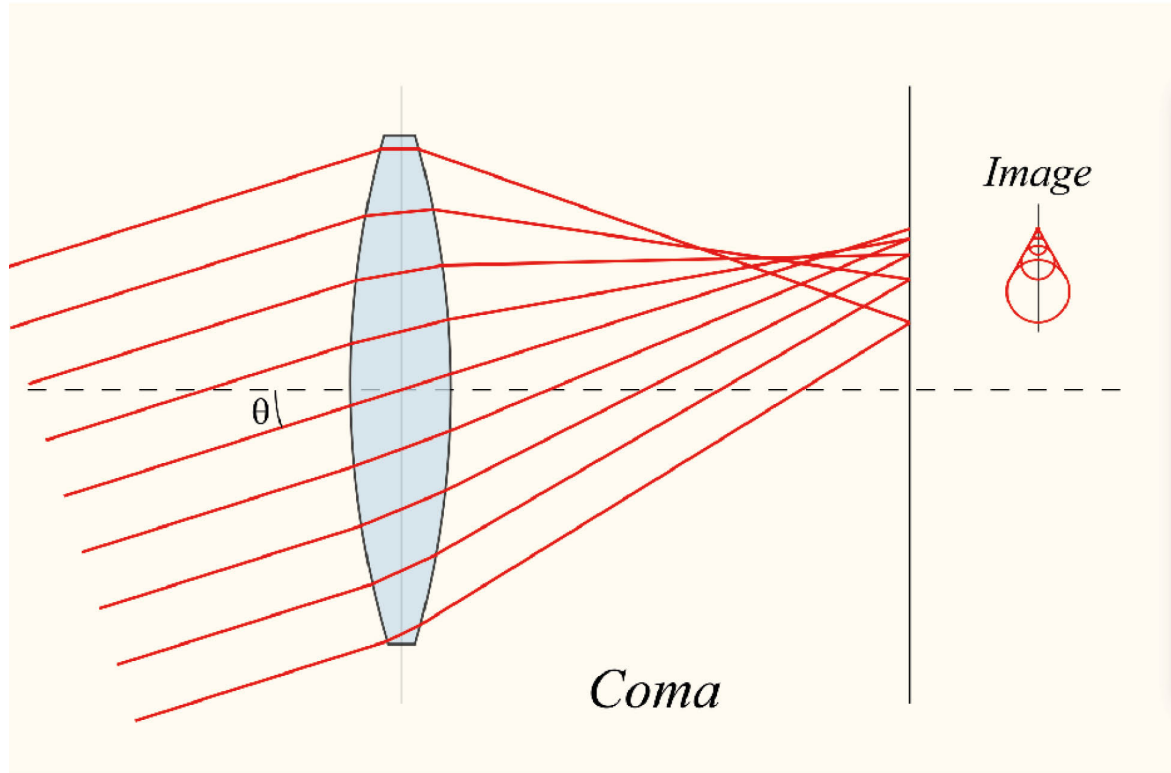
## Example TEM schematic

One of many types of TEMs



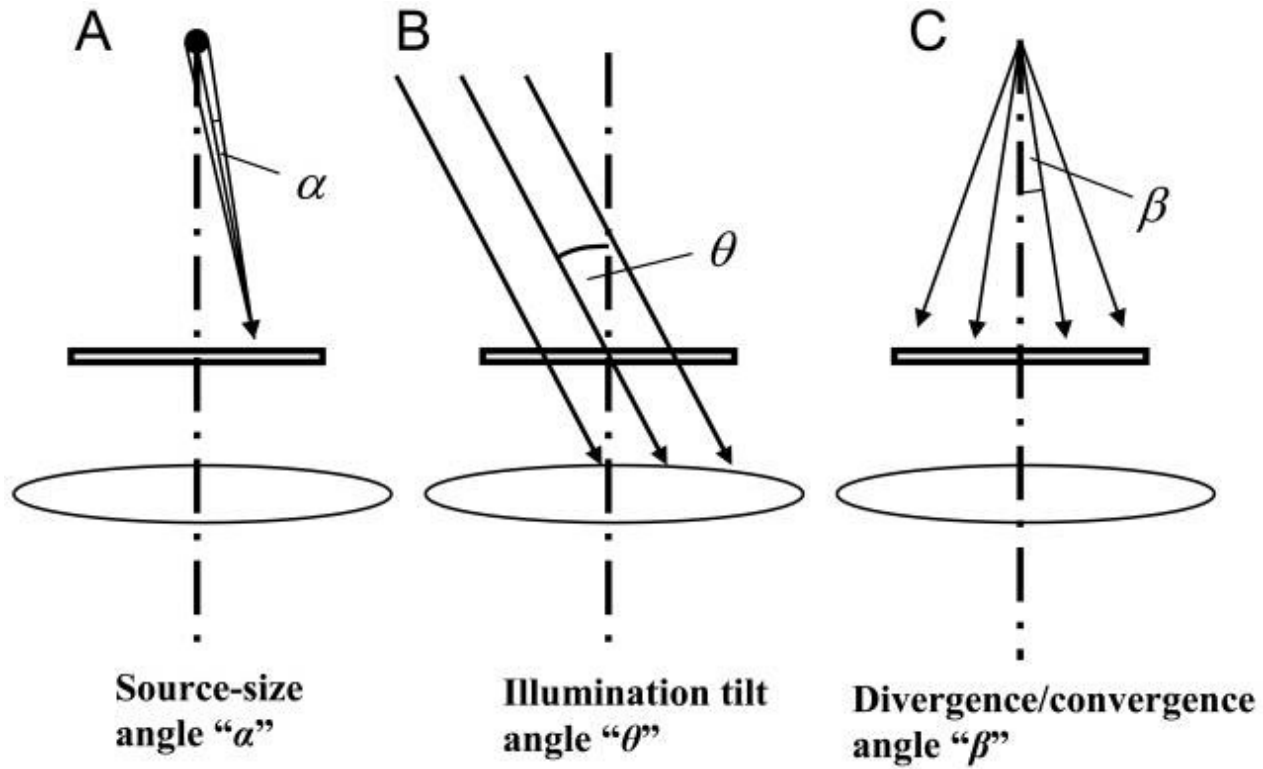


# Coma



Example image from I. Norman

# Illumination Conditions



**Overfocused beam**

**Axial Coma**

**Off-axis Coma**

# Beam Tilt Introduces:

$$\Delta z_{\text{effective}} - \Delta z_{\text{no beam tilt}} = -2C_s \theta^2;$$

**Excess of increment of defocus**

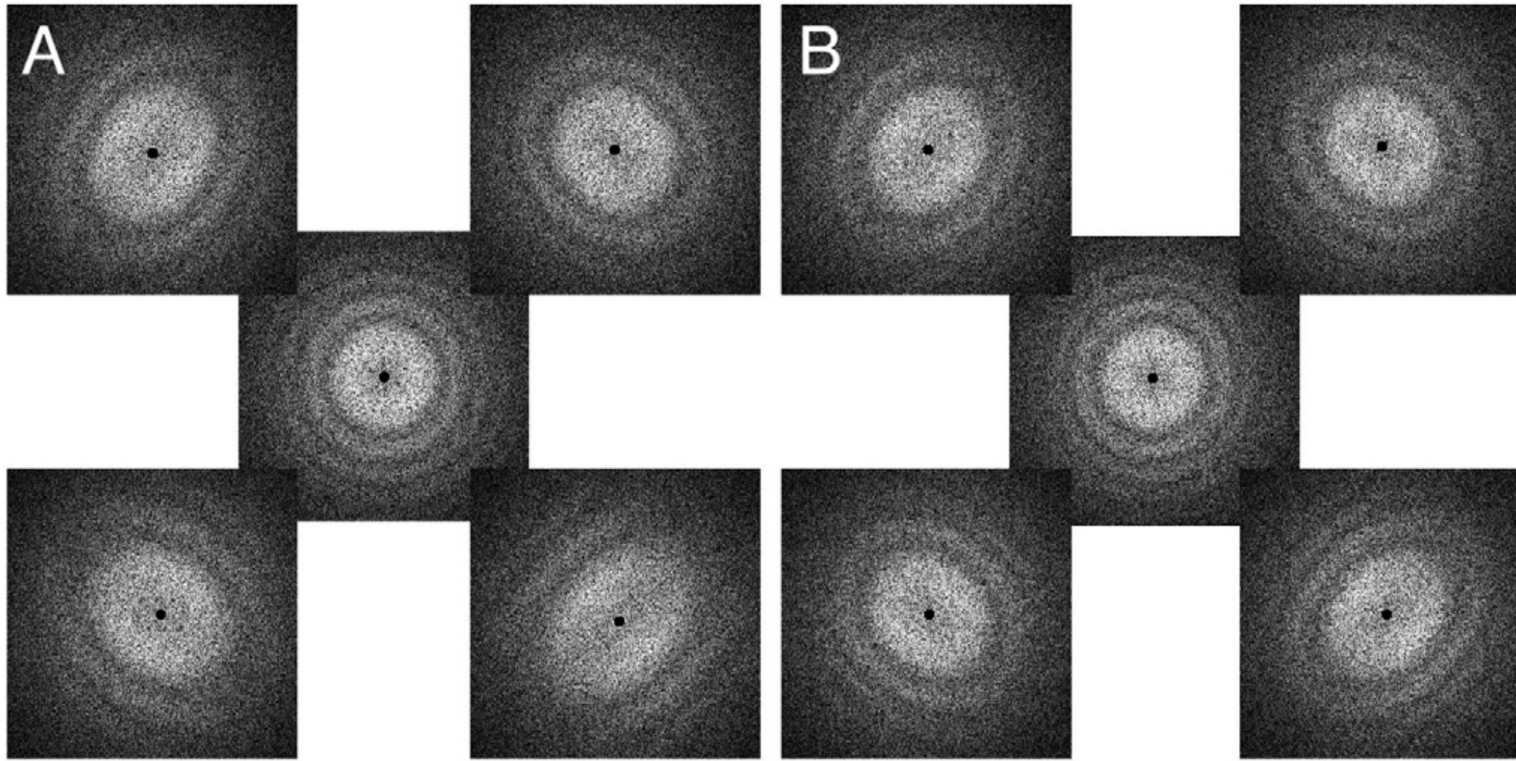
$$A_{\text{beam tilt}} = C_s \theta^2;$$

**Excess astigmatism**

$$\text{Phase error} = 2\pi \theta C_s \lambda^2 s^3 (\hat{\boldsymbol{\theta}} \cdot \hat{\boldsymbol{s}})$$

**Coma -> Phase Error**

# Coma Free – by minimizing beam tilt



- 1. Voltage Centering**
- 2. Current Centering**
- 3. Zemlin Tableaux**



# Parallel Beam – a verifying procedure

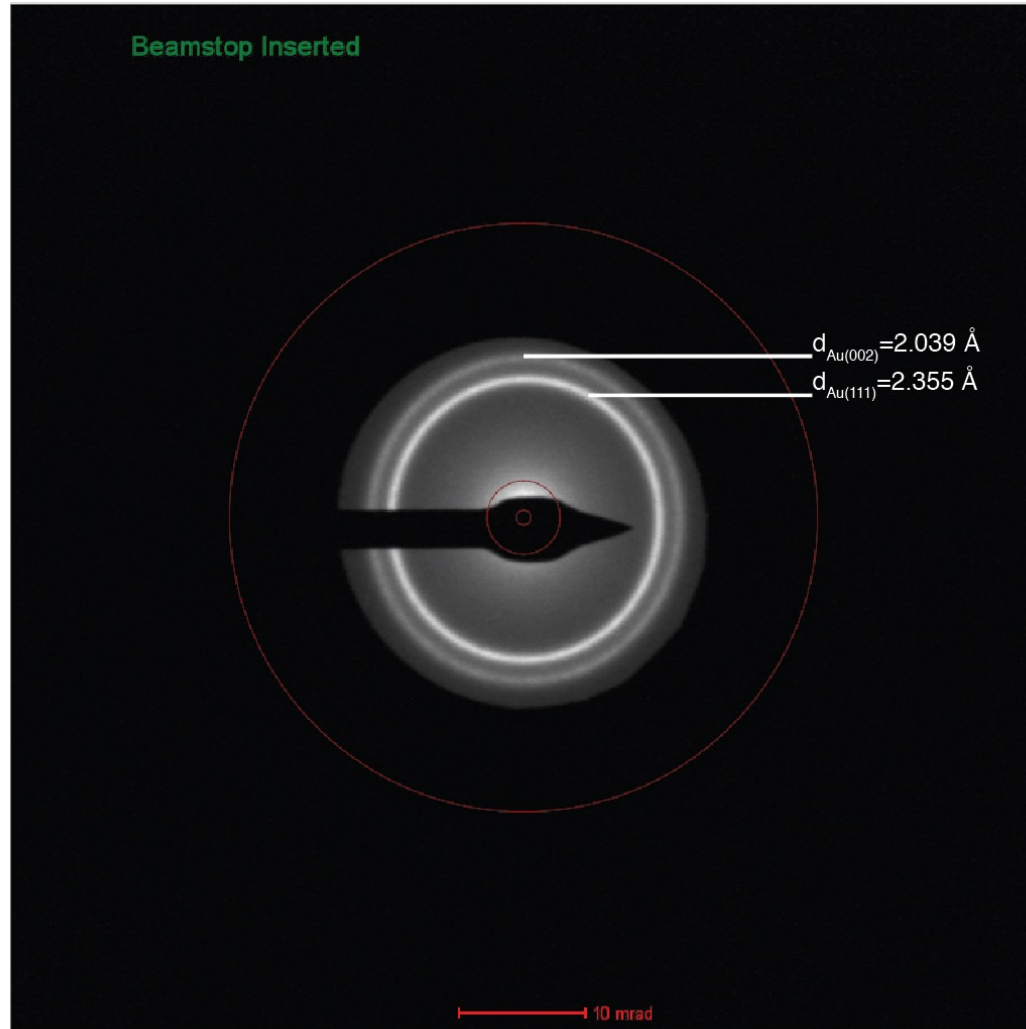
$$\Delta z_{\text{effective}} - \Delta z_{\text{no beam tilt}} = -2C_s\theta^2;$$

$$A_{\text{beam tilt}} = C_s\theta^2;$$

*With a large beam tilt  $\sim 10$  mrad*

- Take an image
- Perform FFT of sub-areas
- Compare defocus and astigmatism of them
- Adjust Intensity (C2) & repeat above

# Parallel Beam – a practical procedure



## Parallel illumination on a Talos Arctica

- Eucentric focus
- Insert Obj Aperture in Diffr. mode
- Adjust Focus Knob until aperture edge is sharp
- Adjust Intensity (C2) until diff. rings are sharp

# Parallel Beam – An Alternative Procedure

## Questions / Concerns:

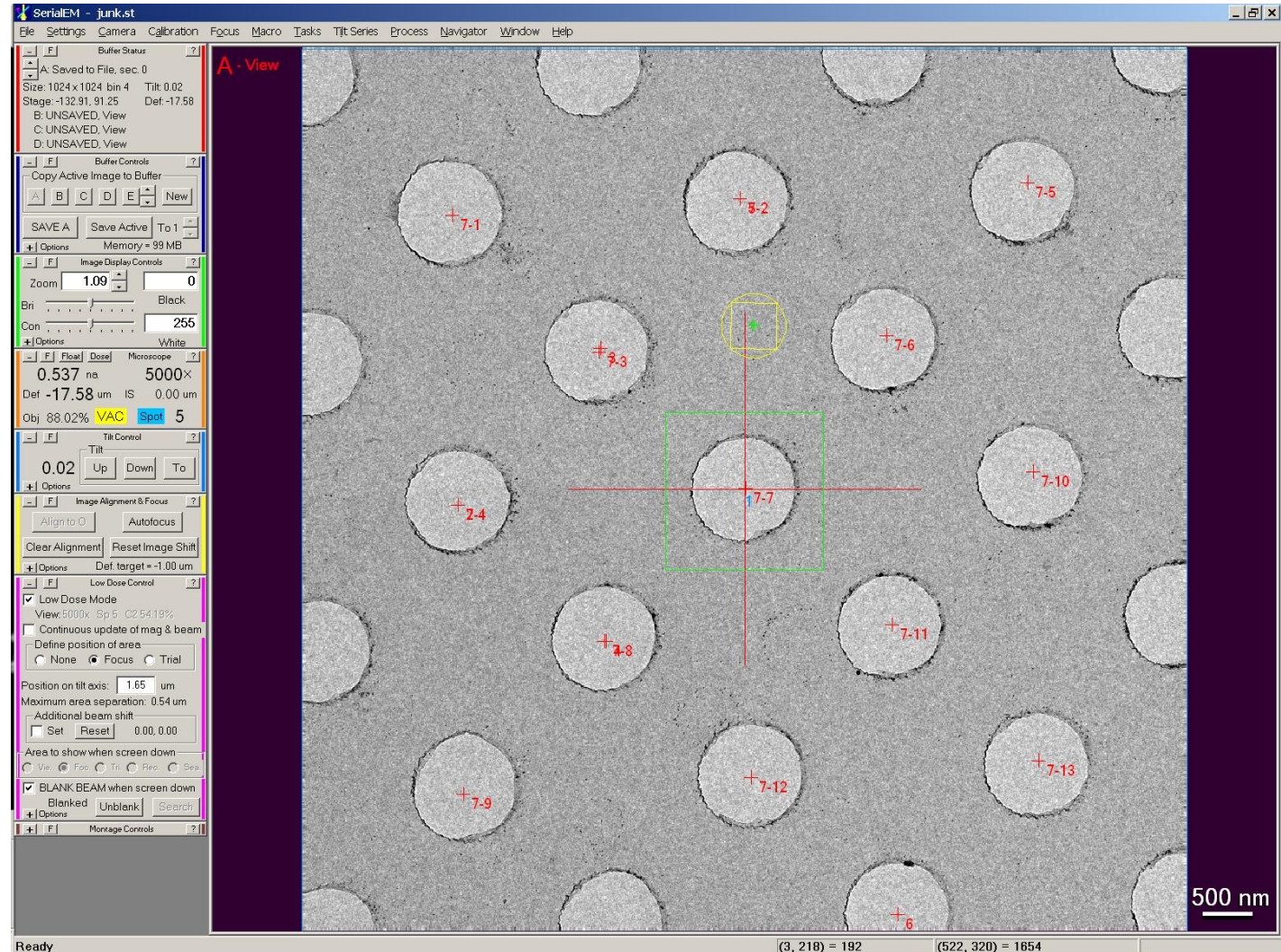
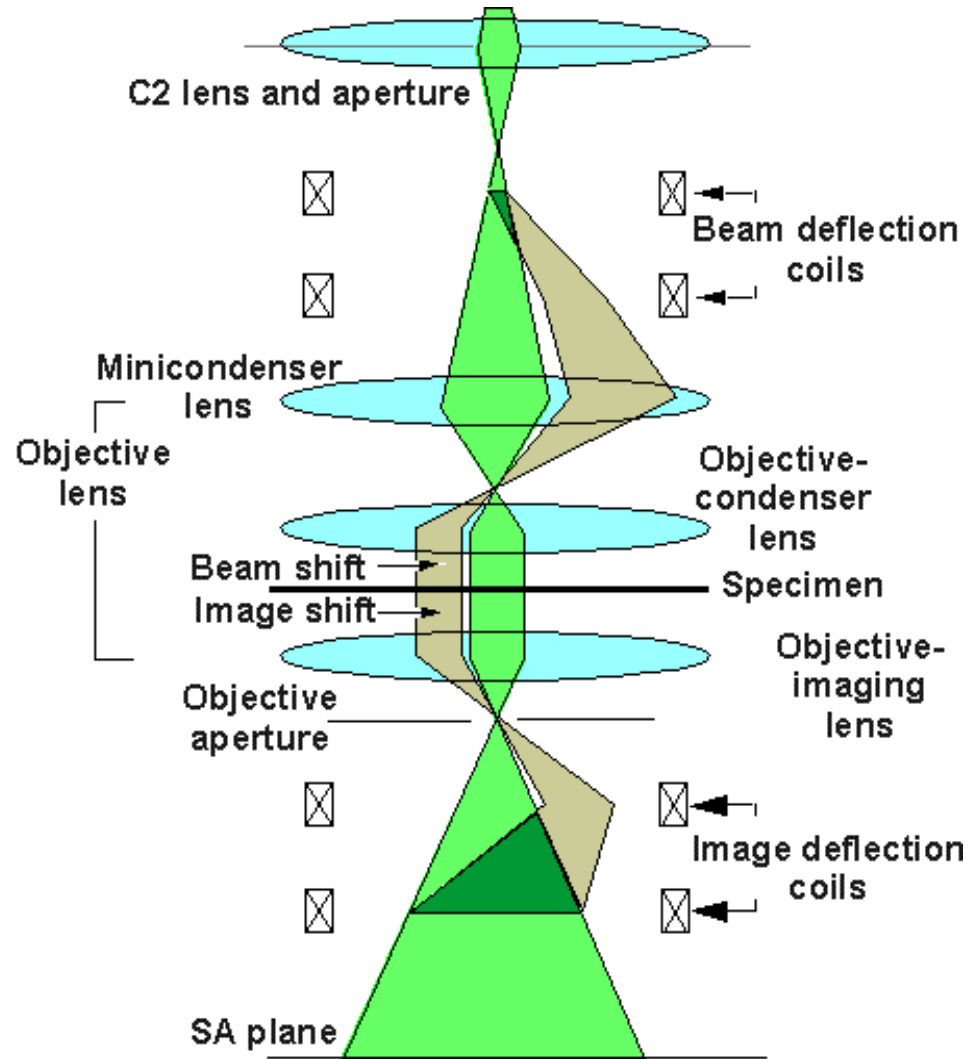
1. Obj Aperture might not sit at Back  
Focal Plane
2. Hard to find precise intermediate  
lens value for sharp aperture edge

## An Alternative Method

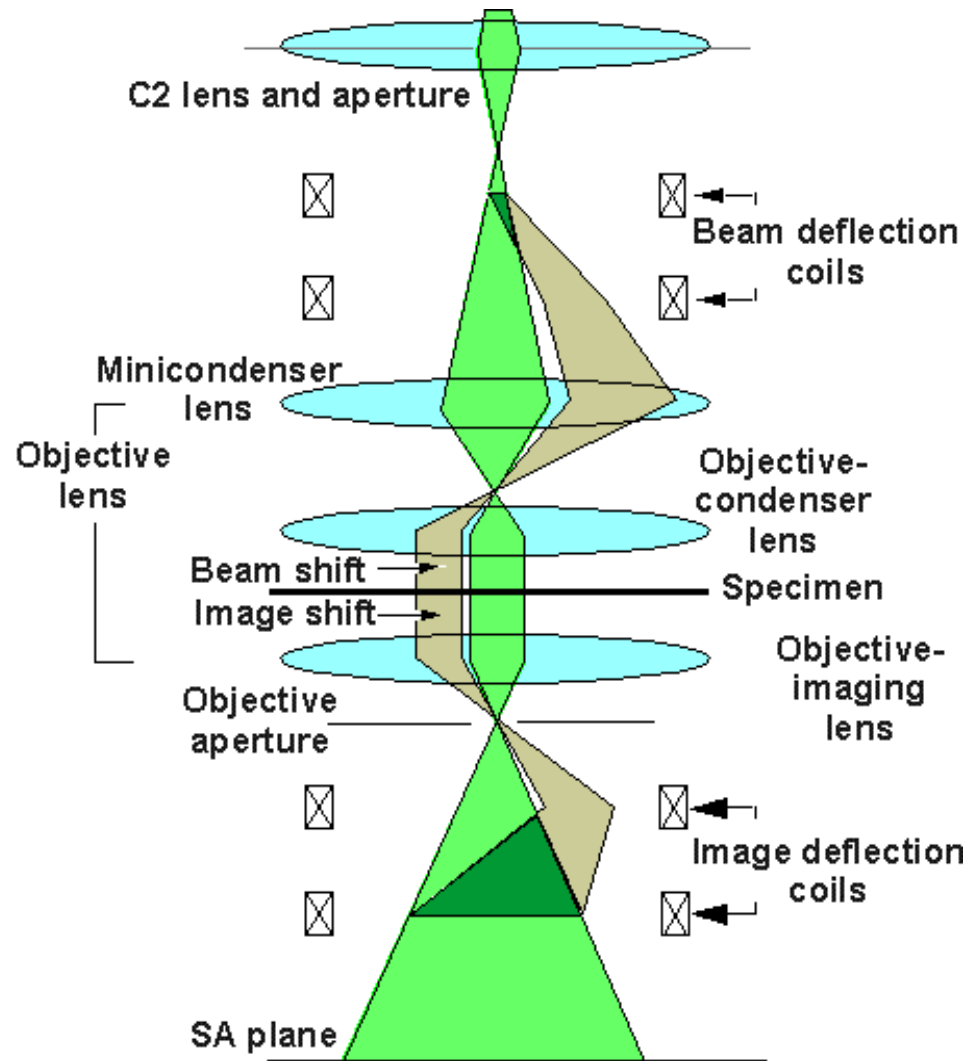
1. Z at Eucentricity Height
2. Measure pixelsizes with different C2 values
3. Z + 100  $\mu\text{m}$
4. Measure pixelsize with different C2 values
5. Find interception of two lines plotted.

\* On Krios with C3, the term is **ImageDistanceOffset**

# Beam-Image Shift



# Beam-Image Shift

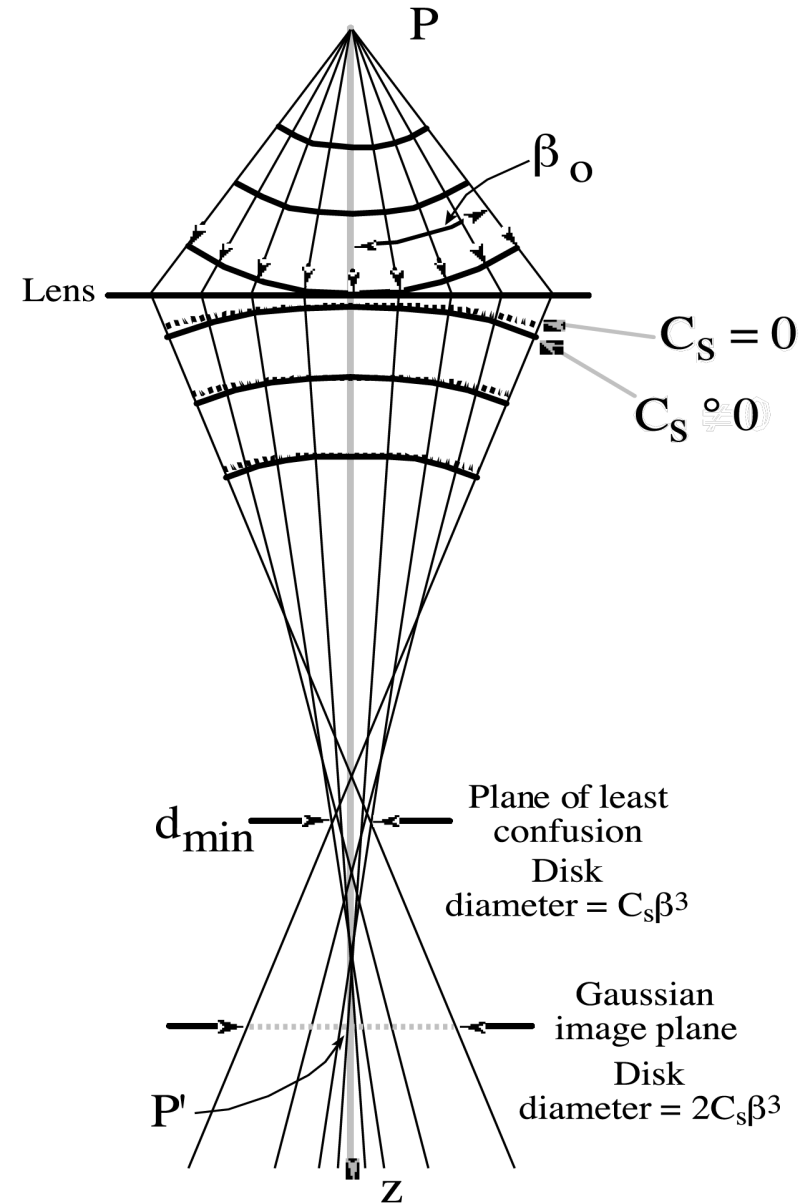


1. Is a single action of microscope
2. Used in Low Dose Conditions
3. Used in high-throughput exposure
4. Induces aberrations due to
  - Axial coma
  - Astigmatism

# Spherical Aberration

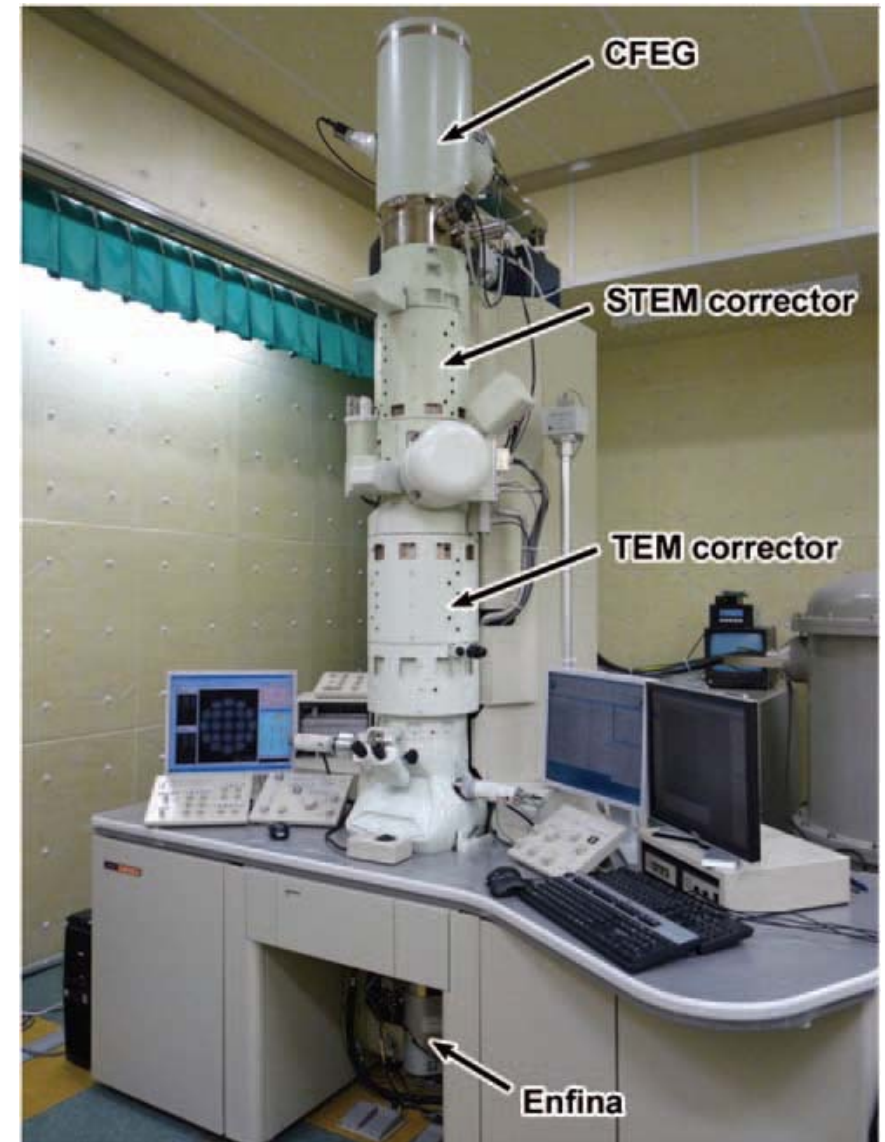
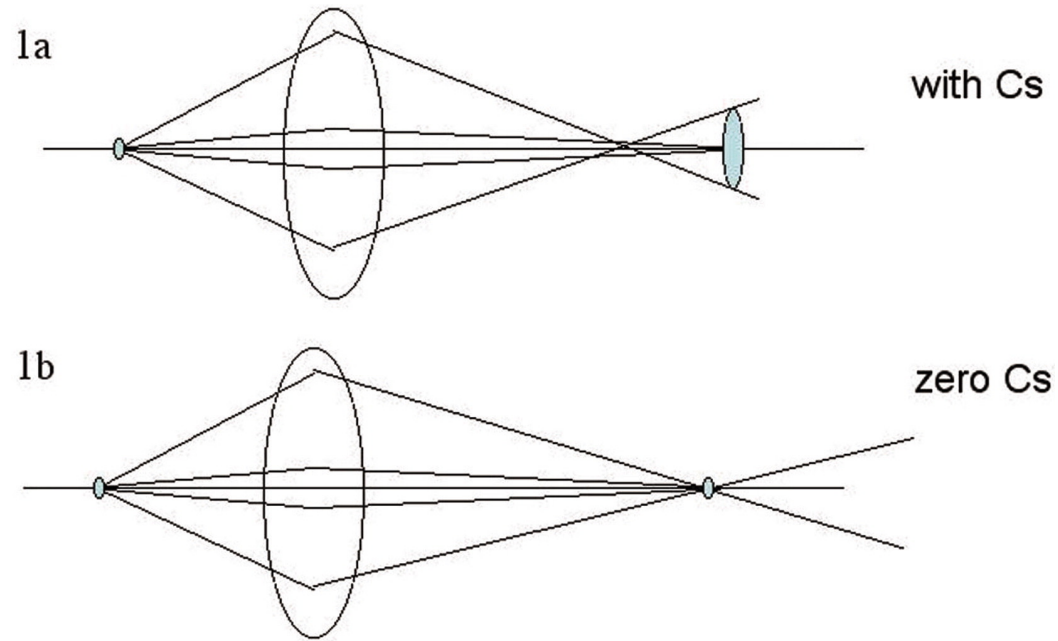
Lens is stronger off axis

Plane of least confusion





# Spherical Aberration & Cs Corrector



# CTF

*Phil. Trans. Roy. Soc. Lond. B.* **261**, 105–118 (1971) [ 105 ]

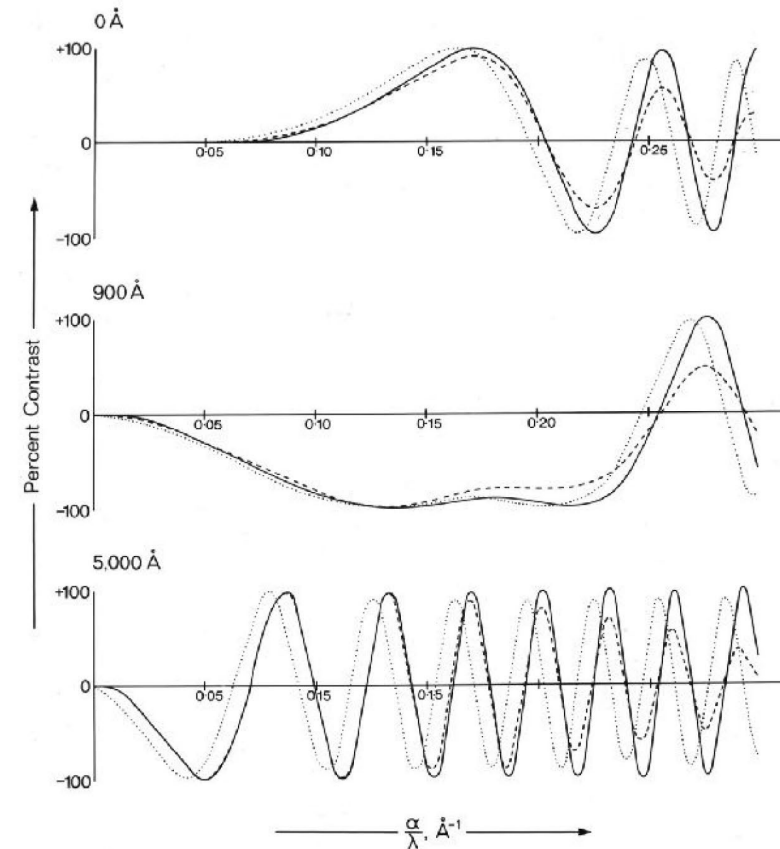
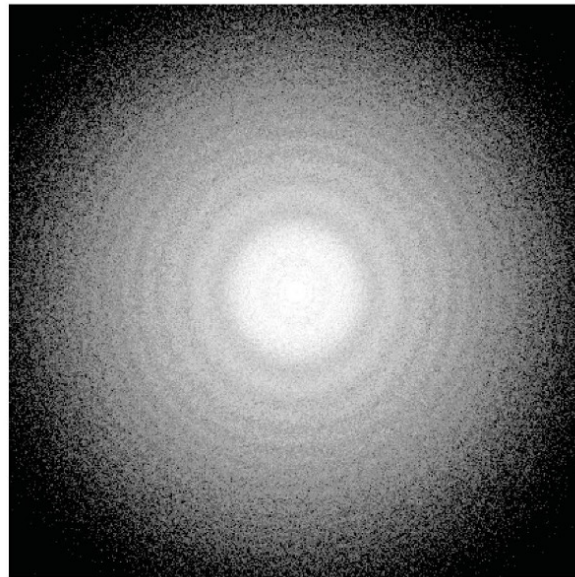
*Printed in Great Britain*

## Measurement and compensation of defocusing and aberrations by Fourier processing of electron micrographs

BY H. P. ERICKSON AND A. KLUG, F.R.S.

*Medical Research Council Laboratory of Molecular Biology, Cambridge*

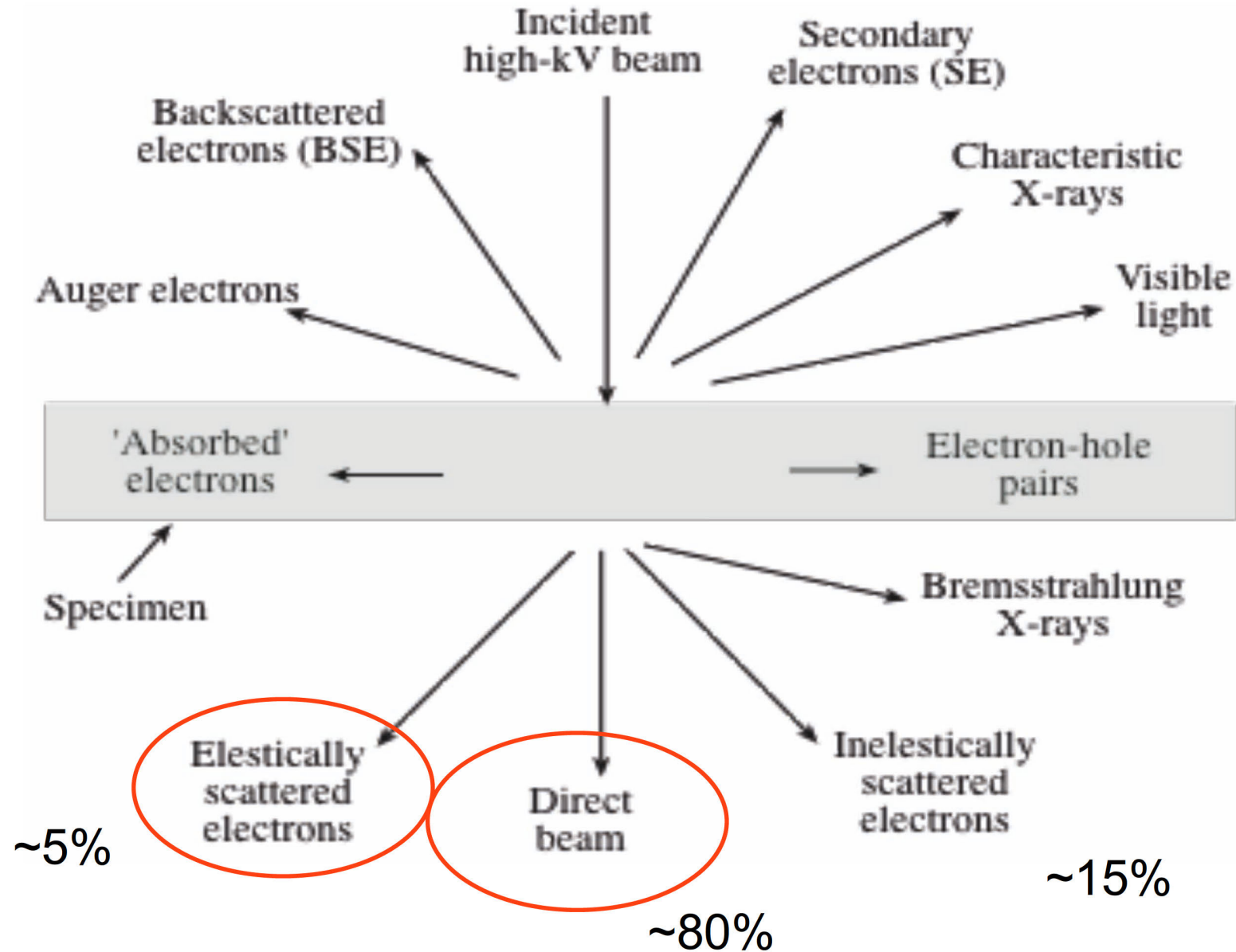
### Contrast Transfer Function



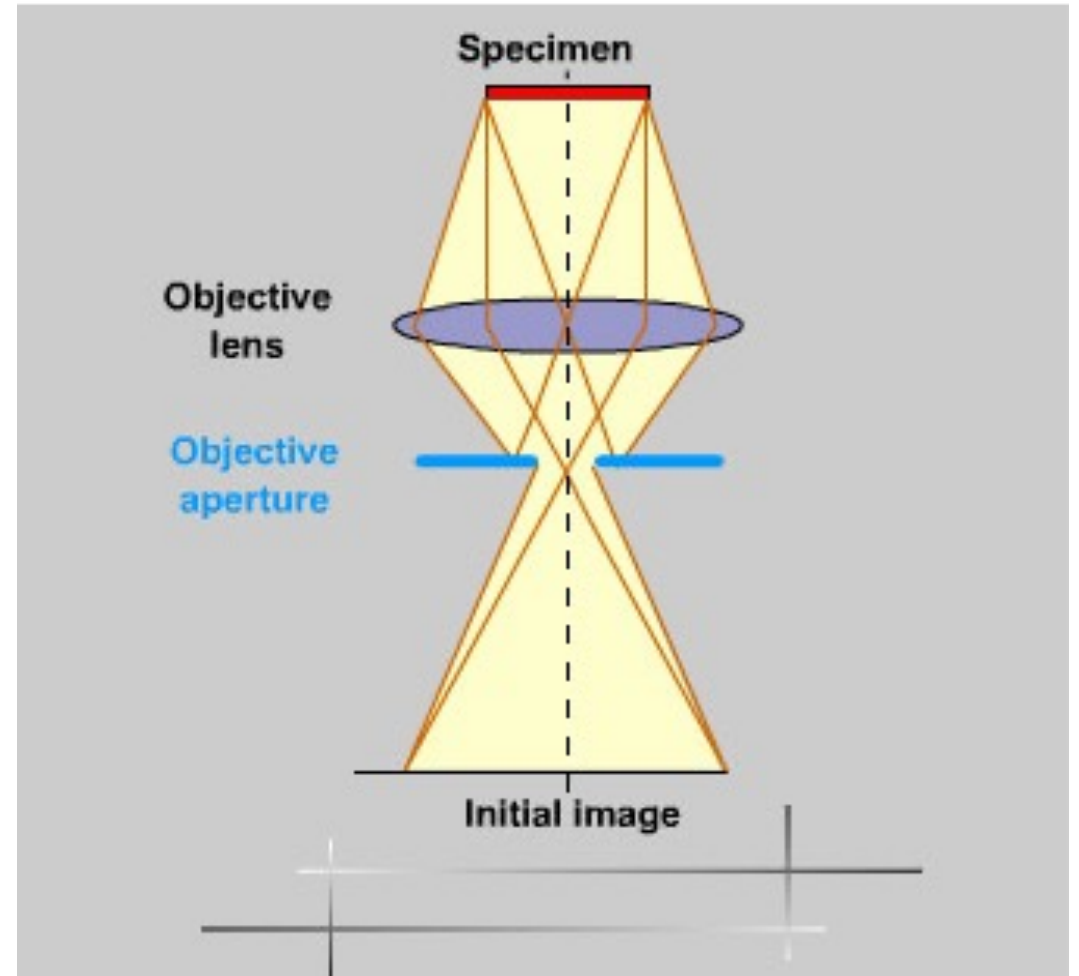
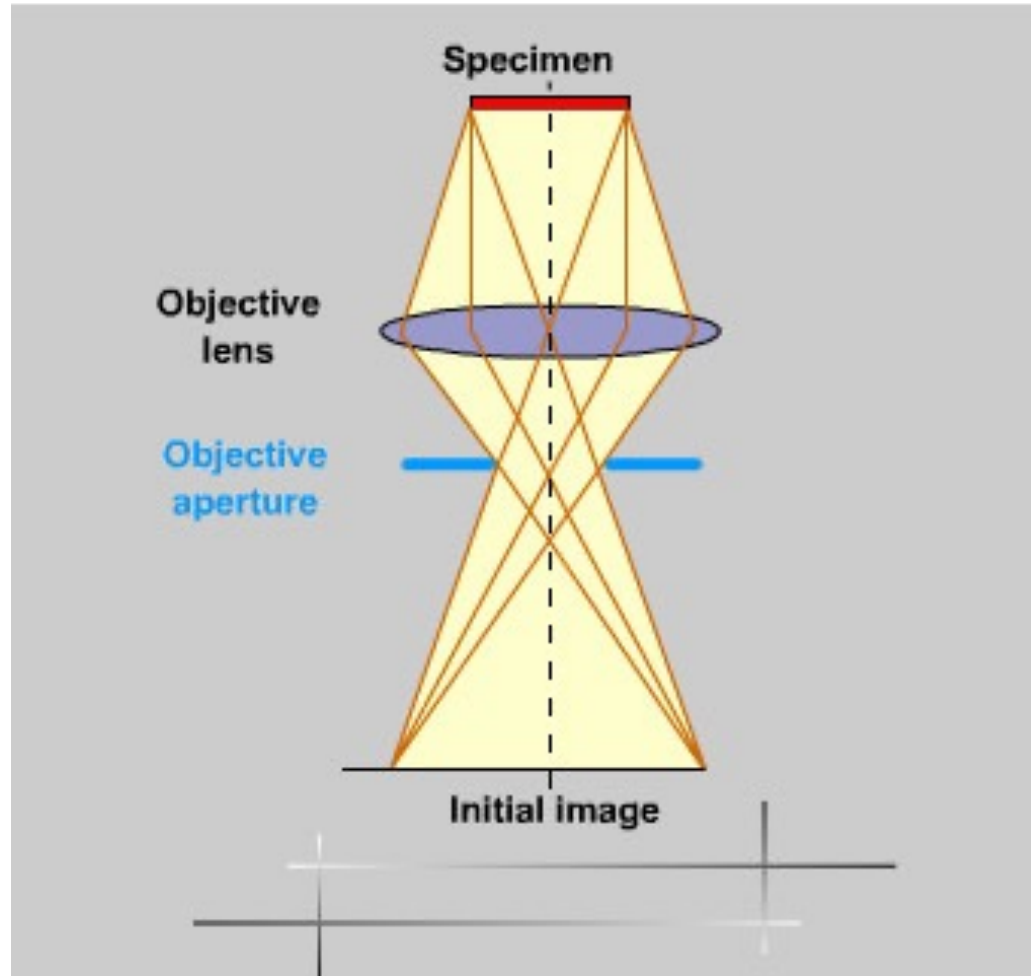
Can be corrected by software as post-process



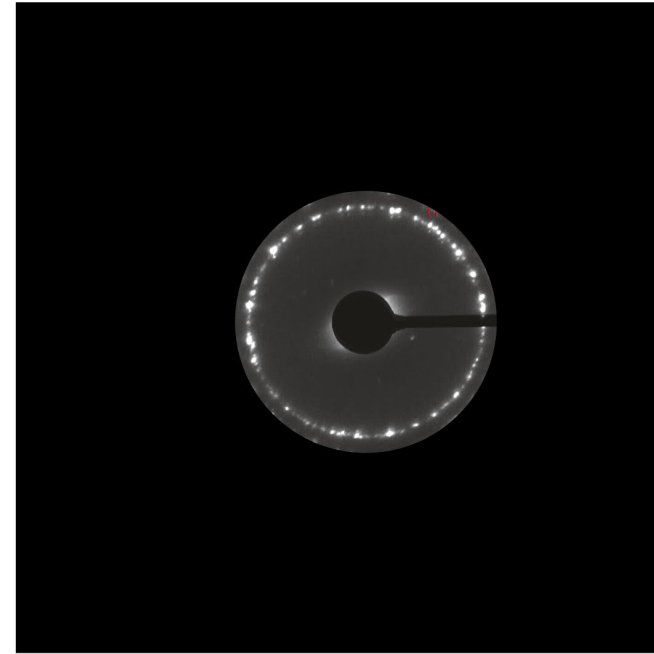
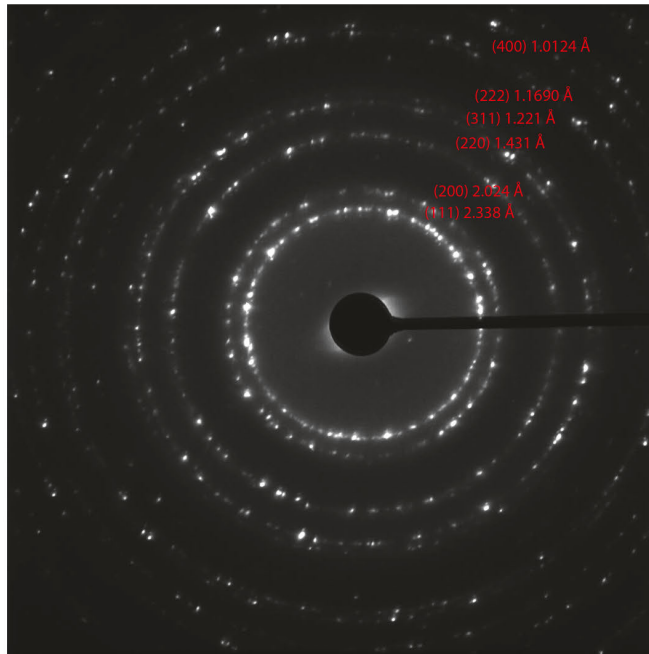
# Large Number of Signals



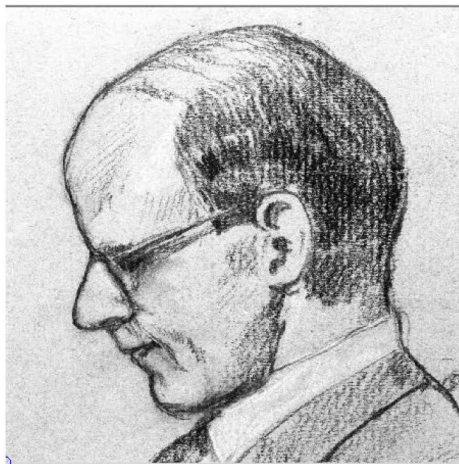
# Objective Aperture



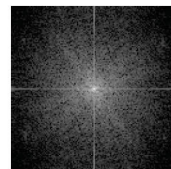
# Objective aperture



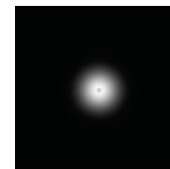
Beware...



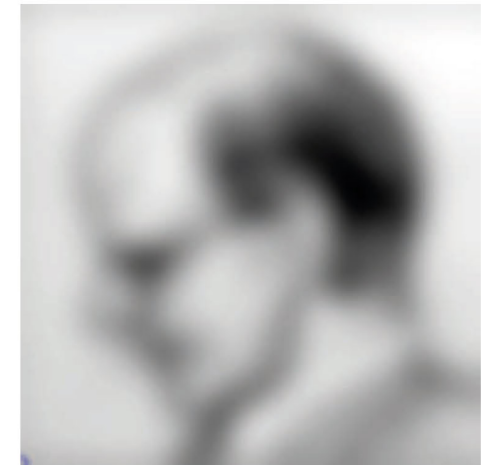
FT



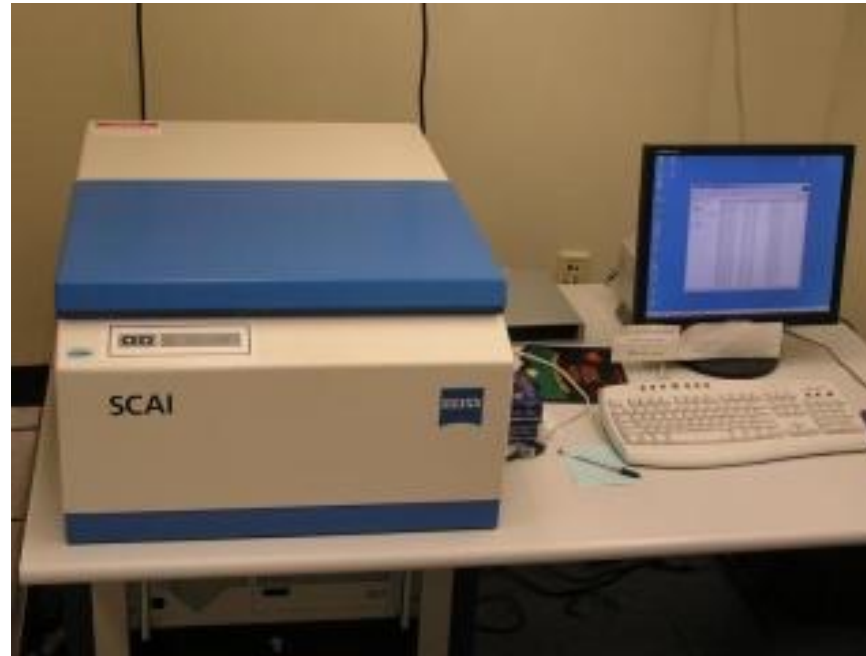
x



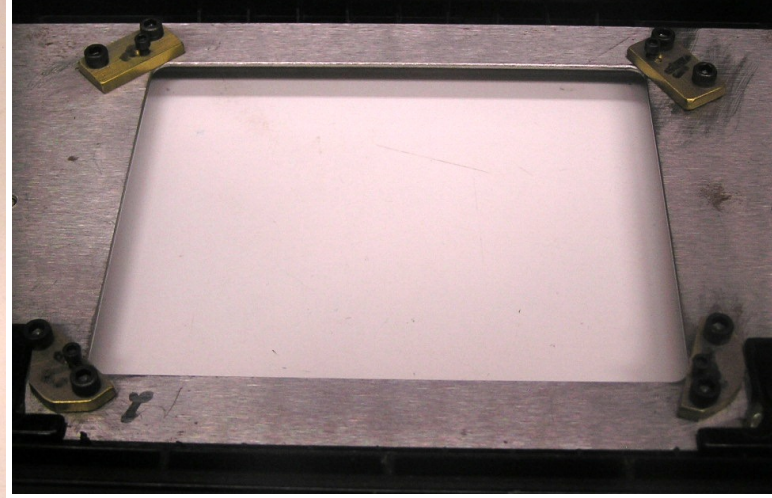
Low-pass filter



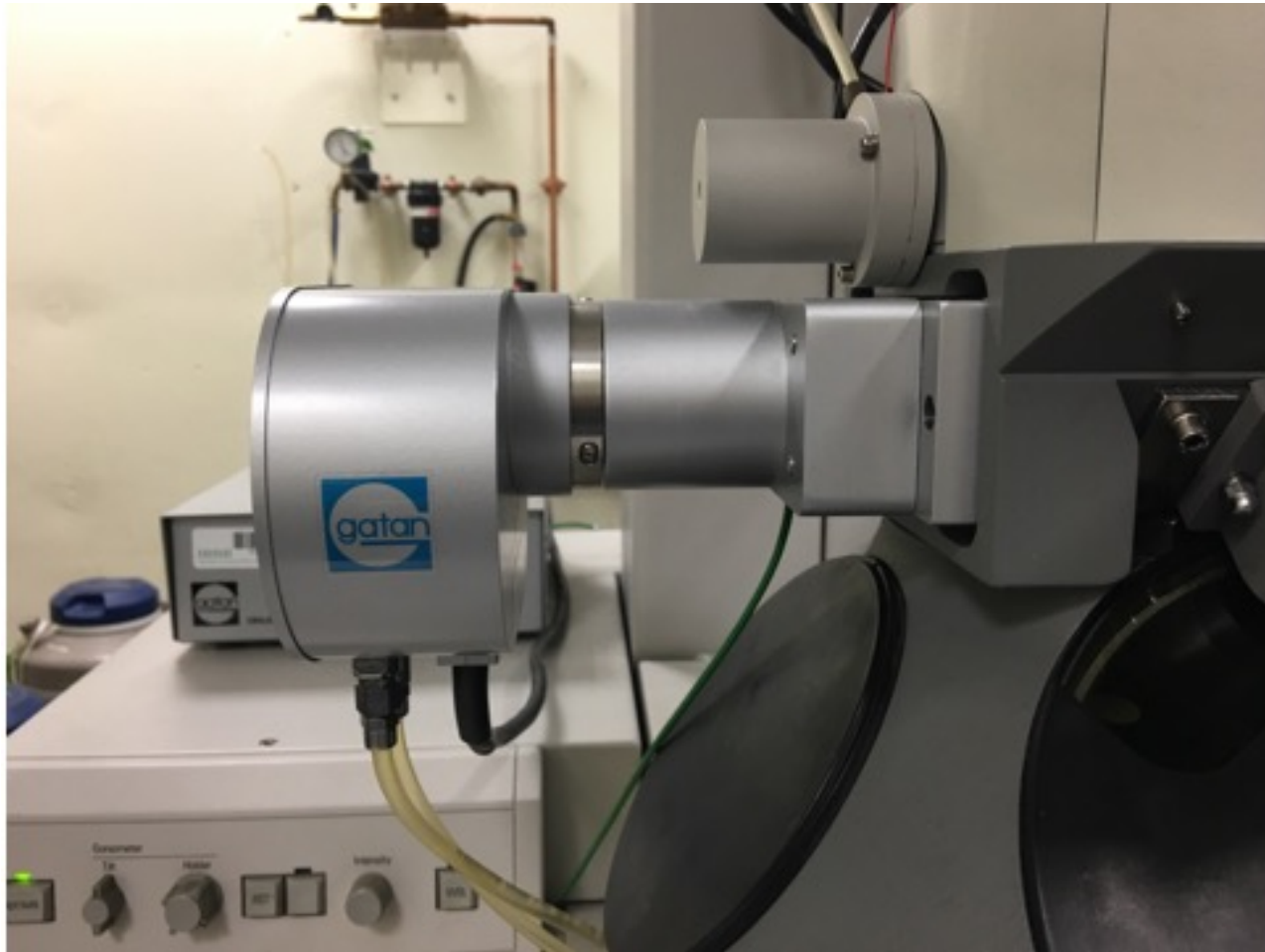
## II. Cameras – from CCD to DED







Gatan Orius CCD & TVIPS TemCam 224HD CCD cameras on CM120

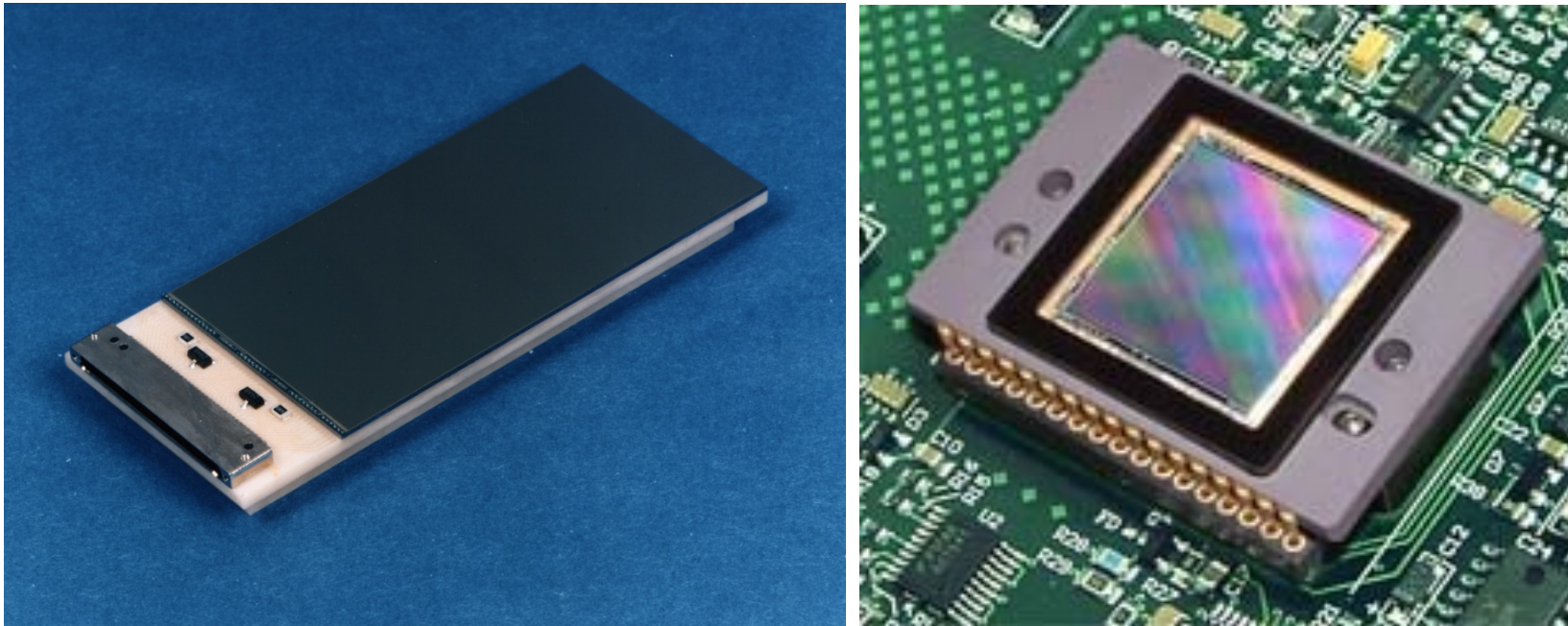




## What is a CCD ?

**Charge Coupled Devices** (CCDs) were invented in the 1970s and originally found application as memory devices. Their light sensitive properties were quickly exploited for imaging applications and they produced a major revolution in Astronomy. They improved the light gathering power of telescopes by almost two orders of magnitude. Nowadays an amateur astronomer with a CCD camera and a 15 cm telescope can collect as much light as an astronomer of the 1960s equipped with a photographic plate and a 1m telescope.

**CCDs work by converting light into a pattern of electronic charge in a silicon chip. This pattern of charge is converted into a video waveform, digitized and stored as an image file on a computer.**



**Willard S. Boyle and George E. Smith**  
Bell Laboratories, Murray Hill, NJ, USA

2009 Nobel Prize in Physics

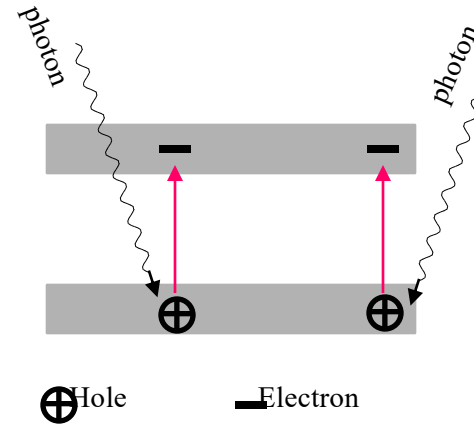
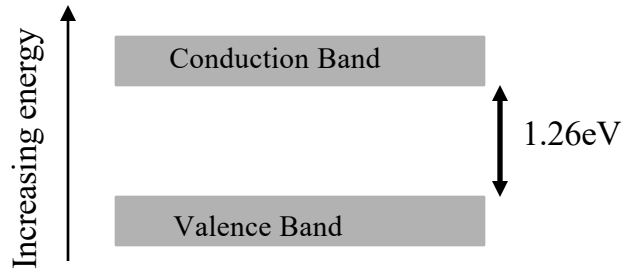
*“for the invention of an imaging semiconductor circuit – the CCD sensor”*





## Photoelectric Effect

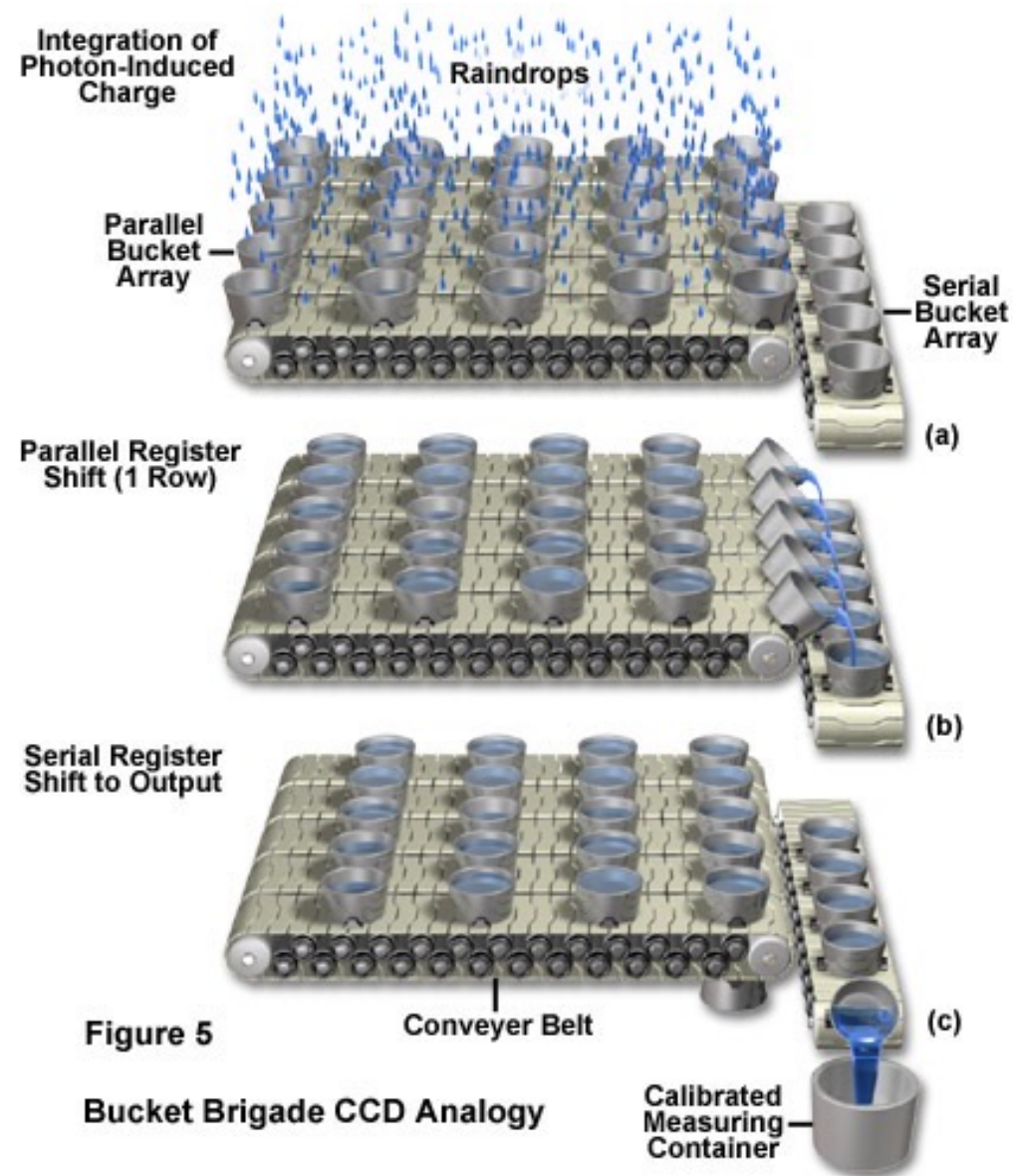
The effect is fundamental to the operation of a CCD. Atoms in a silicon crystal have electrons arranged in discrete energy bands. The lower energy band is called the Valence Band, the upper band is the Conduction Band. Most of the electrons occupy the Valence band but can be excited into the conduction band by heating or by the absorption of a photon. The energy required for this transition is 1.26 electron volts. Once in this conduction band the electron is free to move about in the lattice of the silicon crystal. It leaves behind a 'hole' in the valence band which acts like a positively charged carrier. In the absence of an external electric field the hole and electron will quickly re-combine and be lost. In a CCD an electric field is introduced to sweep these charge carriers apart and prevent recombination.



Thermally generated electrons are indistinguishable from photo-generated electrons. They constitute a noise source known as 'Dark Current' and it is important that CCDs are kept cold to reduce their number.

**1.26eV corresponds to the energy of light with a wavelength of  $1\mu\text{m}$ . Beyond this wavelength silicon becomes transparent and CCDs constructed from silicon become insensitive.**

## CCD signal readout

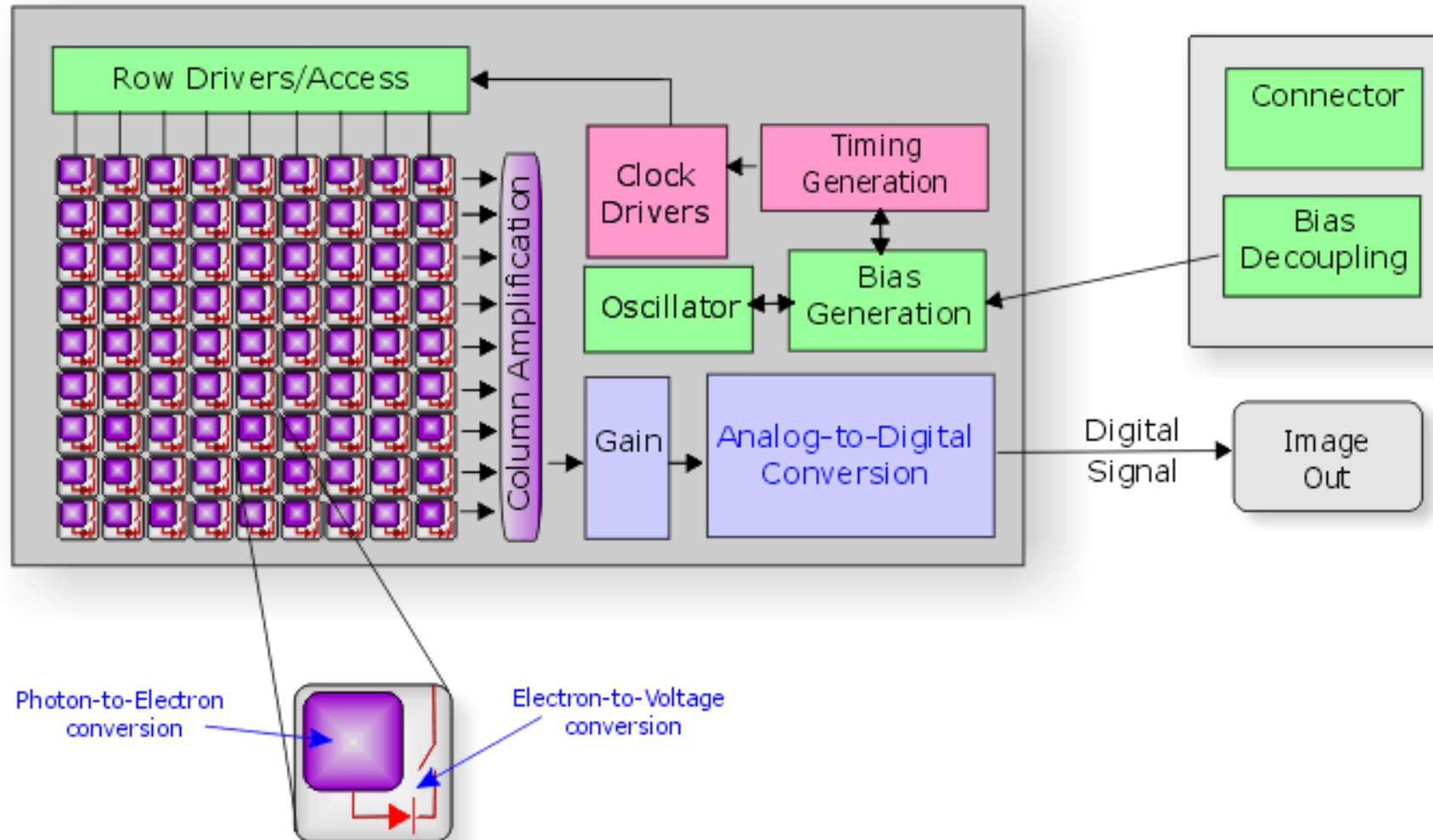


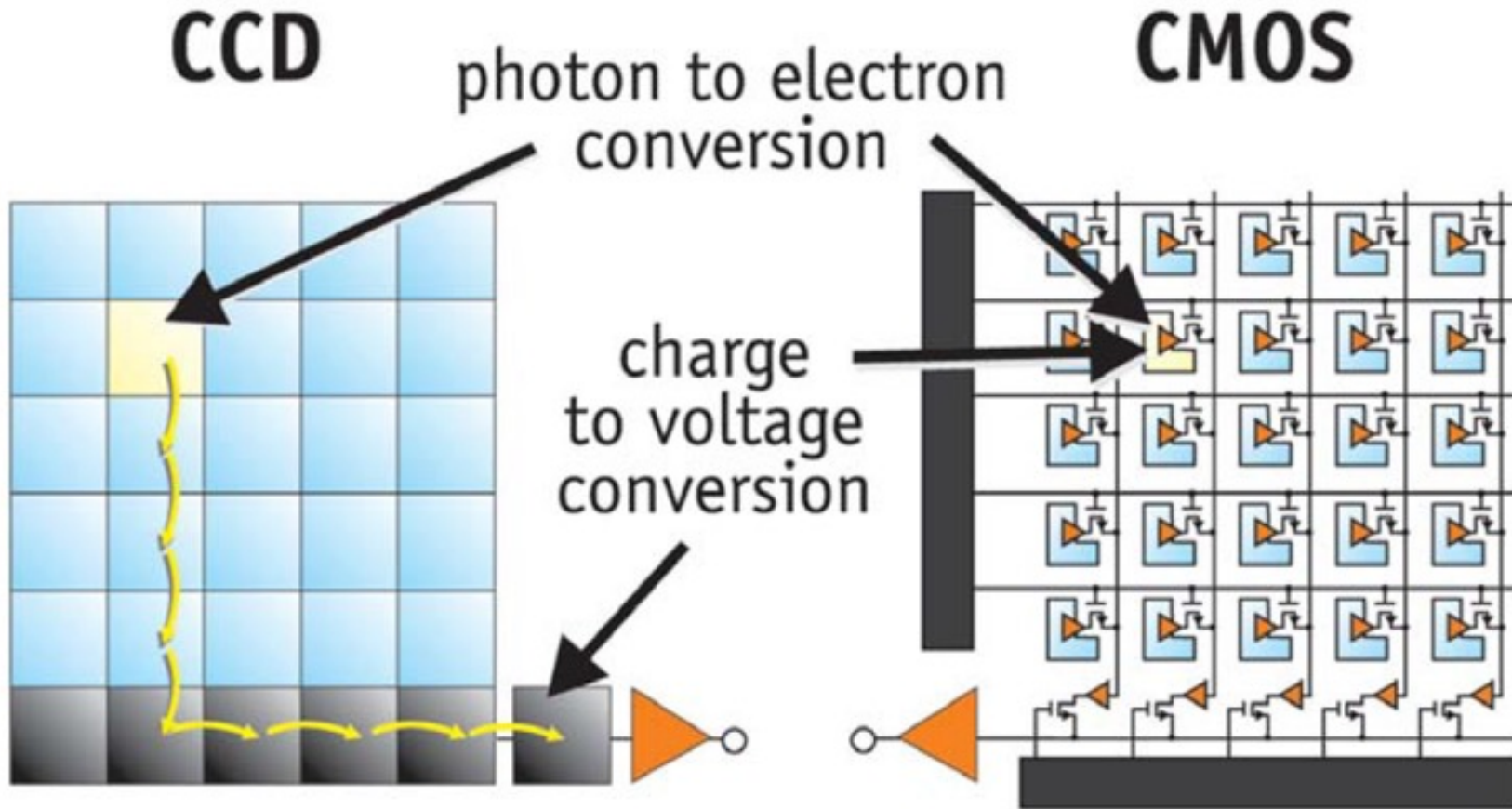


# CMOS

## Complementary Metal Oxide Semiconductor Device

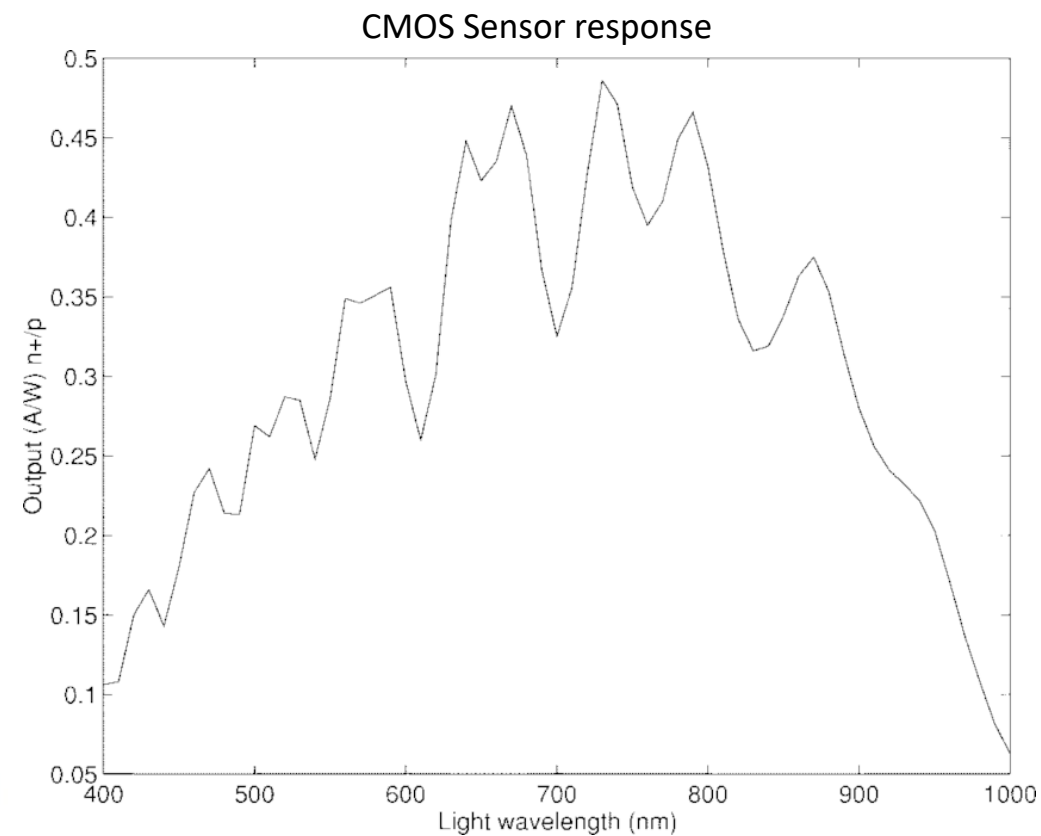
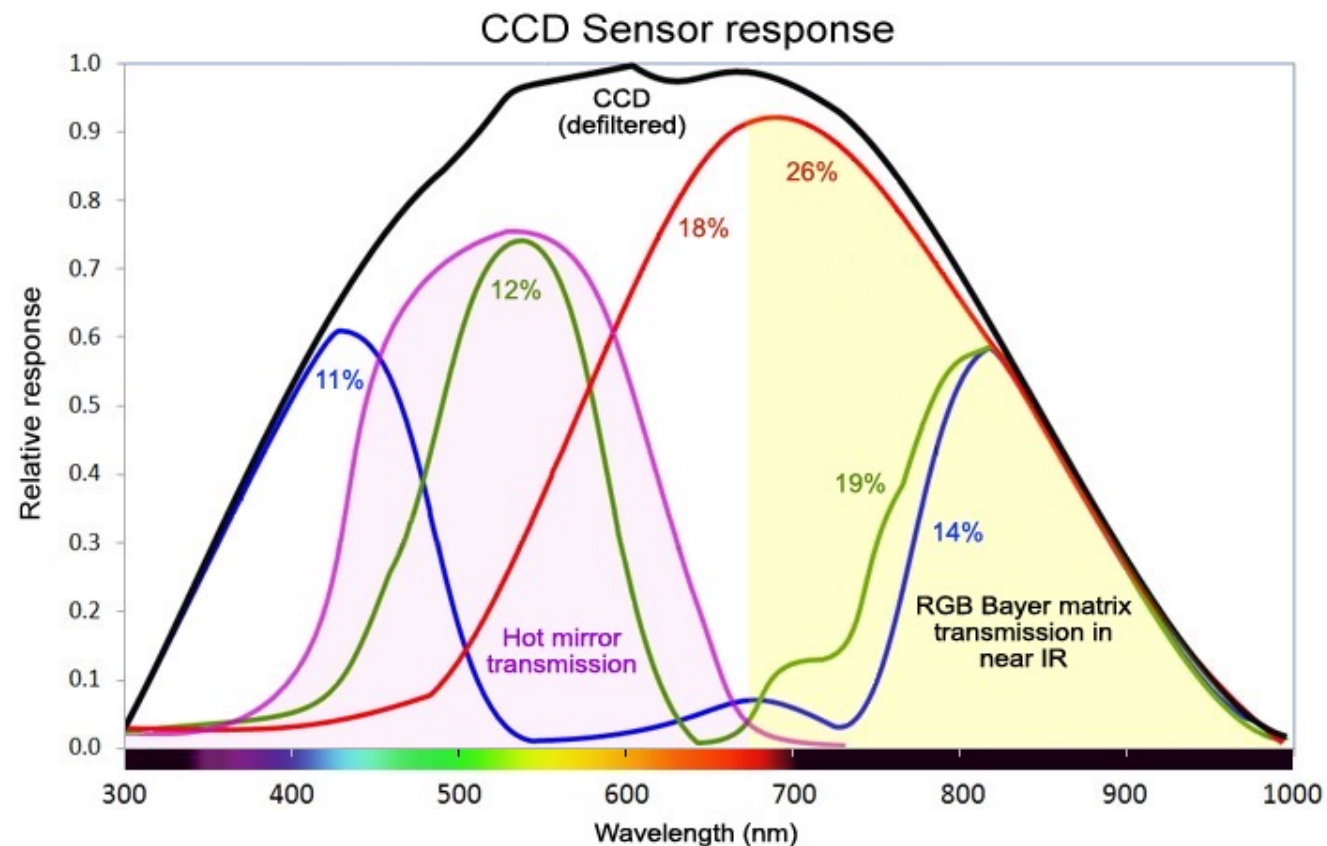
## Camera Circuit Board





*CCDs move photogenerated charge from pixel to pixel and convert it to voltage at an output node. CMOS imagers convert charge to voltage inside each pixel.*

# Spectral Responses for CCD and CMOS Sensors

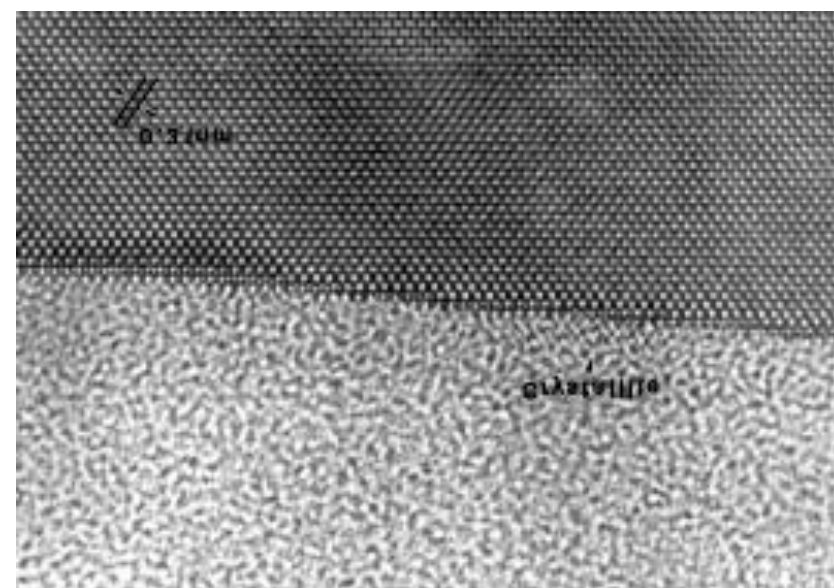
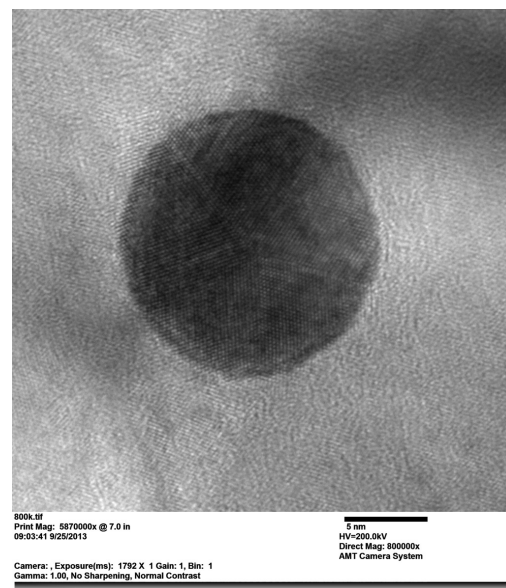
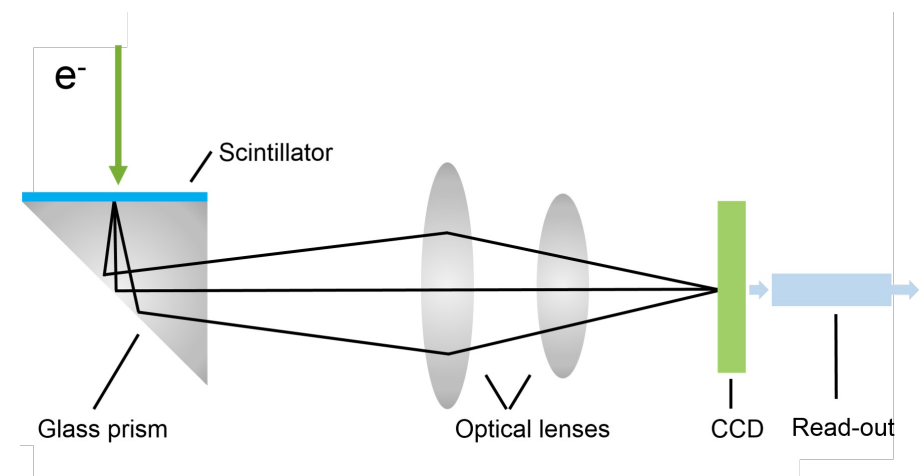
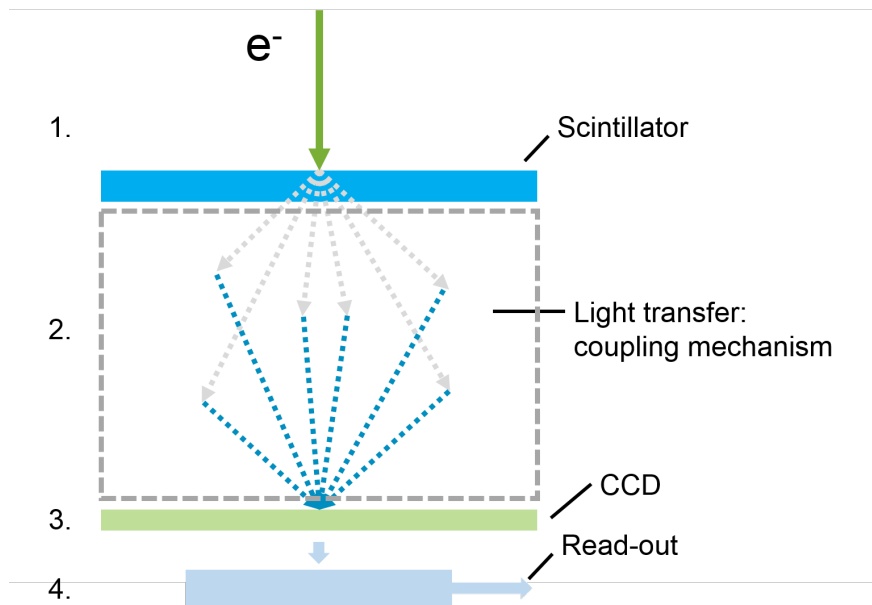


**For TEM, the electrons need to be converted to photon signal so that the developed technology of CCD can be used.**



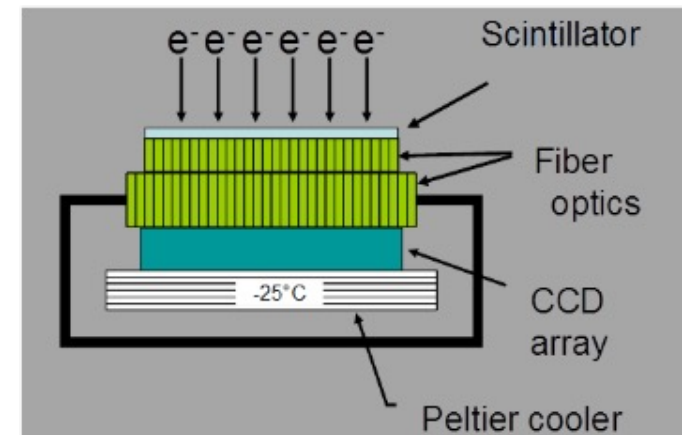
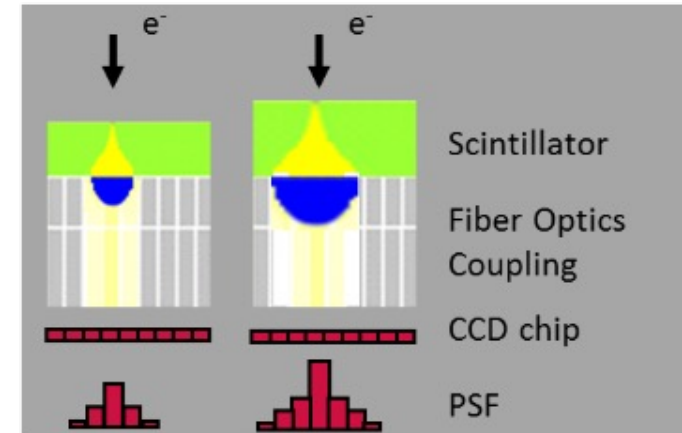
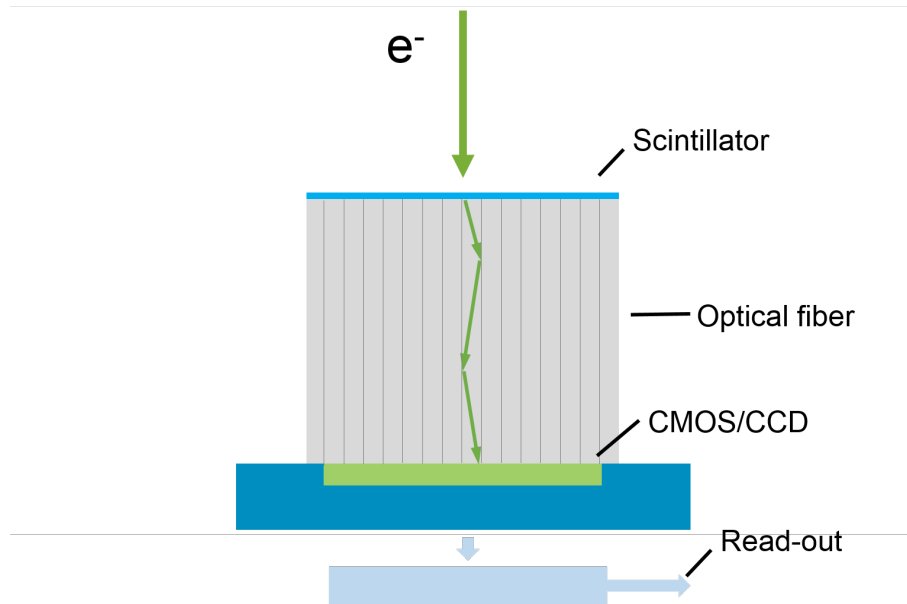
# Two Key Questions for a Camera

1. How is the voltage signal generated, from electron or photon?
2. How is the voltage signal read out?



## Electron to Photon Conversion in Scintillator layer Results in Information Loss

CCD: multi stage conversion of electron energy via fiber or lens optics

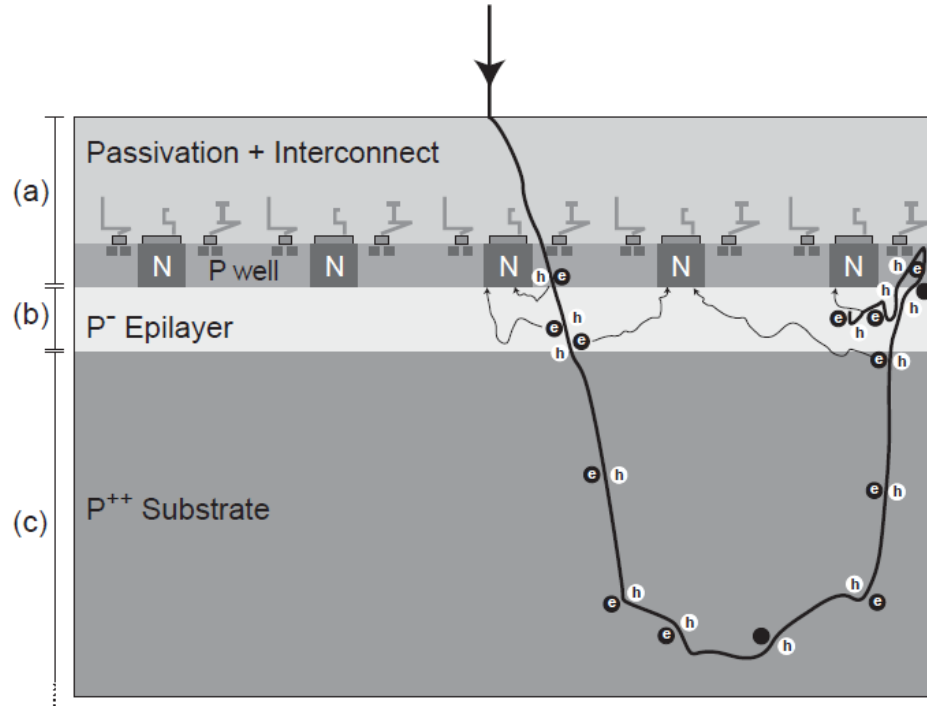


**For cryoEM sample, with conventional camera with scintillator, it is difficult to get resolution better than 1nm!**

# Direct Electron Detector (DED)

## DED with CMOS “Monolithic Active Pixel Sensors”

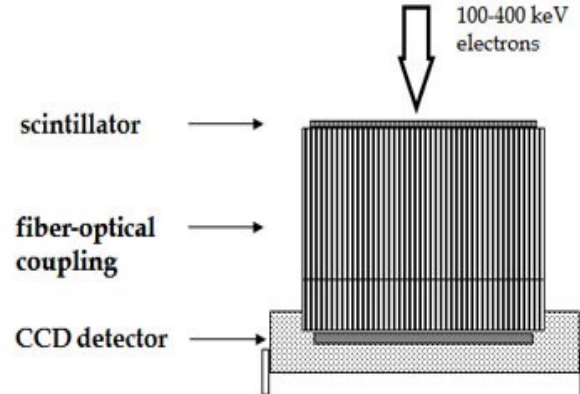
- Primary electron generates 100-300 electrons in P<sup>-</sup> Epilayer
- Electrons collect in closest well and have to be read out frequently
- Backscatter still a big problem unless substrate is severely thinned (from 700  $\mu\text{m}$  to 30-50  $\mu\text{m}$ )



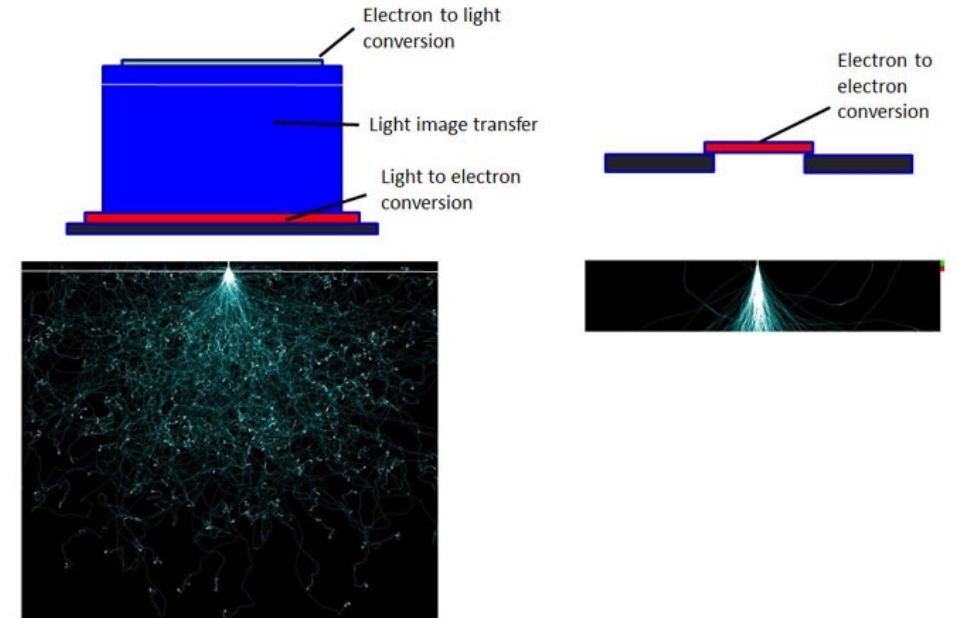
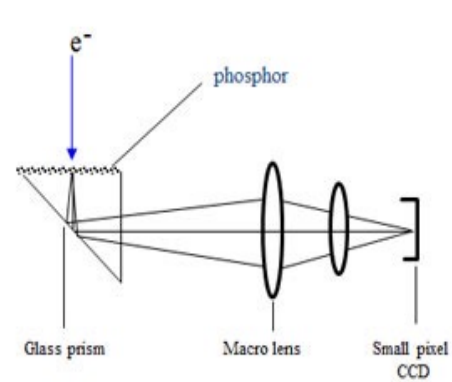
**Fig. 1.** Schematic of MAPS detector shown in cross-section. The detector has three main regions: (a) about 5- $\mu\text{m}$ -thick passivation layer plus interconnections for readout electronics in the P well, (b) a few microns of lightly doped epilayer where the useful signal is generated, and drifts on to N wells prior to being read out, and (c) the main bulk of the detector, the substrate, which is heavily doped and which does not play a significant role in the detection process. A possible path for a single incident high-energy electron is shown to illustrate the problem with backscatter from the substrate.

## CCD vs. DED

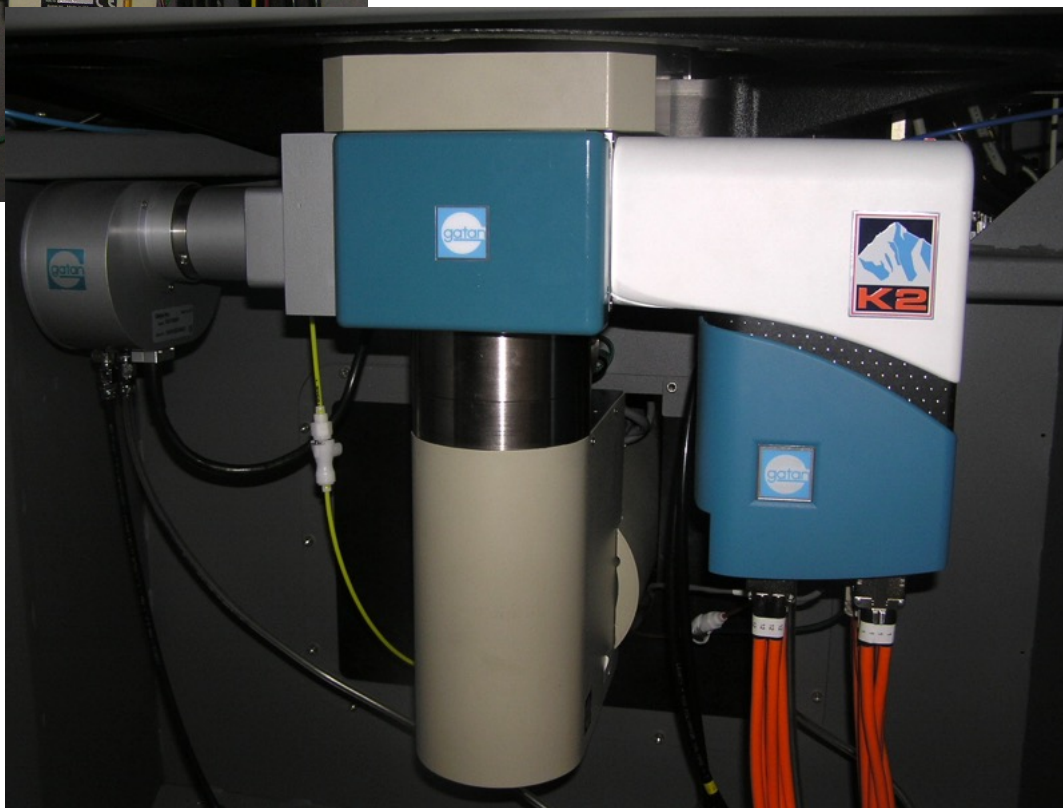
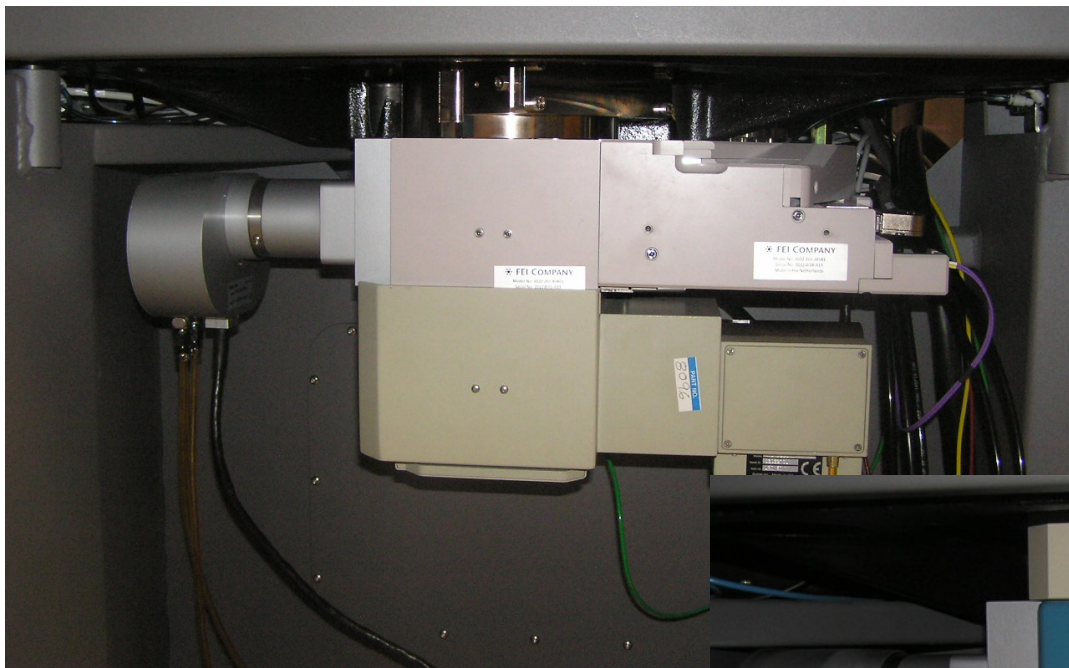
### Fiber Optical Coupling



### Lens Coupling





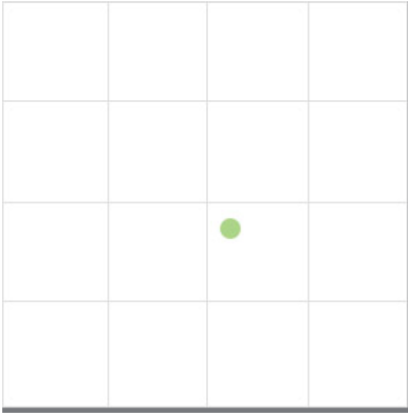




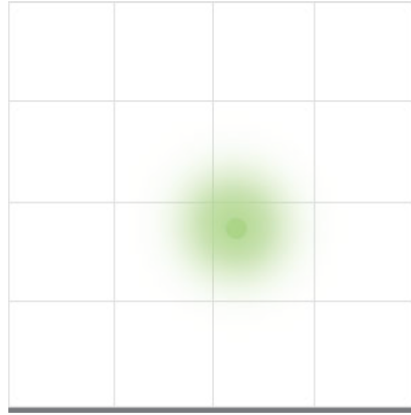
## Counting Mode



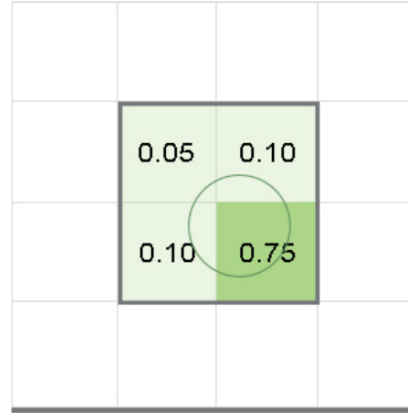
# Integration vs Counting



Electron enters detector.



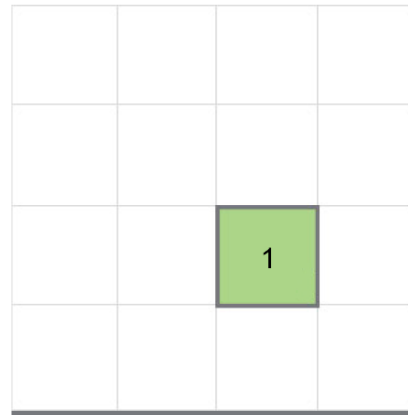
Electron signal is scattered.



Charge collects in each pixel.

## Integration

- Short exposures
- High Dose-rates applications
- Lower DQE



Events reduced to highest charge pixels.

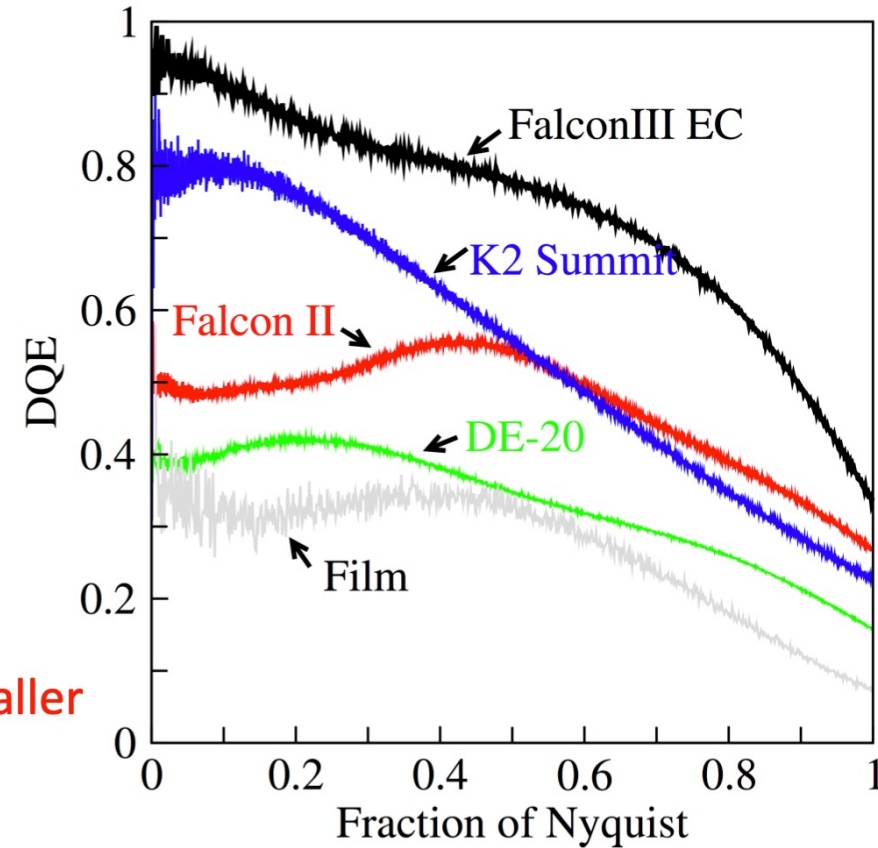
## Counting

- Very low dose rate (0.5-5 e-/pixel/sec)
- Fast frame rates
- Long exposures
- Higher DQE

## Detection Quantum Efficiency (DQE)

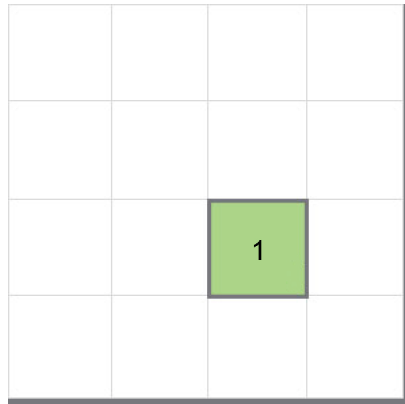
- Detective Quantum Efficiency
- $DQE = SNR_o^2 / SNR_i^2$
- A measure of the signal to noise ratio degradation
- Perfect detector has DQE of 1

Counting detector advantageous for smaller complexes > boost low frequencies

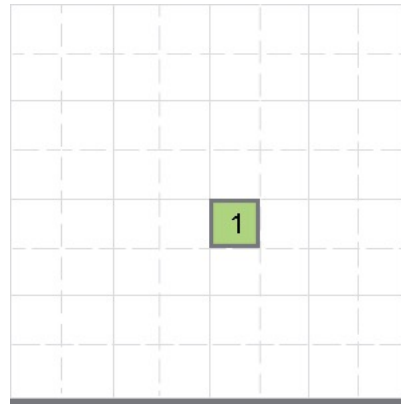


Greg McMullan

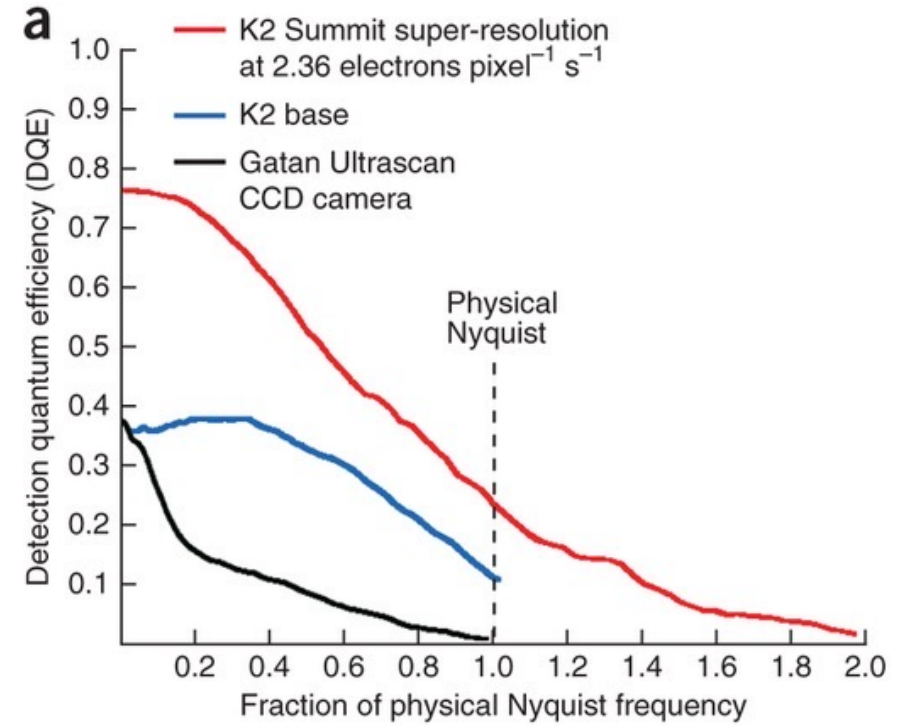
# Super-resolution



Counting



Counting with Super-Res



# On the Market

- **Direct Electron**

DE-12 (4k x 3k)

DE-20 (5k x 4k)

Apollo (4k x 4k)

- **TFS**

Falcon 2, 3, 4 (4i)

- **Gatan**

K2/3 Summit

- Others.....?

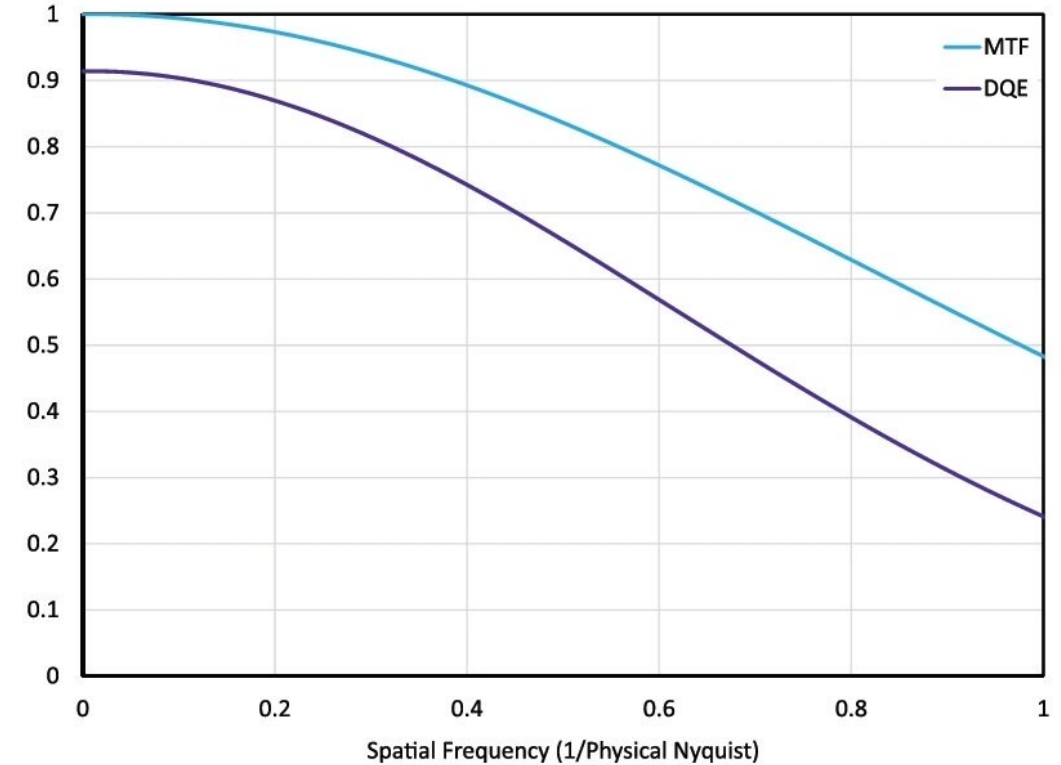
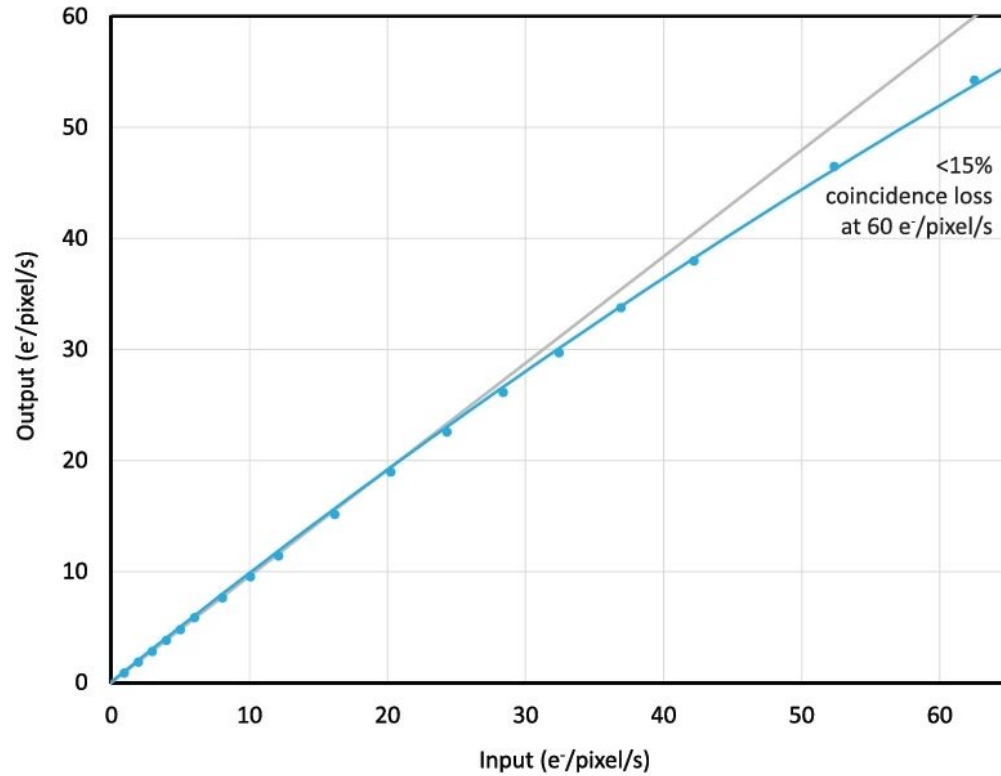


## World's First Event-Based Active Pixel Direct Detector

- Our novel direct detection device (DDD®) delivers **ultra-low noise** and **extraordinary resolution** for almost **any beam brightness**.
- **Electron counting in hardware** through a combination of sensor technology & FPGA edge computing.
- Speed equivalent to a large-pixel direct detector **counting at 2,400 fps**.
- **On-chip CDS** minimizes noise and maximizes detection efficiency.
- **4k × 4k** (16.8 million) physical pixels with larger **8 μm pixel size** to maximize resolution (MTF).
- **Super-resolution** 8k × 8k (67.1 MP) readout to the computer at **60 fps** for motion correction, dose filtering, etc.
- Elegant, powerful, and **easy-to-use** for cryo-EM.
- Integrated with SerialEM for **automated** data acquisition.

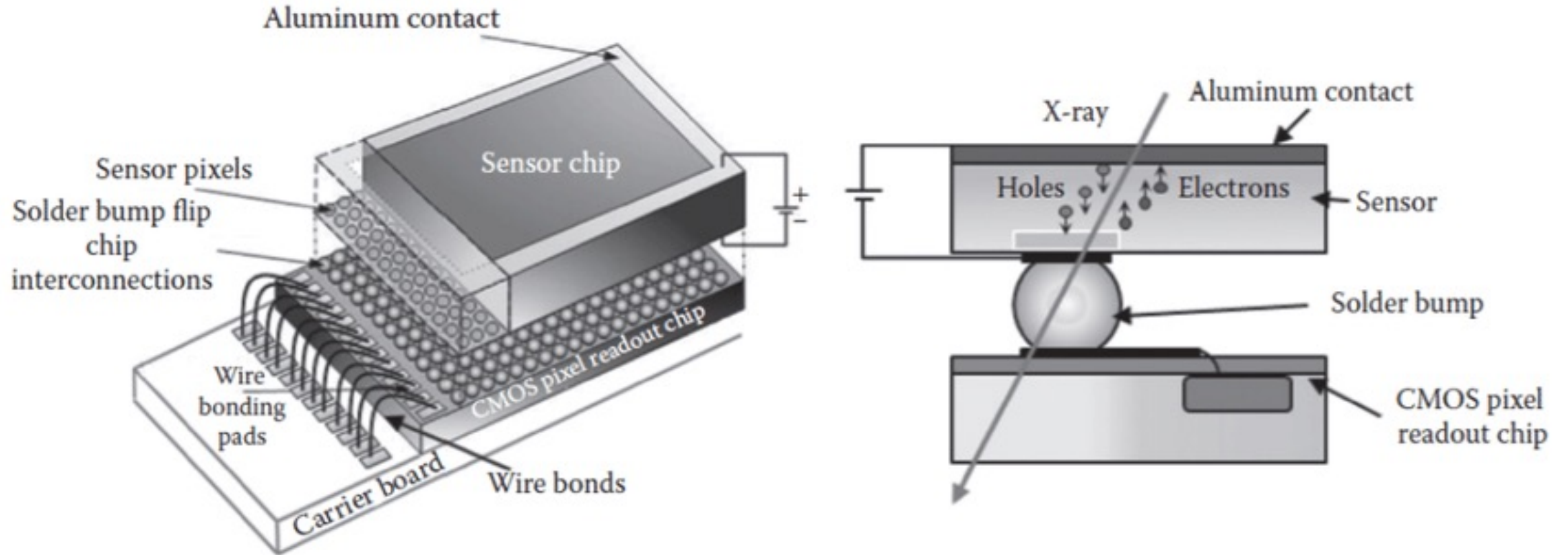


# Apollo



1. Insensitive to dose rate – comfortable to use
2. Low cost!

# Hybrid-Pixel Camera – a different type of DED



- Ionizing radiation type of detector (semiconductor sensor + ASIC readout chip)
- Solder bump to couple them together (hybrid)

# Hybrid-Pixel Camera – a different type of DED

- Direct (electron) detector with good DQE
- Noiseless
- **Very high readout frame rate (4500Hz, 8bit)**
- 30-200 keV
- ~ 512 x 512 – 1000 x 1000 pixels
- Pixel size **75**  $\mu\text{m}$
- Commonly used in X-ray and synchrotron beam line
- Very good for diffraction data



Singla, Quadro, ELA



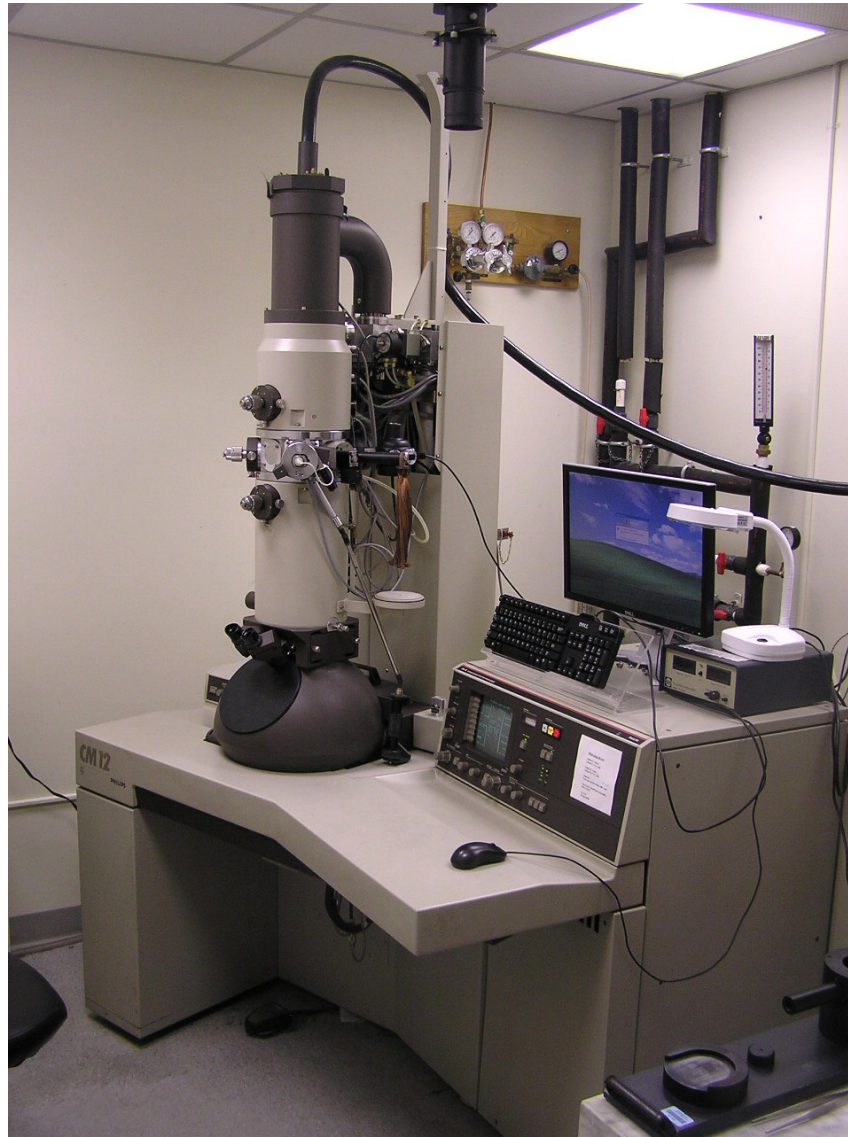
CheeTa - Diffraction



Stela – 4D STEM



### III. Advanced Software Control



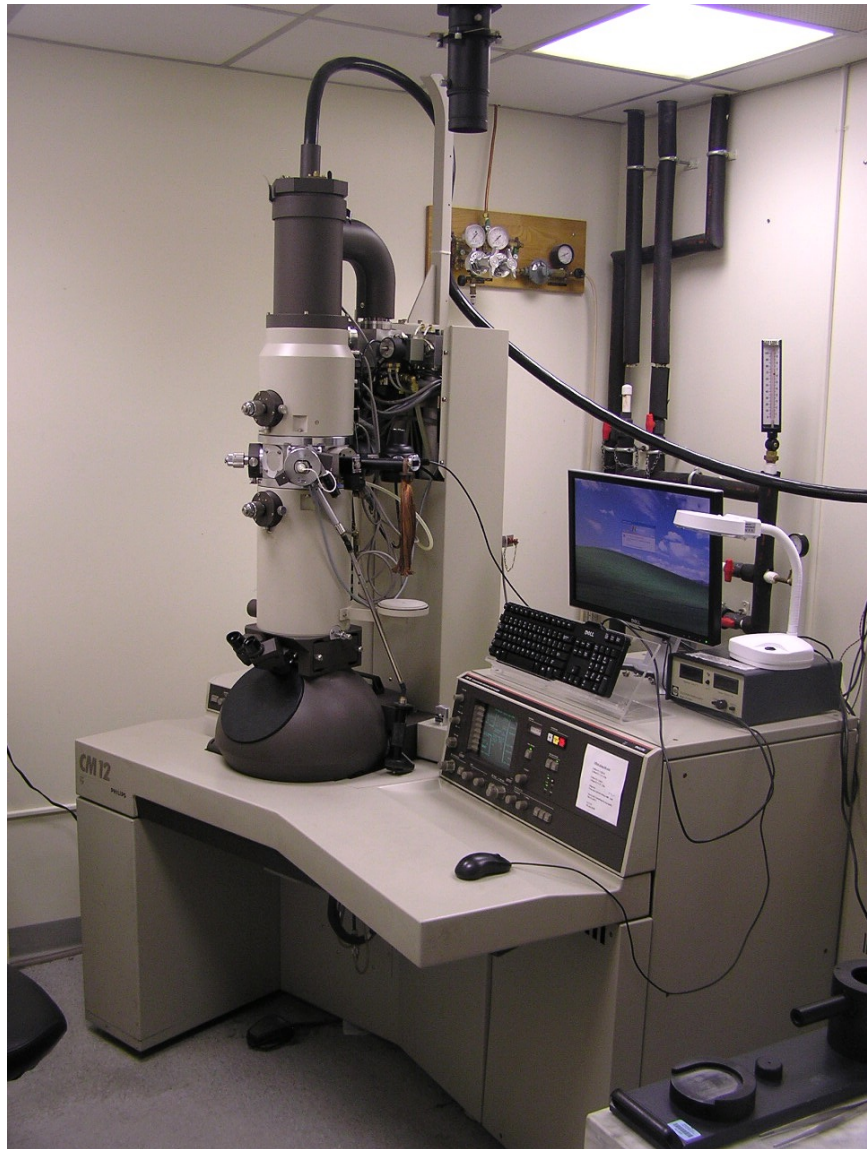


Operator  $\longleftrightarrow$  TEM Hardware

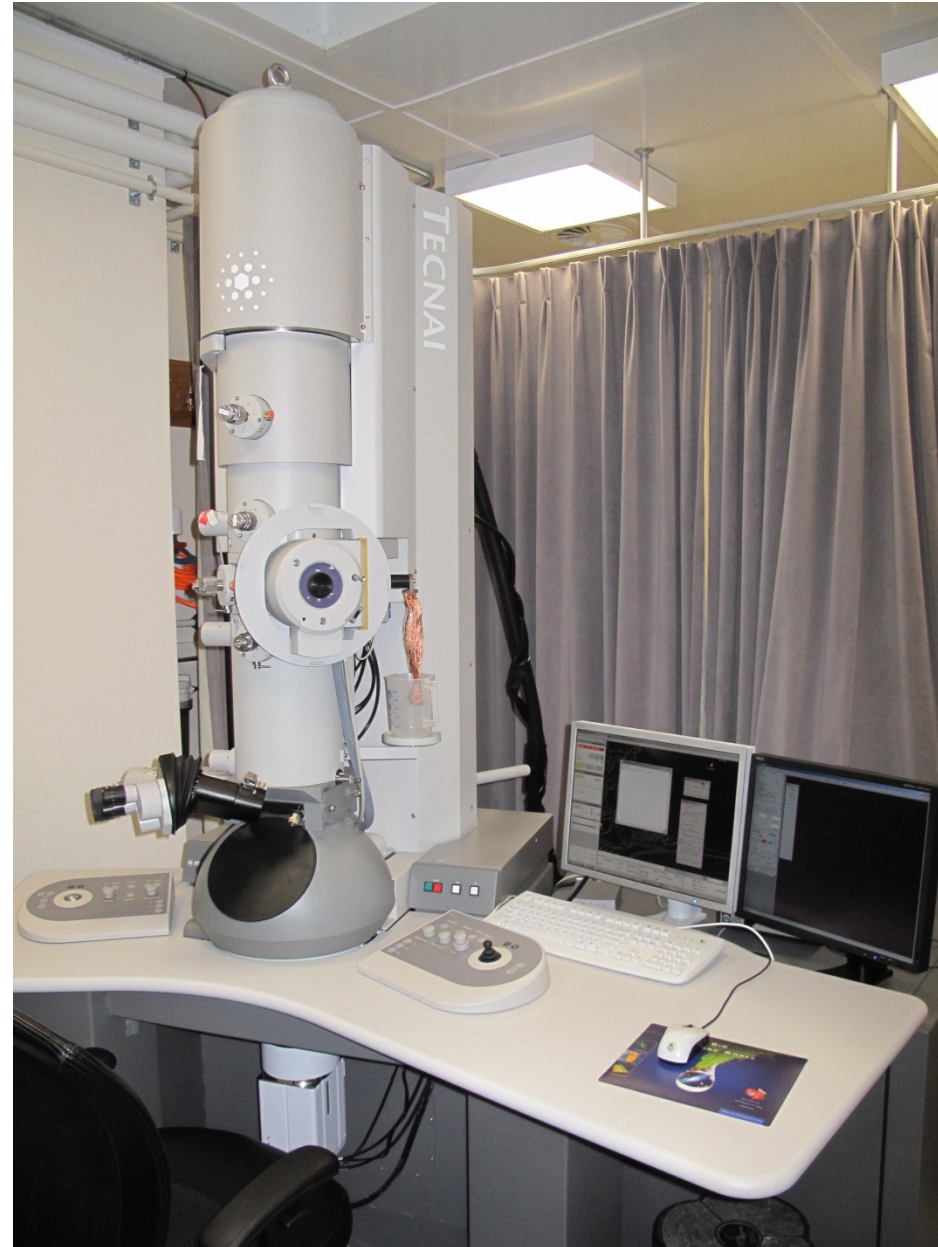
# What has happened?

- (large-scale) Integrated Circuit
- Personal Computer
- Internet



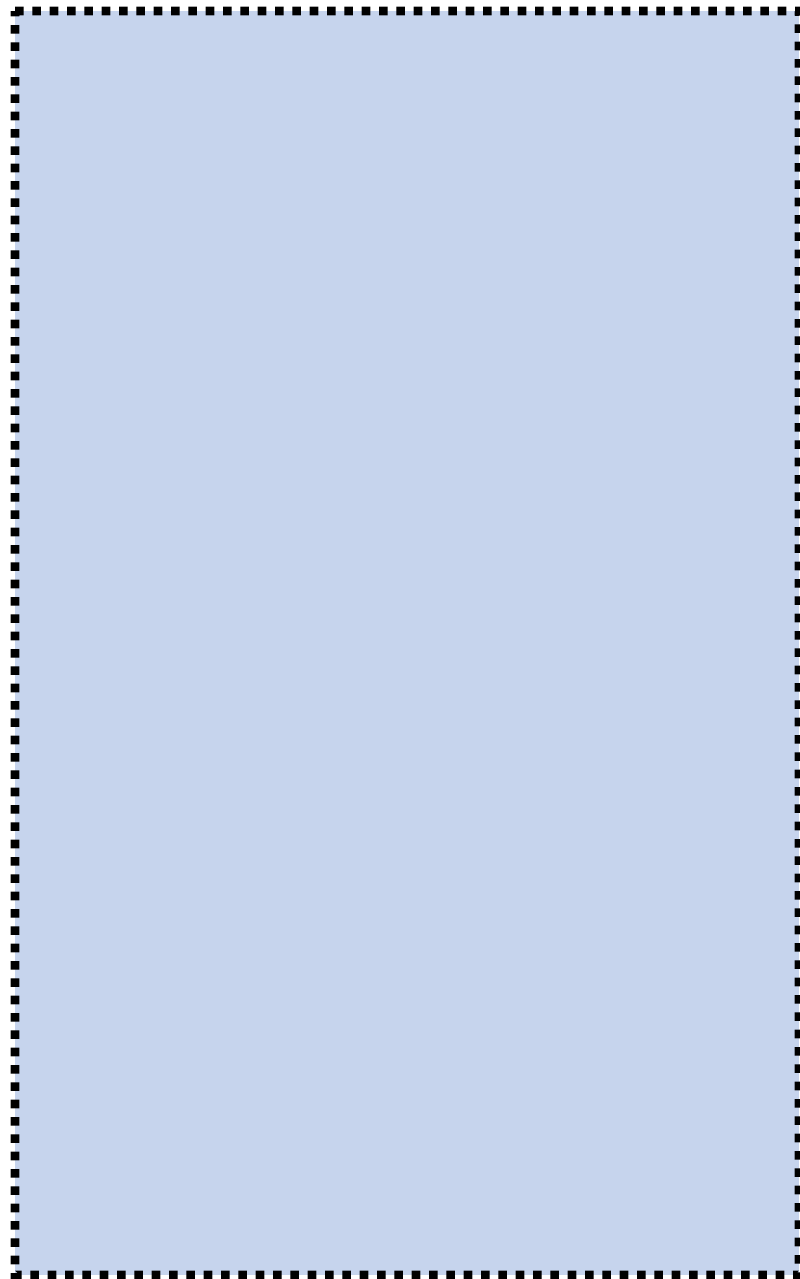


Operator ↔ Computer ↔ TEM Hardware  
 (Specimen Stage & holder, Apertures)





Operator



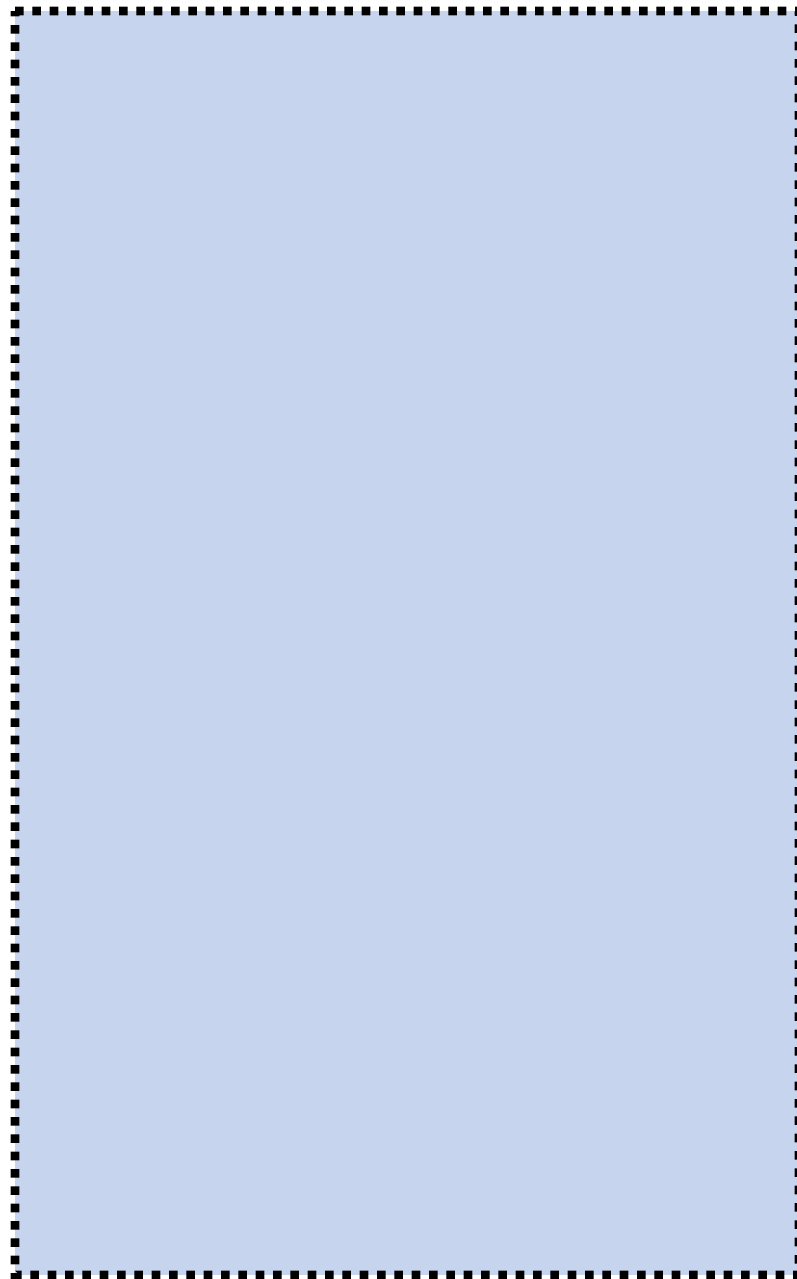
Computer



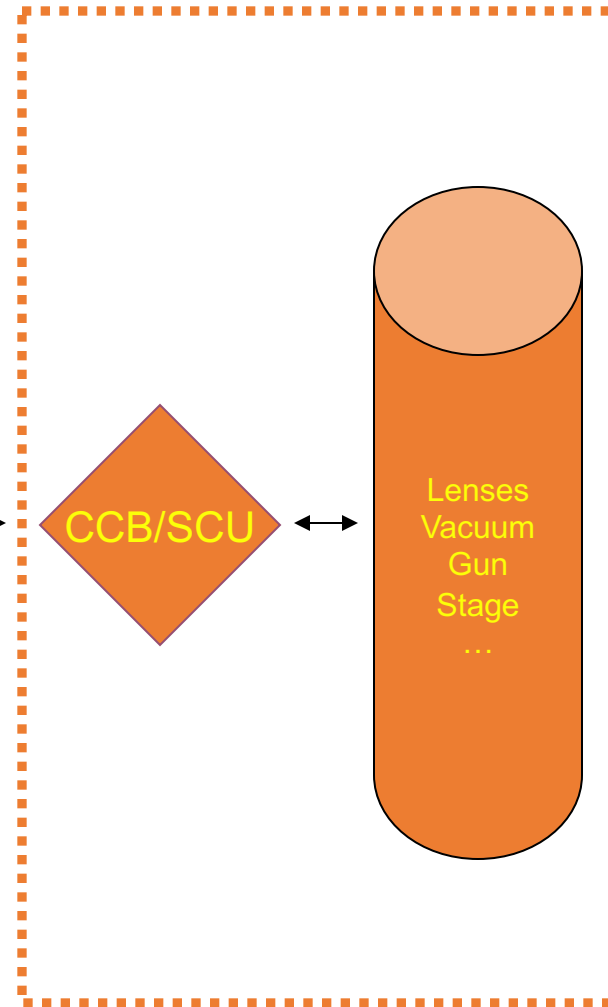
Scope Hardware



Operator



Computer

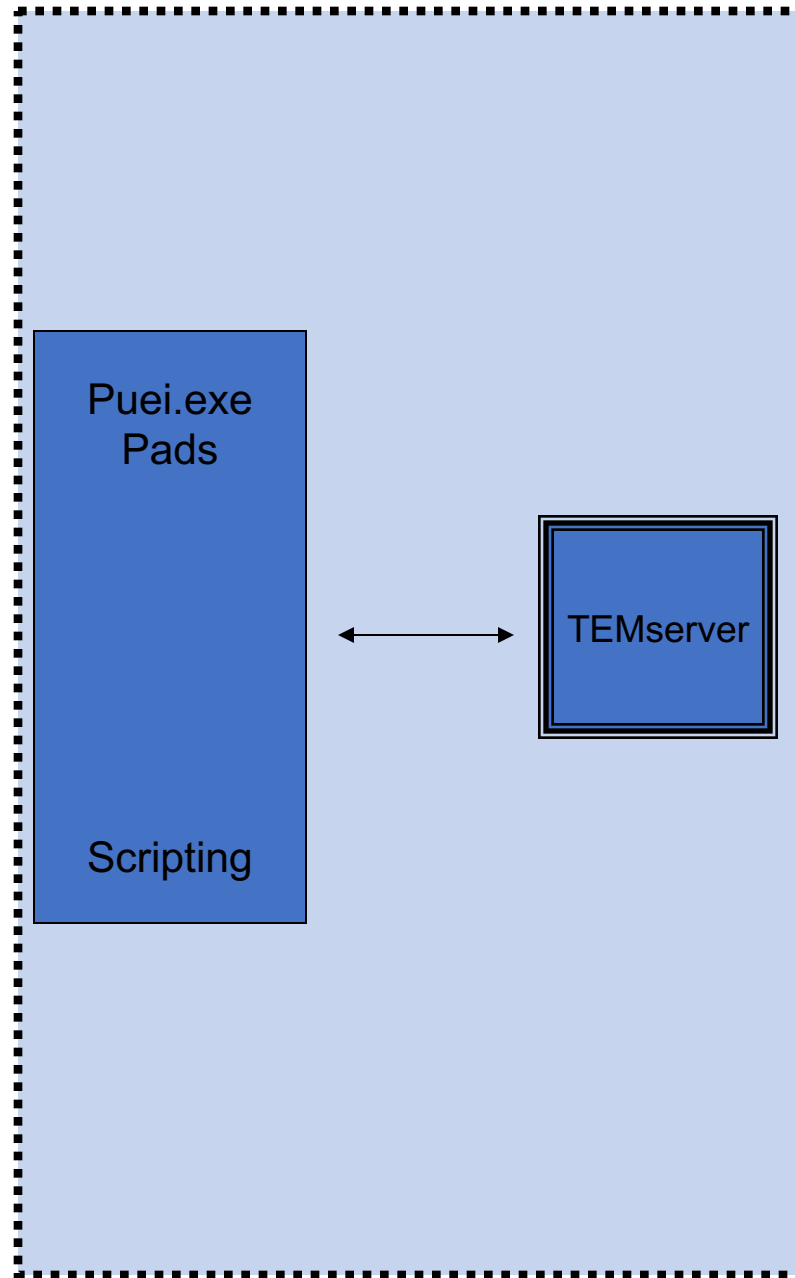


Scope Hardware

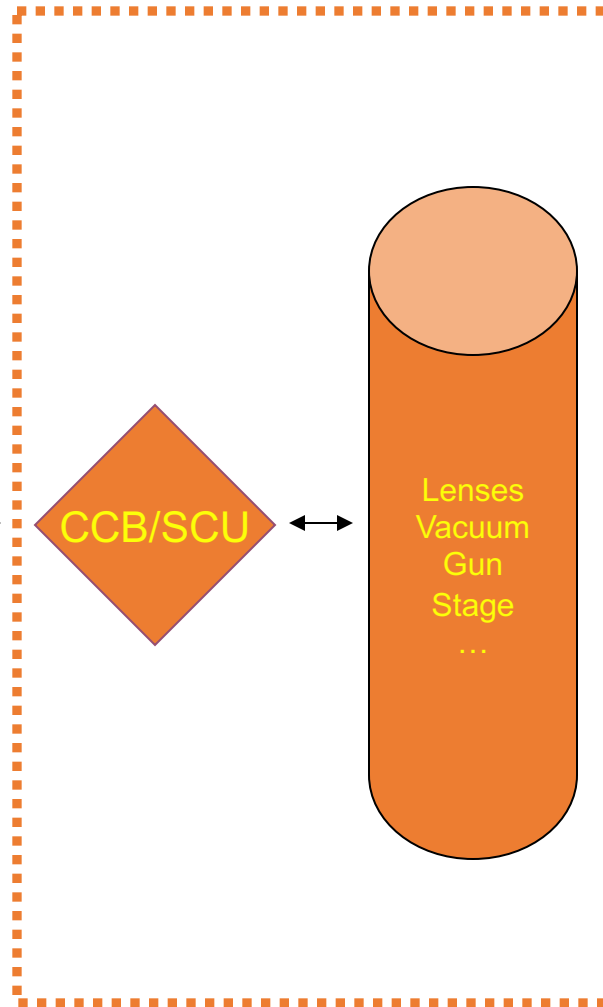




Operator



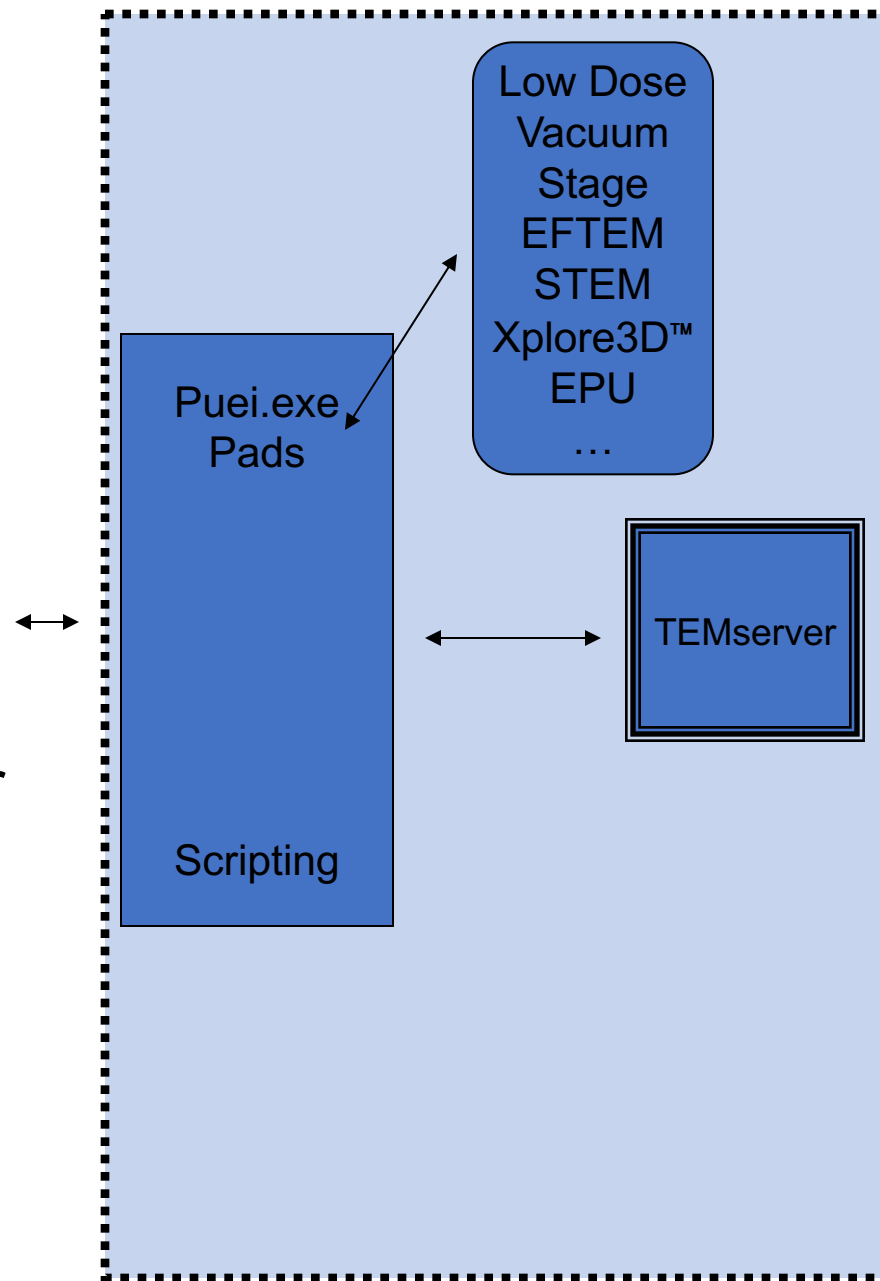
Computer



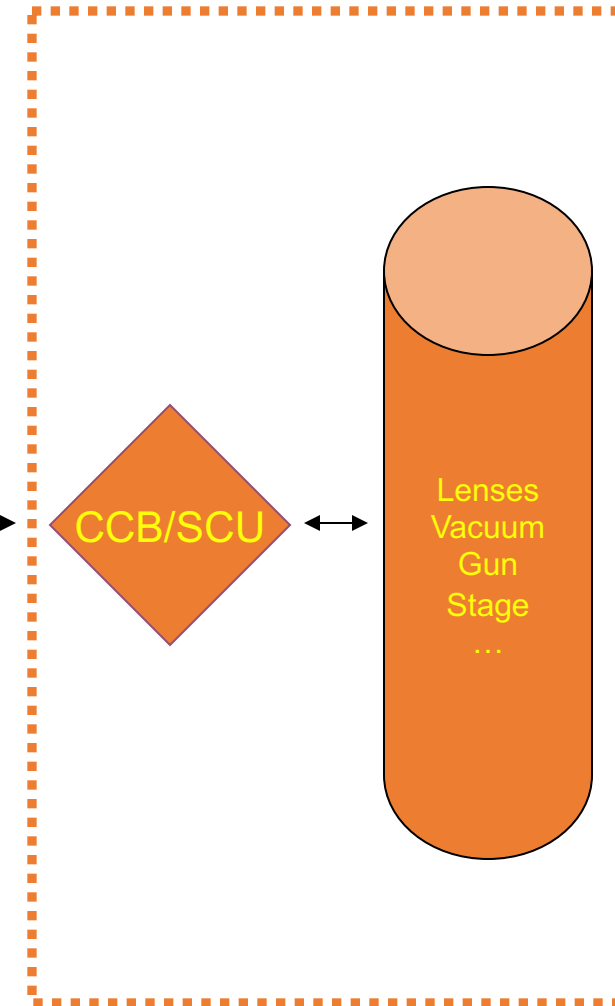
Scope Hardware



Operator



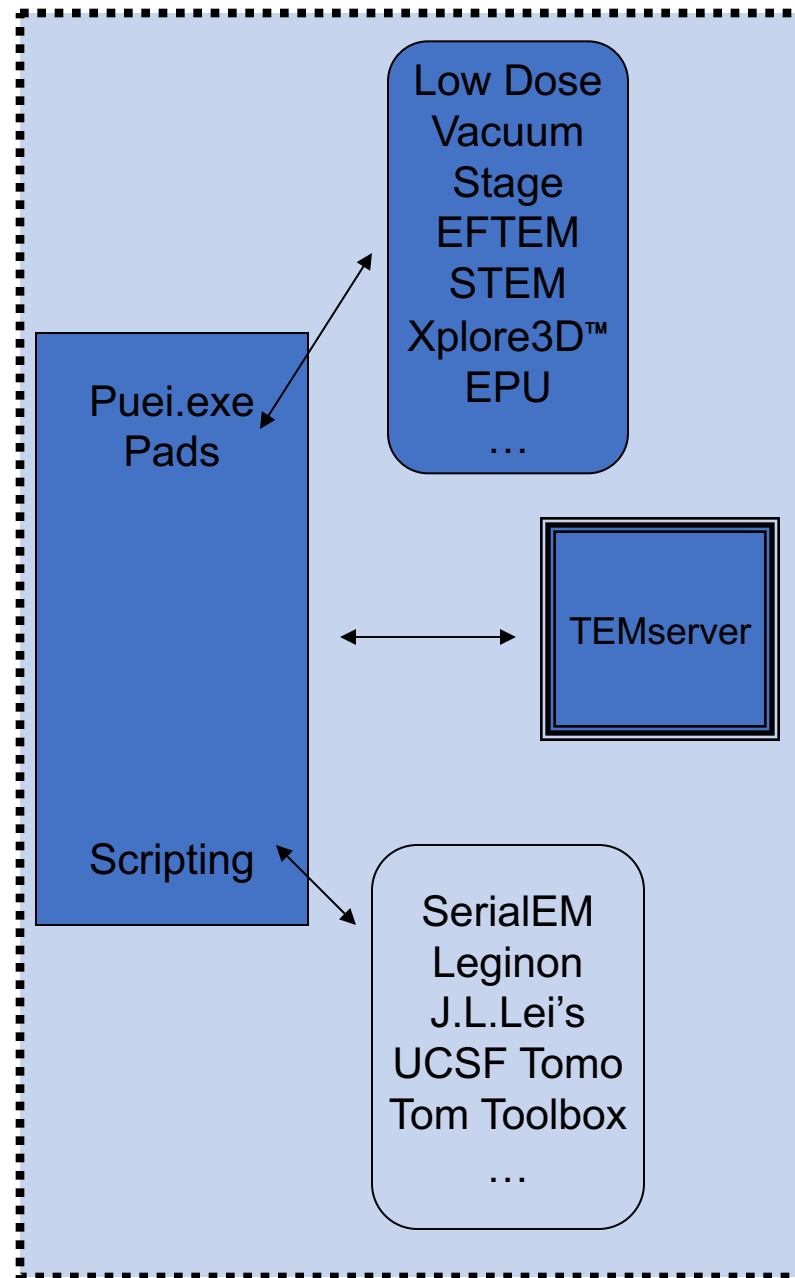
Computer



Scope Hardware

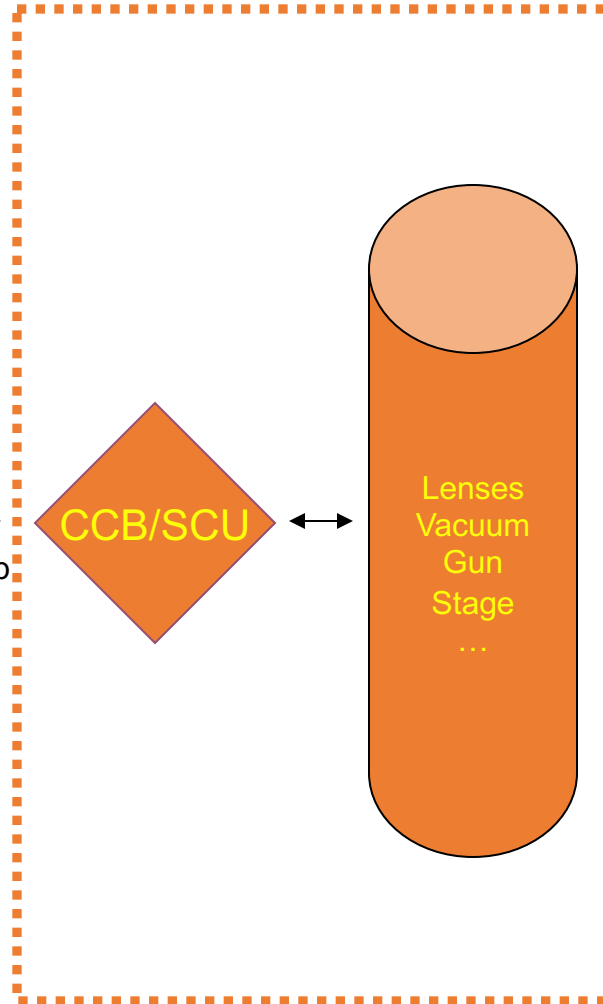


Operator



Computer

tcp/ip



Scope Hardware

# Advanced Control

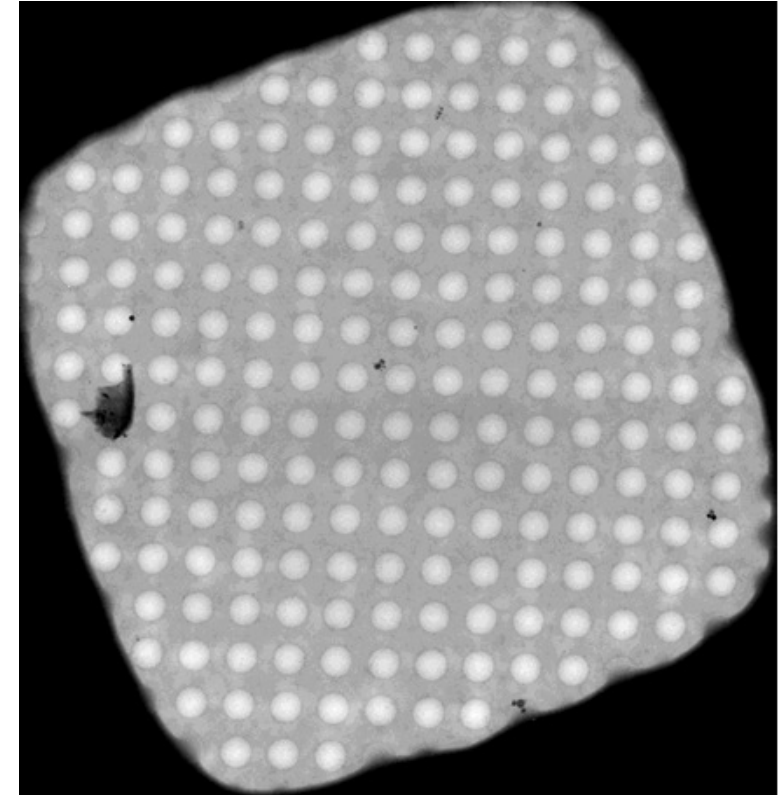
SerialEM  
Leginon  
J.L.Lei's  
UCSF Tomo  
Tom Toolbox  
...



- Flexible control
- Automation
- Remote control
- .....

# The Task of a TEM Operator

- *With the best beam conditions, then*
- Go to a spot -  $x, y, z, \alpha, (\beta)$
- Focus
- Record
- (repeat)

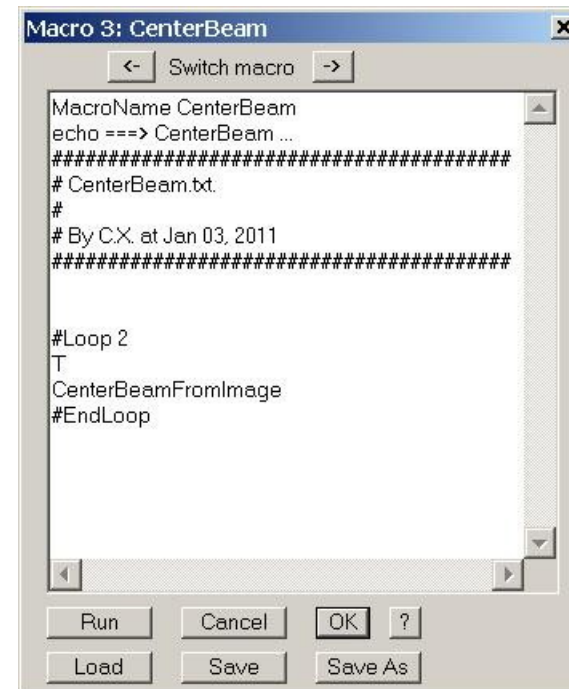
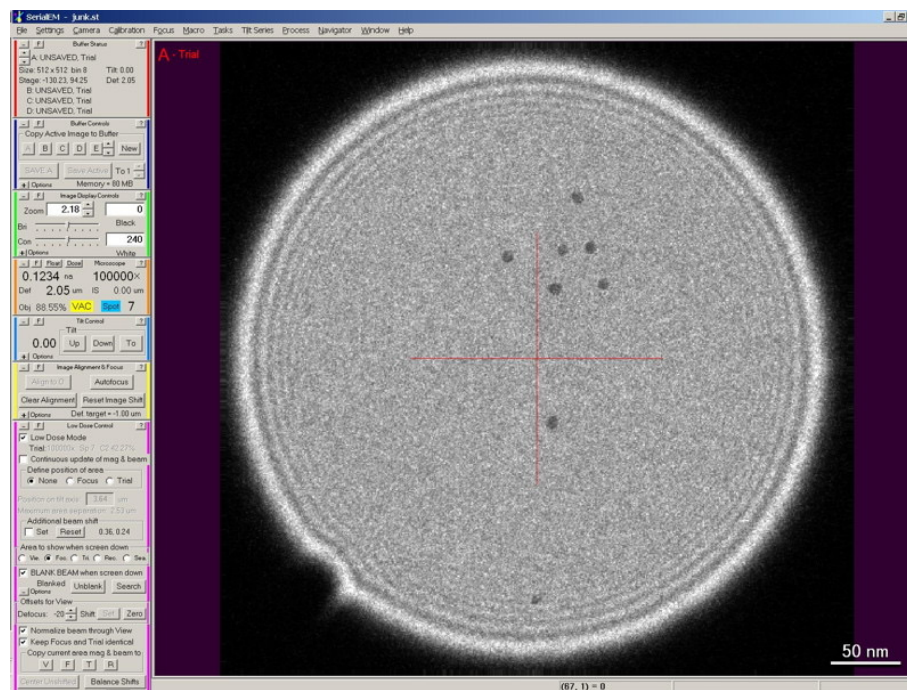
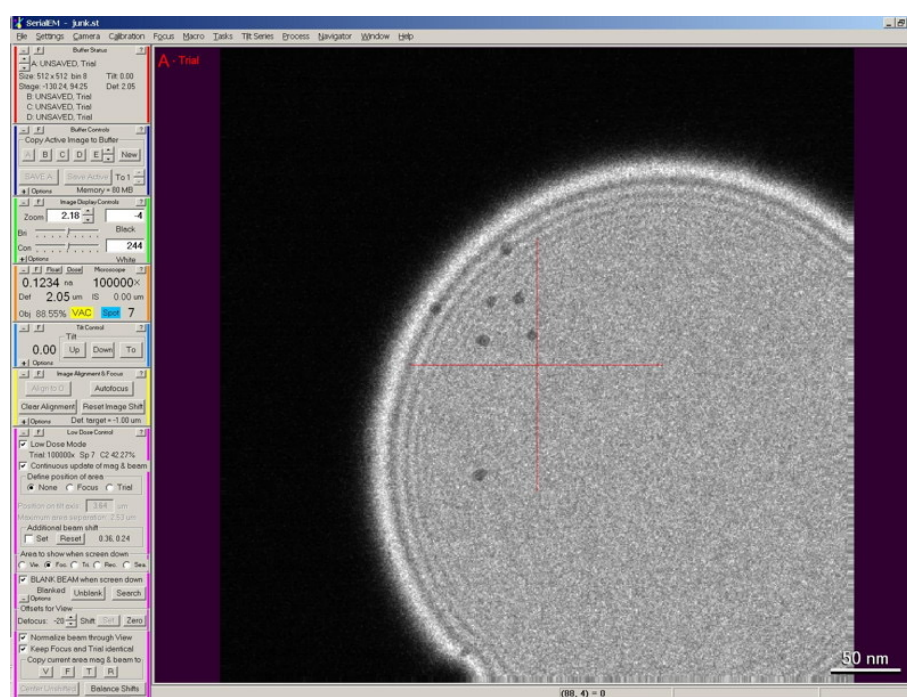




Montage Controls

500 nm





```
MacroName RT
# at 0
G
G
R
S

WalkUpTo 45

#at 45
G
G
R
S

TiltTo 0
```

```
MacroName RT
#####
# RT.txt
#
# by Chen Xu @ Dec. 23, 2010
#####
#
# A main macro for Rondon Contical Tilt (RT)
# data collection for a single point picked
#

#=====
# set angles
#=====
ang_1 = 0
ang_2 = 45

ResetClock

#=====
# start with 0, in case last one exits at non-0 angle
#=====
TiltTo 0

#=====
# Center Beam using T beam
#=====
Call CenterBeam

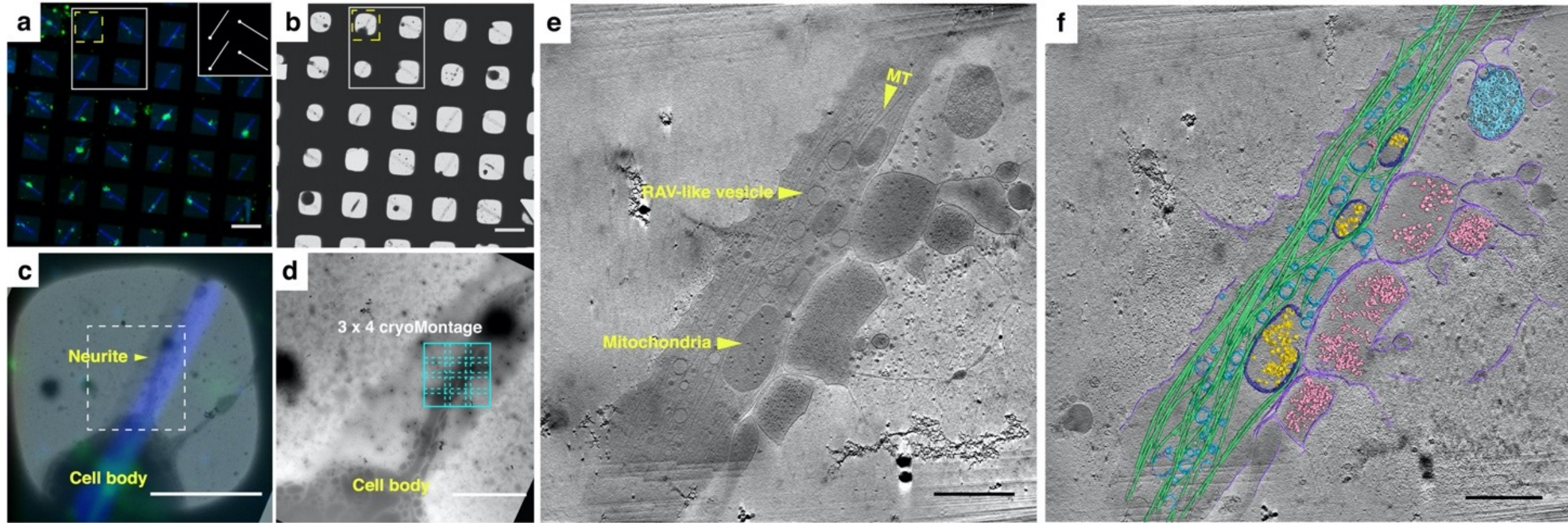
#=====
# collect tilting pairs
#=====
Loop 2 no
if $no == 1
    ang = $ang_1
else
    ang = $ang_2
endif
WalkUpTo $ang
ResetImageShift
Call Z_byG
Call Focus
Call Drift_new
Call Shot
EndLoop

#=====
# back to 0
#=====
TiltTo 0

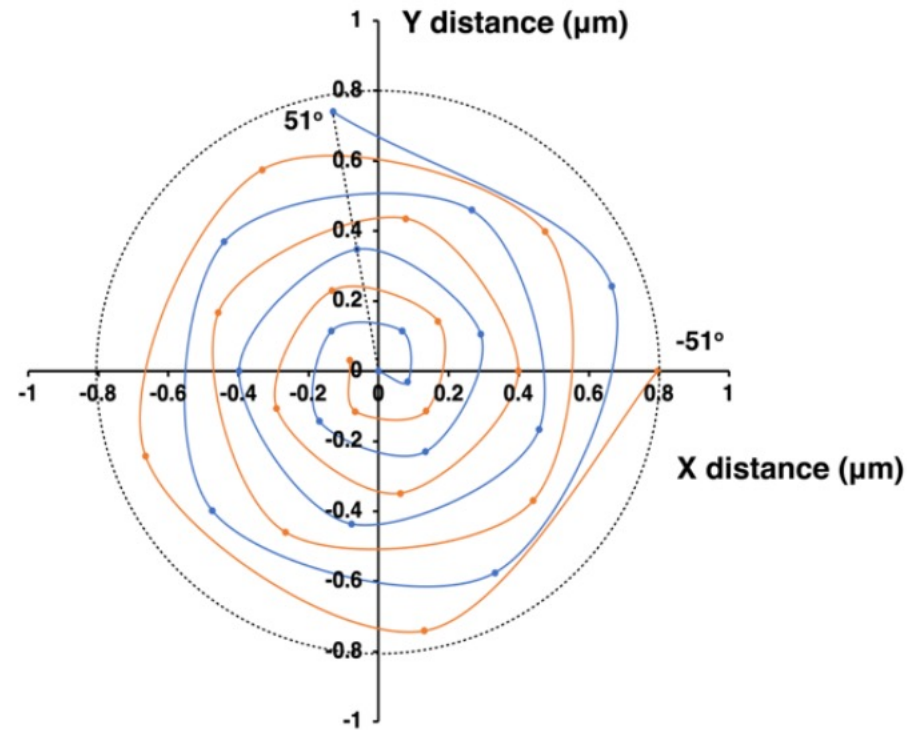
ReportClock
#=== end ===
echo -----
```



# Advanced Control – an example



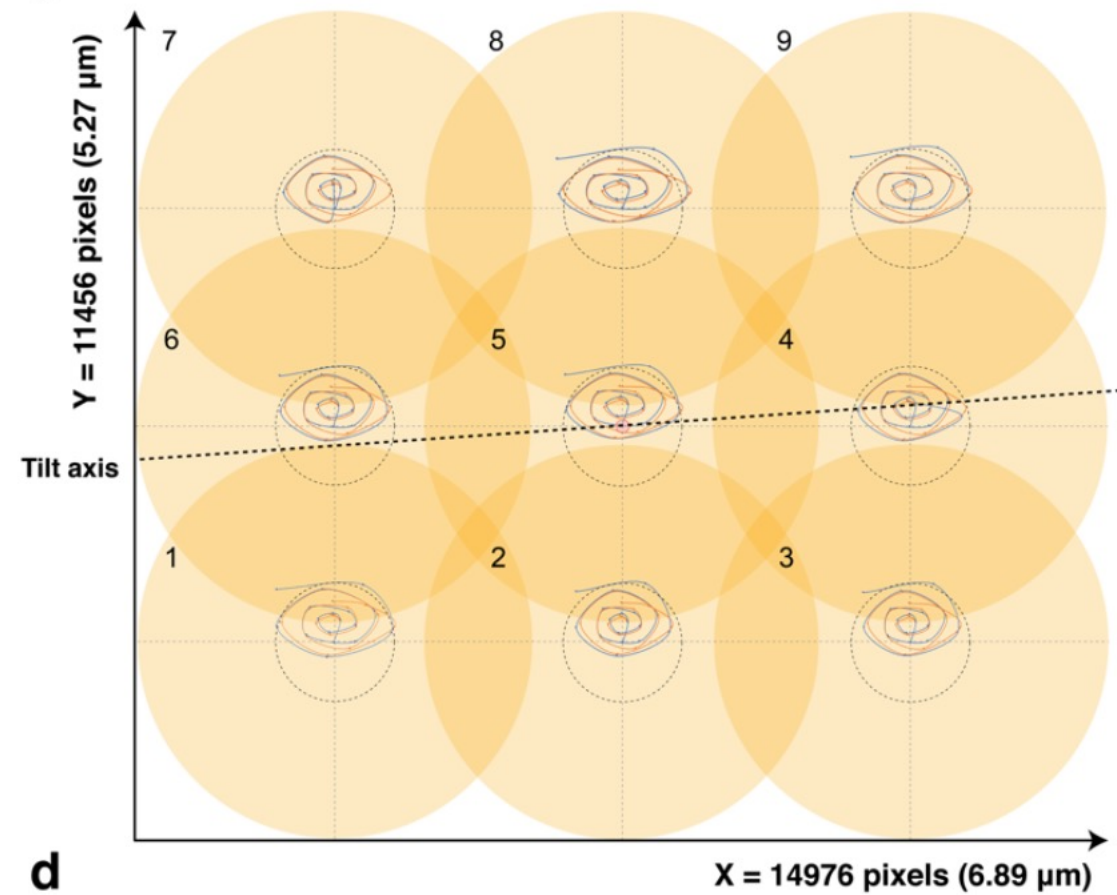
**a**



**c**

Defocus ( $\mu\text{m}$ )  
6.2 $\lambda$

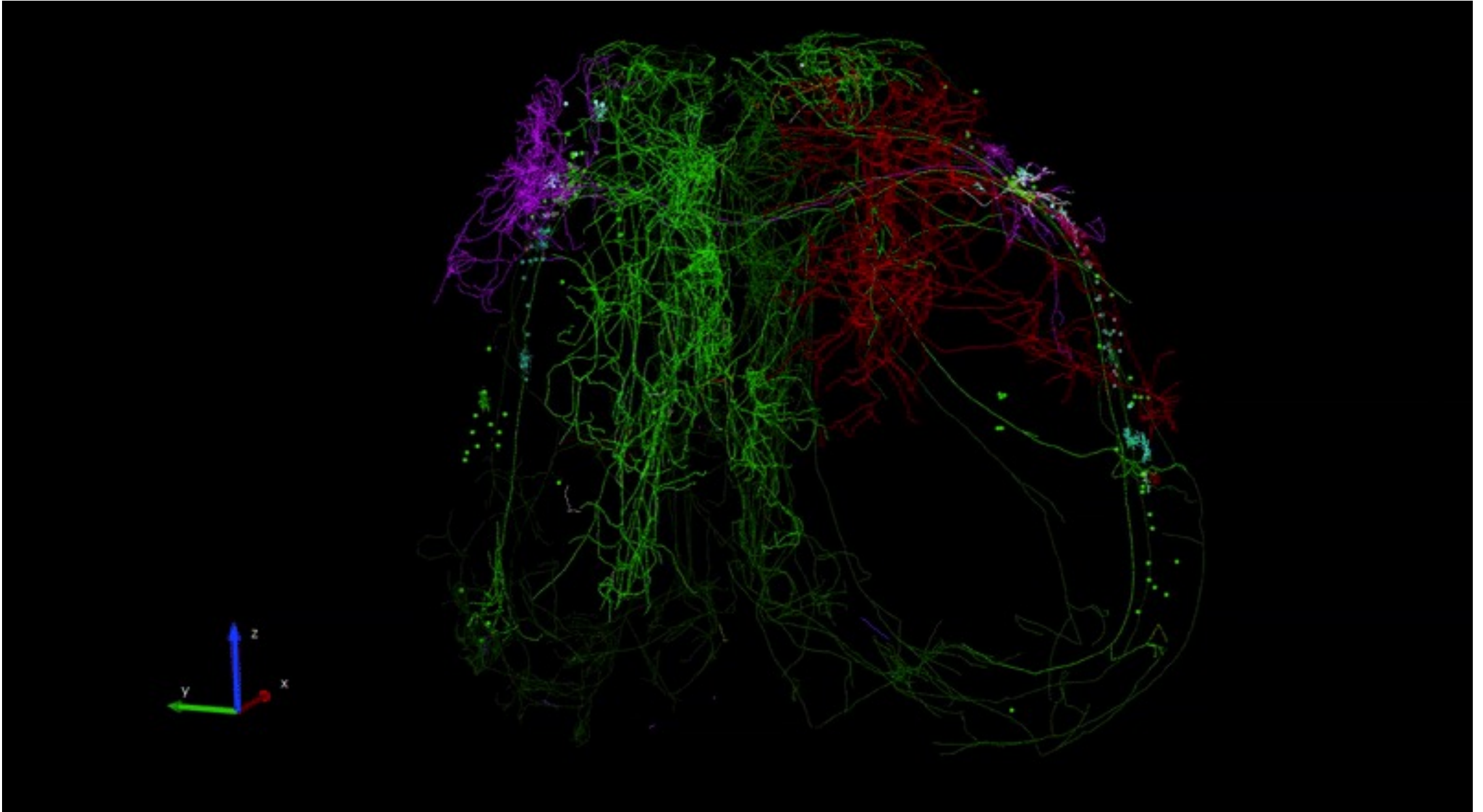
**b**



**d**



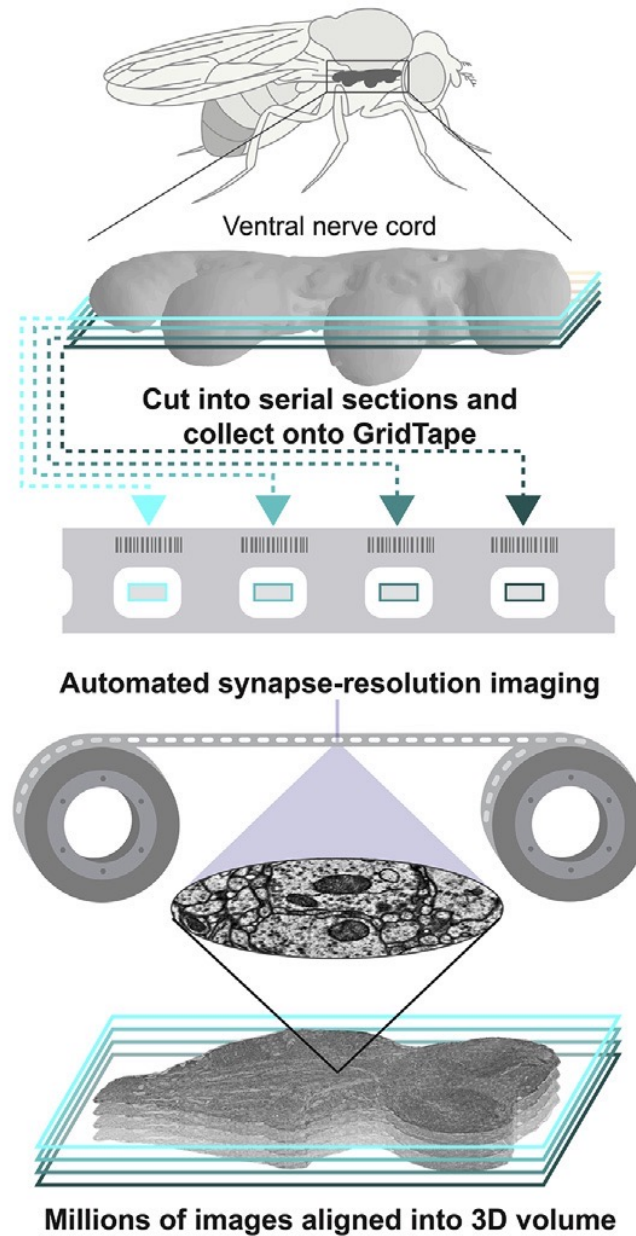
# High-throughput, Large-scale Volume EM



<https://alleninstitute.org/what-we-do/brain-science/news-press/articles/now-stunning-whole-brain-resolution-neurons>

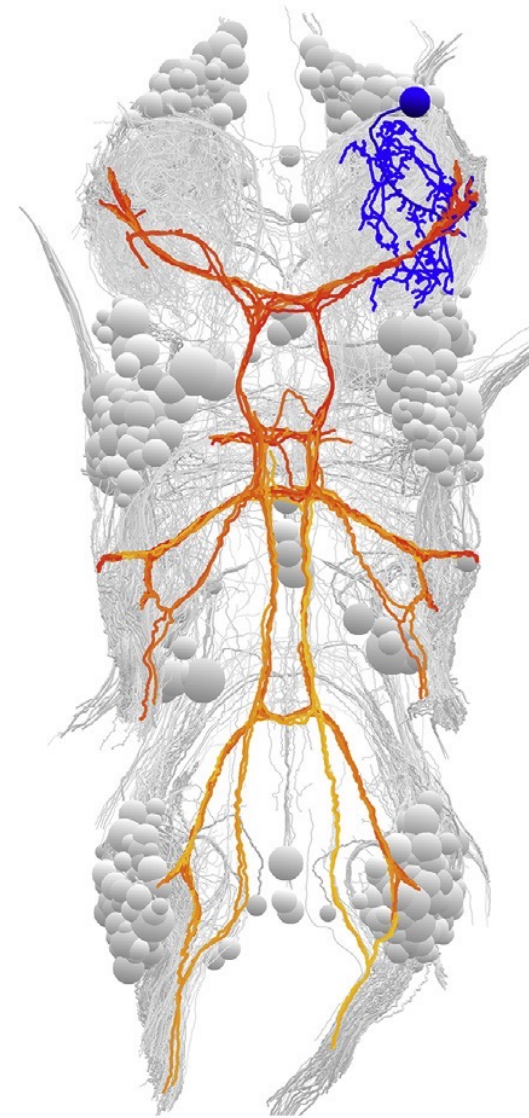
## GridTape

Automated high-throughput serial-section transmission electron microscopy

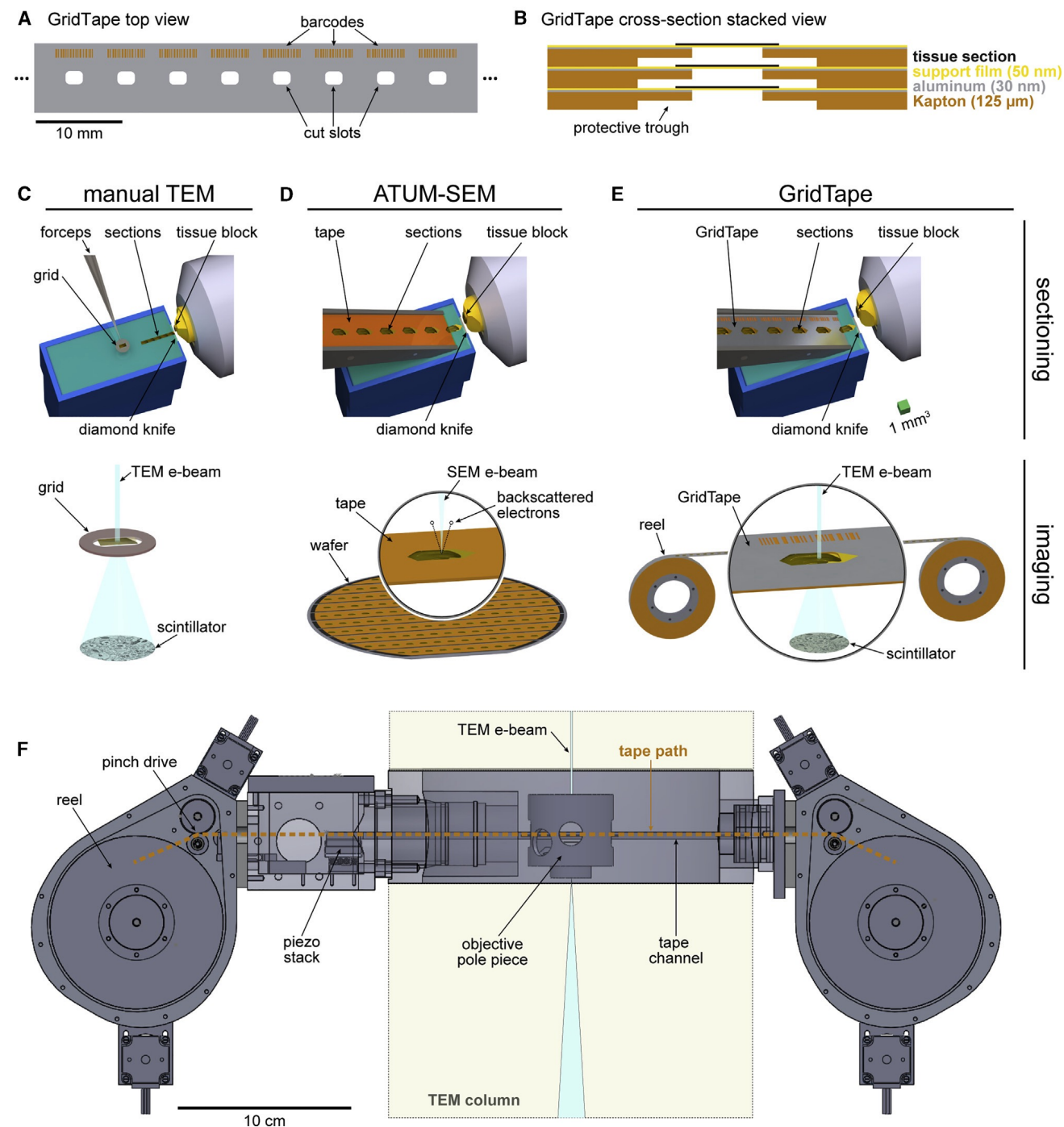


## Mapping neuronal circuits

Reconstruction of >1000 sensory neurons and motor neurons in the ventral nerve cord

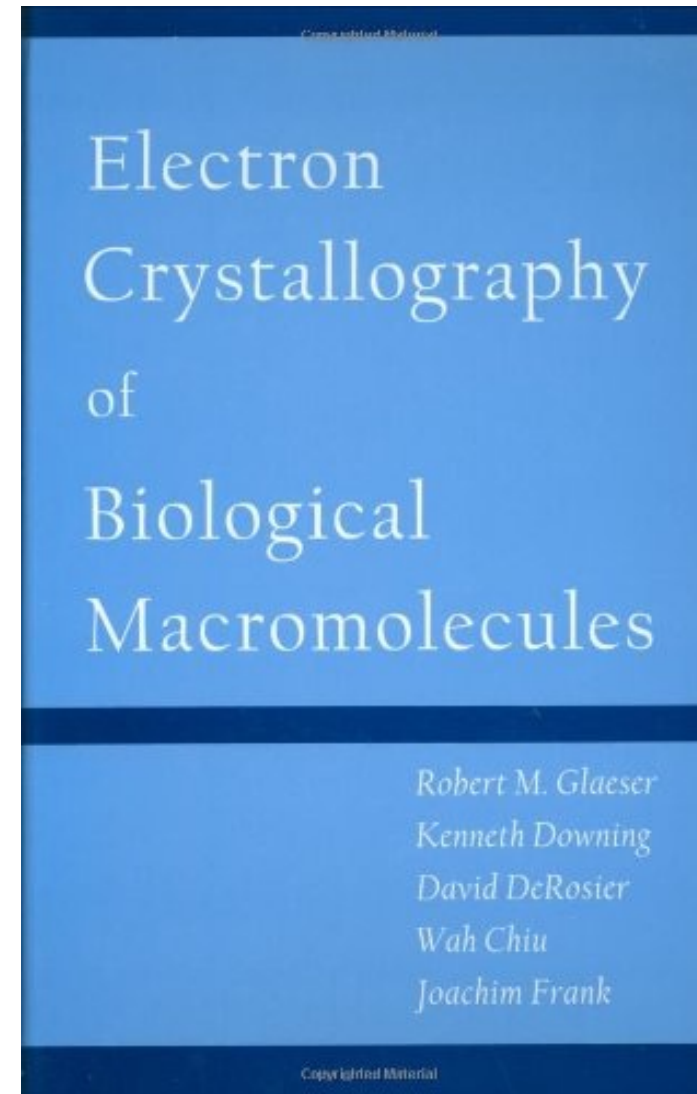
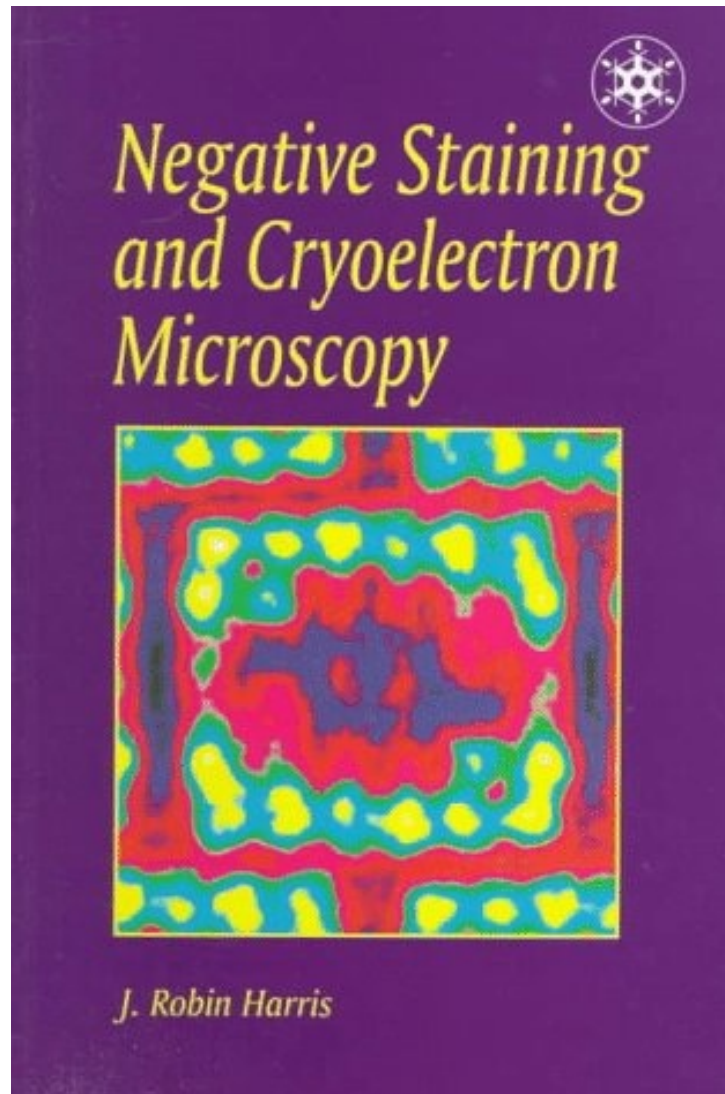


**Characterization of unique sensory neurons**  
**Synaptic connections to specific motor neurons**





# Two Books that I like



THANK YOU