

### **Acknowledgements Reference Document:**

To help maintain accountability and accuracy of its federally-mandated assessment and reporting functions, BES requires funded Principal Investigators (PIs) to appropriately acknowledge its support in the presentations and publications resulting from its funding. Note that BES has several modalities of funding research including: core programs, EFRCs, and HUBs. BES recognizes that some publications may bring together results from several projects, as well as from other collaborators, which may include work supported by other funding agencies. In such cases, the acknowledgement sections should clearly delineate which parts of the reported research were specifically supported by BES. The practice of simply presenting a list of supporting agencies in a shared acknowledgement is highly discouraged because it is impossible to discern the level and target of BES support relative to that of other agencies.

For a paper or presentation to be counted as a “BES-EFRC funded” activity, it needs to properly acknowledge the BES funding and also delineate the scope, if the research was supported by multiple programs or agencies. Since the Department of Energy is a large organization, in order for the BES supported research to be properly attributable to the Office of Basic Energy Sciences in general, and an EFRC specifically, the acknowledgments should explicitly delineate its support. Guidance on acknowledgments for different purposes is given below with a few examples. Also included are examples of improper acknowledgements that do not appropriately delineate the support of various programs and agencies.

**Presentations:** For a presentation, this is fairly straightforward and should simply consist of an acknowledgement on a slide, typically either at the beginning or end of the presentation. At a minimum the acknowledgement should state that “the work was supported as part of the <insert name of EFRC>, an Energy Frontier Research Center funded by the U.S. Department of Energy, Office of Science.”

**Press release:** Since press releases or similar documents have different audiences and requirements, our guidance for attribution is slightly different. For these, please use the following: “This research was supported as part of the <insert name of EFRC>, an Energy Frontier Research Center funded by the U.S. Department of Energy, Office of Science.”

**Publications:** For a publication on work supported wholly under an EFRC, the acknowledgement should at a minimum state that “This work was supported as part of the <insert name of EFRC>, an Energy Frontier Research Center funded by the U.S. Department of Energy, Office of Science, Office of Basic Energy Sciences under Award Number <insert award #>.” *Note: Award numbers are not provided for National Laboratory EFRCs and thus do not need to be included.*

When a multi-user facility (e.g., use of facilities or equipment funded by BES, MRI, CRIF-MU, MRSEC, IMR-MIP, etc.) was also used for the research, the publication should include appropriate acknowledgment of the facility or equipment and its supporting agency.

When the work is only partially supported within the EFRC, it is important to clearly state which aspect of the research was funded under the EFRC and which other part of the work was supported by each of the acknowledged sponsors. This distinction could, for example, be made by using the above language but acknowledging who was supported by which sponsor (e.g. “<insert initials of name of the investigator> was supported by <supporting organization/agency>.....”), or by indicating what aspect of the research (e.g. “materials or processing used”), or specific techniques (e.g. “neutron or x-ray scattering studies”) was supported by which sponsor.

**Examples of Proper Acknowledgments:** *Note that in all of these examples, an award number is not applicable for national laboratory-led EFRCs.*

1) *“This work was supported as part of the <insert name of the EFRC>, an Energy Frontier Research Center funded by the U.S. Department of Energy Office of Science, Office of Basic Energy Sciences under Award # <insert award number>.”*

2) *“This work was supported by the U.S. Department of Energy (DOE), Office of Basic Energy Sciences, as part of the <insert name of the EFRC> Energy Frontier Research Center, <insert award number>. The research at Oak Ridge National Laboratory's Spallation Neutron Source and High Flux Isotope Reactor was sponsored by the Scientific User Facilities Division, Office of Basic Energy Sciences, US DOE.”*

3) *“Investigator #1 and/or Investigator #2 acknowledge support by the U. S. DOE BES grant # (plasmid preparation, protein binding, and protein assembly characterization), the U. S. NIH grant # (lipid structure and function), and the U. S. NSF DMR-grant # (protein phase behavior).”*

4) *“Work at <insert institution name> was supported by the Office of Basic Energy Sciences, Division of Materials Science and Engineering, U.S. Department of Energy under Award # <insert award number>. Investigator #1 and Investigator #2 are supported by <insert name of the EFRC>, an Energy Frontier Research Center funded by the U.S. DOE, Office of Basic Energy Sciences. SPINS at NCNR is supported by the National Science Foundation under Agreement No. <insert agreement #>.”*

5) *“This work at <insert name of Institution #1> is supported by the Office of Naval Research grant # <insert grant #> and the work at the <insert name of Institution #2> is supported as part of the <insert name of the EFRC>, an Energy Frontier Research Center funded by the U. S. Department of Energy, Office of Basic Energy Sciences under Award # <insert award #>. Transmission electron microscopy work was performed in the (EPIC) (NIFTI) (Keck-II) facility of NUANCE Center at Northwestern University. NUANCE Center is supported by NSF-NSEC (insert award #), NSF-MRSEC (insert award #), Keck Foundation, the State of Illinois, and Northwestern University.”*

**Examples of Improper Acknowledgments:**

Please note that simply presenting a list of supporting agencies in a shared acknowledgement should not be done because it is impossible to discern the level and target of BES support relative to that of other agencies as in the following examples.

1) *“This work was supported by DOE grant XXXXXXXX, NSF grant DMR-YYYYYYY, and NIH grant GM-ZZZZZZZ.”*

2) *“This work has been financially supported by the Office of Naval Research, primarily through the TEC-MURI program, and by the DARPA NMO program as well as the <name of the EFRC>, an Energy Frontier Research Center (EFRC) funded by the U.S. DOE Office of Basic Energy Sciences.”*