

October 4, 2023

MANAGEMENT COMMITMENT STATEMENT

Brookhaven Science Associates, LLC (BSA) manages Brookhaven National Laboratory (BNL) on behalf of the U.S. Department of Energy (DOE). BNL staff are entrusted by DOE on the nation's behalf to safely build and operate facilities that house unique tools used by interdisciplinary teams comprised of researchers from BNL as well as those from other national labs, academia, and industry. Knowing who we collaborate with, understanding what export and import requirements impact our research, and integrating global trade compliance requirements into everyday activity at BNL is critical to ensuring the missions of DOE's Office of Science. In addition, having this awareness helps us operate in full compliance with all U.S. trade regulations in an ever-changing geopolitical environment.

Global trade requirements are in place to protect the national security, economic interests, and foreign policy objectives of the United States. Many of BNL's global engagements subject it to U.S. export and import regulations, including those managed by the Department of Commerce, Department of State, Department of Energy, and other relevant government agencies. Compliance with these laws is a shared responsibility and violation can result in both institutional and personal liability. No activities will be undertaken that are knowingly in violation of these laws. Failure to comply with these regulations may result in the loss of BNL research opportunities and damage to BNL's reputation.

BSA expects all employees to be familiar and compliant with export regulations as they pertain to their duties and responsibilities, including the distribution and sourcing of BNL equipment, technology, and services. To meet our global trade compliance obligations, we have created an Export Control Office staffed with experienced export compliance professionals, established a BNL Export Control Office Website for the BNL community with compliance guidance and resources, and engaged our Export Control Team in high-risk areas as we continue to update our procedures to detail our obligations at the staff level. BNL's Export Control Office is a trusted resource for providing guidance and training to support the Lab's mission and strategy while ensuring compliance with U.S. regulations and can be contacted at export@bnl.gov with any questions or concerns.

As the global landscape continues to change, the importance of understanding and integrating U.S. global trade requirements into everyday BNL activity is essential to advance the Lab's mission while ensuring national security. BNL's expert scientists and support staff, along with our cutting-edge equipment and technology play a unique role in addressing national needs, such as advancing our fundamental understanding of the universe, developing the breakthrough technology of tomorrow, and educating the next generation of scientists. While these capabilities enable BNL to deliver transformational science and technology, it also comes with an inherent responsibility to protect U.S. national security and economic interests.

If you have any questions concerning export control requirements or related obligations, please contact the Export Control Office at export@bnl.gov.

Thank you for your continued commitment to our mission and our regulatory obligations.

Sincerely,

JoAnne Hewett Laboratory Director

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Brookhaven National Laboratory