

# SPIN FLUCTUATION MEDIATED PAIRING IN $\text{AFE}_2\text{SE}_2$ AND ITS CONSEQUENCES

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Center for Nanophase Materials Sciences  
AT OAK RIDGE NATIONAL LABORATORY

# OUTLINE

## AFe<sub>2</sub>Se<sub>2</sub>

- What is the weak-coupling prediction for the pairing state?
- What is the effect of hybridization (spin-orbit coupling)?
- Can neutron scattering distinguish different pairing states?

cf. M. Khodas' talk yesterday

# KFe<sub>2</sub>Se<sub>2</sub> – Qualitatively Different?

## ■ ARPES

- No hole pockets, only electron pockets around M
- Simple spin-fluctuation arguments for  $s^\pm$  don't work

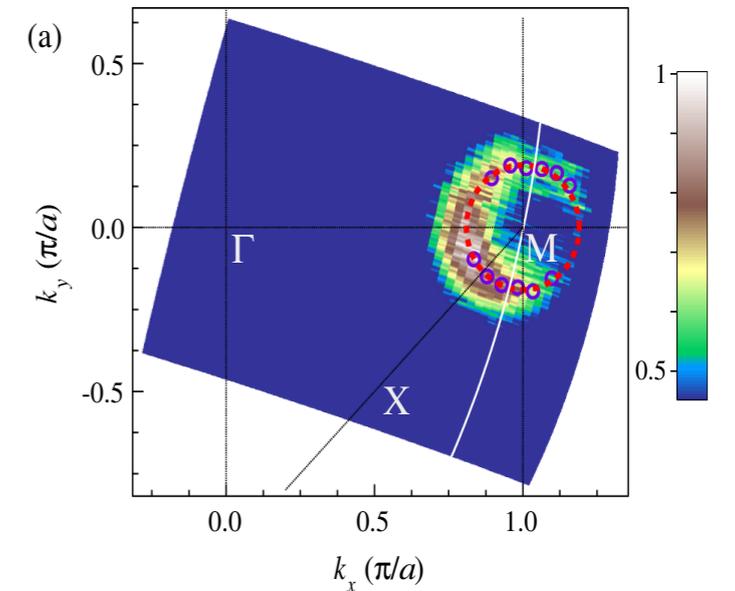
## ■ Neutron scattering

- 245 Fe vacancy phase
- Insulating 2 x 2 block AF state with  $\mu=3.31\mu_B/\text{Fe}$  and  $T_N = 559$  K

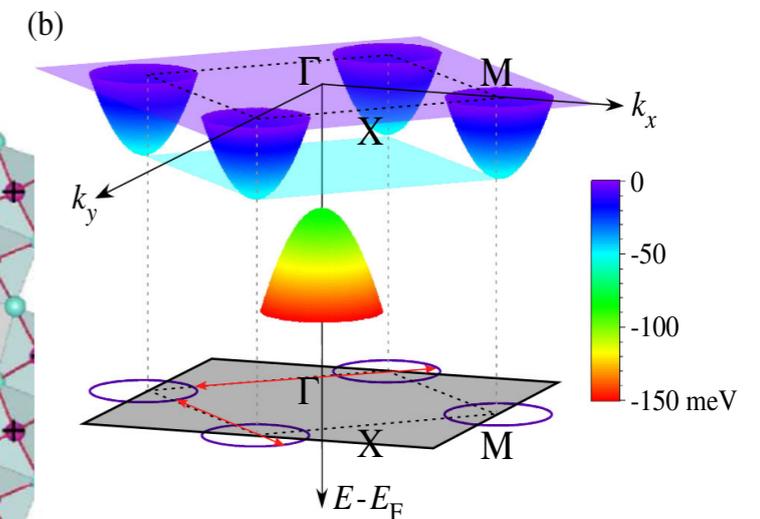
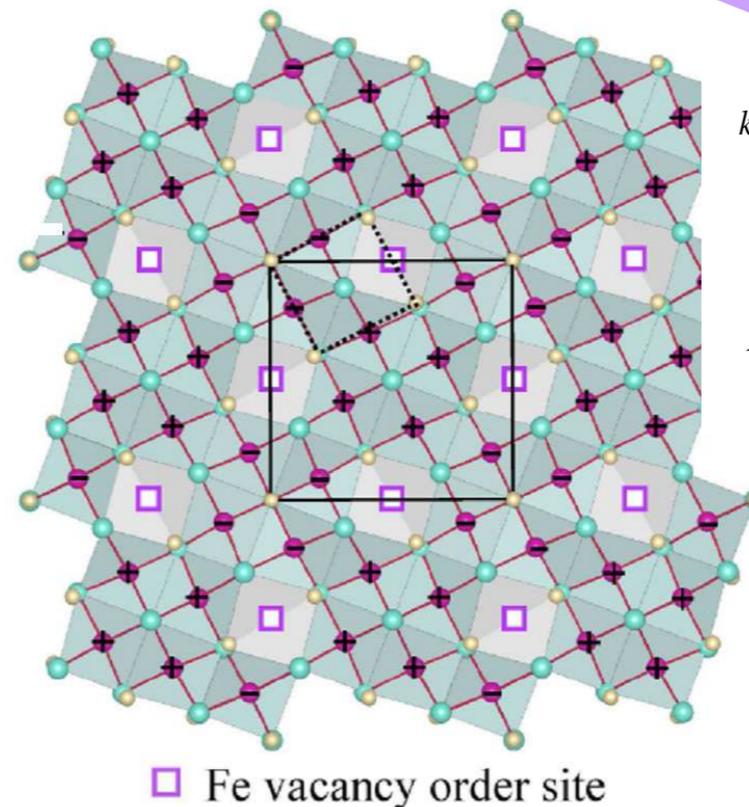
## ■ STM, etc.

- Evidence for phase separation between SC and AF phases

Qian *et al.*, PRL 2011



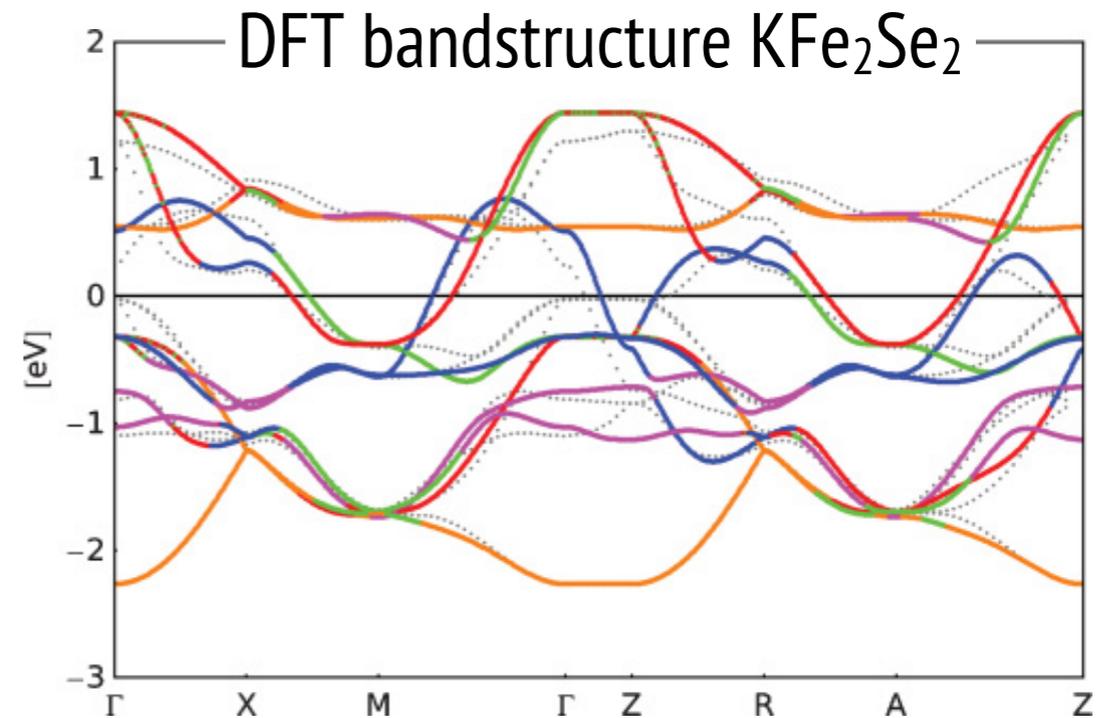
Bao *et al.*, CPL 2011



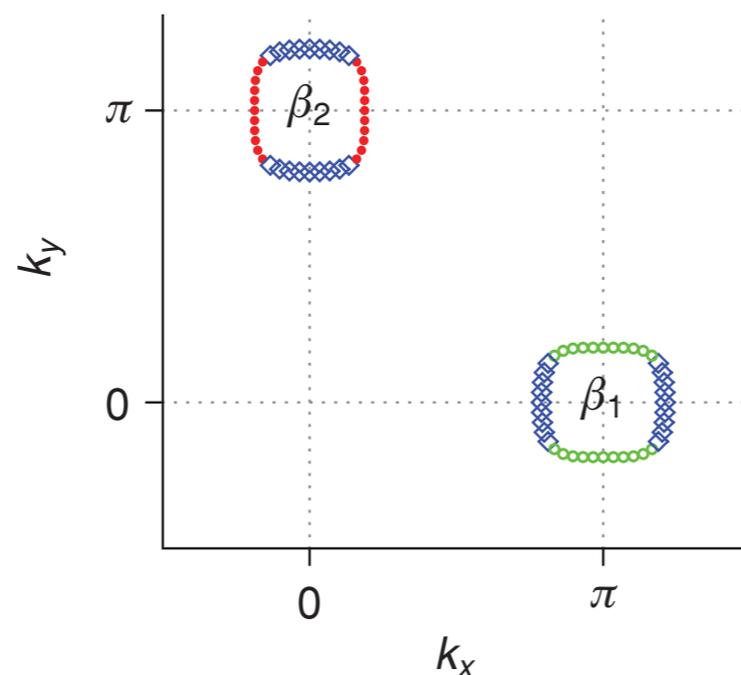
# Itinerant - weak coupling - RPA approach

## Models and pairing calculations

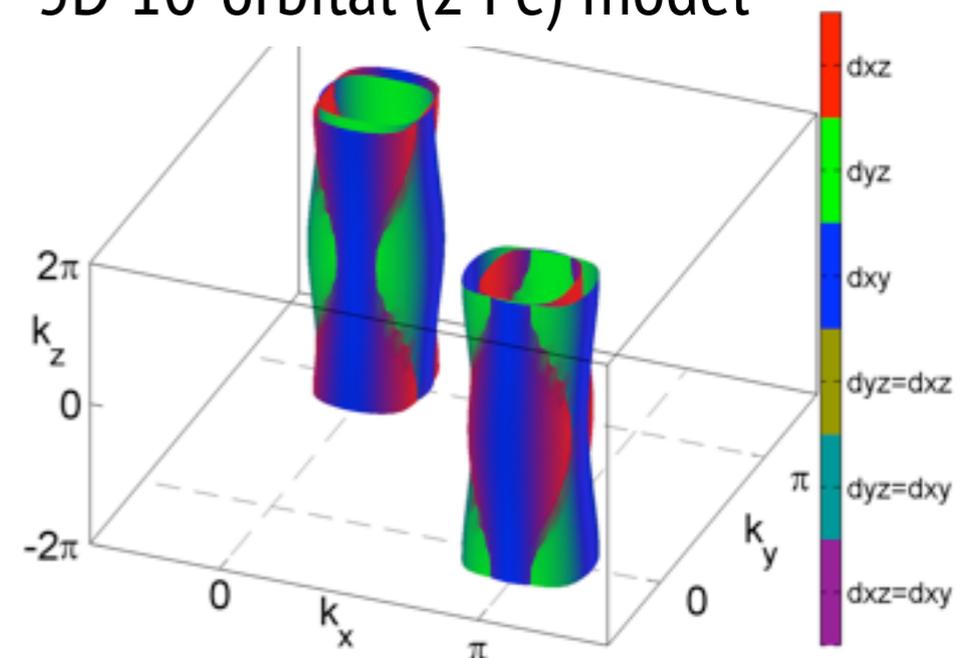
- DFT bandstructure calculation for  $\text{KFe}_2\text{Se}_2$
- 5- and 10-orbital tight-binding fits
- Use RPA to calculate  $\chi(q)$ , pairing interaction  $\Gamma(k, k')$  and superconducting gap  $\Delta(k)$



2D 5-orbital (1 Fe) model



3D 10-orbital (2 Fe) model



# RPA Theory I

- Microscopic Hamiltonian – Multi-orbital Hubbard-Hund model

$$H = H_0 + U \sum_{i,l} n_{il\uparrow} n_{il\downarrow} + U' \sum_{i,l' < l} n_{il} n_{il'} + J \sum_{i,l' < l, \sigma\sigma'} c_{il\sigma}^\dagger c_{il'\sigma'}^\dagger c_{il'\sigma} c_{il\sigma} + J' \sum_{i,l' \neq l} c_{il\uparrow}^\dagger c_{il\downarrow}^\dagger c_{il'\downarrow} c_{il'\uparrow}$$

Multi-orbital tight-binding model obtained from fitting LDA bandstructure

Intra- and inter-orbital Coulomb interactions

Hund's rule coupling

Pair-hopping term

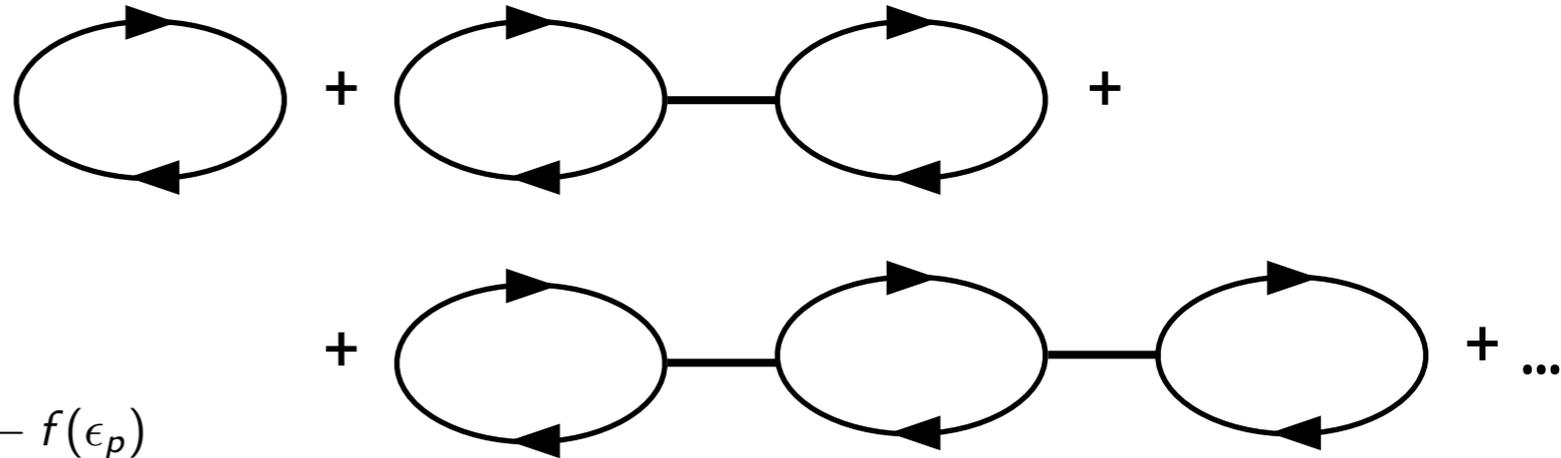
- Spin rotation invariant parameters:  $U = U' + J + J'$
- Typical values:  
 $U \sim 1 \text{ eV}, U' \sim 0.5 \text{ eV}, J = J' \sim 0.25 \text{ eV}$

# Spin Fluctuation Theory of Pairing – Random phase approximation

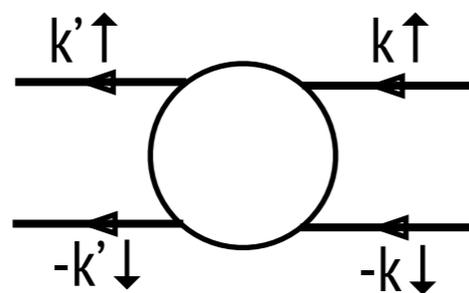
- Spin/Charge Susceptibility

$$\chi_{RPA}(q, \omega) = \frac{\chi_0(q, \omega)}{1 \pm U\chi_0(q, \omega)}$$

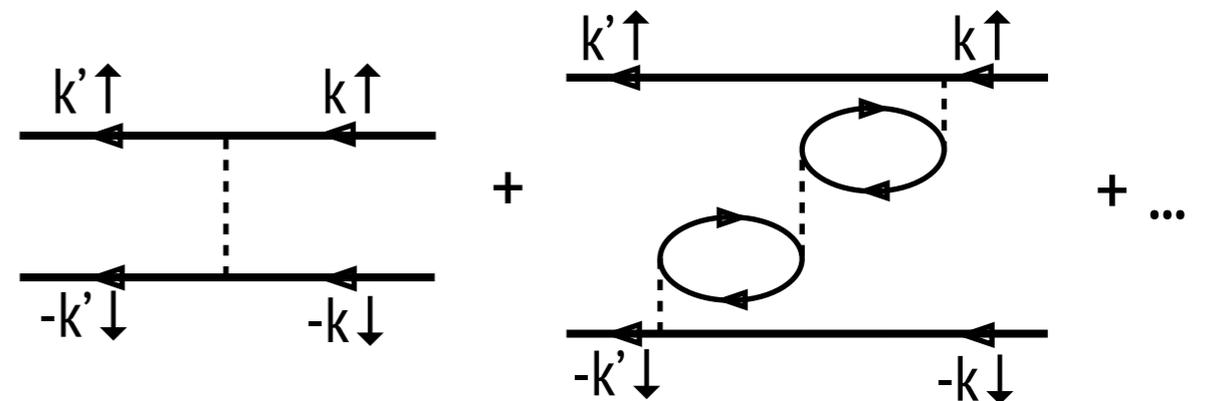
$$\chi_0(q, \omega) = \int \frac{d^3p}{(2\pi)^3} \frac{f(\epsilon_{p+q}) - f(\epsilon_p)}{\omega - (\epsilon_{p+q} - \epsilon_p) + i\delta}$$



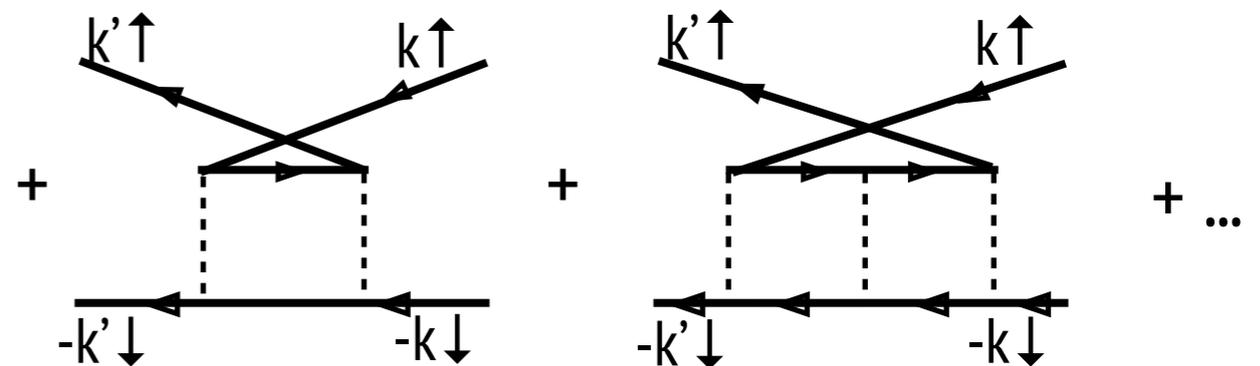
- Pairing interaction [Berk, Schrieffer 1966](#)



=



$$\begin{aligned} \Gamma(k, k') = & \frac{3}{2} U^s \chi_{RPA}^s(k - k') U^s \\ & - \frac{1}{2} U^c \chi_{RPA}^c(k - k') U^c \\ & + \frac{1}{2} (U^s + U^c) \end{aligned}$$



# ... RPA Theory

- Pairing of electrons in Bloch states

$$\Gamma_{ij}(k, k') = \text{Re} \sum_{\ell_1 \ell_2 \ell_3 \ell_4} a_{\nu_i}^{\ell_2, *}(k) a_{\nu_i}^{\ell_3, *}(k) \Gamma_{\ell_1 \ell_2 \ell_3 \ell_4}(k, k', \omega = 0) a_{\nu_j}^{\ell_1}(k') a_{\nu_j}^{\ell_4}(-k')$$

- Pairing strength for SC gap

$$\lambda[g(k)] = \frac{\sum_{ij} \oint_{C_i} \frac{dk_{\parallel}}{v_F(k)} \oint_{C_j} \frac{dk'_{\parallel}}{v_F(k')} g(k) \Gamma_{ik}(k, k') g(k')}{(2\pi)^2 \sum_i \oint_{C_i} \frac{dk_{\parallel}}{v_F(k)} [g(k)]^2}$$

- Gap equation from stationarity

$$-\sum_j \oint_{C_j} \frac{dk'_{\parallel}}{2\pi} \frac{1}{2\pi v_F(k')} \Gamma_{ij}(k, k') g(k') = \lambda g(k)$$

↑  
=SC gap at T=T<sub>c</sub>

# Neutron resonance within RPA

## ■ Neutron Resonance

- Spin susceptibility in superconducting state

$$\chi \sim GG + FF$$

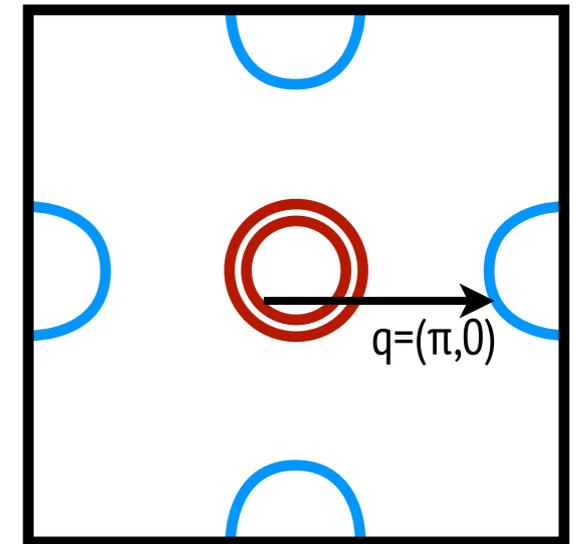
- BCS coherence factor

$$1 - \frac{\Delta(k+q)\Delta(k)}{E(k+q)E(k)}$$

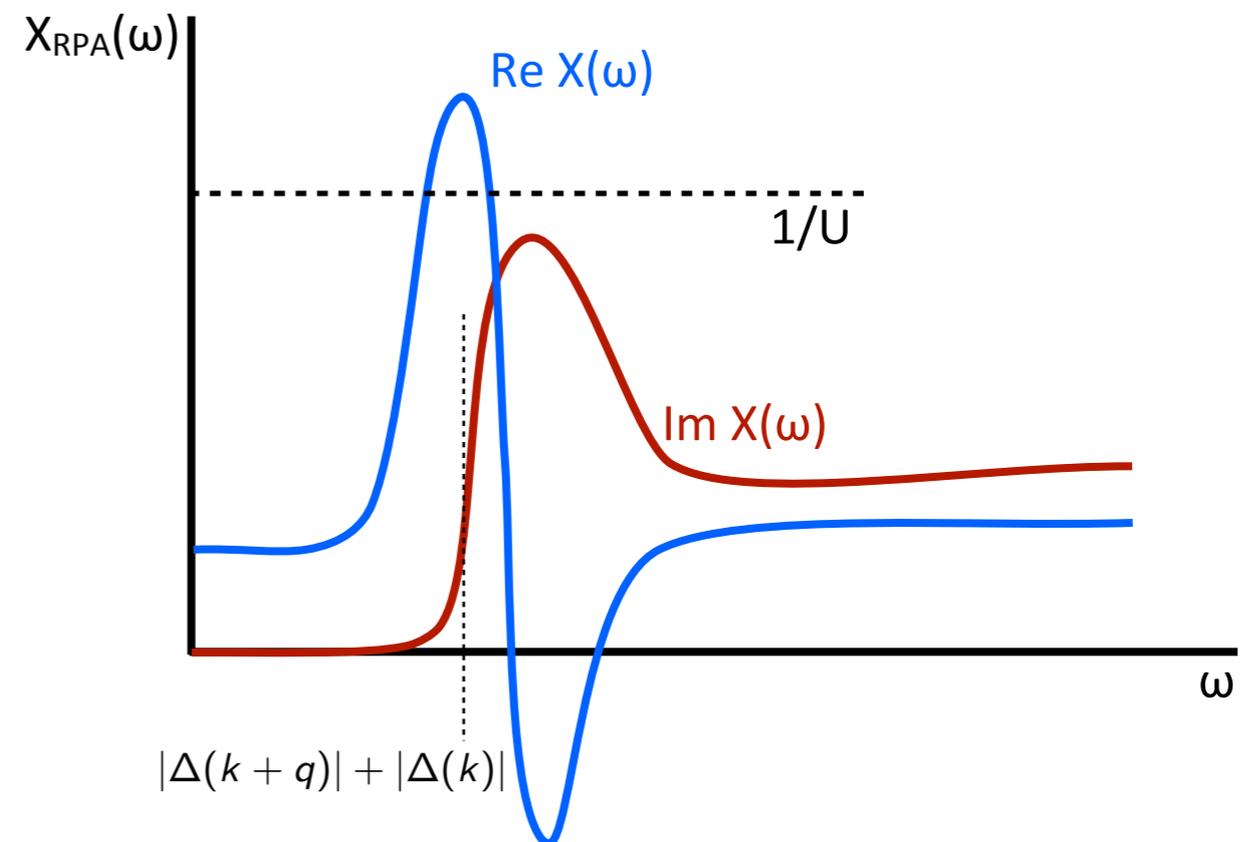
- Resonance in  $\chi''(q,\omega)$  at  $q$  when

$$\Delta(k+q)\Delta(k) < 0$$

$$\text{at } \omega \lesssim |\Delta(k+q)| + |\Delta(k)|$$



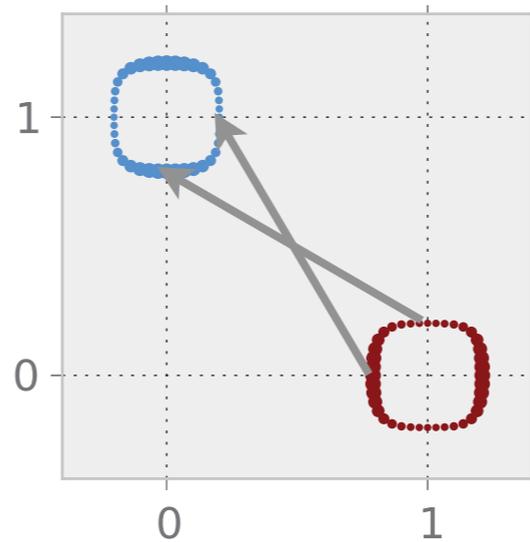
$$\chi_{RPA}(\omega) = \frac{\chi(\omega)}{1 - U\chi(\omega)}$$



# Predictions from 5-orbital (1 Fe) model

## ■ Superconducting gap

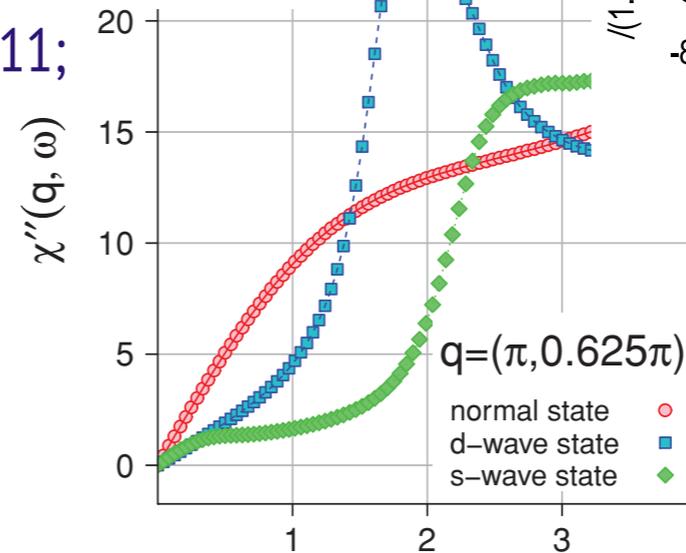
- Strong scattering between opposite sides of electron pockets leads to nodeless d-wave gap



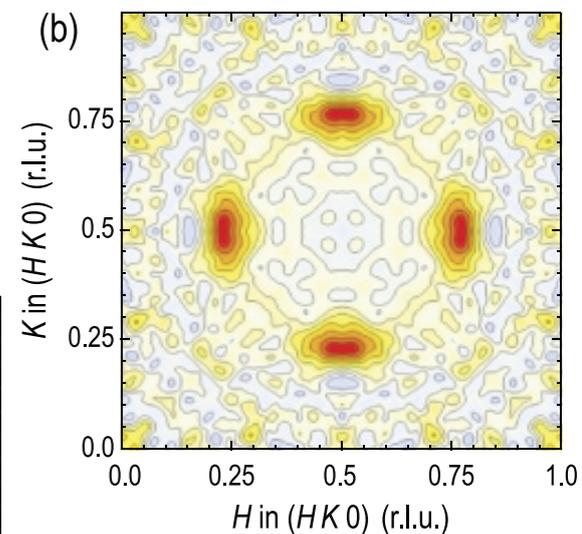
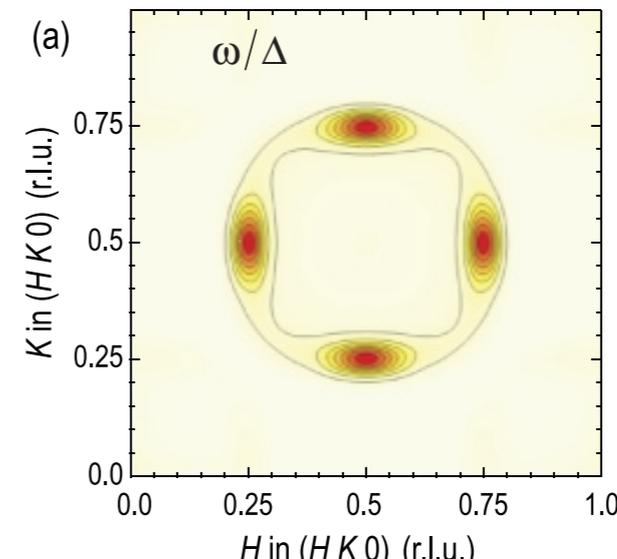
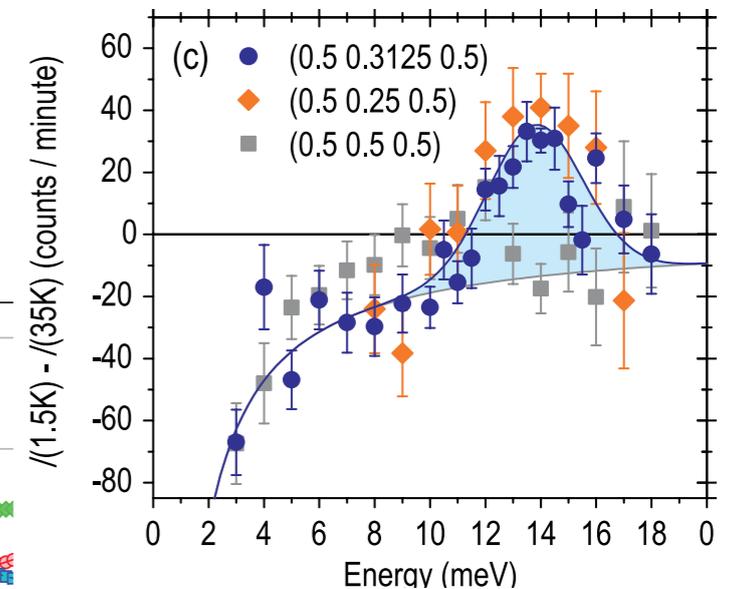
Maier et al., PRB 2011;  
ibid 2012

## ■ Neutron scattering

- Neutron resonance near  $Q_{\text{res}} \sim (\pi, 0.5\pi)$
- Elliptic momentum structure
- Resonance disperses upward in energy away from  $Q_{\text{res}}$
- Excellent agreement with experiments



Park et al., PRL 2011



Friemel et al., PRB 2012

# 122 symmetry and d-wave gap nodes

PHYSICAL REVIEW B **84**, 024529 (2011)



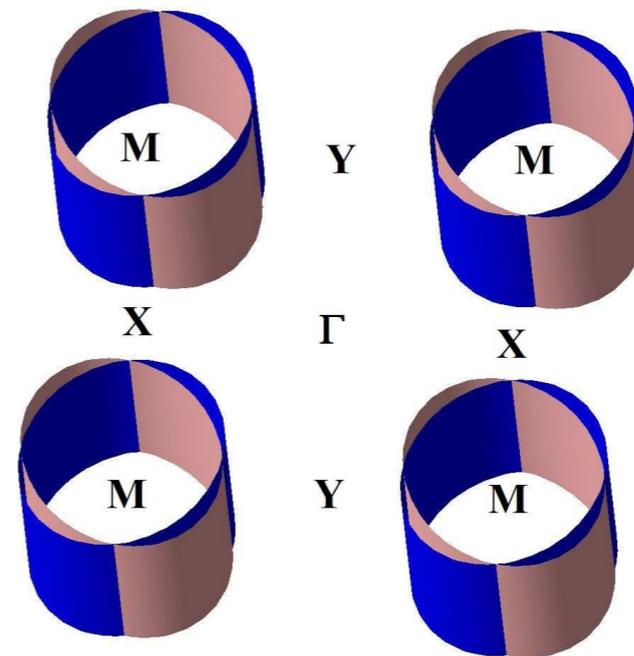
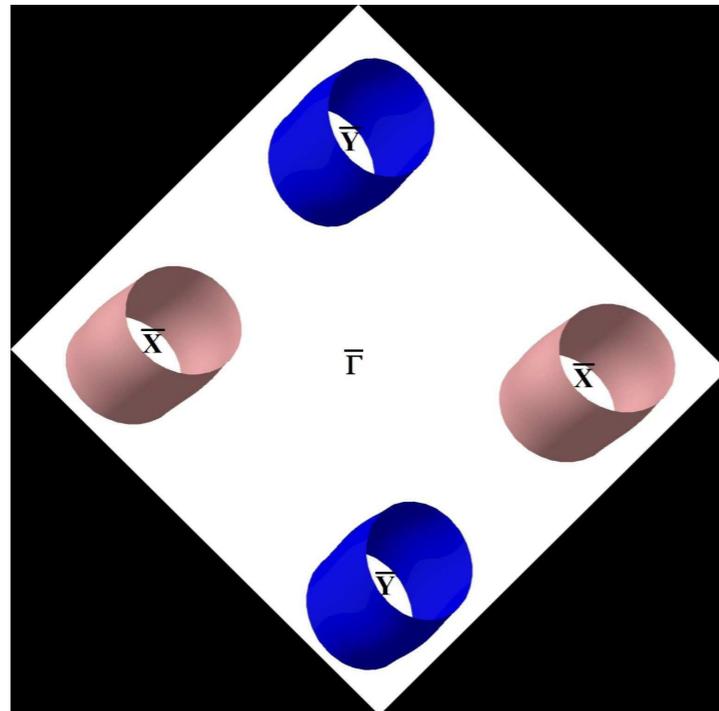
## Symmetry analysis of possible superconducting states in $K_xFe_ySe_2$ superconductors

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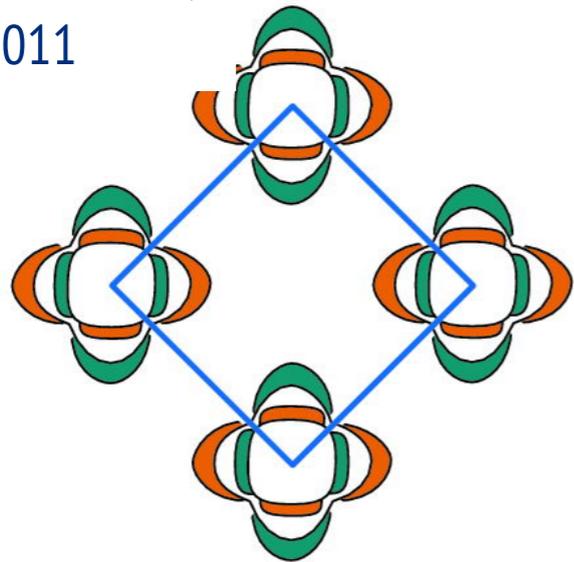
(Received 4 May 2011; revised manuscript received 8 June 2011; published 25 July 2011)

d-wave in unfolded zone develops nodes after folding



# Possible gap structures

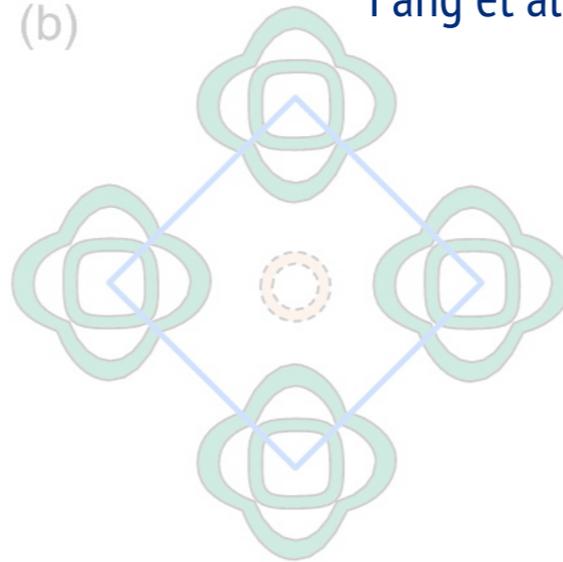
Fang et al., Maier et al.,  
Saito et al., 2011



quasi-nodeless d

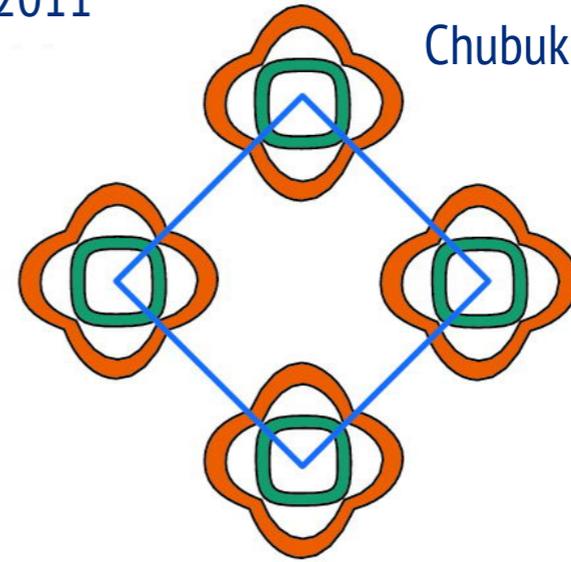
(b)

Fang et al., 2011



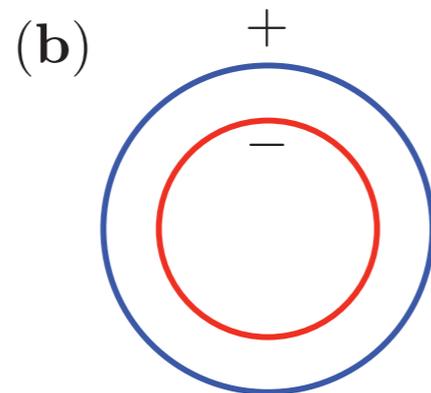
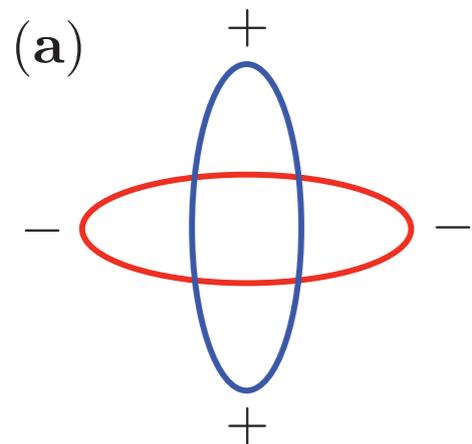
incipient  $s^\pm$

Mazin 2011, Khodas &  
Chubukov 2011

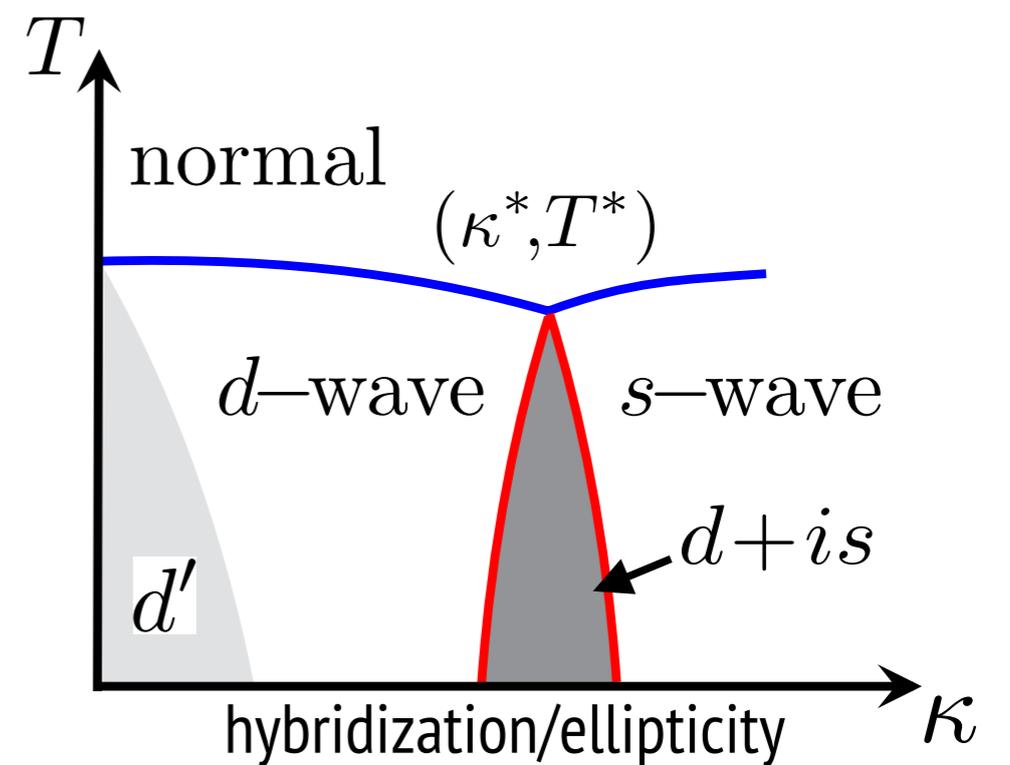


bonding – antibonding  $s^\pm$

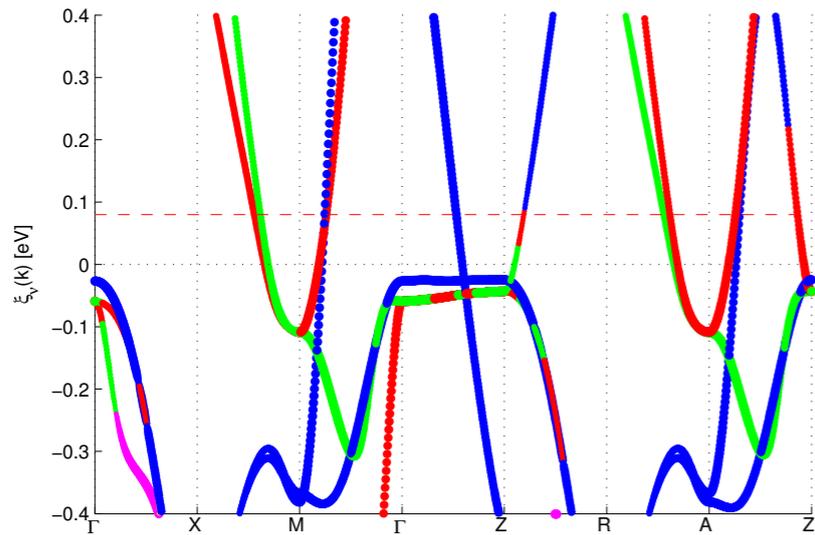
Hirschfeld, Kurshunov & Mazin '11



Khodas & Chubukov, PRL 2012

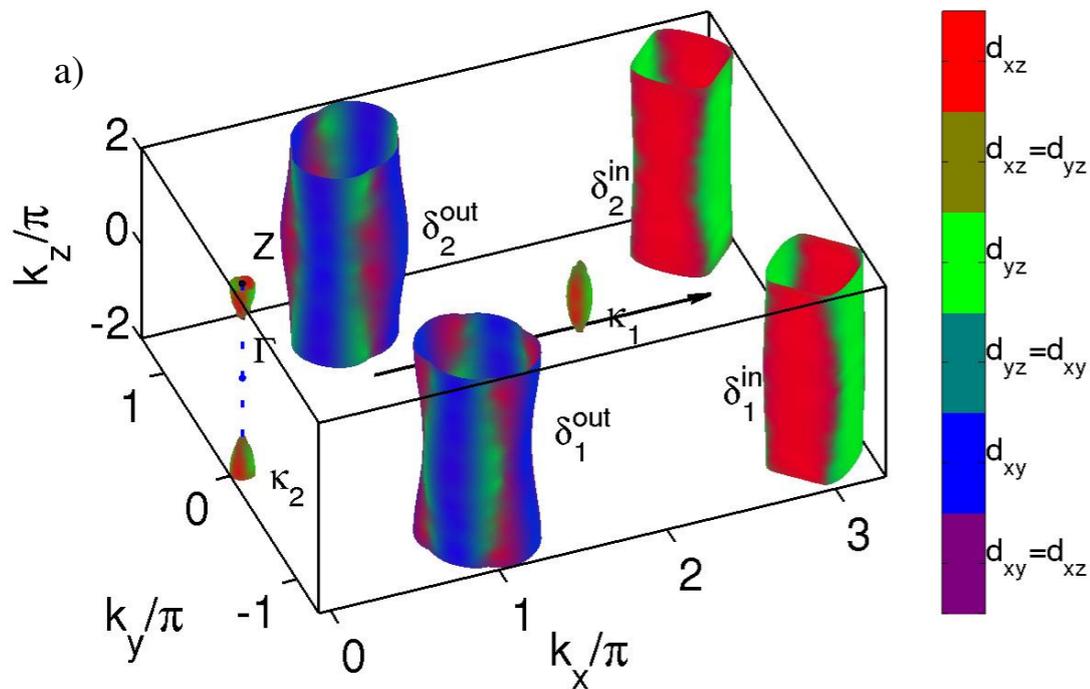


# RPA calculation for 10-orbital (2 Fe) TB model

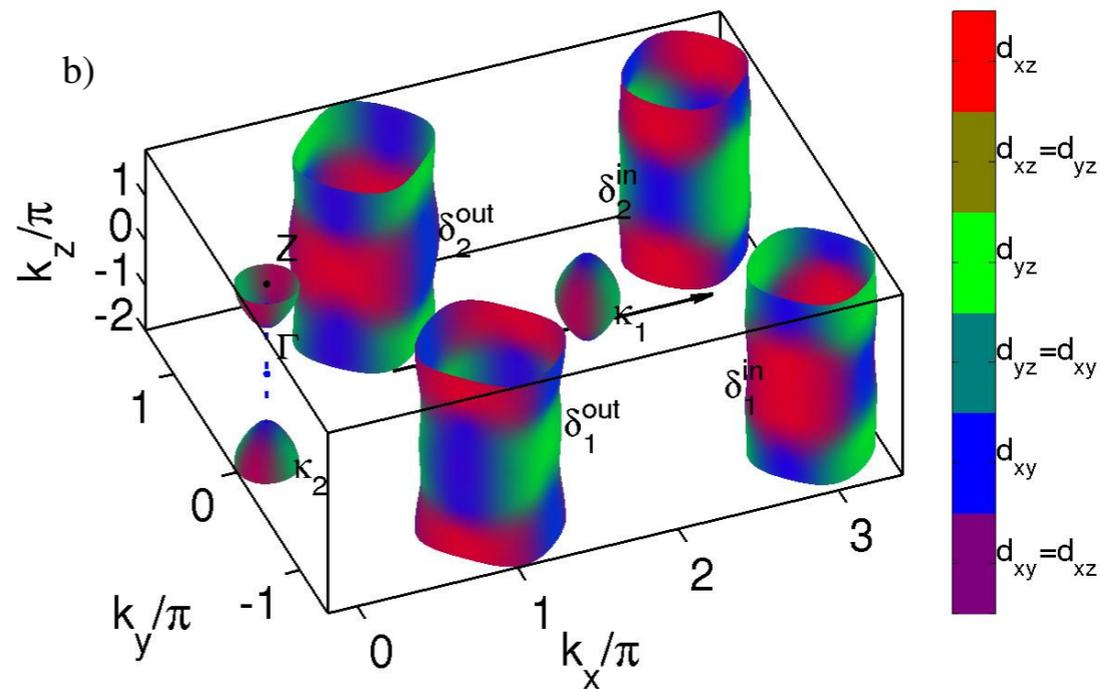


Wannier TB fit of non-relativistic WIEN2k bandstructure

$$H_0 = \sum_{\sigma} \sum_{ij} \sum_{ll'} t_{ij}^{ll'} c_{i l \sigma}^{\dagger} c_{j l' \sigma}$$



x = 0.12 electrons/Fe  
K\_{0.8}Fe\_{1.7}Se\_2

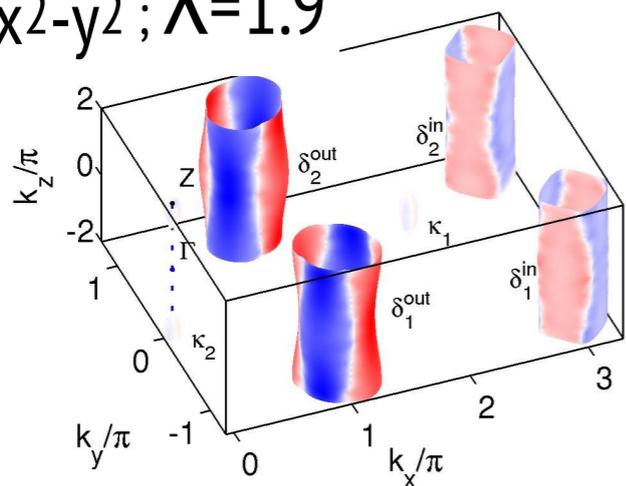


x = 0.25 electrons/Fe  
K\_{0.85}Fe\_{1.7}Se\_2

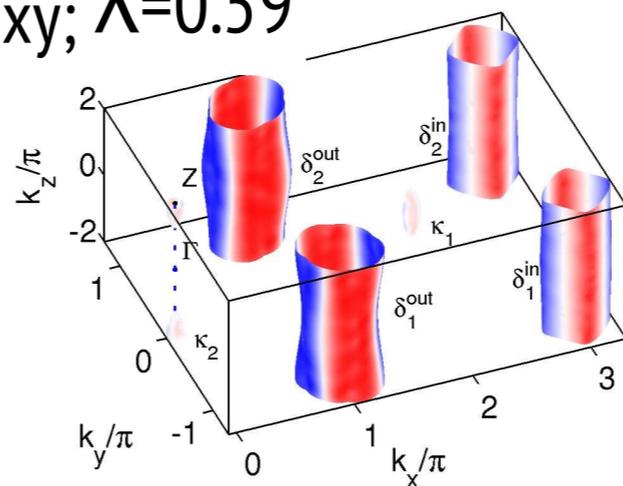
# Leading gap structures:

$x=0.12$

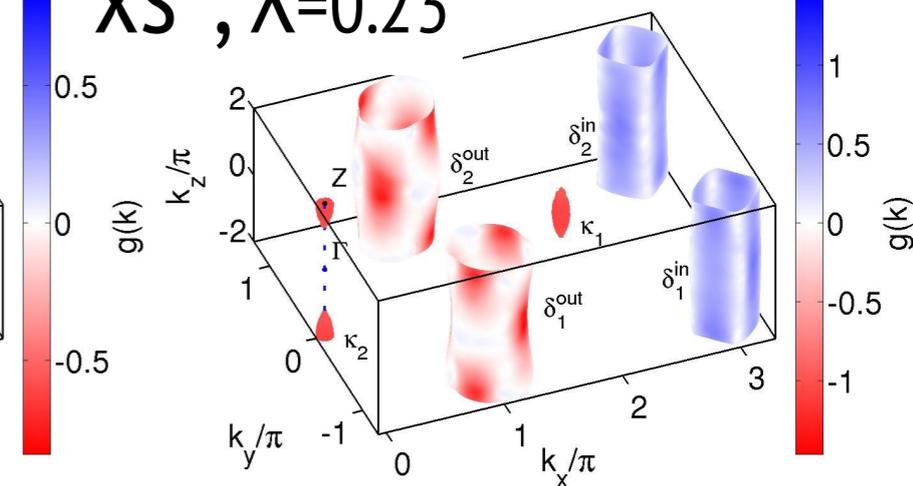
$d_{x^2-y^2}; \lambda=1.9$



$d_{xy}; \lambda=0.59$

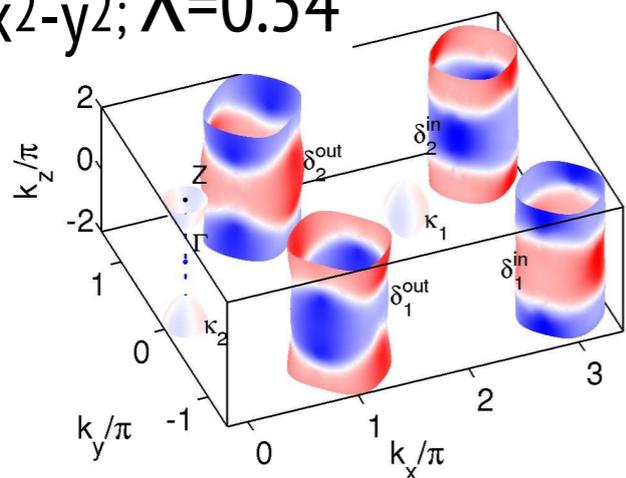


$XS^\pm; \lambda=0.23$

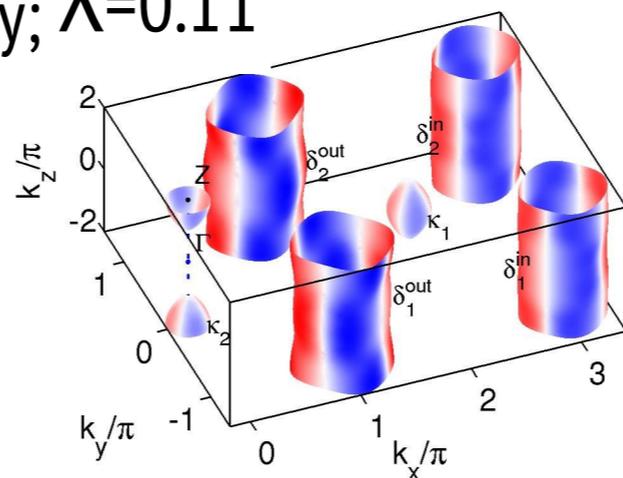


$x=0.25$

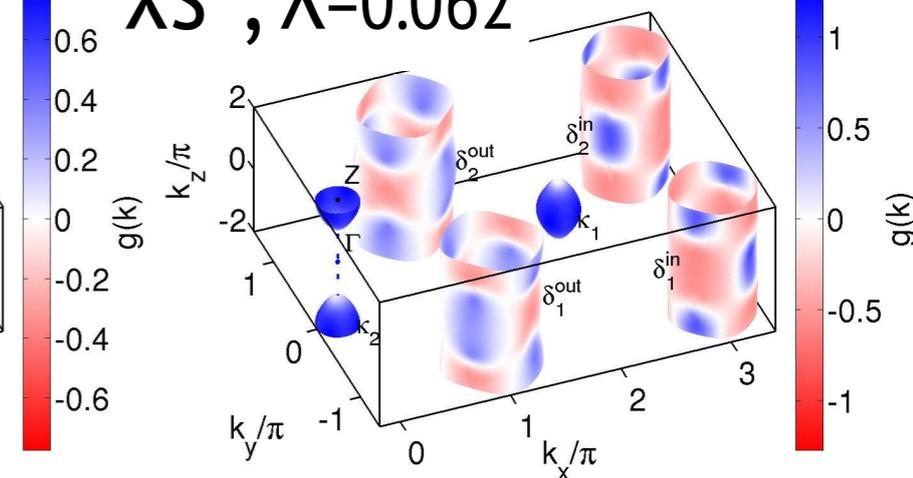
$d_{x^2-y^2}; \lambda=0.54$



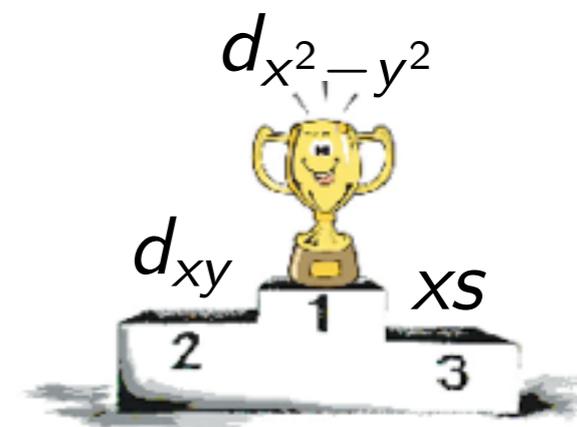
$d_{xy}; \lambda=0.11$



$XS^\pm; \lambda=0.062$

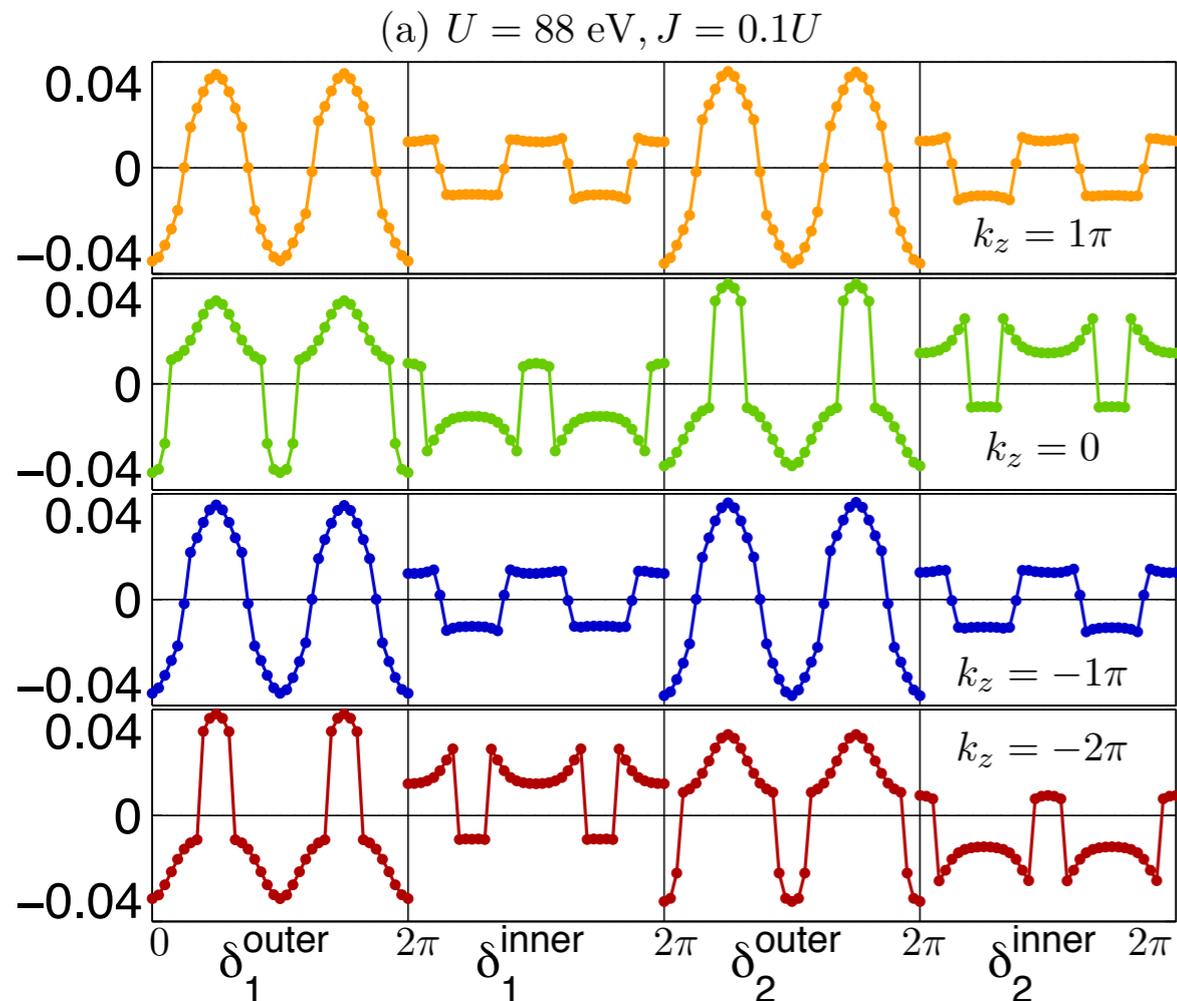


$> d_{x^2-y^2}$  leading, with  $xs$  bonding-antibonding subdominant

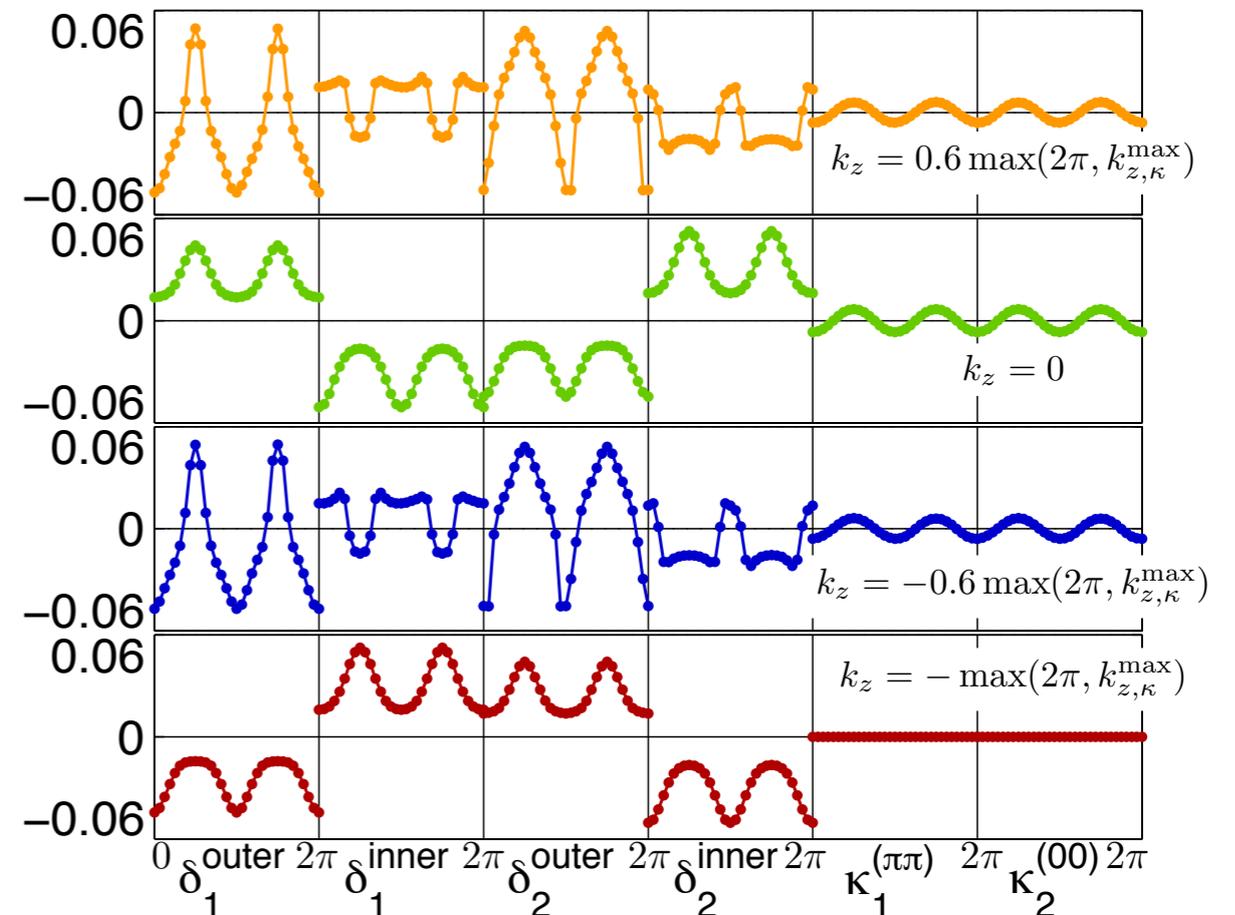


# d-wave has quasi-nodes

$\langle n \rangle = 6.12$ ;  $d_{x^2-y^2}$ -wave



$\langle n \rangle = 6.25$ ;  $d_{x^2-y^2}$ -wave



– “Nodal thickness” infinitely small

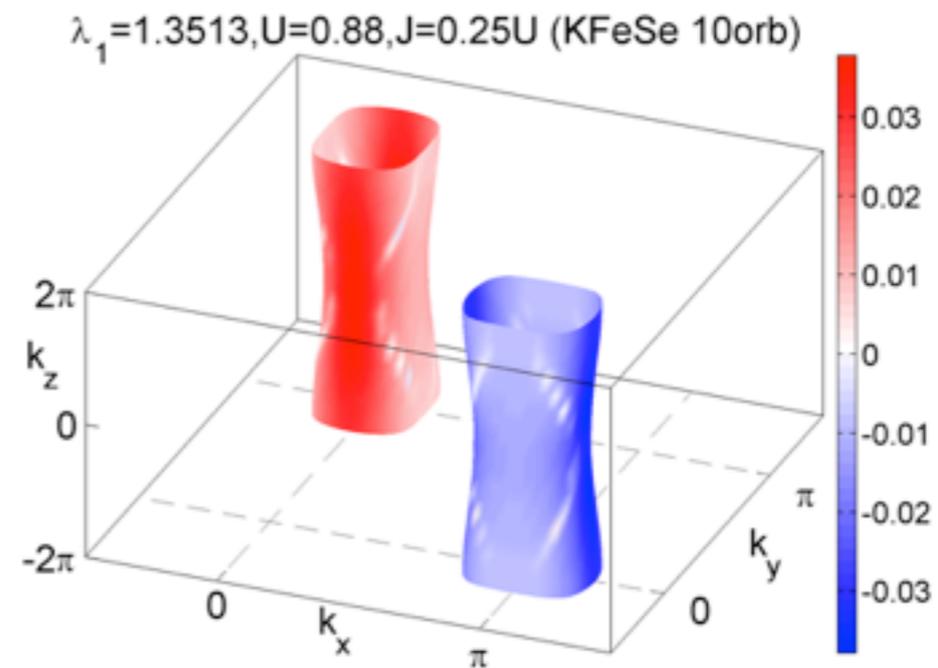
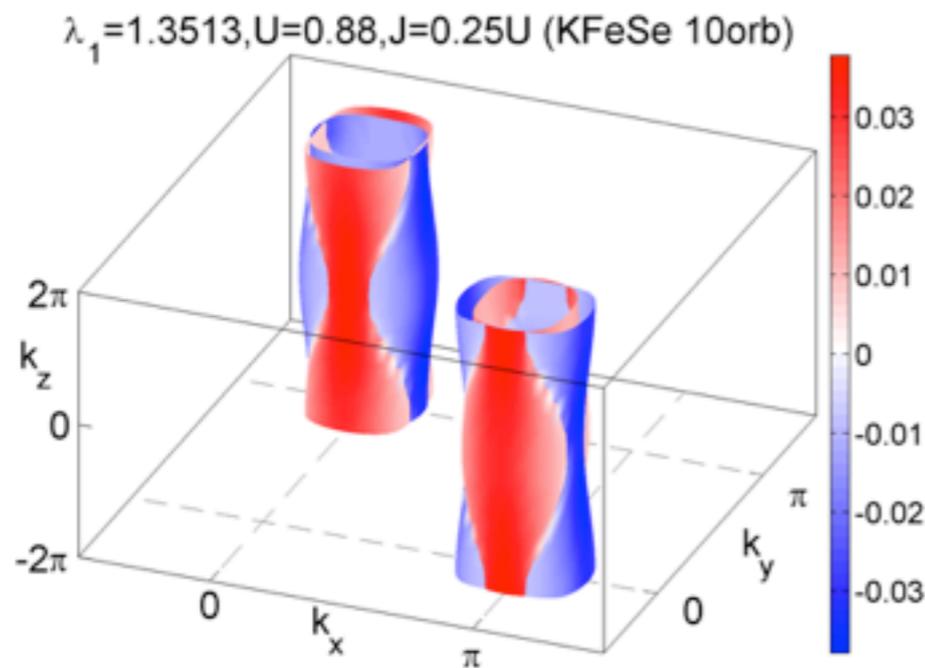
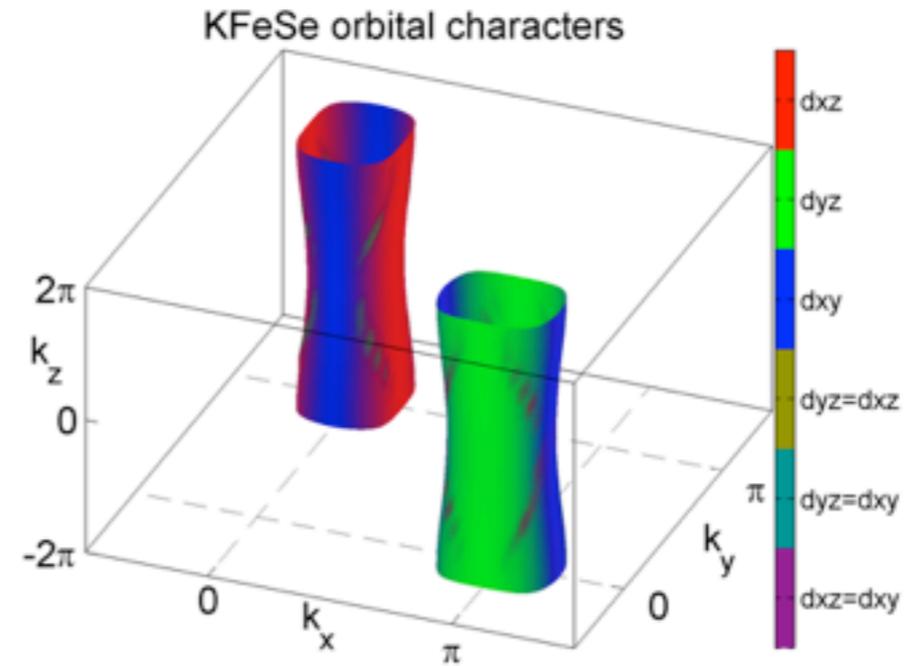
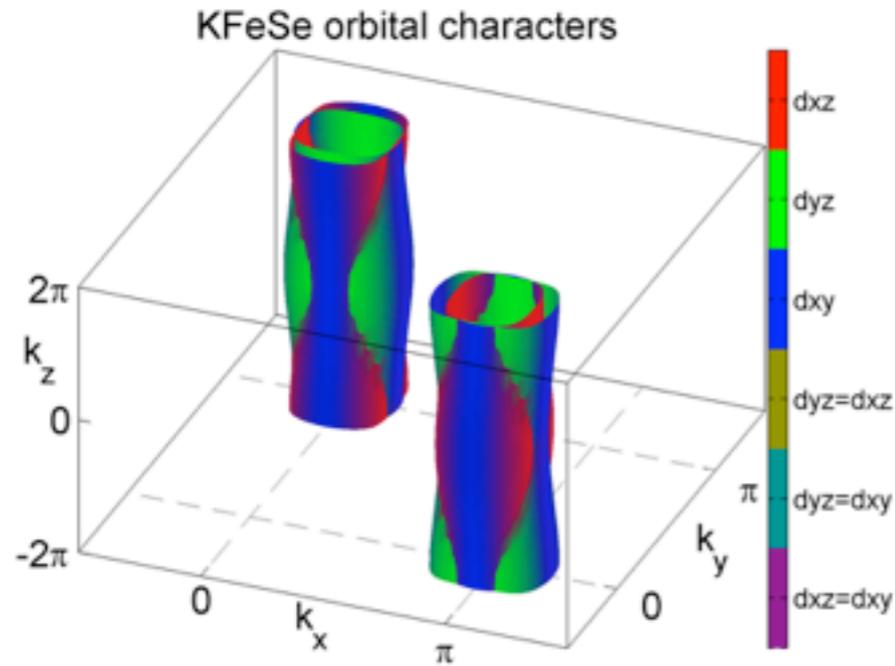
– Nodes on Z-pocket - inconsistent with ARPES?

M. Xu *et al.*, PRB 2012

# Absence of hybridization - unfolding

$\langle n \rangle = 6.12$

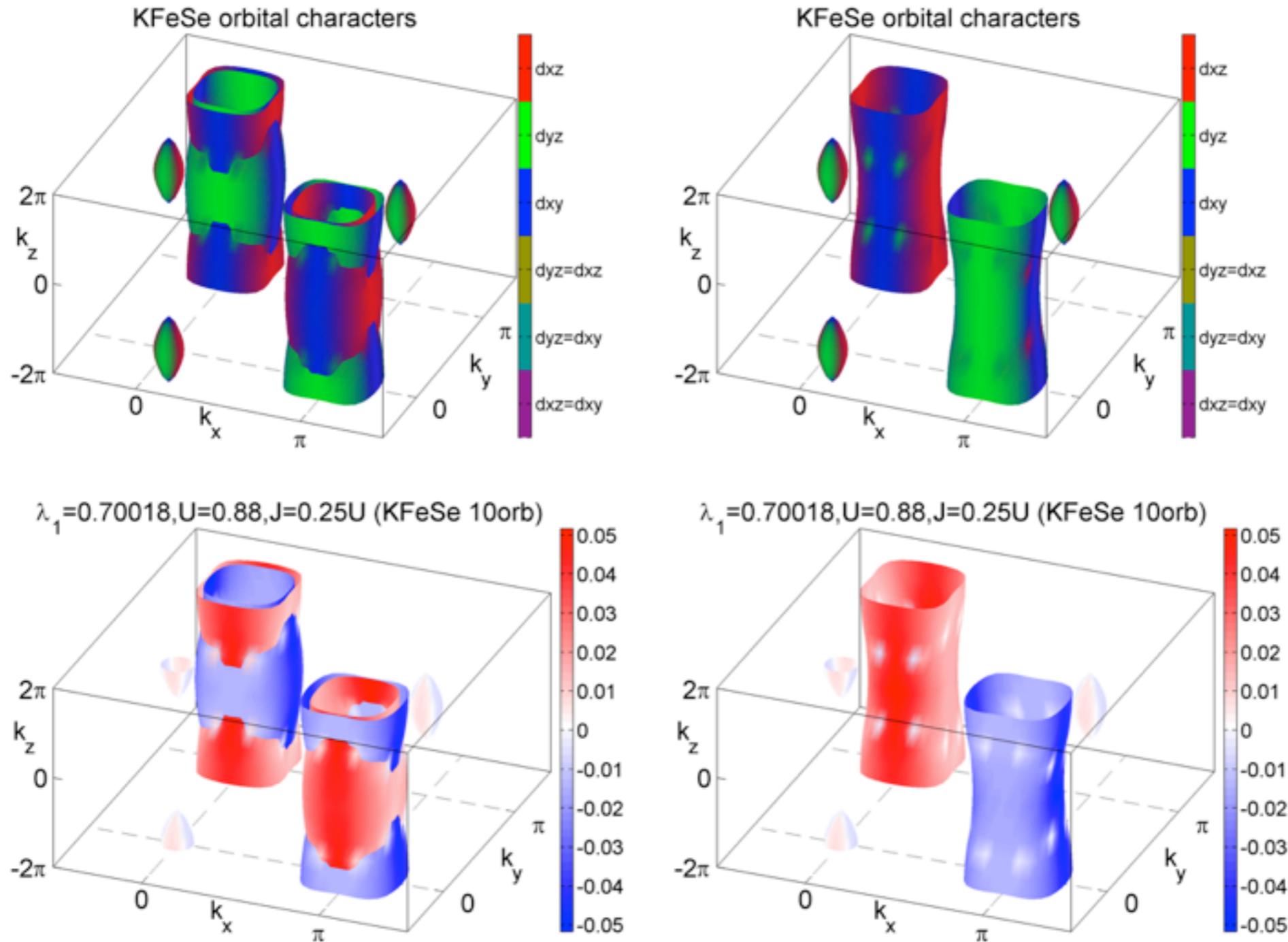
unfolding  $\underline{Q} = (\pi, \pi, \pi)$



# Absence of hybridization - unfolding

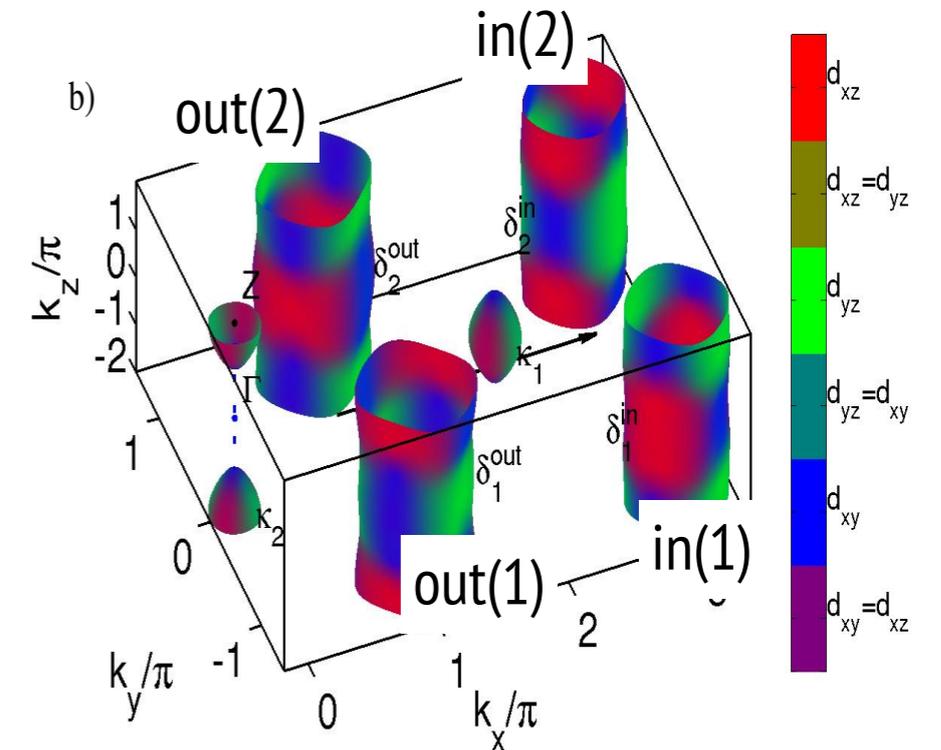
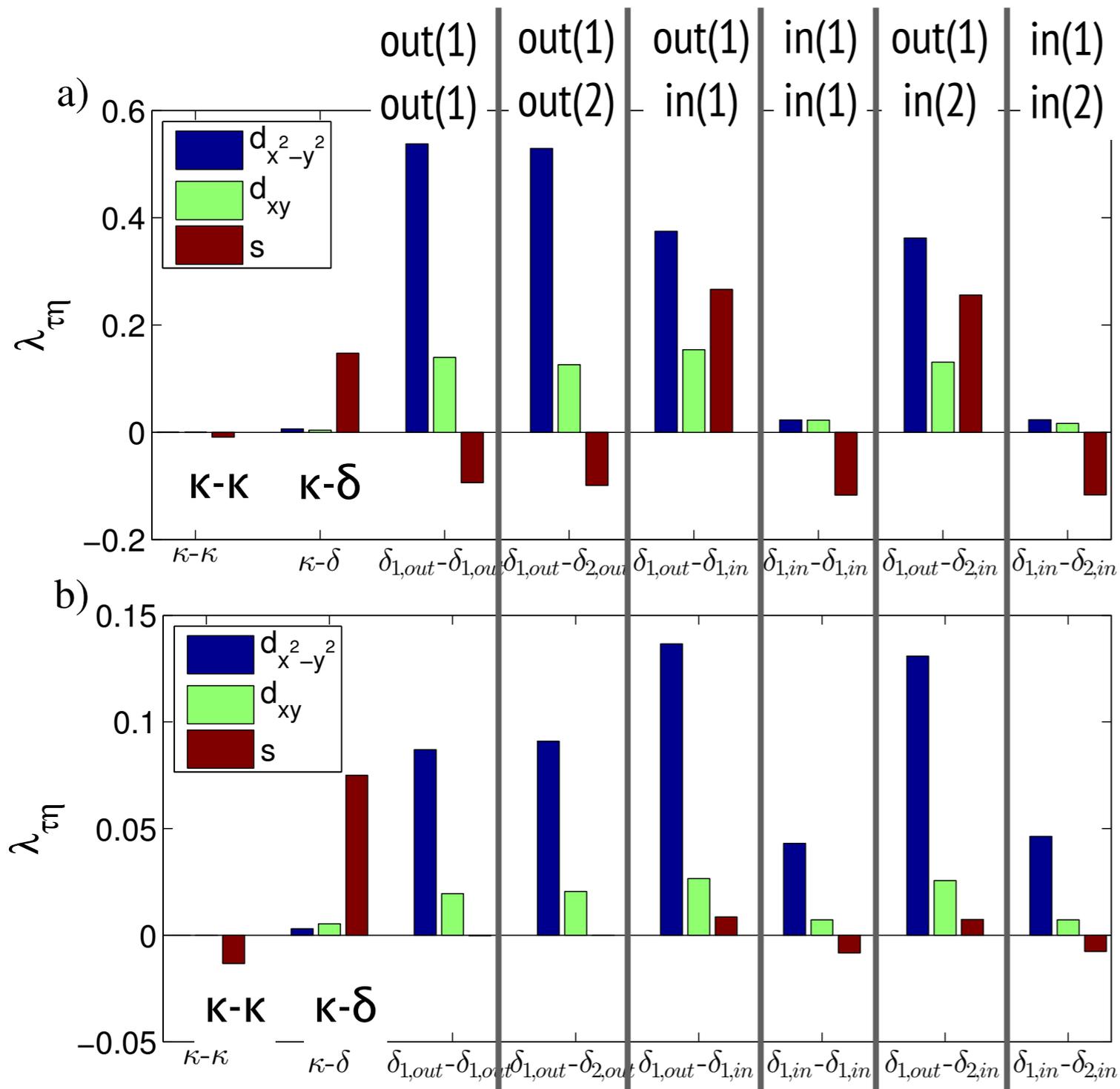
$\langle n \rangle = 6.25$

unfolding  $\underline{Q} = (\pi, \pi, \pi)$



# Why is the d-wave favored?

Partial contributions to  $\lambda = \sum_{\tau\eta} \lambda_{\tau\eta}$



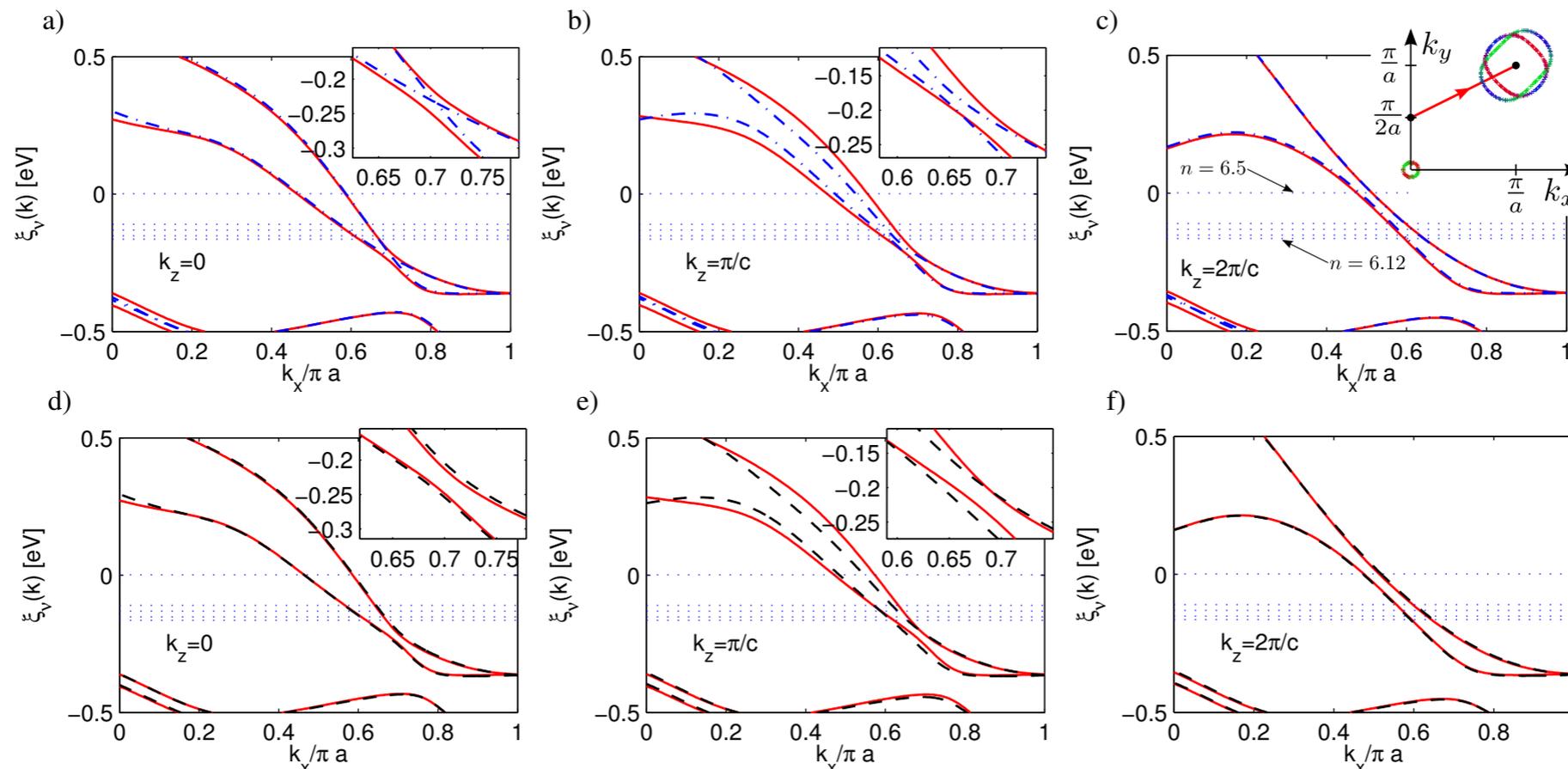
> Significant scattering between inner pockets and between outer pockets favors d-wave

# Effect of band hybridization

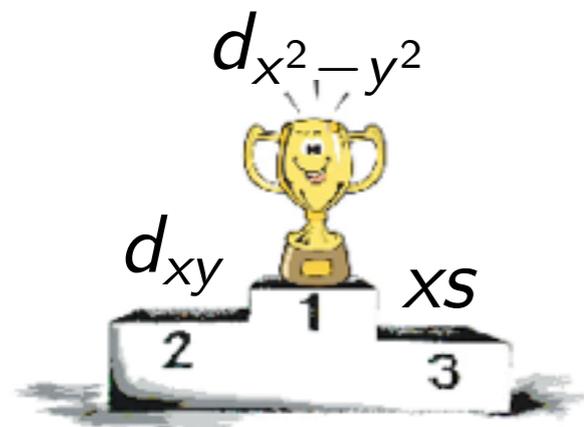
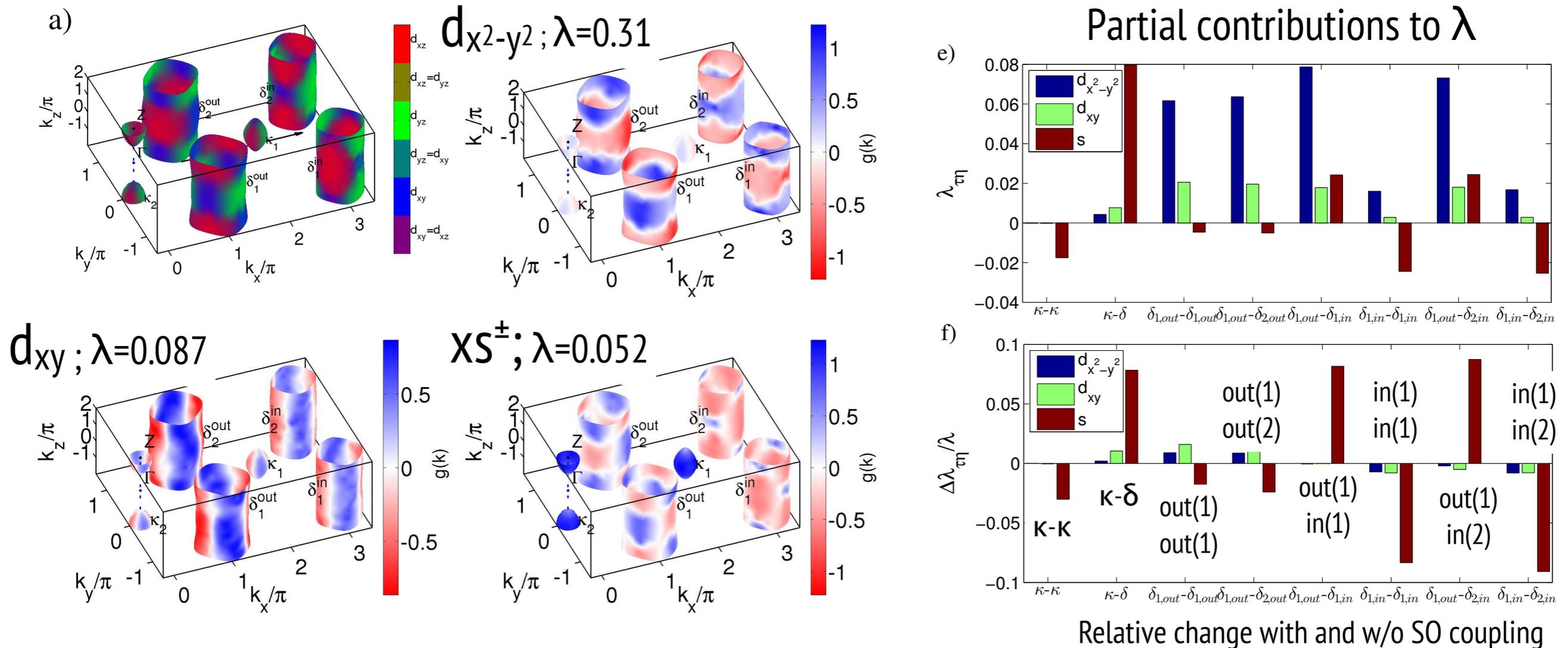
- Consider spin-orbit coupling on Fe d-orbitals

$$H_{\text{SO}} = \lambda_{\text{Fe}}^{3d} \sum_i \sum_{\alpha=x,y,z} L_i^\alpha S_i^\alpha$$

- Project eigenstates onto majority spin component (and re-normalize)
- Bands calculated with  $\lambda = 0.05$  eV describes DFT bands with SO well

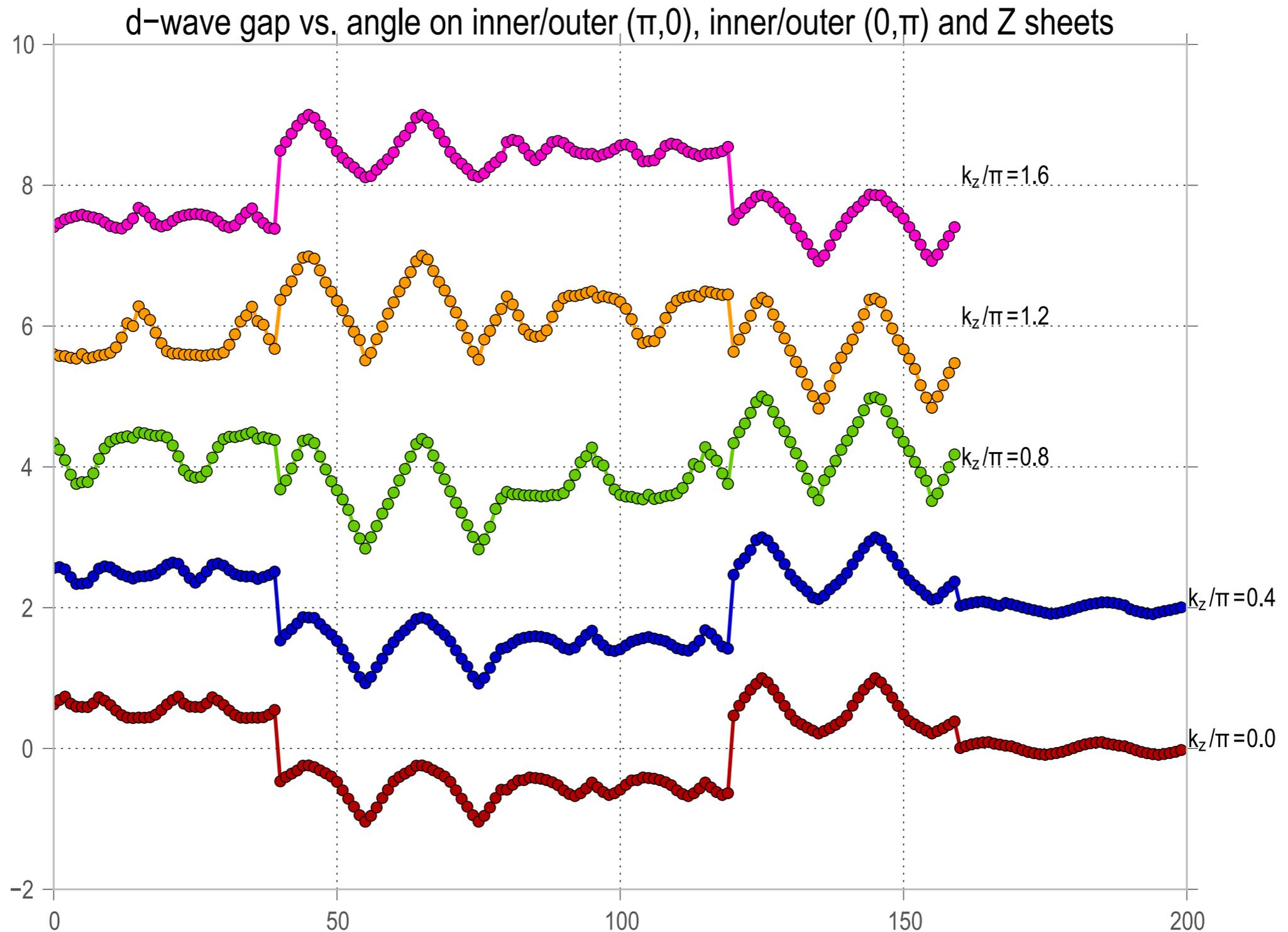


# Leading pairing states with SO coupling

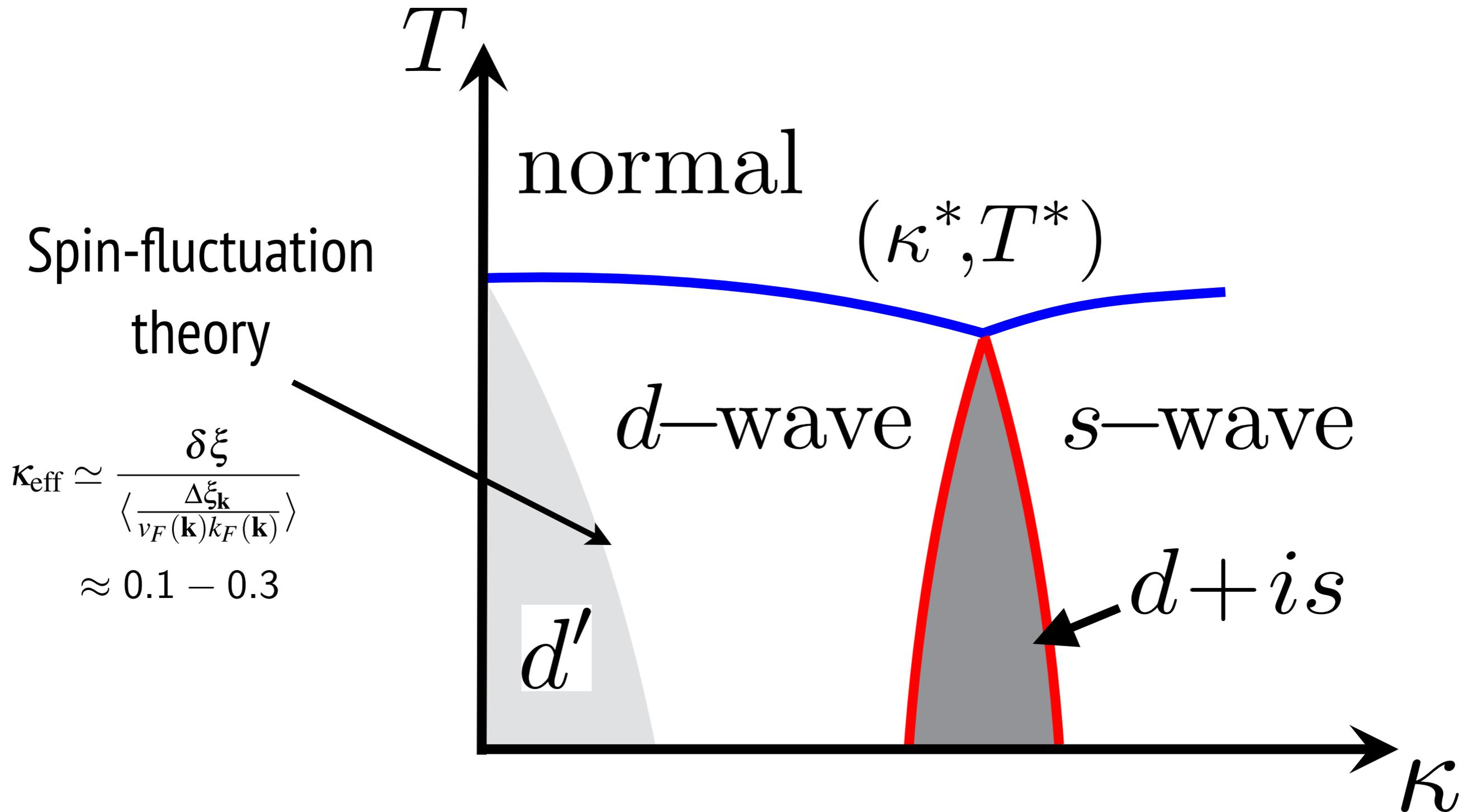


> Enhanced interpocket scattering stabilizes bonding-antibonding s-wave state

# Angular structure of d-wave gap

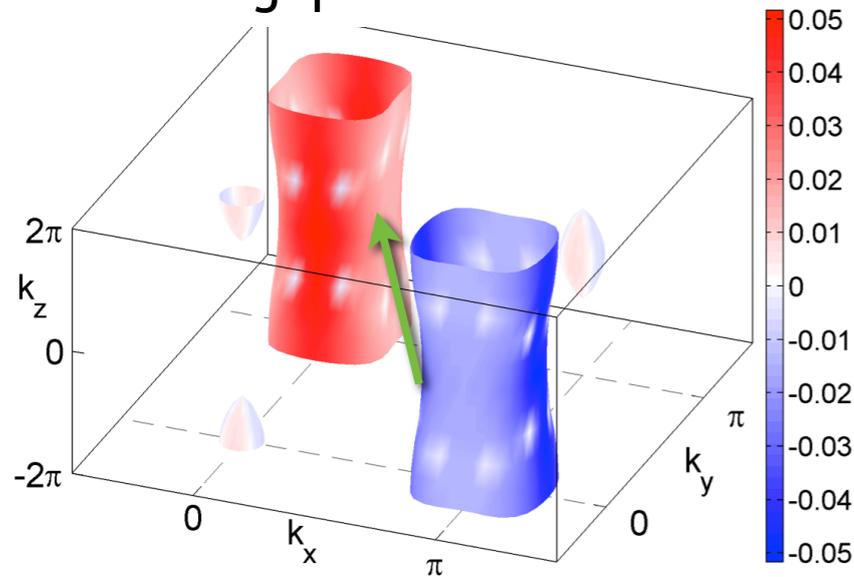


# Summary: Pairing state in $\text{KFe}_2\text{Se}_2$

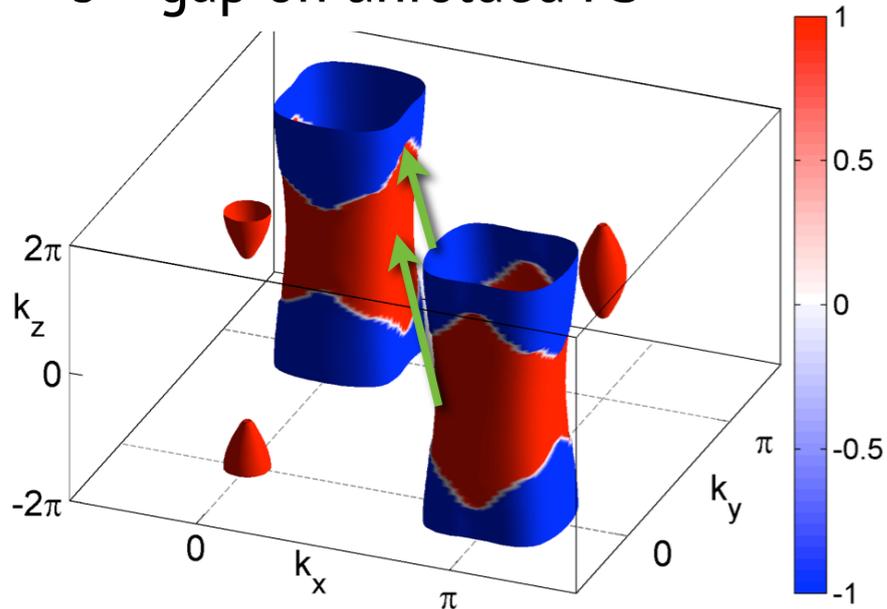


# Neutron scattering - electron doped system - no SO coupling

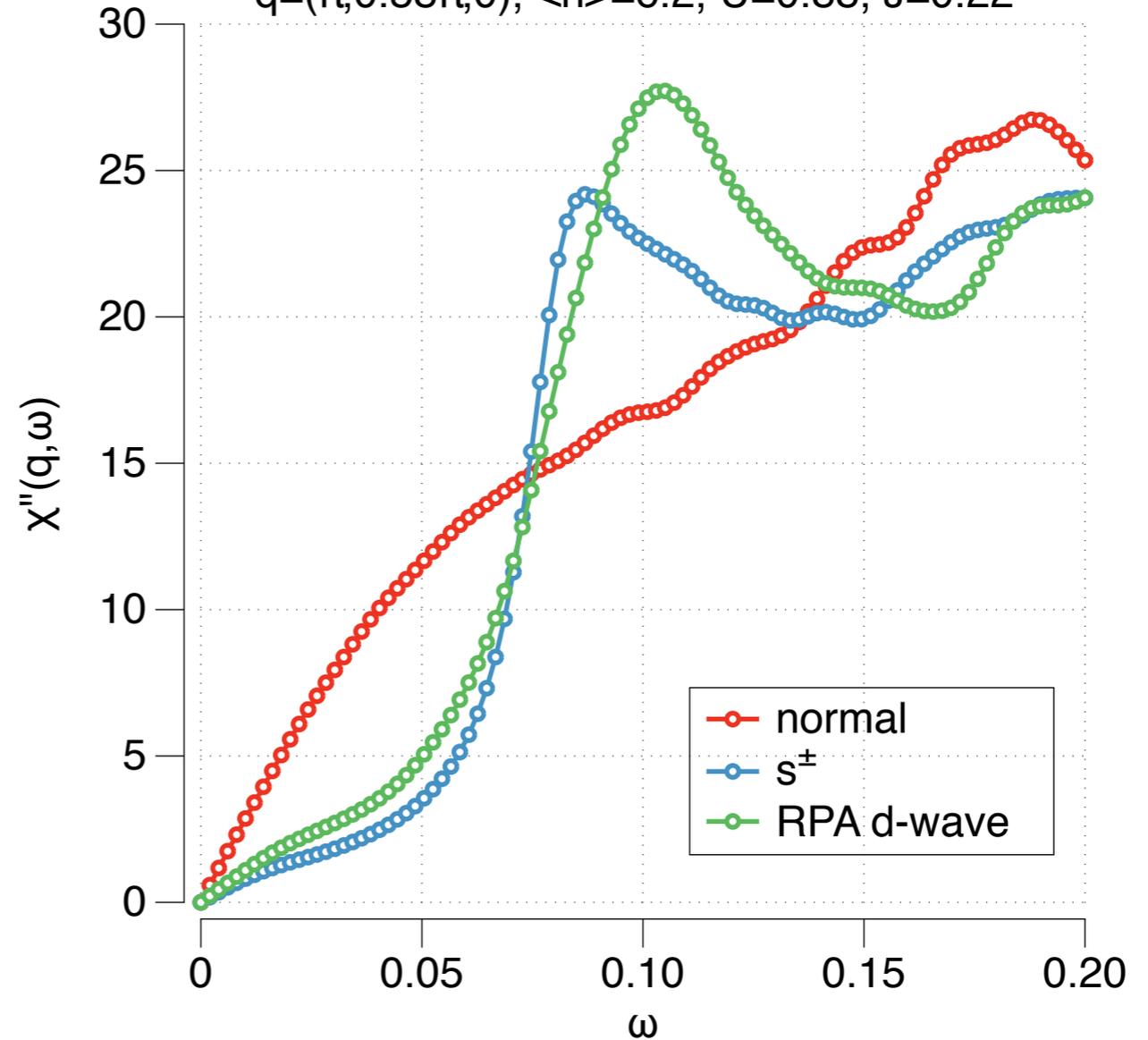
d-wave gap on unfolded FS



s<sup>±</sup> gap on unfolded FS



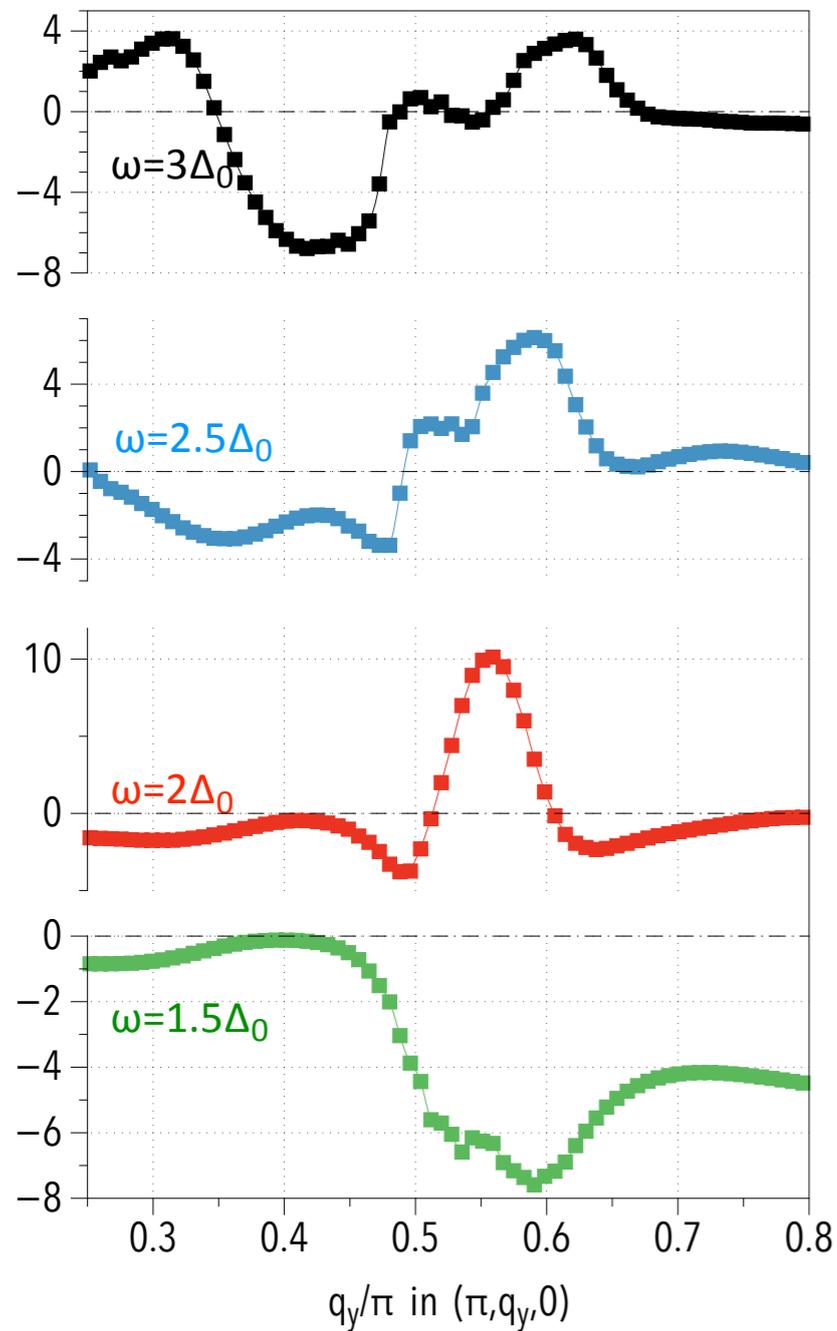
$q=(\pi, 0.58\pi, 0)$ ,  $\langle n \rangle = 6.2$ ,  $U=0.88$ ,  $J=0.22$



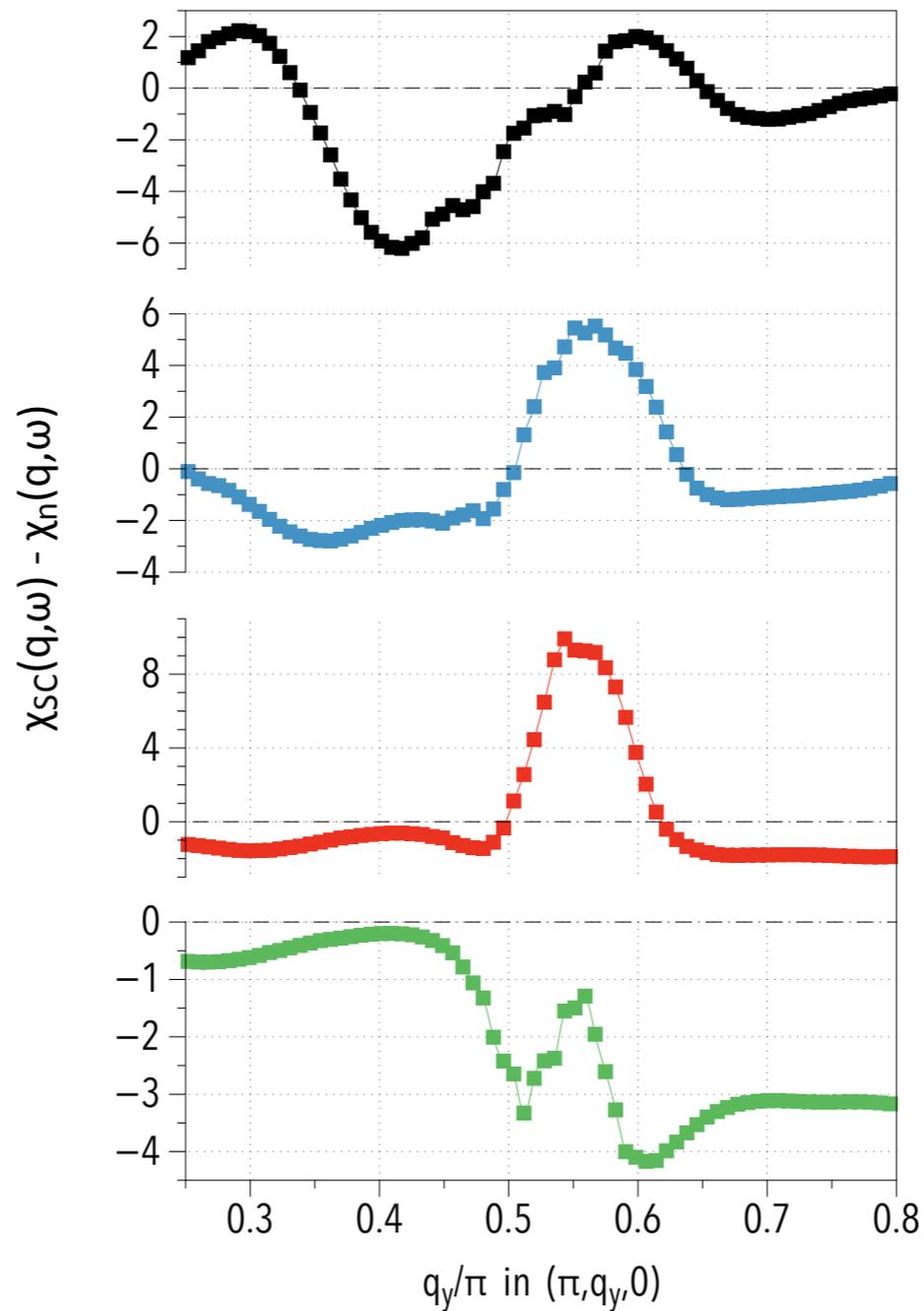
– Resonance for d-wave and s<sup>±</sup> gap,  
s<sup>±</sup> weaker

# Neutron scattering - transverse momentum scans - no SO coupling

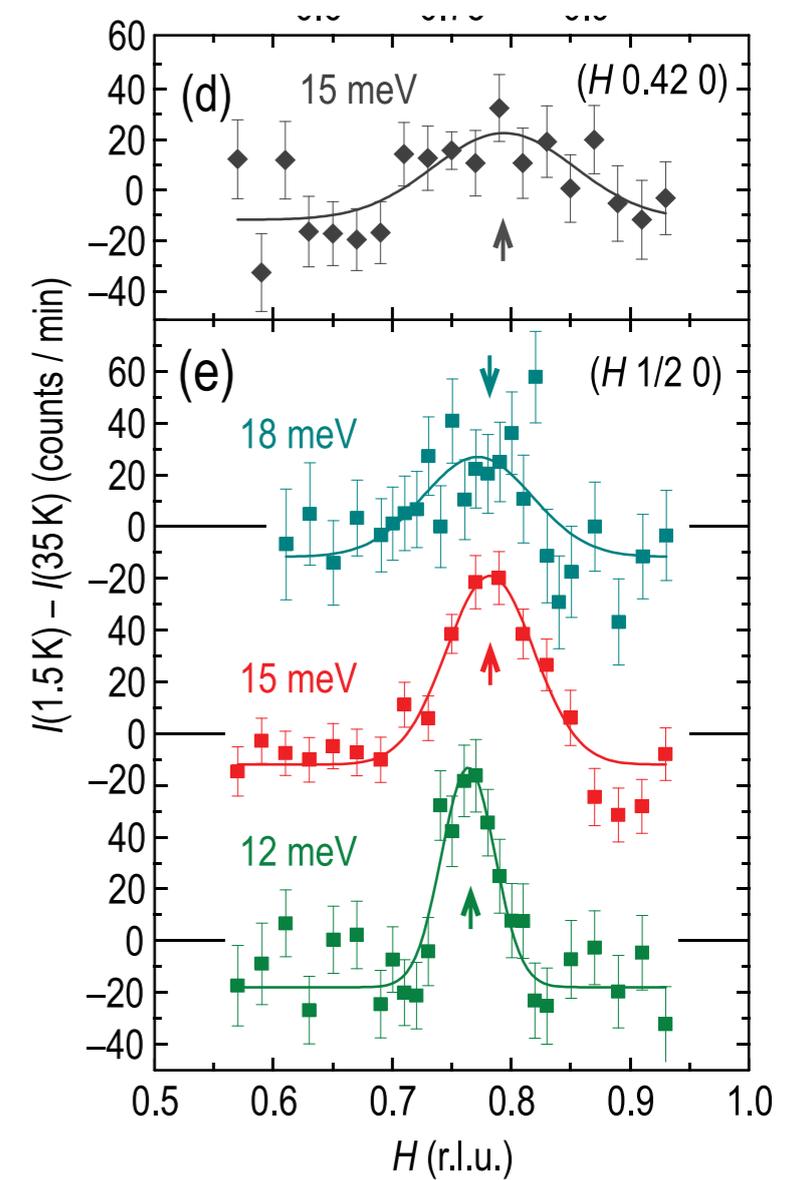
isotropic  $s^\pm$  gap



d-wave gap



Experiment



Friemel et al., PRB 2012

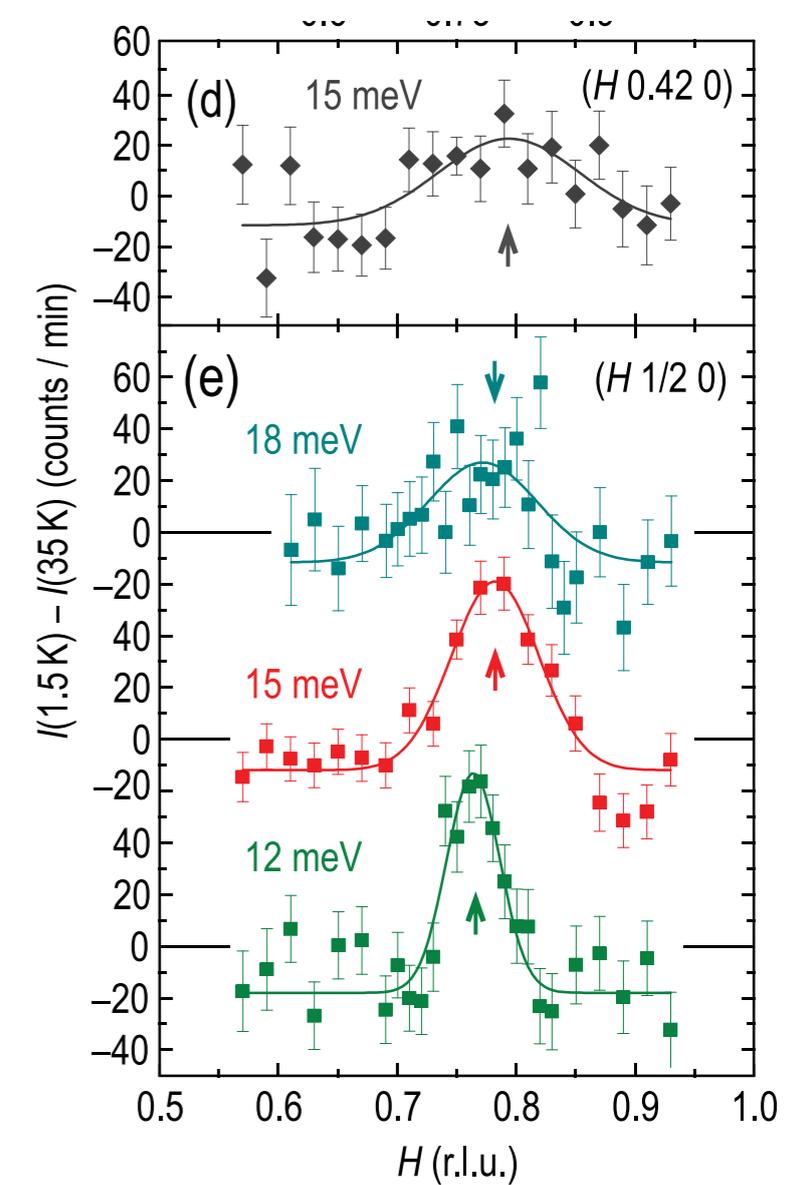
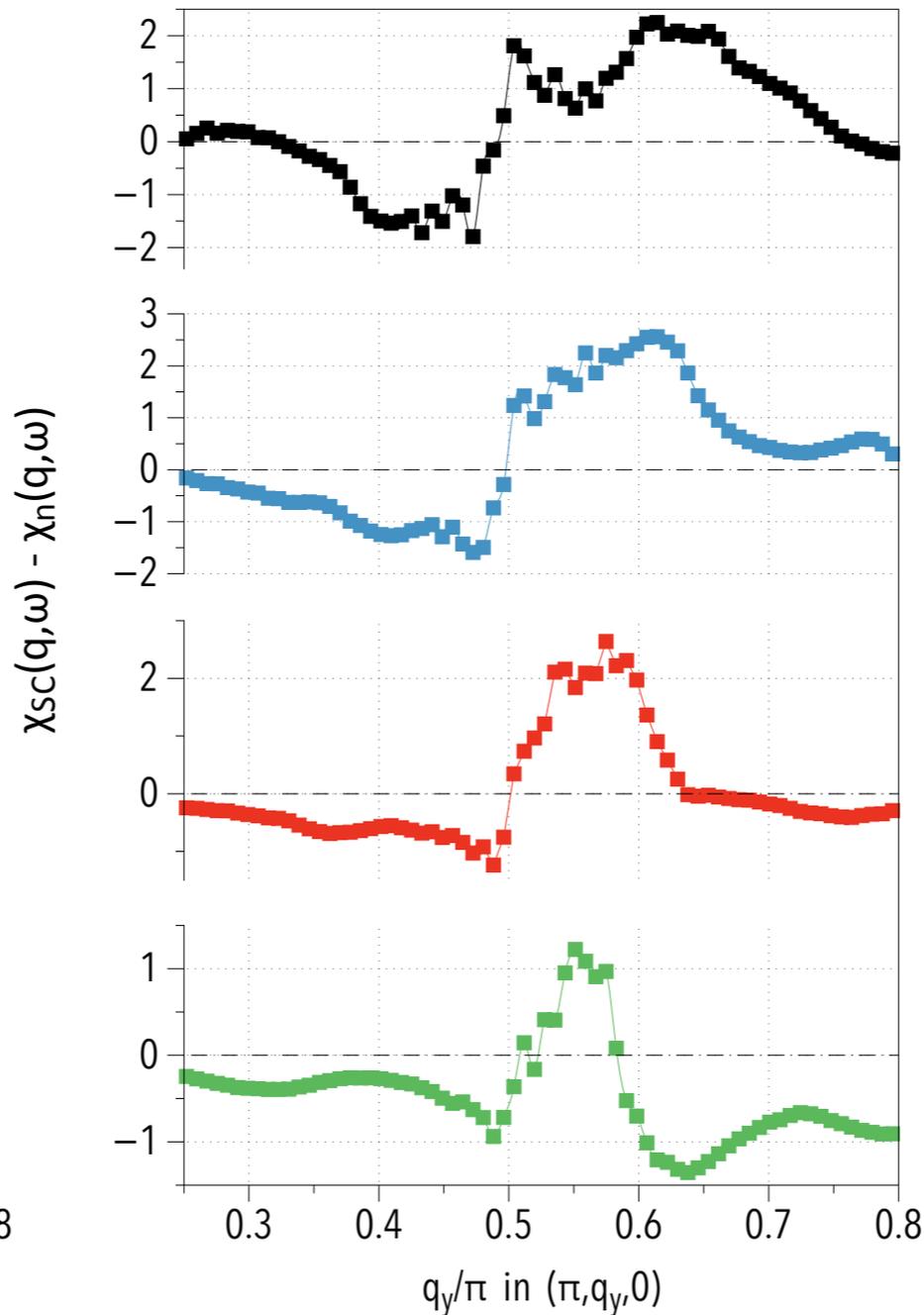
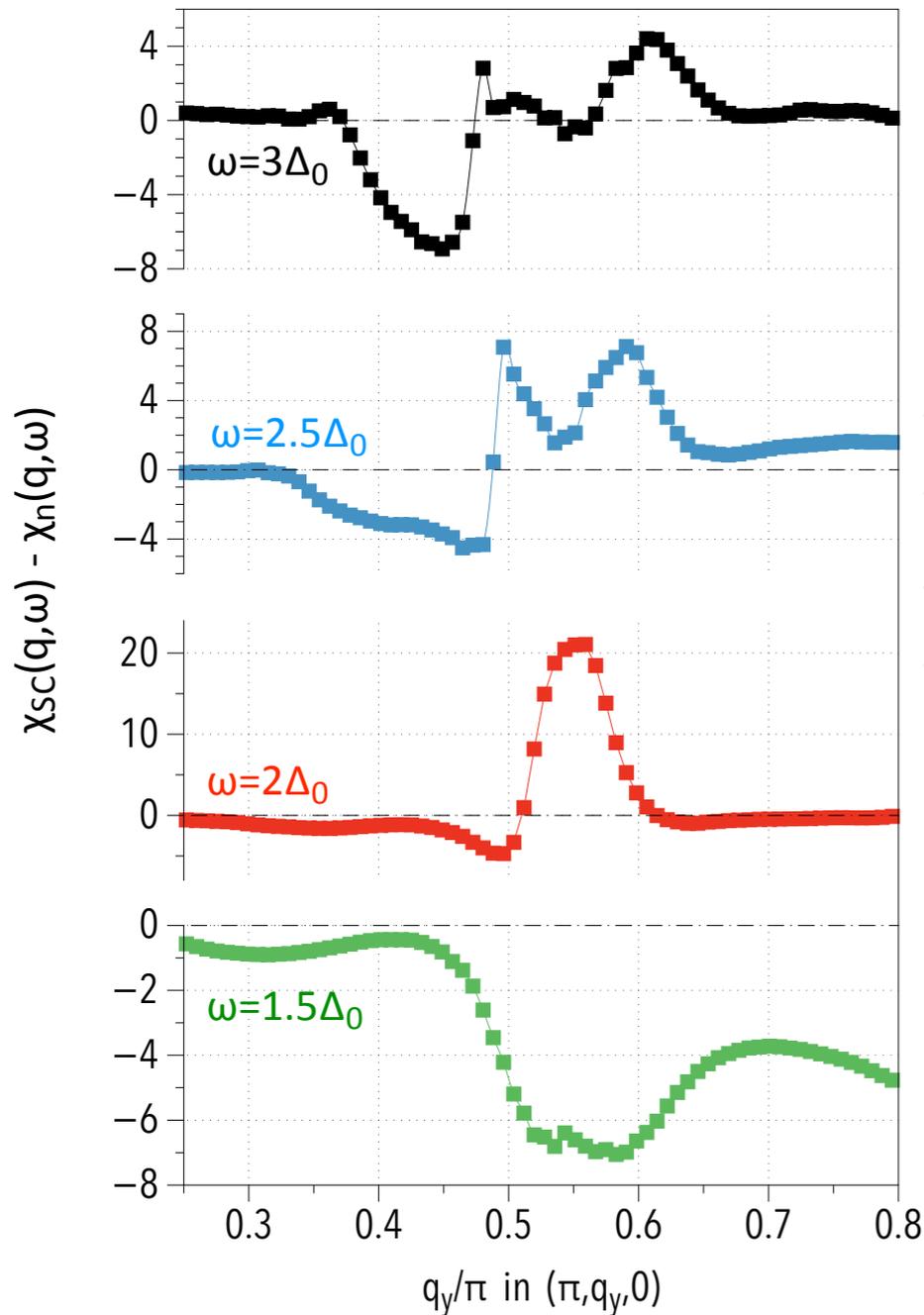
# Neutron scattering - Transverse momentum scans

## - SO coupling $\lambda=0.05$

isotropic  $s^\pm$  gap

RPA d-wave gap

Experiment



# Summary & Conclusions

- 3D 10-orbital (2-Fe) model RPA studies of pair structure and neutron scattering response
- $d_{x^2-y^2}$  pairing state is leading, with  $d_{xy}$  and  $xs^\pm$  subdominant
- $d_{x^2-y^2}$  gap has vertical or horizontal quasi-nodes due to negligible hybridization
- Hybridization due to SO coupling stabilizes  $xs^\pm$ , but  $d_{x^2-y^2}$  still leading
- Realistic model based on DFT is in small  $\kappa_{\text{eff}}$  limit
- Both gaps have resonance in neutron scattering and are hard to distinguish