

1 **Development of Fine-Resolution Analyses and Expanded Large-Scale Forcing Properties.**

2 **Part II: Scale-Awareness and Application to Single-Column Model Experiments**

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26 **Abstract**

27 Fine-resolution three-dimensional fields have been produced using the Community
28 Gridpoint Statistical Interpolation (GSI) data assimilation system for the U.S. Department of
29 Energy's Atmospheric Radiation Measurement (ARM) Southern Great Plains (SGP) region. The
30 GSI system is implemented in a multi-scale data assimilation framework using the Weather
31 Research and Forecasting (WRF) model at a cloud-resolving resolution of 2 km. From the fine-
32 resolution three-dimensional fields, large-scale forcing is derived explicitly at grid-scale
33 resolution; a subgrid-scale dynamic component is derived separately, representing subgrid-scale
34 horizontal dynamic processes. Analyses show that the subgrid-scale dynamic component is often
35 a major component over the large-scale forcing for grid scales larger than 200 km. The single-
36 column model (SCM) of the Community Atmospheric Model version 5 (CAM5) is used to
37 examine the impact of the grid scale and subgrid-scale dynamic components on simulated
38 precipitation and cloud fields associated with a meso-scale convection system. It is found that
39 grid-scale size impacts simulated precipitation, resulting in an overestimation for grid scales of
40 about 200 km but an underestimation for smaller grids. The subgrid-scale dynamic component
41 has an appreciable impact on the simulations, suggesting that grid scale and subgrid-scale
42 dynamic components should be considered in the interpretation of SCM simulations.

43 1. **Introduction**

44 In Part I [*Li, et al., 2014*], the first in a series of three papers, data assimilation is used to
45 produce fine-resolution three-dimensional fields of meteorological and other variables for the
46 U.S. Department of Energy’s Atmospheric Radiation Measurement (ARM) Southern Great
47 Plains (SGP) site. The Community Gridpoint Statistical Interpolation (GSI) data assimilation
48 system is implemented in a multi-scale data assimilation (MS-DA) algorithm to manage the
49 difficulties that arise when operating at fine-resolution. The GSI system is an operational data
50 assimilation system developed by the National Centers for Environmental Prediction (NCEP).
51 The Weather Research and Forecasting (WRF) model is used and configured at a cloud-
52 resolving resolution of 2 km [*Randall et al., 2003*].

53 The ARM program established the SGP site in 1992, and has continuously gathered a
54 wide variety of observations since then. The ARM SGP site encompasses the area of a typical
55 global climate model (GCM) grid cell and contains high-resolution surface-based measurements
56 focused on cloud-related fast processes [*Stokes and Schwartz, 1994; Ackerman and Stokes, 2003;*
57 *Mather and Voyles, 2013*]. The MS-DA algorithm assimilates ARM measurements along with
58 observations processed by NCEP, particularly radiances from an array of polar orbiting satellites.
59 The fine-resolution three-dimensional fields generated by the MS-DA were evaluated in Part I
60 and found to be capable of reproducing a meso-scale convective system with its associated
61 clouds and precipitation.

62 Large-scale forcing has been routinely produced for the SGP site for a variety of
63 applications [e.g., *Xie et al., 2004*], the most important of which is to drive single-column models
64 (SCMs) for testing physical parameterizations in GCMs. The MS-DA analysis is used to expand
65 the properties of large-scale forcing to include scale-awareness and hydrometeor forcing. In Part

66 III [*S. Feng, Z. Li, W. Lin, Y. Liu, M. Zhang, T. Toto, A. M. Vogelmann, and S. Endo,*
67 Development of fine-resolution analyses and expanded properties of large-scale forcing. Part III:
68 Hydrometeor forcing and application to single-column model experiments, submitted to *J.*
69 *Geophys. Res.*, 2014], we demonstrate the significant impact of hydrometeor forcing on SCM
70 simulations as did *Petch and Dudhia* [1998]; yet so far hydrometeor forcing is not part of
71 existing large-scale forcing products. This paper focuses on characterizing the scale-aware
72 forcing and assesses its impact on SCM simulations.

73 Here ‘scale-aware properties’ of the large-scale forcing refers to its explicit grid-scale
74 dependence and subgrid-scale dynamic component. The grid-scale dependence has become
75 important to address as GCM grid spacing size, or spatial resolution, has become increasingly
76 fine. The spatial resolutions of current GCMs range from an order of 100 km to an order of 10
77 km [*IPCC*, 2013]. The large-scale forcing used to drive an SCM must represent the grid-scale
78 associated with the GCM, noting that a given GCM can operate at a range of spatial resolutions
79 rather than having a fixed resolution. As we will show in section 3, large-scale forcing fields
80 vary significantly with grid scale and, correspondingly, SCM simulations are sensitive to these
81 variations. Thus, the dependence of large-scale forcing on grid scale must be considered when
82 interpreting SCM simulations.

83 Generally, the subgrid-scale dynamic component consists of the subgrid-scale vertical
84 flux divergences and horizontal flux divergences that are not parameterized. The non-
85 parameterized component must be included as part of the large-scale forcing, as we will see in
86 section 2. In the latest GCMs, the subgrid-scale vertical fluxes associated with turbulence and
87 convection are parameterized; so we may assume that the significant subgrid-scale vertical fluxes
88 are accounted for in the parameterization. The subgrid-scale horizontal fluxes are also

89 parameterized in GCMs, but only partially. Subgrid-scale horizontal fluxes are non-linear
90 processes that act on the atmospheric flow system via downscale and upscale interactions.
91 Downscale interactions are parameterized by hyper-diffusion ($\sim\nabla^{2h}$). It has been proposed that
92 upscale interactions be parameterized as stochastic forcing, to account for the irregular and
93 unpredictable nature of subgrid-scale dynamic processes [e.g., Palmer, 2001; Hoskins et al.,
94 2004]. Stochastic parameterizations are included in some numerical weather prediction models
95 [Buizza et al., 1999; Shutts, 2001], but they are not yet implemented in most GCMs. Thus, it is
96 necessary to include the subgrid-scale horizontal flux divergence in the large-scale forcing.

97 In existing large-scale forcing products, the subgrid-scale horizontal flux component is
98 often implicitly included. The standard ARM large-scale forcing generated using the constrained
99 objective variational analysis [*Zhang and Lin*, 1997] implicitly includes it, although it could be
100 underestimated due to the limited number of grid points used for the line integration to calculate
101 the area-mean flux divergence [*Ooyama*, 1987]. The large-scale forcing derived from cloud-
102 resolving model simulations by *Bechtold et al.* [2000] contained the subgrid-scale component as
103 well. We will show that it can be a significant component in large-scale forcing and appreciably
104 impact SCM simulations.

105 The paper is organized as follows. Section 2 describes the formulation for deriving large-
106 scale forcing and the subgrid-scale dynamic component. Section 3 presents derived large-scale
107 forcings for different grid scales to examine the scale dependence. Section 4 presents the
108 subgrid-scale dynamic component and quantifies its importance in relation to grid-scale forcing.
109 In section 5, we conduct experiments, using the single-column version of the Community
110 Atmospheric Model version 5 (CAM5), known as SCAM5, to demonstrate the sensitivity of

111 SCM simulations to grid-scale size and to the subgrid-scale dynamic component. A summary
 112 and discussion are given in section 6.

113

114 2. Scale-aware large-scale forcing

115 Following Part I [Li, et al., 2014], the thermodynamic and moisture conservation
 116 equation for an SCM can be written in the form,

$$117 \quad \frac{\partial \bar{T}}{\partial t} = \left(\frac{\partial \bar{T}}{\partial t} \right)_{LS} - \overline{\nabla \cdot V' T'} - \frac{1}{\gamma_T} (\bar{T} - \bar{T}_{LS}) + \frac{\bar{Q}}{c_p} - \left(\frac{\partial \overline{\omega' T'}}{\partial p} - \frac{\overline{\omega' \alpha'}}{c_p} \right), \quad (1)$$

$$118 \quad \frac{\partial \bar{q}}{\partial t} = \left(\frac{\partial \bar{q}}{\partial t} \right)_{LS} - \overline{\nabla \cdot V' q'} - \frac{1}{\gamma_\tau} (\bar{q} - \bar{q}_{LS}) + \bar{S} - \frac{\partial \overline{\omega' q'}}{\partial p}, \quad (2)$$

119 where,

$$120 \quad \left(\frac{\partial \bar{T}}{\partial t} \right)_{LS} = -\bar{V} \cdot \nabla \bar{T} - \bar{\omega} \left(\frac{\partial \bar{T}}{\partial p} - \frac{\bar{\alpha}}{c_p} \right), \quad (3)$$

$$121 \quad \left(\frac{\partial \bar{q}}{\partial t} \right)_{LS} = -\bar{V} \cdot \nabla \bar{q} - \bar{\omega} \frac{\partial \bar{q}}{\partial p}. \quad (4)$$

122 The variables are defined as follows: V , horizontal wind; ω , vertical p-velocity; P ,

123 pressure; p_0 , surface pressure; α , specific volume of the air; c_p , the specific heat at constant

124 volume; ∇ , the horizontal del operator; Q , the heating rate; S , the source of water vapor; and γ_τ

125, the relaxation time scale. The overbar denotes a horizontal average over a given domain.

126 The second term on the right-hand side in Eqs. (1) and (2) is the subgrid-scale dynamic

127 component; the third represents the relaxation term. Relaxation is linked to the horizontal

128 advection term and in turn is linked to subgrid-scale dynamic term [Randall and Cripe, 1999].

129 This linkage implies that the subgrid-scale dynamic component and relaxation terms may be

130 dynamically inappropriate to include together in Eqs. (1) and (2). We will further address this
131 topic in section 5.3.

132 Using domain averages, we can calculate the grid-scale component of each T forcing and
133 q forcing as defined by Eqs. (3) and (4), respectively. For simplicity, we call the grid-scale
134 forcing of Eq. (3) the T forcing and the grid-scale forcing of Eq. (4) the q forcing. Here the
135 subgrid-scale dynamic component is the subgrid-scale horizontal flux only.

136 The subgrid-scale horizontal and vertical fluxes are not independent but, rather, are
137 related to each other through the continuity equation. The vertical flux consists of a component
138 that is parameterized and a component that is not. Since the primary component of the vertical
139 flux has been parameterized, the subgrid-scale horizontal flux in the large-scale forcing must be
140 included to keep consistency between the subgrid-scale horizontal and vertical fluxes.

141

142 **3. Grid-scale forcing**

143 SCMs have been used to test and evaluate physical parameterization schemes as early as
144 two decades ago [e.g., *Betts and Miller, 1986*]. Since then, GCM resolutions have become
145 increasingly fine, from a few 100 km down to tens of km. The ARM SGP site was initially
146 designed to match the grid scale of GCMs from the late 80s and early 90s, covering a domain
147 about 300 km in diameter for which the ARM large-scale forcing product was produced. The
148 observing area for the ARM SGP site has been reduced recently, focusing on a smaller domain
149 comparable to the finer spatial resolutions used in newer GCMs.

150 The MS-DA analysis offers the flexibility of deriving grid-scale forcing fields for a range
151 of grid sizes. We calculate the grid-scale forcing for grid scales of 300, 200, 100, and 50 km
152 (Figure 1); the domains are, respectively, D300, D200, D100, and D50. By definition, the

153 subgrid-scale dynamic component is the deviation from the domain average, and hence it is
154 straightforward to calculate the subgrid-scale dynamic component for all domains.

155 Figure 1 depicts the development of a major precipitation band during a meso-scale
156 convective system (MCS) [Houze, 2004] that occurred from 13 to 15 June 2007. Figure 2
157 illustrates the differences in large-scale forcing fields associated with the four grid scales. As
158 expected, the vertical velocity, and the grid-scale T and q forcings increase in terms of temporal
159 variability and intensity as spatial resolution increases. The variability of the grid-scale forcing is
160 associated with the intensification, decay and movement of the MCS. These features are
161 discussed further in section 4.

162

163 **4. Subgrid-scale dynamic component**

164 This section characterizes the subgrid-scale dynamic component during the MCS event.
165 Figure 3 displays the temperature subgrid-scale dynamic component (SS), the grid-scale
166 component (LS), and the combined amount (LS+SS) for the four grid scales. The subgrid-scale
167 dynamic component (Figures 3a1-3a4) tends to have large intensities that are primarily confined
168 to the boundary layer. For D100, we also see significant values near 700 hPa around 06 UTC 14
169 June. There is strong temporal variability, which can be attributed to the related meso-scale
170 activity. A maximum occurs around 06 UTC 14 June, when the MCS reaches its peak intensity
171 between 03 UTC and 09 UTC 14 June. Overall, the magnitude of the subgrid-scale dynamic
172 component gets larger for smaller grid scales.

173 To show the significance of the subgrid-scale dynamic component, we compare it with
174 the corresponding grid-scale horizontal advection, which does not include the subgrid-scale
175 component (Figures 3b1-3b4). For the D300 and D200 domains and in the lower troposphere,

176 the subgrid-scale dynamic component is comparable to the grid-scale counterpart. For the D100
177 and D50, the overall magnitude of the subgrid-scale component is generally smaller than its the
178 grid-scale counterpart, but its magnitude is larger during the intensive convective time period at
179 approximately 06 UTC 14 June.

180 Figure 4 (a1-a4) displays the subgrid-scale dynamic component of q forcing for the four
181 grid scales. Similar to the subgrid-scale dynamic component of T forcing, the temporal
182 variability in the q subgrid-scale dynamic component is also concentrated in the lower
183 troposphere and peaks around 06 UTC 14 June. We note that for the smallest grid, D50, the
184 subgrid-scale component tends to have a smaller magnitude. We expect that the importance of
185 the subgrid-scale dynamic component would be further reduced as grid resolution increases. This
186 is consistent with the experience that an increase in GCM resolution generally improves model
187 performance.

188 To illustrate the relative significance of the subgrid-scale dynamic component, we
189 compare the subgrid-scale dynamic components in Figures 3 and 4 to the total grid-scale forcing
190 in Figure 2. Near the surface or even in the lower troposphere, the subgrid-scale dynamic
191 component could be close to 100 % of the magnitude of the total T forcing and q forcing for
192 D300, ~60 % and ~90 % for D200, ~25 % and 50 % for D100, and ~20 % of both for D50,
193 respectively. Thus, the subgrid-scale dynamic component can be a significant component of the
194 total large-scale forcing fields and fundamentally impact SCM simulations as will be shown in
195 the next section.

196

197 **5. Single-column model sensitivity experiments**

198 Here we examine the sensitivity of SCM simulations to grid scale and subgrid-scale

199 dynamic components, and their relative significance to each other. The SCM experiments are
200 conducted using SCAM5. SCAM5 contains all physical processes used in CAM5 [Neale *et al.*,
201 2012], which includes the cloud microphysics and macrophysics schemes. Details about
202 microphysical conversions among cloud liquid droplets, ice crystal, rain and snow can be found
203 in Gettelman *et al.* [2008], Morrison and Gettelman [2008], Gettelman *et al.* [2010], and Song *et al.*
204 *al.* [2013].

205 To quantify the sensitivity of SCAM5 simulations to the subgrid-scale dynamic
206 component, four experiments are conducted for each of the four grid scales (D300, D200, D100
207 and D50). These experiments are summarized in Table 1 and are conducted with and without
208 relaxation. When analyzing the experiments, we focus primarily on the sensitivity of simulated
209 precipitation and cloud fraction to the grid scale and to the subgrid-scale dynamic components.
210 The simulated precipitation and cloud fraction are compared to observations. The precipitation
211 data are from the ABRFC (Arkansas-Red Basin River Forecast Center, available at
212 <http://www.arm.gov/data/vaps/abrfc>). The data consist of 4-km hourly precipitation estimates
213 from a combination of WSR-88D Next Generation Radar (NEXRAD) precipitation estimates
214 and rain gauge reports. We use hourly cloud fractional occurrence over the ARM SGP Central
215 Facility from the Active Remote Sensing of Clouds (ARSCL) [Clothiaux *et al.*, 2000; Clothiaux
216 *et al.*, 2001], which is determined from measurements made by the Millimeter Wavelength
217 Cloud Radar (MMCR) and Micropulse Lidar (MPL) and is as provided in the ARM Best
218 Estimate Data Products (ARMBE) [Xie *et al.*, 2010]. Note that observations used in the
219 evaluation were not assimilated in the MS-DA analysis.

220

221 **5.1. Derived forcing for different grid scales**

222 Figure 5 presents domain-averaged simulated precipitation rate from the SCAM5
223 experiments, the MS-DA analysis, and the domain-averaged precipitation rate from observations.
224 Before discussing the SCAM5 results, we look at the precipitation from the MS-DA. For all four
225 grid scales, the MS-DA realistically reproduces the timing of the main convective precipitation
226 event; the magnitude is realistically represented at D300 but overestimated for the other domains.
227 Given that ABRFC precipitation is not assimilated to the MS-DA system, the realistic timing of
228 the simulated MS-DA precipitation occurs as the result of proper internal balances in the MS-DA
229 analysis.

230 We first compare the MS-DA and SCAM5 simulated precipitation. For the case of
231 LS_norelax (dashed blue), where the grid-scale forcing component is used without relaxation,
232 the precipitation rates are comparable to observations for the D300 grid scale, are overestimated
233 for D200, and are underestimated for D100 and D50. In fact, the largest precipitation event does
234 not even occur for D50. The parameterization in SCAM5 seems best suited for 300-km grid scale,
235 highlighting the importance of the scale-dependence of large-scale forcing. For the case of
236 LS_3hrelax (dashed red), there is a clear reduction of precipitation rate during the convective
237 period for D300 and D200 compared to LS_norelax.

238 For the case of LS+SS_norelax (solid blue), where the grid-scale and subgrid-scale
239 dynamic components are both used and no relaxation is applied, the precipitation rates are
240 underestimated for most domains except D200. For the case of LS+SS_3hrelax (solid red), as for
241 the LS cases, the precipitation rate is reduced for D300 when relaxation is applied. Relaxation
242 tends to reduce the precipitation rate for the 300- and 200-km grid scales. For the smaller grid
243 scales of 100 and 50 km, the impact of the relaxation is not clear.

244 A synthesis of the results from all four cases points to a tendency of the SCAM5 to
245 underestimate precipitation rate more so as grid resolution increases below 200 km (i.e., as grid
246 size decreases). This tendency might be associated with the MCS structure and its processes so
247 should not be viewed as a general conclusion. However, the results suggest that the sensitivity of
248 the SCM simulation to grid size must be taken into account when attributing simulation errors to
249 physical parameterization schemes.

250 Since the clouds simulated by SCMs are often sensitive to forcing [e.g., *Fridlind et al.*,
251 2012], we compare the performance of cloud simulations without relaxation applied to
252 observations. Figure 6 shows the ARMBE cloud fraction during the time period for the SCM
253 simulations. Two convective events corresponding strong precipitation rate can be seen during
254 09 UTC to 15 UTC 13 June and 03 UTC to 12 UTC 14 June. We note that the observed cloud
255 fractions are derived from profiles of point observations, while the SCAM5 cloud fraction
256 represents the average over a domain of a given grid size. Although a meaningful comparison
257 between SCAM5 results with observations usually requires a sufficiently long observation period
258 of the latter, the general characteristics of a large-scale phenomenon such as an MCS can be
259 captured.

260 In Figure 7, we see that high clouds are overestimated for grid-scales D300 and D200
261 (both of Exps. LS and LS+SS), but that this overestimation is significantly reduced for the
262 smaller grid scales D100 and D50. This reduction of cloud fraction at higher altitudes is most
263 evident around 21 UTC 13 June. The observations show few high clouds during a period of
264 about 6 hours, from 18 UTC 13 June to 00 UTC 14 June. We conclude that the SCAM5
265 overestimates high clouds for all grid scales but that the overestimation is not significant for the
266 small grid scales D100 and D50.

267 The SCAM5 simulations underestimate the lower-level cloud fractions for all grid scales.
268 For grid scales D100 and D50, in particular, cloud fraction does not extend to lower levels during
269 the main precipitation event that occurs from 03 UTC to 12 UTC 14 June. It is clear from Figure
270 5 that the simulated precipitation is less than 1/3 of that observed, which could be related to
271 vertical velocity. In Figure 2 (a1-a4), the upward vertical velocities are associated with the main
272 precipitation event for D300 and D200, but strong downward vertical velocities--as large as 0.3
273 m/s--occur in the lower troposphere for D100 and D50. It is unlikely that this strong downward
274 vertical velocity is caused by the MS-DA system because it can simulate the precipitation rates
275 well (Figure 5, gray lines), which suggests that its vertical velocities are reasonable. Therefore,
276 the SCAM5 may be limited when dealing with strong vertical velocities associated with small
277 grid scales such as D100 and D50.

278

279 **5.2. Impact of the subgrid-scale dynamic component**

280 The impact of the subgrid-scale dynamic component can be determined from the
281 differences between the experiments that consider only the grid-scale component (Exps. LS) and
282 those that use the grid-scale and subgrid-scale dynamic components (Exps. LS+SS). In Figure 5,
283 we see that the subgrid-scale dynamic component reduces the precipitation rates for D300 but
284 not for the grid scales smaller than 200 km. In terms of cloud fraction, the subgrid-scale dynamic
285 component tends to increase mid- and low-level cloud fraction but reduce high-level cloud
286 fraction for D300 and D200 (Figure 7), while it decrease mid- and low-level cloud fraction but
287 increase high-level clouds for D100, For D50, the impact is minor.

288

289 **5.3. Subgrid-scale dynamic component vs. relaxation**

290 The relaxation terms in Eqs. (1 and 2) have often been used in SCM simulations, but they
291 are not independent of the subgrid-scale dynamic component as we pointed out in section 2.
292 *Ghan et al.* [2000] showed that the impact of relaxation on SCM simulations tends to be mixed,
293 either improving or degrading the SCM performance; thus, the role of the relaxation term needs
294 to be further quantified. Since we have determined the subgrid-scale dynamic component, a
295 direct comparison can be made between the impacts of relaxation and the subgrid-scale dynamic
296 component.

297 Relaxation reduces precipitation rate for D300 and D200, as can be seen in Figure 5 by
298 the difference between Exps. LS_norelax and LS_3hrelax and between LS+SS_norelax and
299 LS+SS_3hrelax. The precipitation rate is halved during the main precipitation event on 14 June
300 for D300. In section 5.2, we saw that the subgrid-scale dynamic component reduces
301 precipitation as well, as can be seen from the difference between Exps. LS_norelax and
302 LS+SS_norelax. For D300, the precipitation in Exps. LS_3hrelax and LS+SS_norelax are
303 reduced by similar amount of ~ 1.0 mm/hr compared to Exp. LS_norelax during the convective
304 event. This result seems to suggest that, in terms of precipitation, relaxation may play a role
305 similar to the subgrid-scale dynamic component. We note that for the case of LS+SS_3hrelax,
306 where the subgrid-scale dynamic component and 3-hr relaxation are applied, the precipitation
307 rate largely drifts apart from the observation for D300, but this drift is not seen at the smaller
308 grid scales. One should be cautious of using relaxation when the subgrid-scale dynamic
309 component is included.

310 For the cloud simulation, the impact of relaxation tells a different story. Subgrid-scale
311 dynamics, without relaxation, often increases mid- and low-level cloud fraction (Figure 7),
312 whereas relaxation tends to reduce mid- and low-level cloud fractions (compare Figure 8 to

313 Figure 7). The impact of relaxation on cloud formation must be different from the mechanism
314 responsible for precipitation reduction.

315 It is beyond the scope of this paper to attribute the precipitation reduction to specific
316 microphysical processes; however we hypothesize that the precipitation reduction caused by
317 relaxation is related to the reduction of mid- and low-level clouds. Given that the impact on mid-
318 and low-level clouds is opposite to the subgrid-scale dynamic component, caution should be
319 exercised when using the subgrid-scale dynamic component with relaxation in SCMs.

320

321 **6. Summary and Discussion**

322 We have addressed scale-aware large-scale forcing, which refers to the large-scale
323 forcing associated explicitly with grid-scale and subgrid-scale components. The grid-scale
324 component of the forcing aims to account for the wide range of spatial resolutions of current
325 GCMs, from an order of 100 km to finer resolutions of an order of 10 km [*IPCC*, 2013]. The
326 grid-scale and subgrid-scale dynamic components are derived based on a multi-scale data
327 assimilation system with WRF at a cloud-resolving resolution of 2 km, which was applied to the
328 ARM SGP region. Comprehensive SCAM5 experiments are conducted to examine the
329 dependence on grid scale and sensitivity to the subgrid-scale dynamic component in terms of
330 simulated precipitation and cloud fraction.

331 As expected, the large-scale forcing intensity and temporal variability increase as spatial
332 scales are reduced. The increase is most pronounced during the MCS precipitation events. The
333 SCAM5-simulated precipitation is overestimated for scales of about 200 km and reverses to be
334 underestimated for smaller scales. The results indicate that spatial scale needs to be considered in
335 the interpretation of SCM simulations.

336 The analyses show that the subgrid-scale dynamic component, the subgrid-scale flux
337 divergence due to subgrid-scale dynamic processes, often provide significant horizontal
338 advection for spatial scales larger than 200 km and is a non-negligible component of the large-
339 scale forcing. The subgrid-scale dynamic component has a beneficial impact on the SCAM5
340 simulations, especially for larger domains. Such results support our argument that the subgrid-
341 scale dynamic processes are partially parameterized as hyper-diffusion in CAM5, and thus its
342 inclusion enhances the consistency between the horizontal flux divergence and the vertical flux
343 divergence that is accounted for in parameterizations.

344 The subgrid-scale dynamic component and relaxation are dynamically related [*Randall and*
345 *Cripe, 1999*]. The impacts of the subgrid-scale dynamic component and relaxation in the
346 SCAM5 are similar in terms of precipitation rate but not cloud fraction. This result agrees with
347 the conclusion in *Ghan et al. [2000]* that the impact of relaxation may vary for different field
348 variables.

349 We have shown that the subgrid-scale dynamic component is a significant component in
350 large-scale forcing fields, and that SCM simulations are sensitive to it. However, from the
351 experiments presented, we cannot reach the conclusion that the inclusion of subgrid-scale
352 dynamic component improves the SCM simulation from the experiments that are presented. This
353 is because the SCM responds to the subgrid-scale dynamic component in a complex way.

354

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365

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464 **Figure captions:**

465 Figure 1. The domains used to calculate forcing components. The colored squares denote the
466 following domains: $300 \text{ km} \times 300 \text{ km}$ (black), $200 \text{ km} \times 200 \text{ km}$ (blue), $100 \text{ km} \times 100$
467 km (red), and $50 \text{ km} \times 50 \text{ km}$ (green). All domains are centered on the ARM SGP
468 central facility (36.63°N , 97.49°W). The color-filled contours show the distribution of
469 surface precipitation rate (mm/hr) generated from the MS-DA system at (a) 08 UTC 13
470 June, and (b) 06 UTC June 14. The intense precipitation is associated with an MCS.

471 Figure 2. The large-scale (or grid-scale) pressure vertical velocity (a1-a4), T forcing (b1-b4),
472 and q forcing (c1-c4). Columns display the large-scale forcing fields for each grid scale:
473 (a) D300, (b) D200, (c) D100, and (d) D50. Note the change in color scale with grid size
474 and that the x-axis is UTC time starting at 00 UTC 13 June.

475 Figure 3. Subgrid-scale dynamic components for the thermodynamic fields ($\overline{\nabla \cdot V'T'}$ in Eq. (1))
476 for domains D300, D200, D100, and D50 (a1-a4). Given are also the grid-scale
477 horizontal advection ($-V \cdot \nabla \overline{T}$ in Eq. (3), b1-b4), and their sum (c1-c4). The label SS
478 denotes subgrid-scale, and LS denotes large-scale (or grid-scale). Note the change in
479 color scale with grid size and that the x-axis is UTC time starting at 00 UTC 13 June.

480 Figure 4. Subgrid-scale dynamic components for the moisture fields ($\overline{\nabla \cdot V'q'}$ in Eq. (2)) for
481 domains D300, D200, D100, and D50 (a1-a4). Given are also the grid-scale horizontal
482 advection ($-V \cdot \nabla \overline{q}$ in Eq. (4), b1-b4), and their sum (c1-c4). The label SS denotes
483 subgrid-scale, and LS denotes large-scale (or grid-scale). Note the change in color scale
484 with grid size and that the x-axis is UTC time starting at 00 UTC 13 June.

485 Figure 5. SCAM5-simulated precipitation rates for (a) D300, (b) D200, (c) D100, and (d) D50.
486 There are four experiments for each grid scale: large/grid-scale forcing components

487 without a relaxation (LS_norelax, dashed blue), large/grid-scale forcing components with
488 3-hr relaxation (LS_3hrelax, dashed red), large/grid- plus subgrid-scale dynamic forcing
489 components without a relaxation (LS+SS_norelax, solid blue), and large/grid- plus
490 subgrid-scale dynamic forcing components with 3-hr relaxation (LS+SS_3hrelax, solid
491 red). Given are also the domain-averaged surface precipitation rates from the ABRFC
492 observations (black solid line) and from the MS-DA system (gray solid line). Note that
493 the x-axis is UTC time starting at 00 UTC 13 June.

494 Figure 6. Time-height cross-section of cloud fractional occurrence from the ARMBE over the
495 ARM SGP central facility. Note that the ARMBE is temporally averaged for a single
496 geographical point and the x-axis is UTC time starting at 00 UTC 13 June.

497 Figure 7. SCAM5-simulated cloud fraction for D300 (a1 and b1), D200 (a2 and b2), D100 (a3
498 and b3), and D50 (a4 and b4). The simulations in the upper row (a1-a4) are driven with
499 the large/grid-scale forcing, and the simulations in the lower row (b1-b4) are driven with
500 the large/grid- plus sub-scale dynamic forcing components. No relaxation was applied.
501 Note that the x-axis is UTC time starting at 00 UTC 13 June.

502 Figure 8. Same as Figure 7 but with 3-hr relaxation was applied. Note that the x-axis is UTC
503 time starting at 00 UTC 13 June.

504

505

Table 1. SCAM5 Experiments. The forcing component for each experiment includes, by default, vertical advection of temperature and moisture. The following only highlights the differences between the experiments.

Experiment	Forcing component	Relaxation
LS_norelax	Grid-scale component only	No relaxation
LS_3hrelax	Grid-scale component only	3-hour relaxation
LS+SS_norelax	Grid-scale and subgrid-scale components	No relaxation
LS+SS_3hrelax	Grid-scale and subgrid-scale components	3-hour relaxation

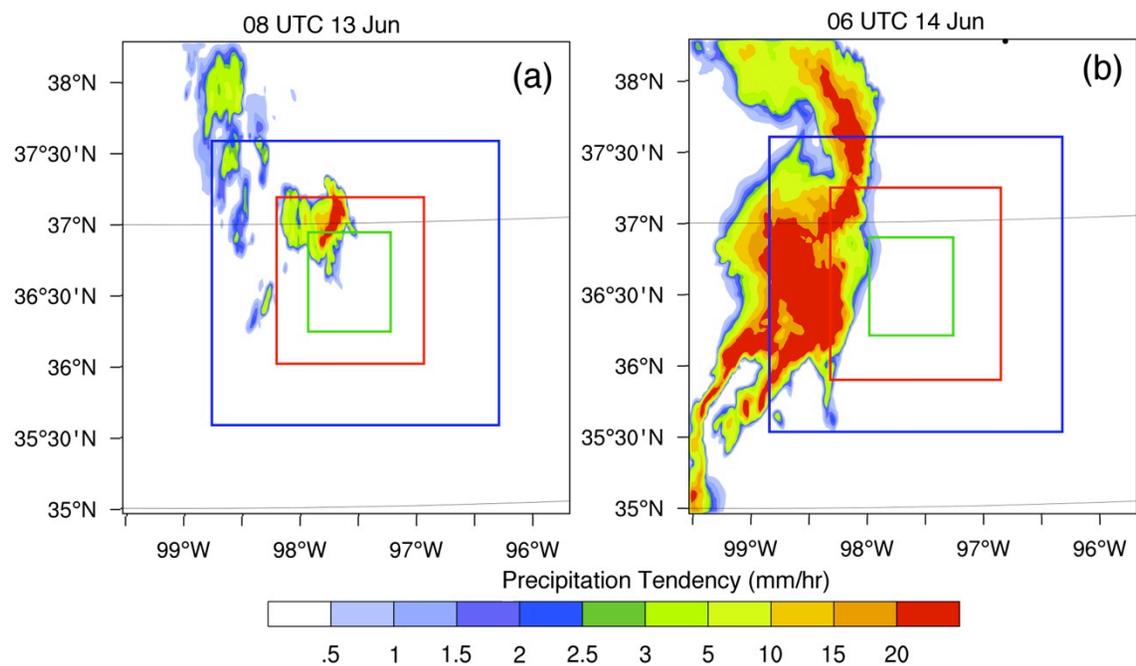


Figure 1. The domains used to calculate forcing components. The colored squares denote the following domains: 300 km \times 300 km (black), 200 km \times 200 km (blue), 100 km \times 100 km (red), and 50 km \times 50 km (green). All domains are centered on the ARM SGP central facility (36.63°N, 97.49°W). The color-filled contours show the distribution of surface precipitation rate (mm/hr) generated from the MS-DA system at (a) 08 UTC 13 June, and (b) 06 UTC June 14. The intense precipitation is associated with an MCS.

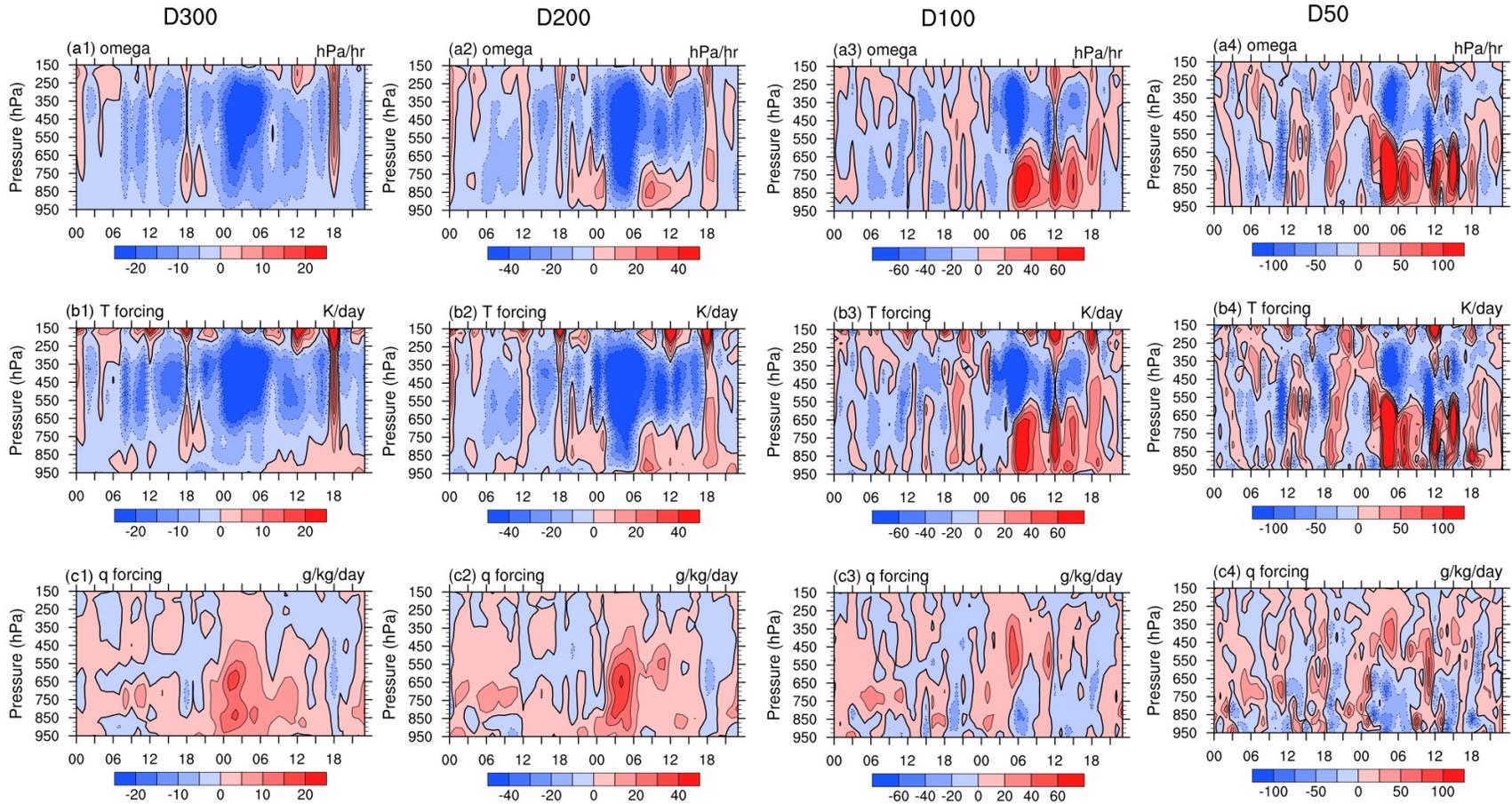


Figure 2. The large-scale (or grid-scale) pressure vertical velocity (a1-a4), T forcing (b1-b4), and q forcing (c1-c4). Columns display the large-scale forcing fields for each grid scale: (a) D300, (b) D200, (c) D100, and (d) D50. Note the change in color scale with grid size and that the x-axis is UTC time starting at 00 UTC 13 June.

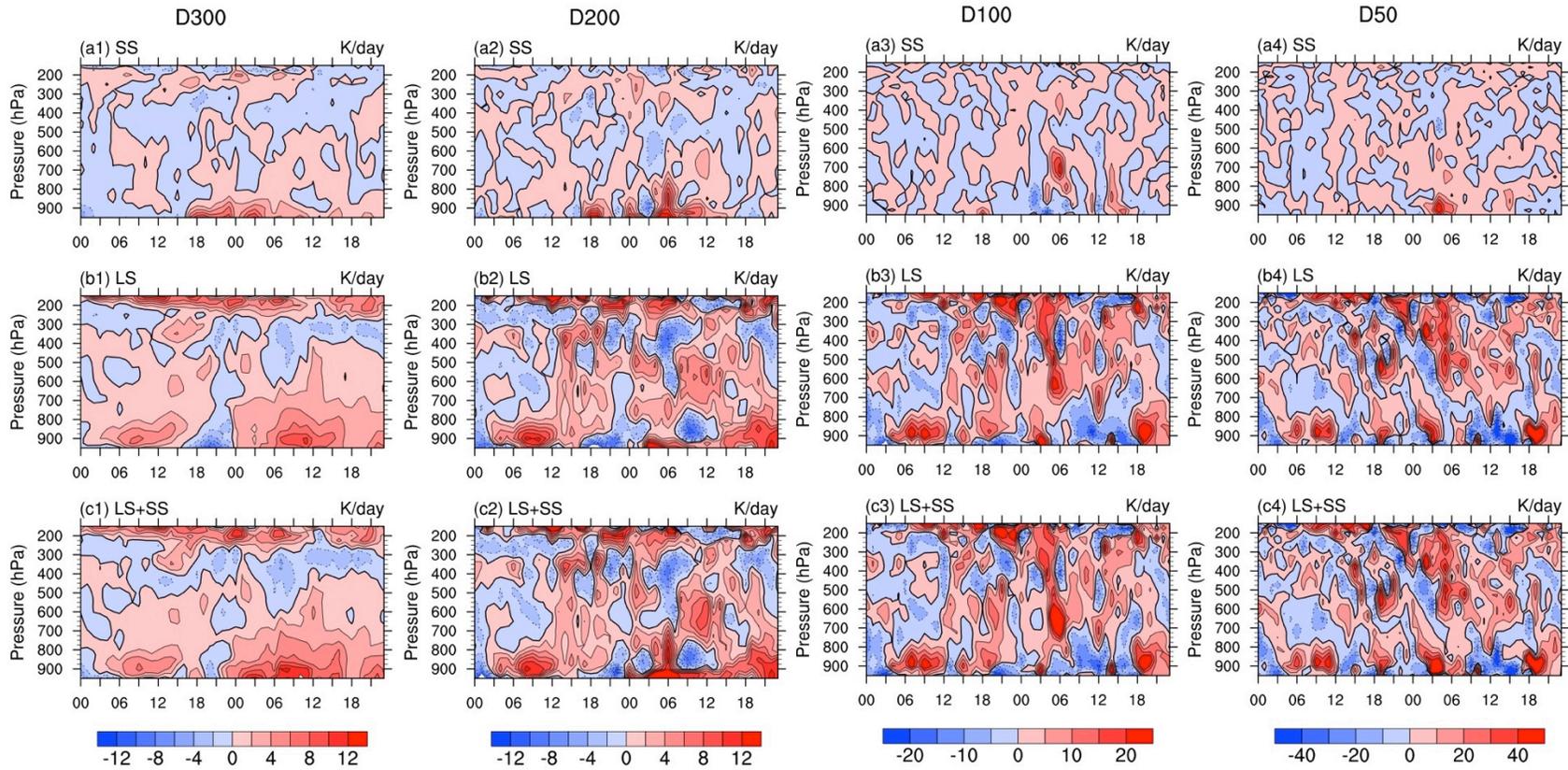


Figure 3. Subgrid-scale dynamic components for the thermodynamic fields ($\overline{\nabla \cdot VT'}$ in Eq. (1), a1-a4) for D300, D200, D100, and D50 (a1-a4). Given are also the grid-scale horizontal advection ($-V \cdot \nabla \overline{T}$ in Eq. (3), b1-b4), and their sum (c1-c4). The label SS denotes subgrid-scale, and LS denotes large-scale (or grid-scale). Note the change in color scale with grid size and that the x-axis is UTC time starting at 00 UTC 13 June.

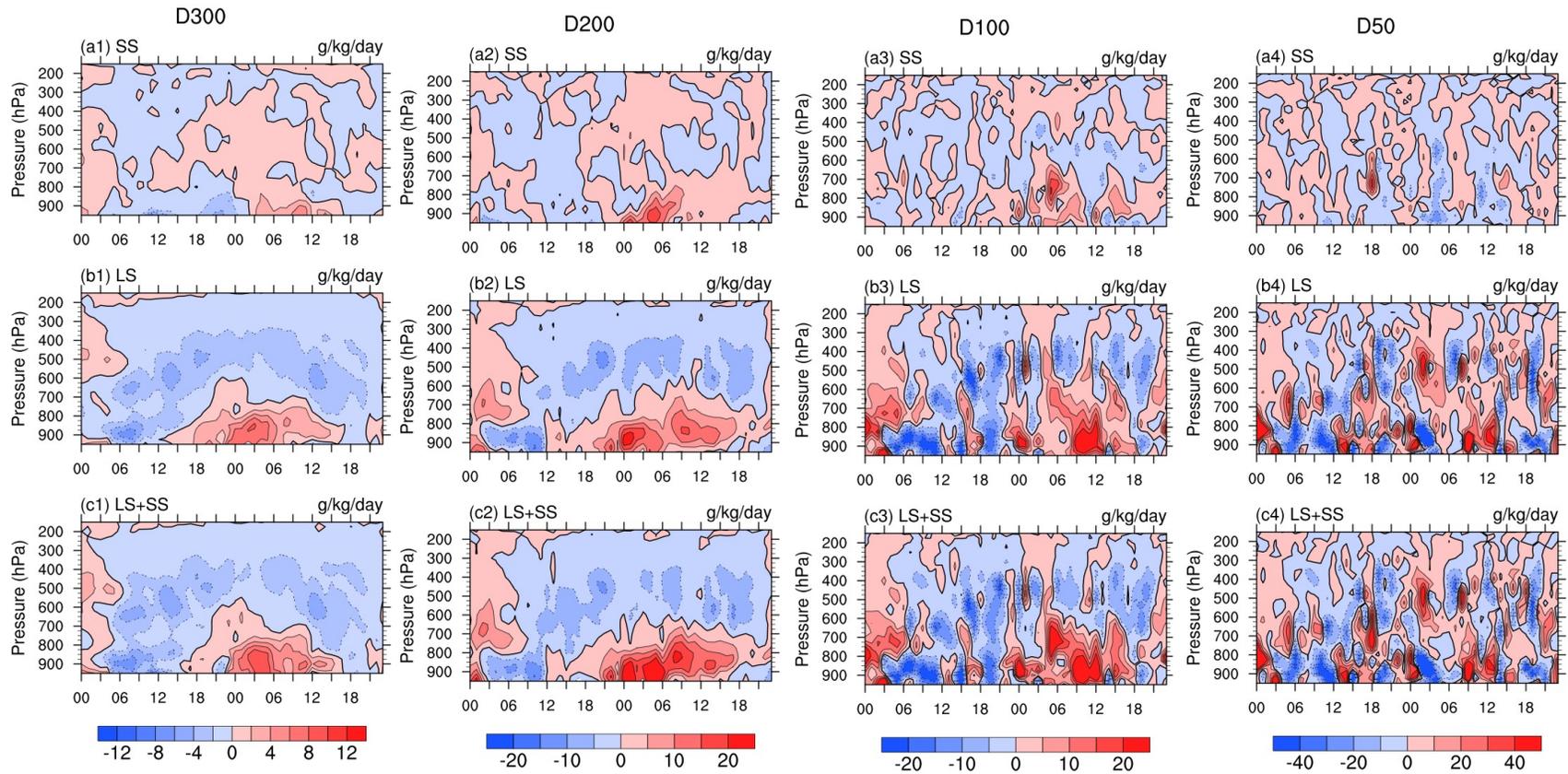


Figure 4. Subgrid-scale dynamic components for the moisture fields ($\overline{\nabla \cdot V'q'}$ in Eq. (2)) for domains D300, D200, D100, and D50

(a1-a4). Given are also the grid-scale horizontal advection ($-\overline{V \cdot \nabla q}$ in Eq. (4), b1-b4), and their sum (c1-c4). The label SS denotes

subgrid-scale, and LS denotes large-scale (or grid-scale). Note the change in color scale with grid size and that the x-axis is UTC time starting at 00 UTC 13 June. .

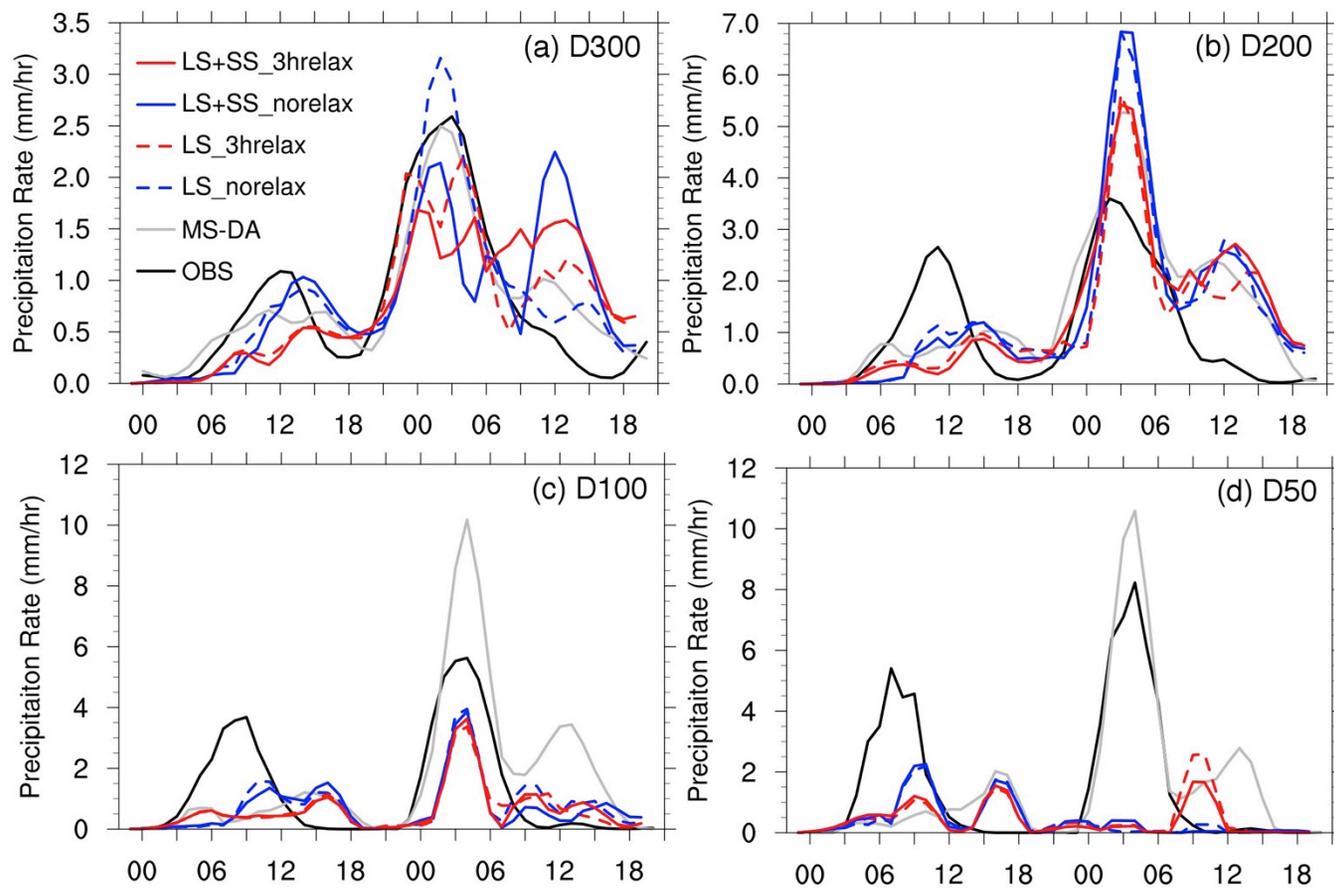


Figure 5. SCAM5-simulated precipitation rates for (a) D300, (b) D200, (c) D100, and (d) D50. There are four experiments for each grid scale: large/grid-scale forcing components without a relaxation (LS_norelax, dashed blue), large/grid-scale forcing components with 3-hr relaxation (LS_3hrelax, dashed red), large/grid- plus subgrid-scale dynamic forcing components without a relaxation (LS+SS_norelax, solid blue), and large/grid- plus subgrid-scale dynamic forcing components with 3-hr relaxation (LS+SS_3hrelax,

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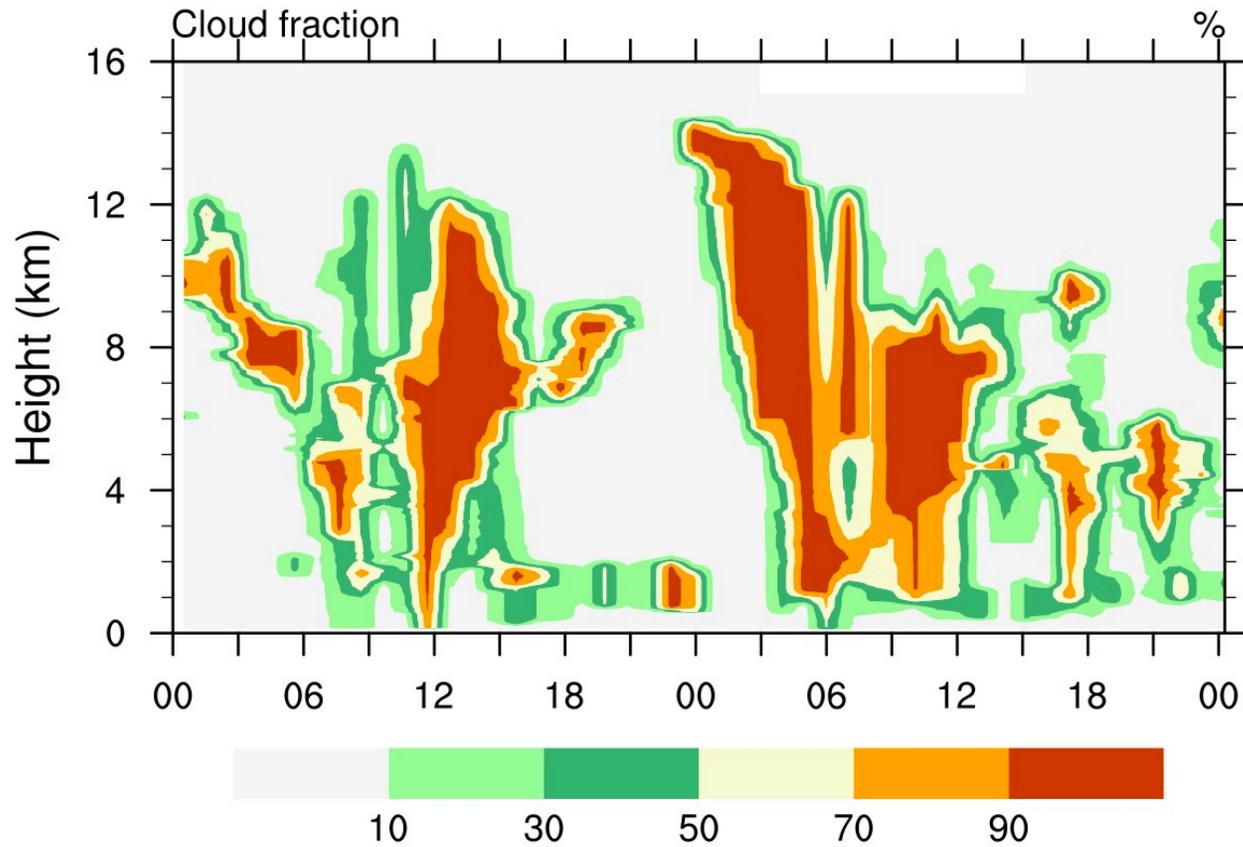


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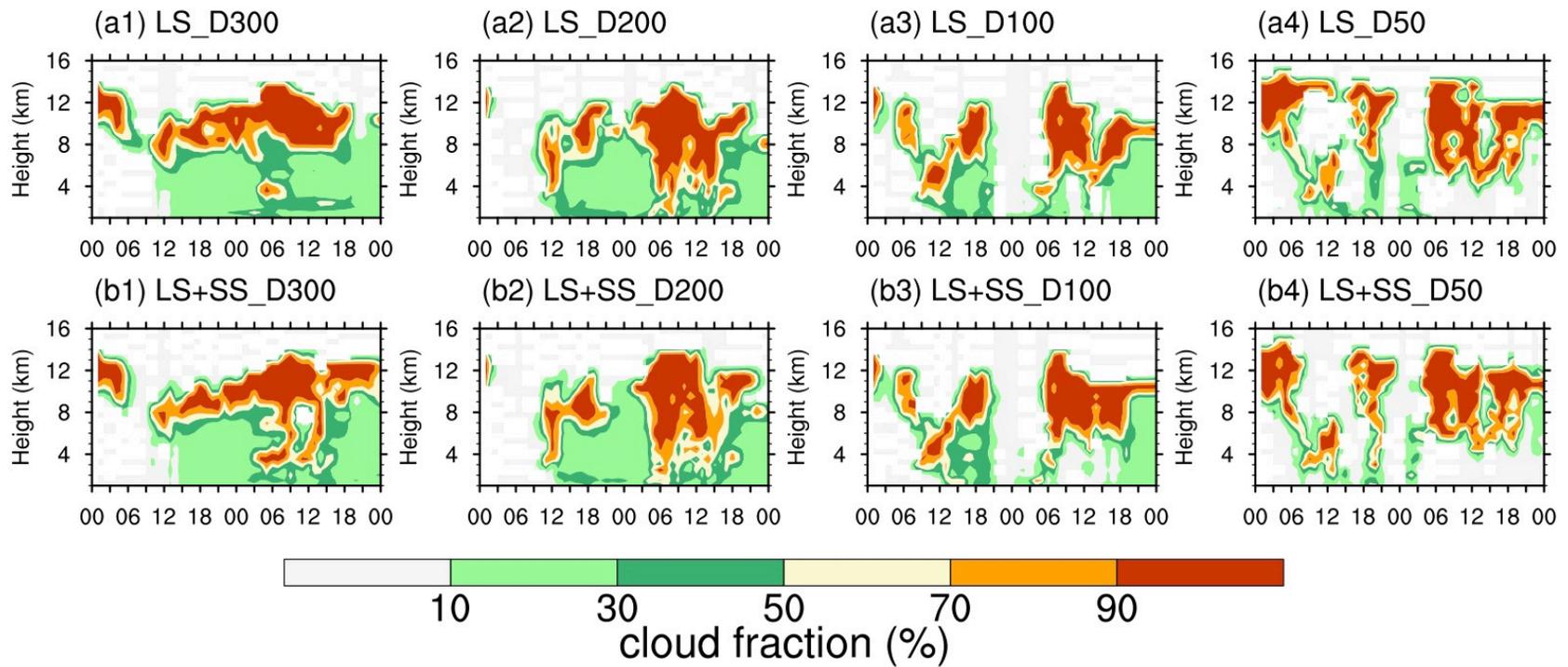


Figure 7. SCAM5-simulated cloud fraction for D300 (a1 and b1), D200 (a2 and b2), D100 (a3 and b3), and D50 (a4 and b4). The simulations in the upper row (a1-a4) are driven with the large/grid-scale forcing, and simulations in the the lower row (b1-b4) are driven with the large/grid- plus sub-scale dynamic forcing components. No relaxation was applied. Note that the x-axis is UTC time starting at 00 UTC 13 June.

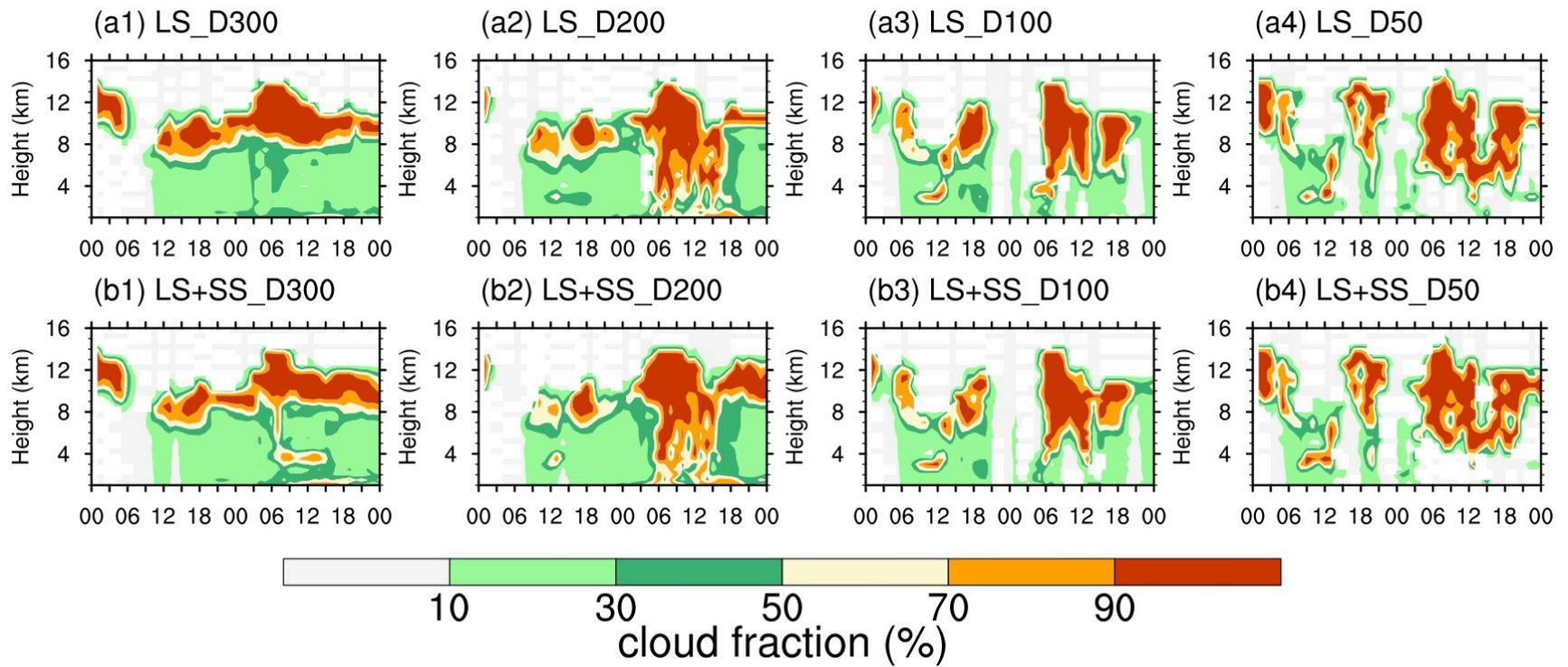


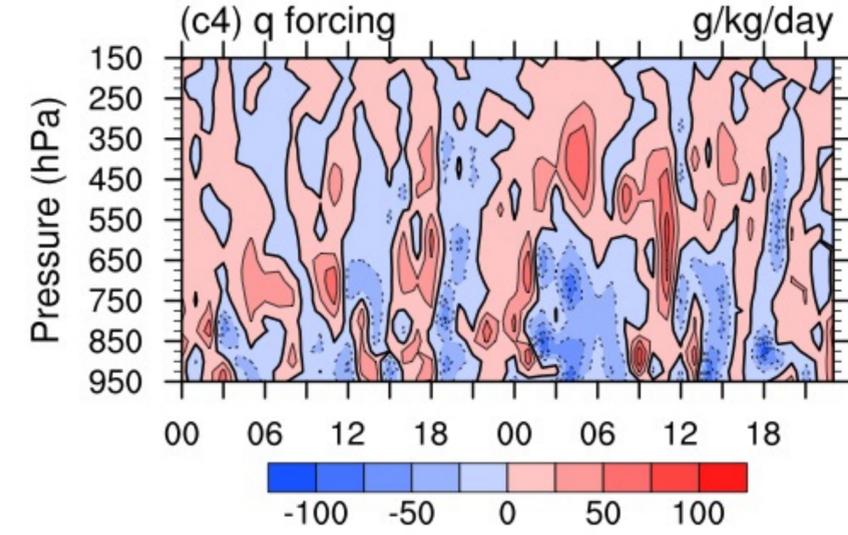
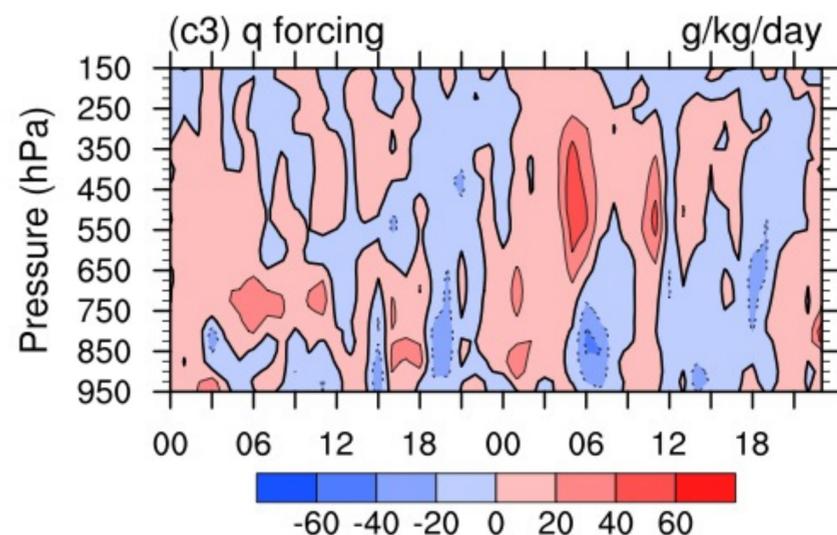
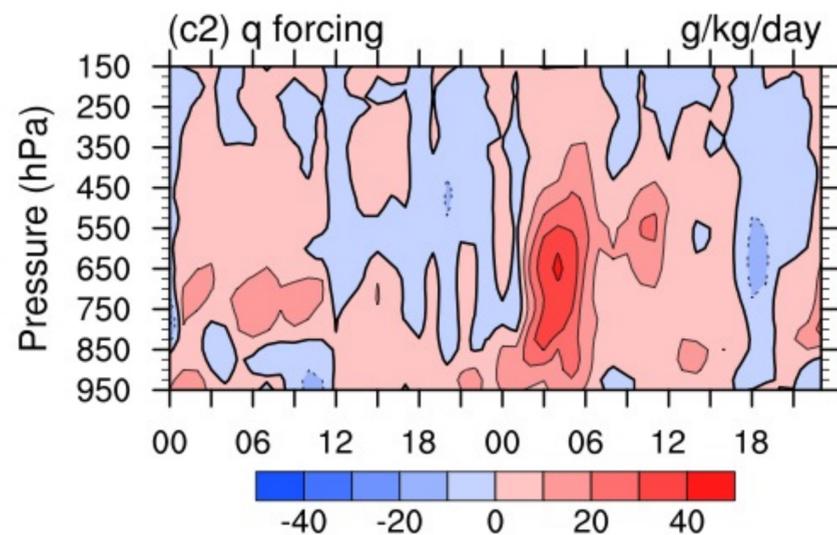
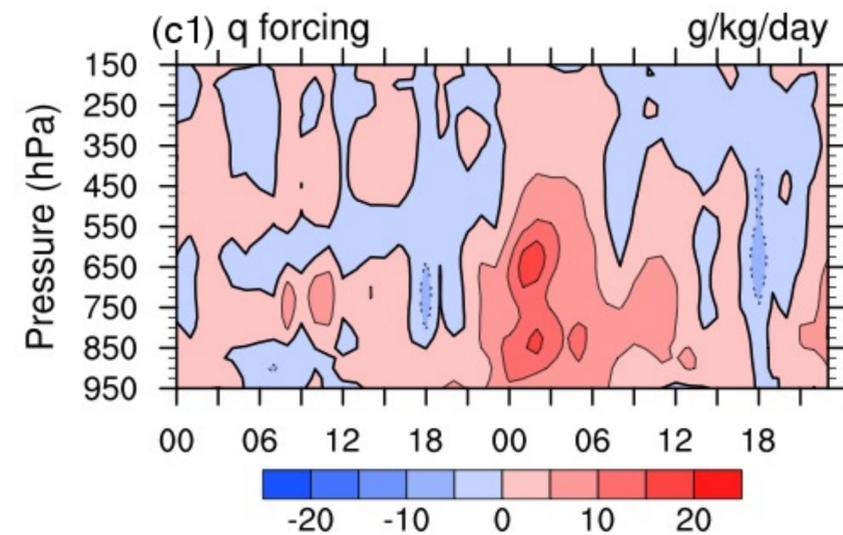
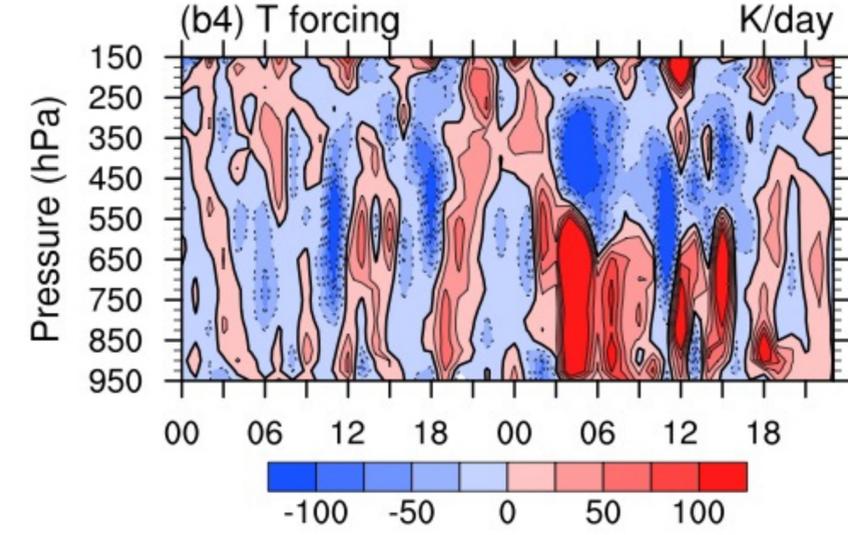
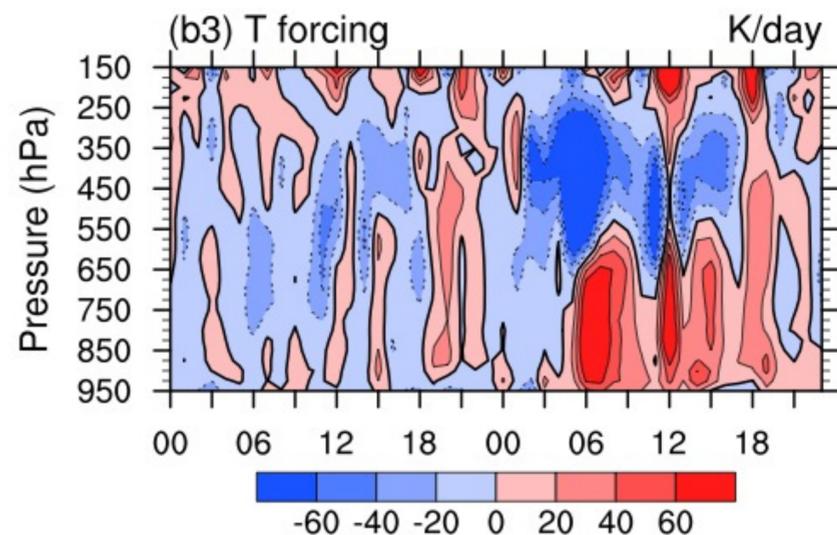
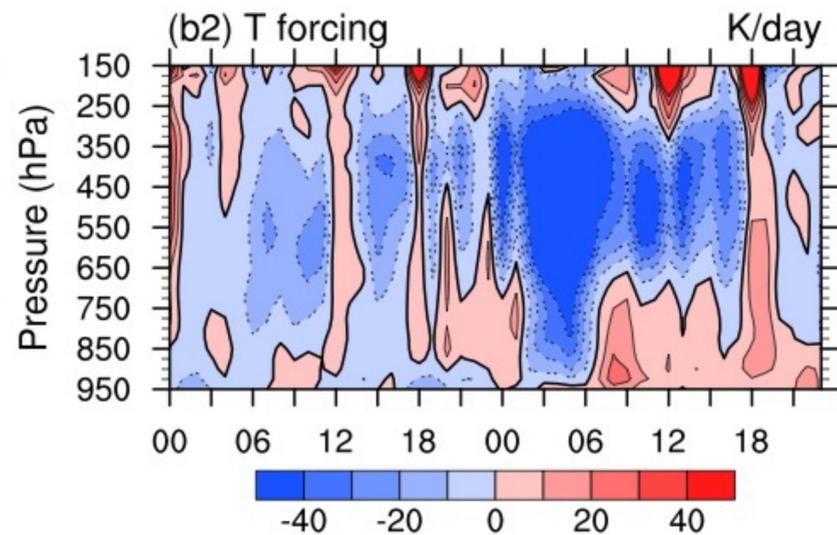
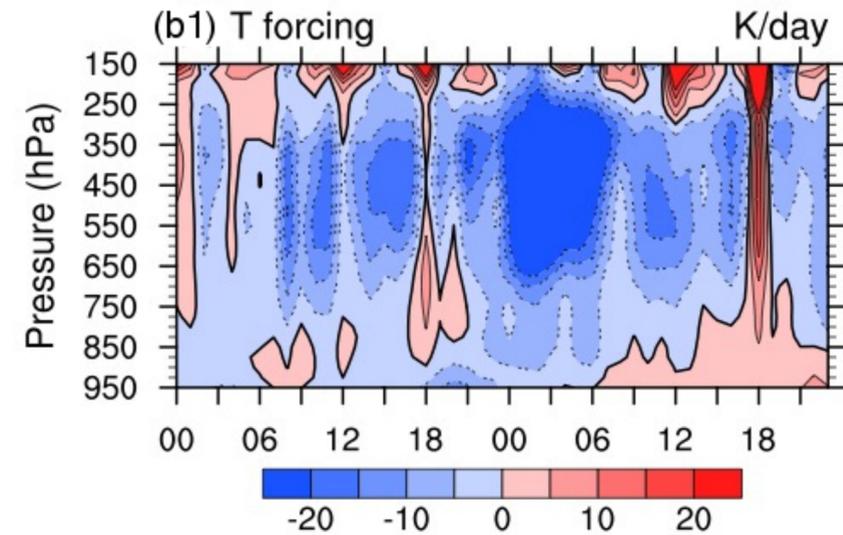
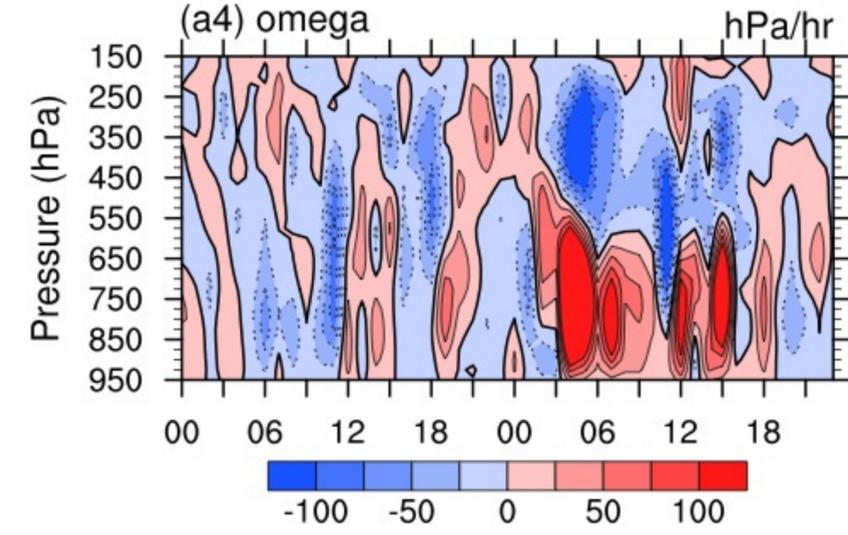
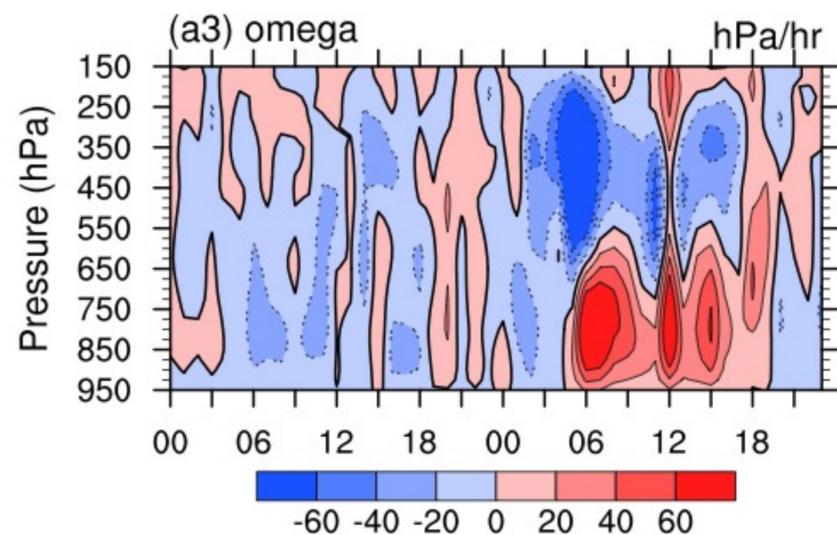
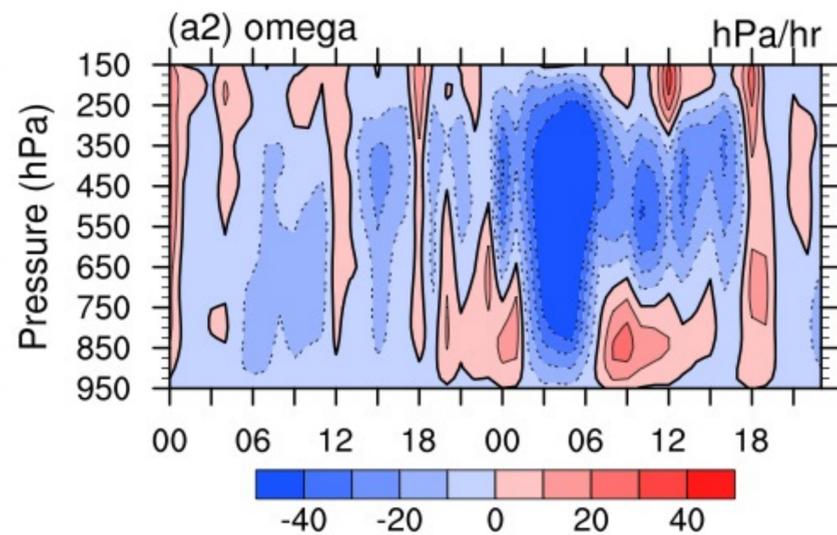
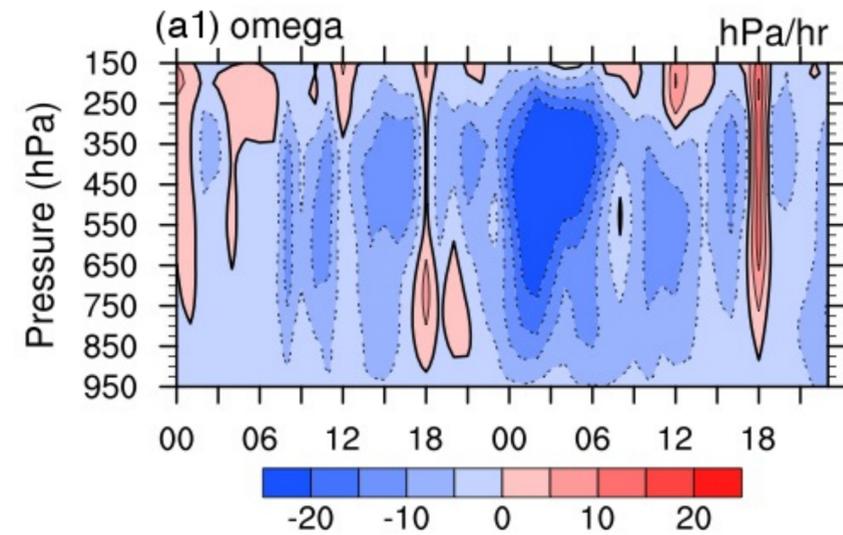
Figure 8. Same as Figure 7 but with 3-hr relaxation applied. Note that the x-axis is UTC time starting at 00 UTC 13 June.

D300

D200

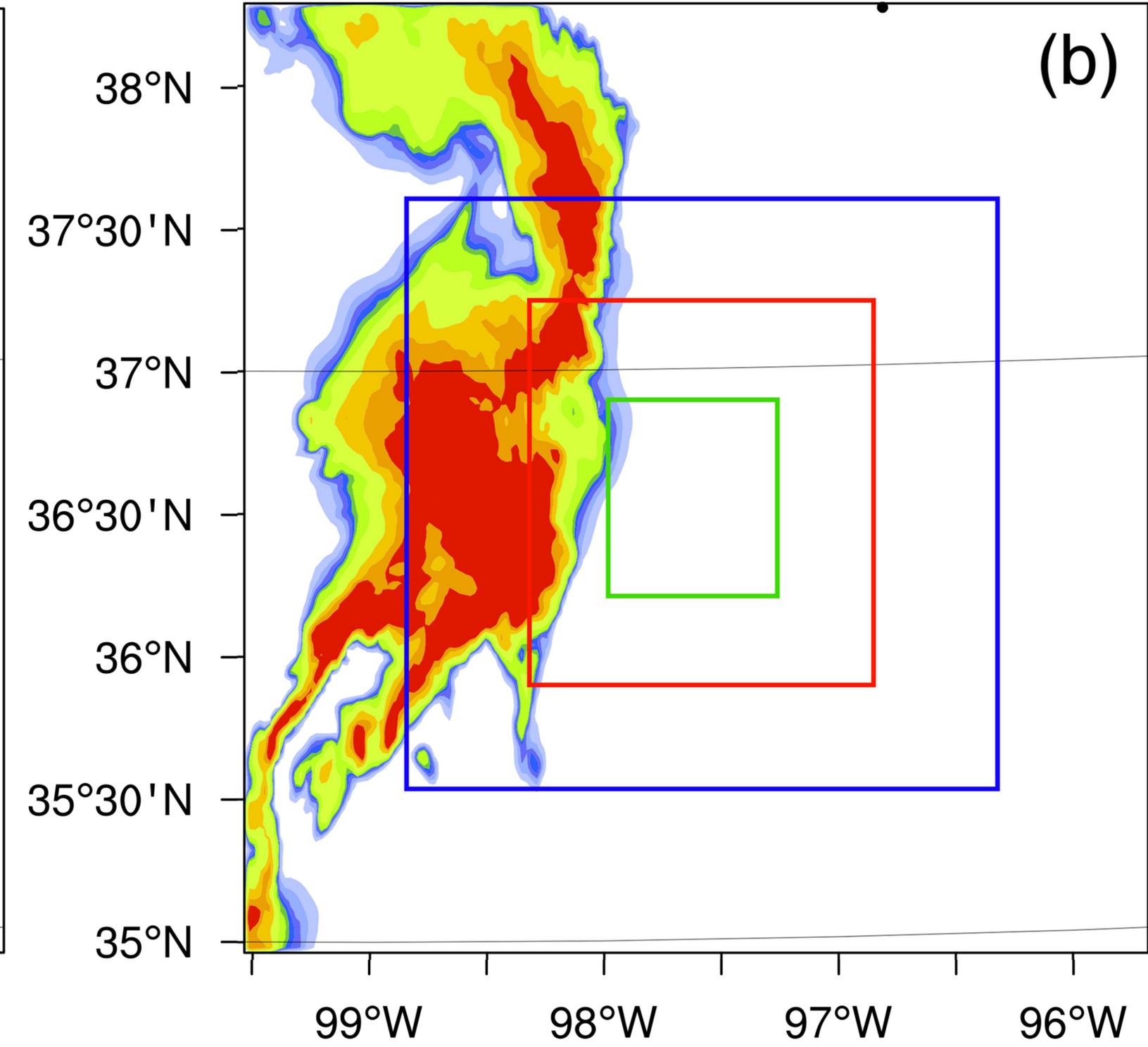
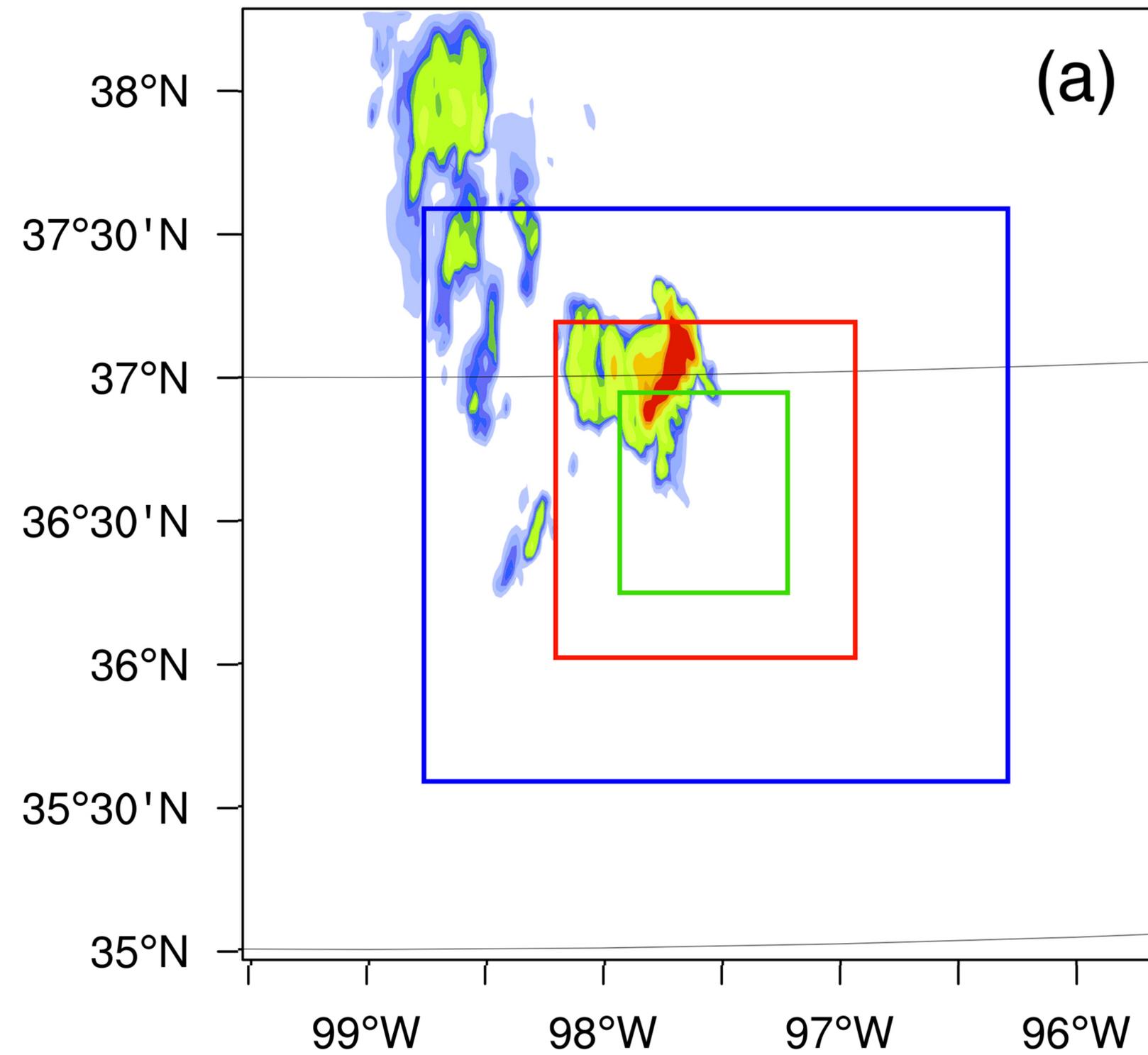
D100

D50



08 UTC 13 Jun

06 UTC 14 Jun



Precipitation Tendency (mm/hr)



.5 1 1.5 2 2.5 3 5 10 15 20

D300

D200

D100

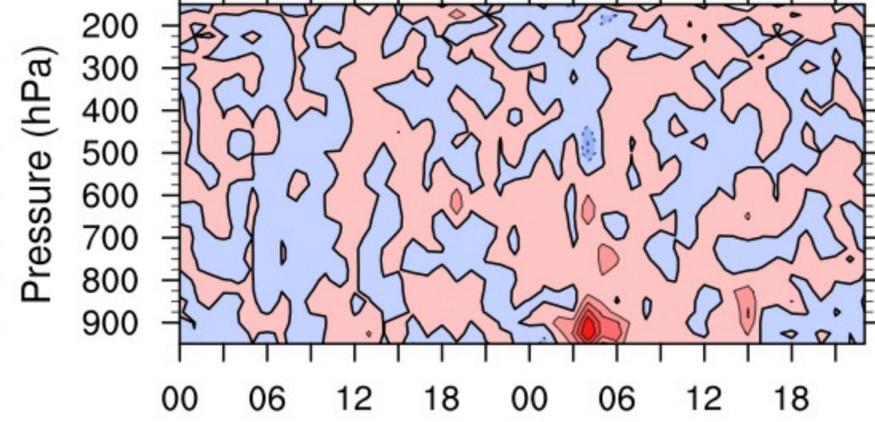
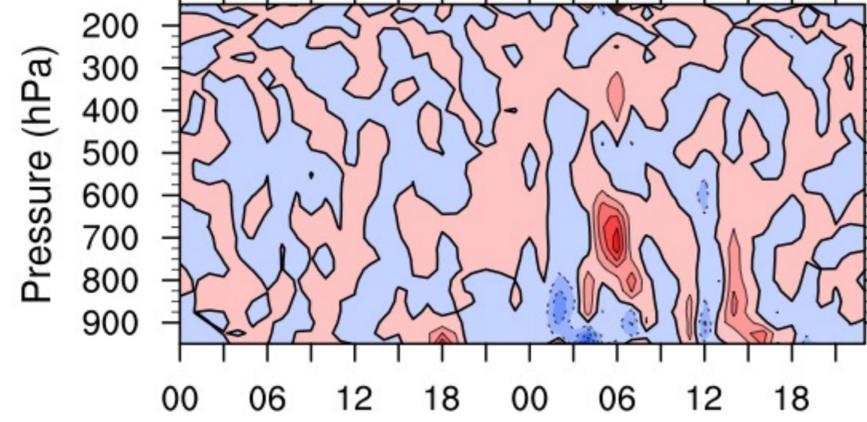
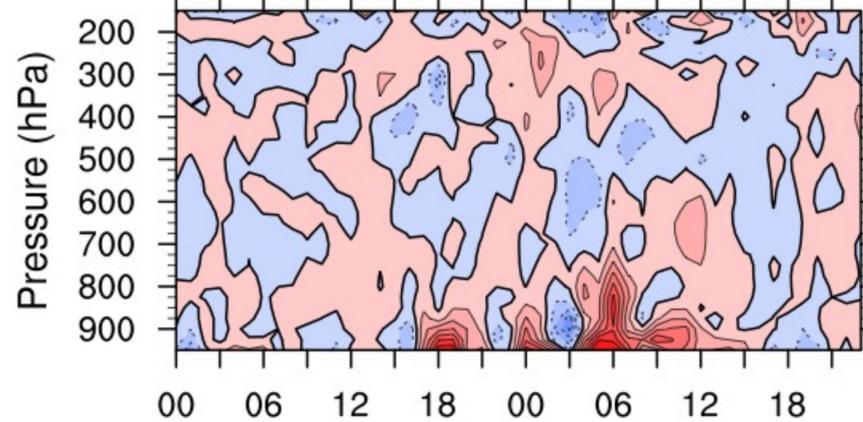
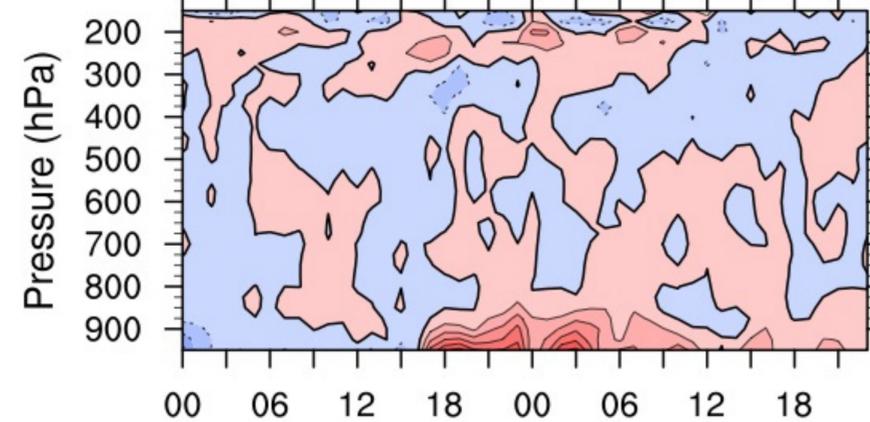
D50

(a1) SS K/day

(a2) SS K/day

(a3) SS K/day

(a4) SS K/day

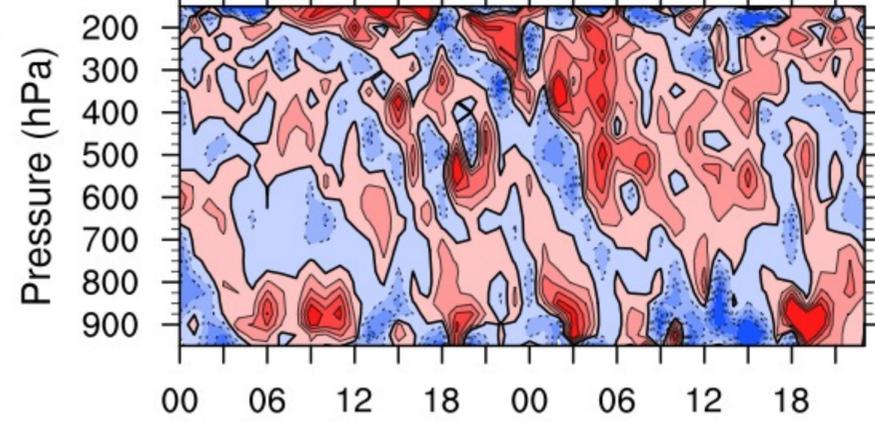
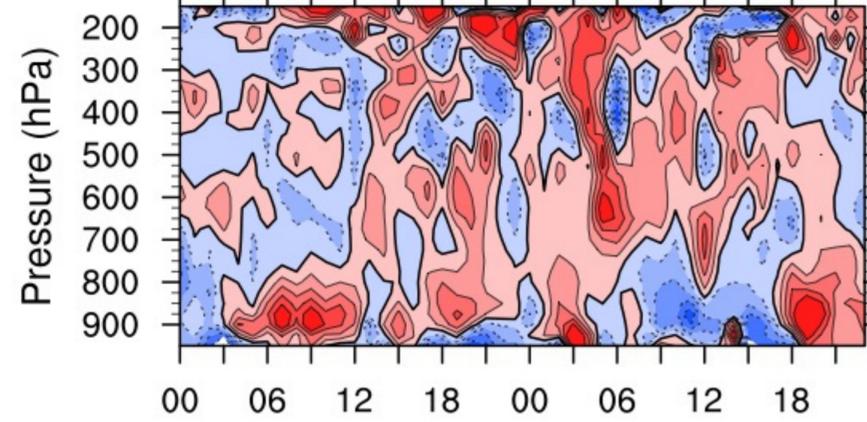
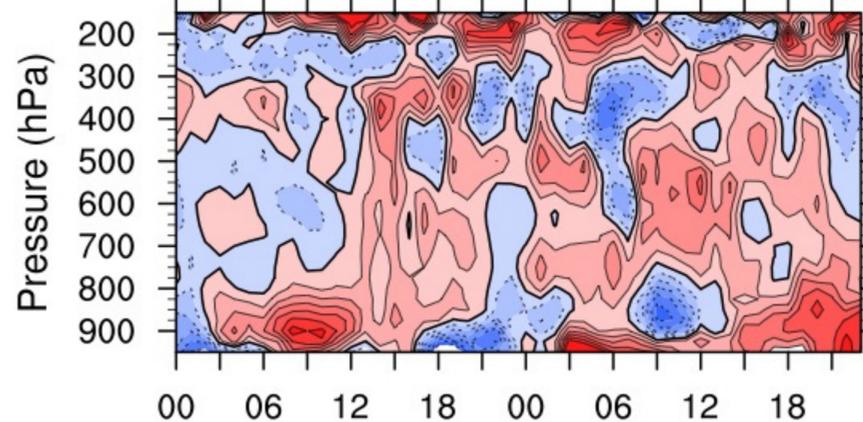
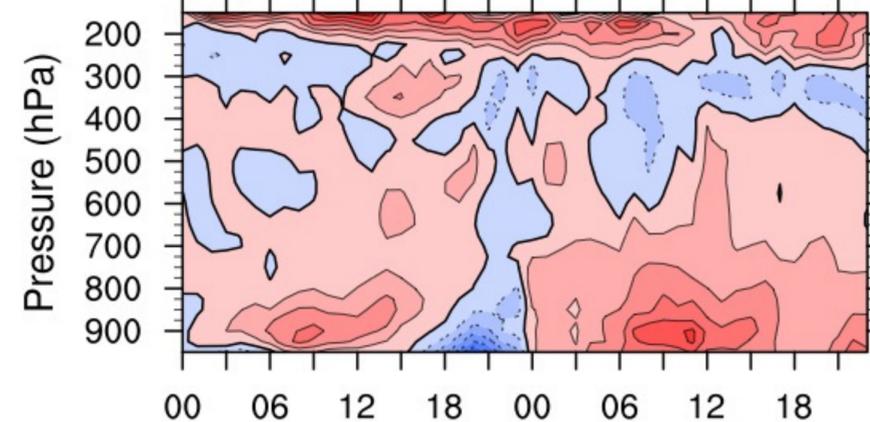


(b1) LS K/day

(b2) LS K/day

(b3) LS K/day

(b4) LS K/day

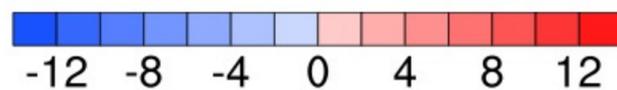
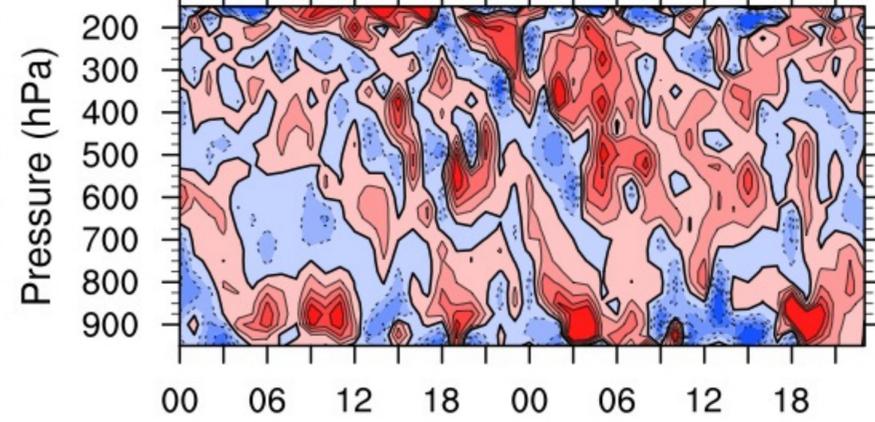
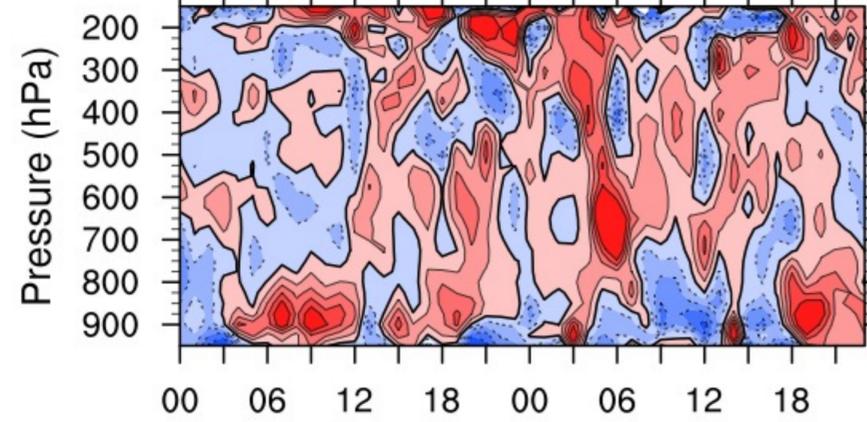
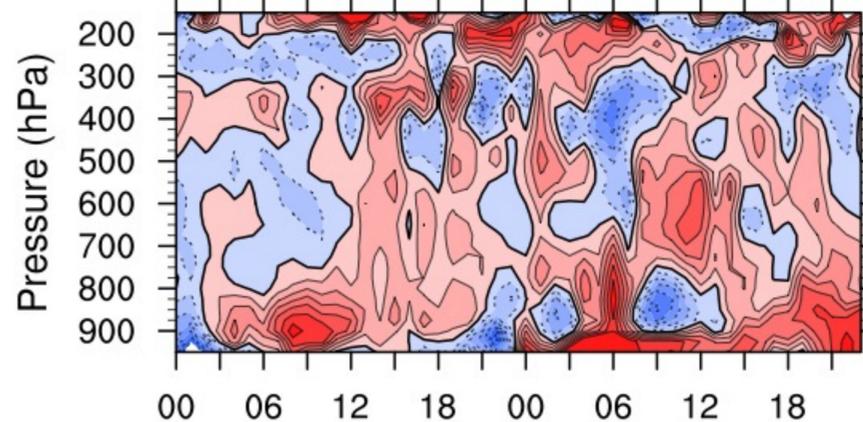
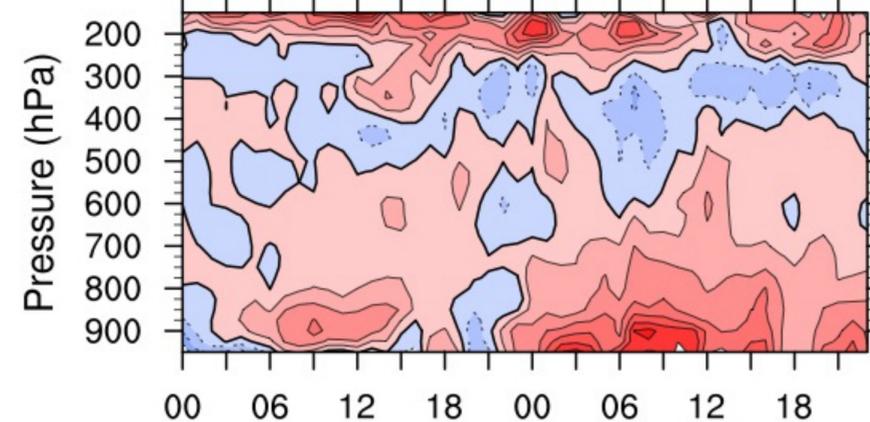


(c1) LS+SS K/day

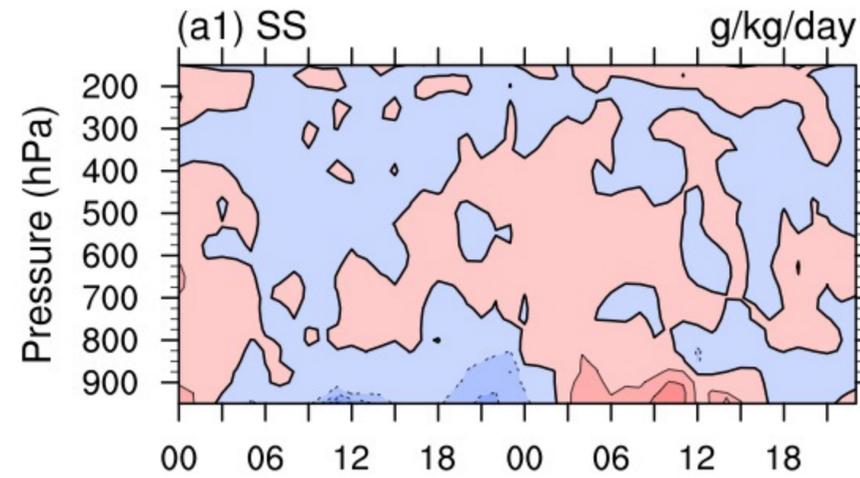
(c2) LS+SS K/day

(c3) LS+SS K/day

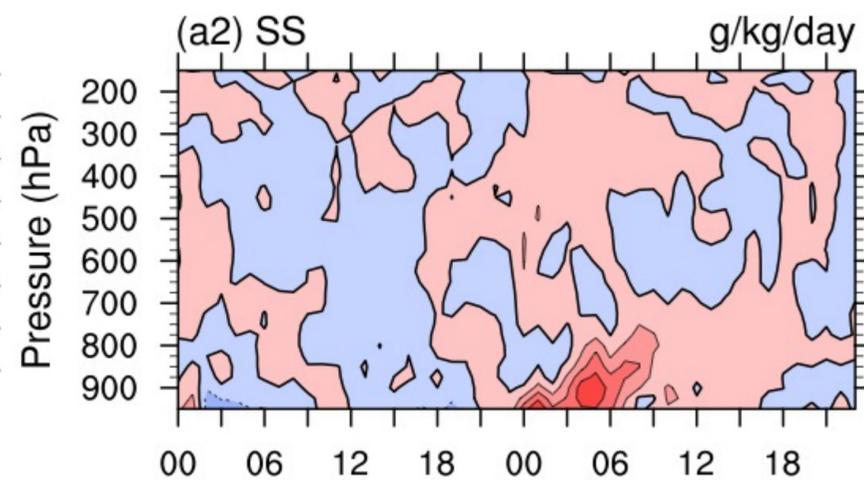
(c4) LS+SS K/day



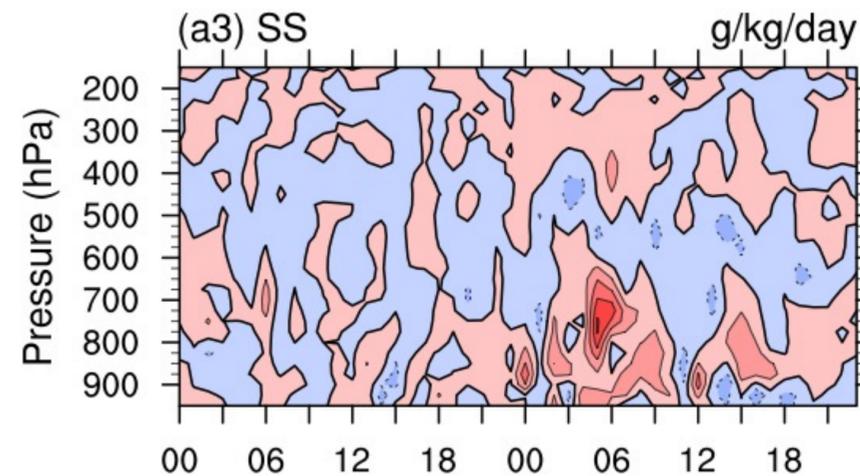
D300



D200



D100



D50

