

# Metrology of X-ray Optics for Brightness Preservation and Nano-Focusing

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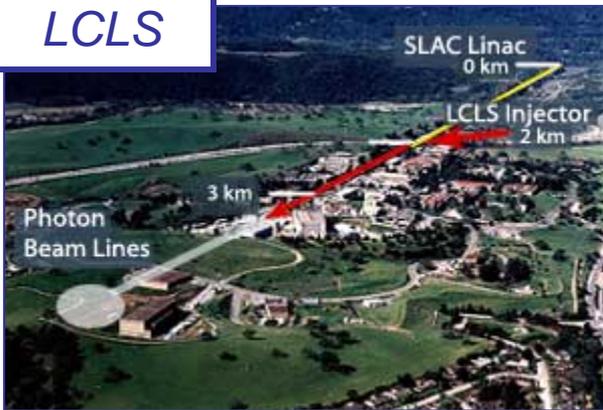


*Brookhaven, July 18, 2007*



# New Sources require a **new generation of optics** and metrology to harness high brightness

## LCLS



*New state-of-the-art X-ray facilities are designed to deliver world leading brightness, flux, spectral, spatial, and temporal resolution*

**Coherent, short pulses of x-ray light sources require diffraction limited optics.**

Specification of figure error of diffraction-limited X-ray mirrors for LCLS (a Strehl ratio of 80%)

*~ 0.1  $\mu$ rad (rms)*

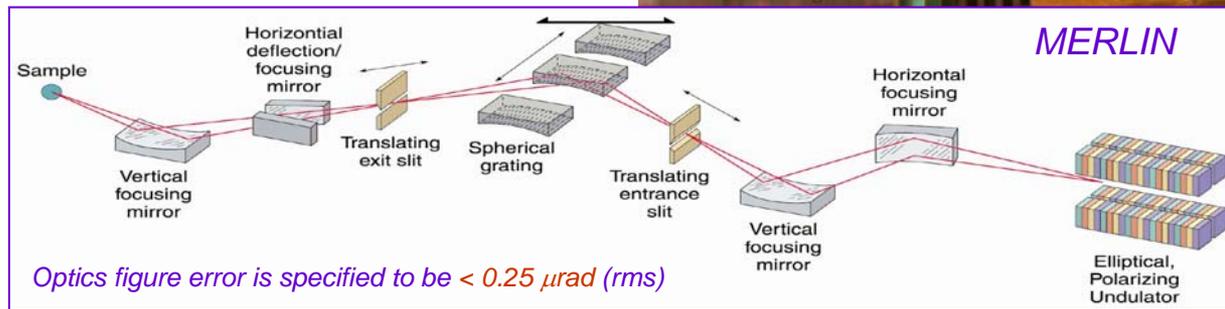
## Low emittance top-off ALS



## APS and upgrade



**Mirrors with slope error ~ 0.2-0.3  $\mu$ rad are available right now, e.g. from InSync Inc.**



RMS mirror figure error [ $\mu$ rad]	0.0	0.1	0.5	1.0	2.0
Vertical focus size, FWHM ( $\mu$ m)	1.7 / 4.0	2.9 / 6.9	11.9 / 28.5	23.6 / 56.5	47.0 / 112.9
Horizontal focus size, FWHM ( $\mu$ m)	25.7 / 70.5	25.7 / 70.7	27.3 / 75.1	31.8 / 87.5	45.5 / 125.2
Monochromatic intensity at 12 keV (ph/sec/ $\mu$ m <sup>2</sup> )	5.5x10 <sup>12</sup> / 8.5x10 <sup>11</sup>	3.3x10 <sup>12</sup> / 5.0x10 <sup>11</sup>	7.5x10 <sup>11</sup> / 1.2x10 <sup>11</sup>	3.3x10 <sup>11</sup> / 5.0x10 <sup>10</sup>	1.1x10 <sup>11</sup> / 1.6x10 <sup>10</sup>

## NSLS-II



from NSLS-II Proposal

**Table 4.1.3** Expected "real-world" performance of an NSLS-II X-ray crystallography/scattering beamline (including mirror figure error and transmission losses for the monochromator, mirrors, and beamline windows) for different values of mirror figure error. The expected performance in both high and low demagnification modes of operation of the KB assembly is shown as high/low values.

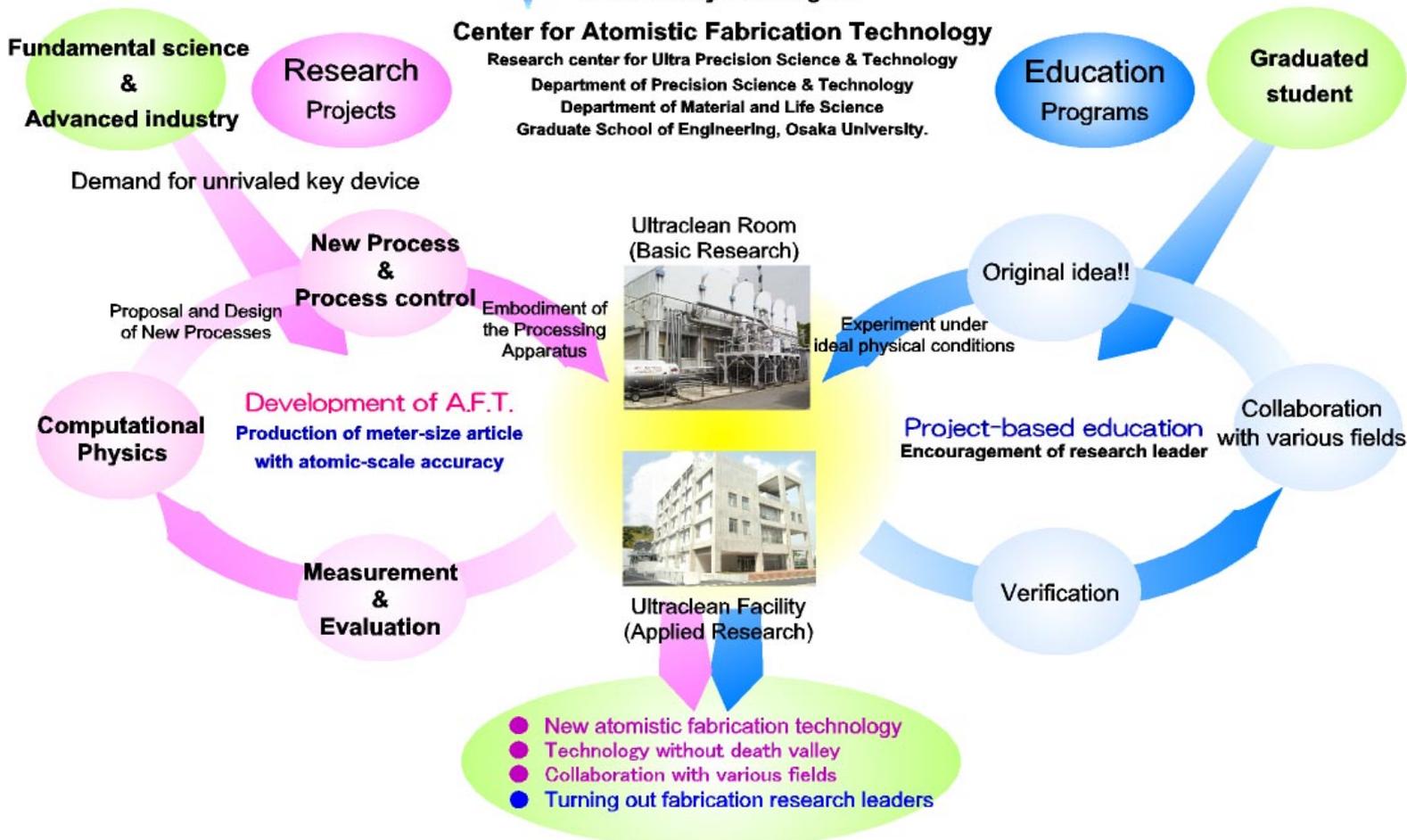
# Japan *has invested in* integration of advanced metrology and optics



21st Century COE Program

## Center for Atomistic Fabrication Technology

Research center for Ultra Precision Science & Technology  
 Department of Precision Science & Technology  
 Department of Material and Life Science  
 Graduate School of Engineering, Osaka University.



-21st Century Center of Excellence Program at Osaka University is supported by the Ministry of Education, Culture, Sports, Science and Technology

- "Center for Atomistic Fabrication Technology" started in 2003

- combines ultra-precision metrology with ultra-precision manufacture; *metrology is the key*

# Japan is now leading the world in integration of advanced metrology and optics

## Focusing System for Synchrotron Radiation

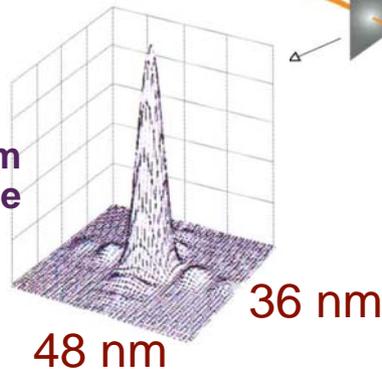
Hard-x-ray diffraction-limited nanofocusing

From light source

Kirkpatrick-Baez mirror pair

focal plane

beam profile



SPring-8  
15 keV  
Osaka Univ.

- Surfaces measured and manufactured to **nm accuracy** over 100 mm;
- Best focus achieved is **~30 nm at 15 keV**: very close to diffraction limit;
- Technology now available to Japanese FEL and SR light sources.

**Metrology and fabrication technology also feeds:**

- EUV optics (for lithography)
- Ground and satellite-based telescopes
- Ultra-low-scatter mirror for high-power lasers

**Ultra-precision optics are seen as an important technology base for the Japanese economy in the 21st century**



# High precision X-ray optical metrology in Europe

**No equivalent X-ray optics effort in U.S.A.**

## **BESSY (Germany)**

Nanometer-Optical component measuring Machine (NOM) for **0.05  $\mu\text{rad}$**  accuracy metrology

## **PTB (Germany)**

Extended Shear Angle Difference (ESAD) instrument with  **$\sim 0.1 \mu\text{rad}$**  accuracy

## **ESRF (France)**

Development of metrology using x-rays developed to **20 nrad** (Hartman methods)

## **PSI (SLS)**

Development of SXR shearing interferometry, **< 0.1  $\mu\text{rad}$**

## **CARL ZEISS**

- Local polishing with a computer controlled polishing robotic arm

- Local ion beam figuring

- Direct figuring of complex shapes by computer-controlled polishing



- Very large investment made by major institutions in metrology and optics

**Note that center for manufacture of EUV optics now moved to Europe**

- Next evolution in Europe is to be the formation of an EU optics network between all the major institutions

**COST P7 collaboration**

- Investment in optics seen as key technology for ultra-bright light sources and as a technology base for industry

**BESSY NOM profiler cost  $\sim \$5\text{M} + 5 \text{ people} \times 3 \text{ years}$**

# Needs for precision metrology X-ray optics

**Not only Optical Metrology has to be developed**

Optical Metrology is good for

- surface figure and finish investigation
  - to cross-check with vendor's optical metrology
  - to provide the data for *local correcting re-polishing*;
  - to provide the input data for *beamline performance evaluation of x-ray optics*
- setting, alignment, adjustment of x-ray optics

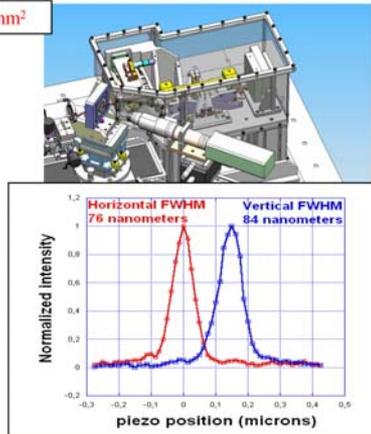
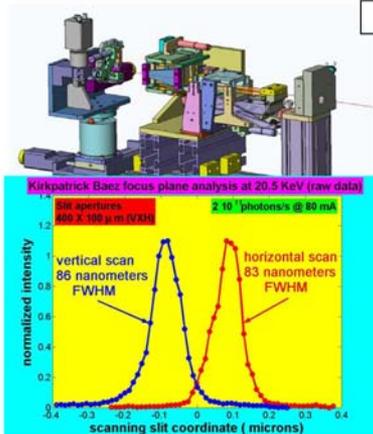


## Operating KB systems

ID19: low  $\beta$  @ 150 m, E = 15...24 keV  
86\*83 nm<sup>2</sup>: 2\*10<sup>11</sup>ph/s @ 80mA

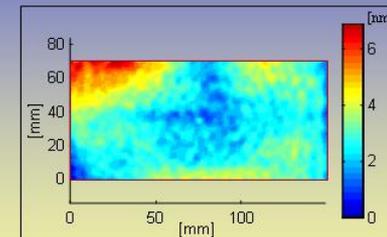
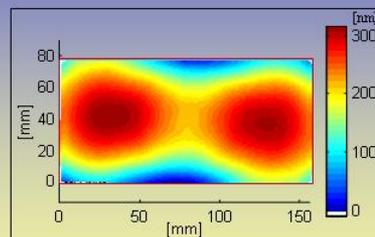
ID22: high  $\beta$  @ 60 m, slit source, E = 17 keV  
76\*84 nm<sup>2</sup>: 10<sup>9</sup>ph/s @ 200mA  
150\*100 nm<sup>2</sup>: 10<sup>12</sup>ph/s @ 200mA

3\*10<sup>12</sup>ph/s/mA/nm<sup>2</sup>



## 900 PICOMETER FORMGENAUIGKEIT

IBF EINES RECHTECK-SPHÄREN-ZERODUR-SPIEGELS  
EXTREM HOHER FORMGENAUIGKEIT: <math>\le 1 / 600 \text{ RMS}</math>



PV = 315,5 nm  
RMS = 69,1 nm



PV = 6,9 nm  
RMS = 900 pm

OPTICAL FABRICATION AND TESTING WORKSHOP 2004

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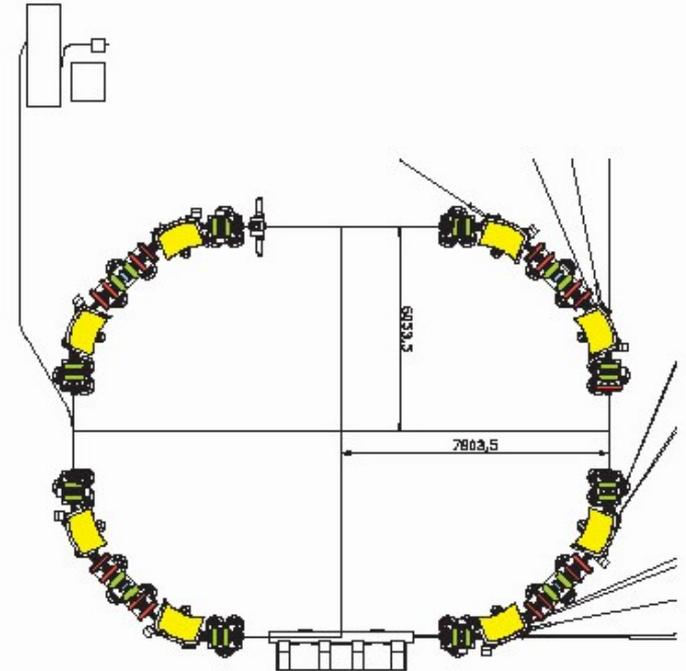
Leibniz-Institut für  
Surface-Modification e.V.  
Leibniz Association



EUV and x-ray metrology is good for

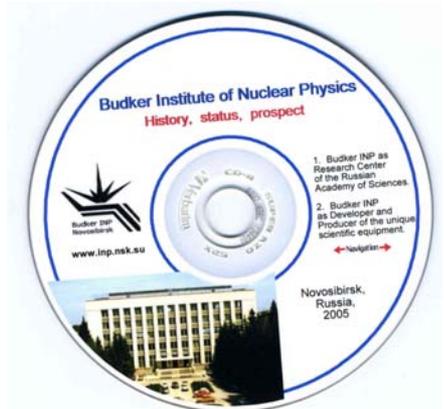
- investigation quality of multilayer x-ray optics, gratings, Fresnel zone plate optics
  - to provide the input data for *beamline performance evaluation of x-ray optics*
- setting, alignment, adjustment of x-ray optics *on the beamline*

# PTB Metrology Light Source



*Low-energy (600 MeV) electron storage ring, named 'Metrology Light source' (MLS)*

- It is dedicated to **metrology** and technological development in the UV and VUV spectral range
- User operation of the MLS is scheduled to begin in **2008**.
- Almost all electron optical devices were designed and manufactured at the **Budker Nuclear Physics Institute (Novosibirsk, Russia)**.





# ***Direction of high precision optical metrology in US***

## ***Concentrate resources in a national center***

**Do not design unique metrology instruments at each facility**

*Perform a systematic R&D investigation of factors limiting performance of instruments for X-ray optical metrology*

**Do not use unique test and calibration procedures at each facility**

*Develop experimental methods and national standards for test and calibration of metrology instrumentation*

**Do not focus on optical instrumentation alone**

*Develop theoretical approaches for evaluation of beam-line performance of X-ray optics based on metrology data*

**Do not concentrate solely on the immediate goals of a local facility**

*Collaborate to use national and world-wide resources and experience*

**Catalyze R&D with industry in the manufacture and correction of optics**

*Close the loop with manufacturers to develop what we need using agreed measurement methodologies*

**DOE has to take national leadership, direct development of the core instrumentation and organize a national center for x-ray metrology and advanced manufacture**

***Osaka in Japan; BESSY/PTB in Europe; DOE Labs in the USA***



# Metrology development at LBNL to meet US needs

**base established; enhancement needed**

modified the LTP-II to the accuracy of  $\sim 0.3 \mu\text{rad}$  (rms);  
systematic investigation of LTP performance to reach  $0.1 \mu\text{rad}$   
measurements required by brightness preserving optics for  
ALS, LCLS, NSLS-II...

standards based on a Binary Pseudorandom Grating for  
precise calibration of interferometric microscopes and  
Universal Test Mirror for LTP/NOM calibration

developed theory of X-ray scattering by rough surfaces for  
evaluation of the beam-line performance

developed power spectral density analysis of metrology data  
in order to analyze figure and finish simultaneously from  
advanced manufacturing

collaborating with vendors (InSync) in order to advance US  
manufacturing technology, new materials, new bonding  
techniques

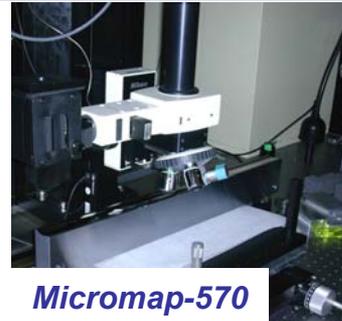
collaborating with metrology teams at BNL, BESSY, PTB, CLS,  
ESRF, ELETTRA and organizing the Virtual Optical Metrology  
Laboratory (VOML) to share the developed techniques,  
methods, designs, and results

Super high resolution gratings for soft x-rays

LBNL LDRD-2008 project pending:  
**HIGH-PERFORMANCE METROLOGY OF X-RAY OPTICS**

Needed for upgraded ALS, LCLS, NSLS-II, but is a very heavy load for ALS budget.

**Program needs to be expanded to meet expected needs.**

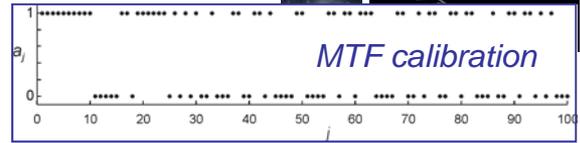


Micromap-570

Software for  
beam-line  
performance  
evaluation

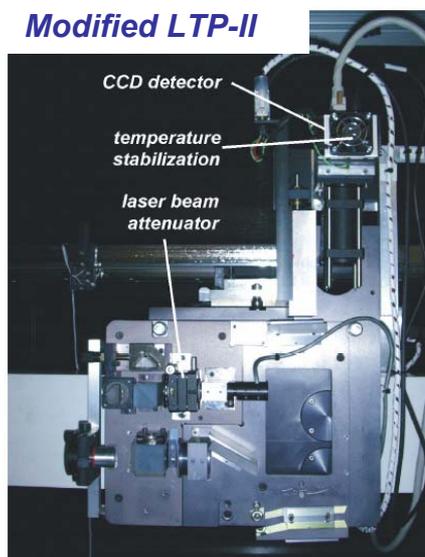
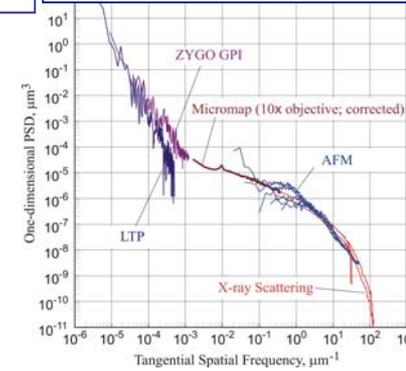


ZYGO GPI



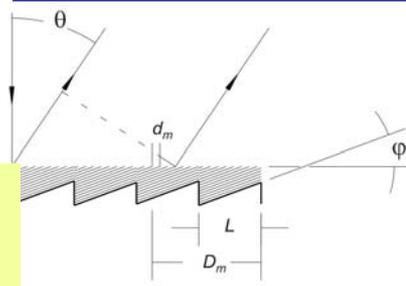
MTF calibration

Characterization of X-ray  
optics with 2D PSD



Modified LTP-II

Super dense grating





# SUMMARY

- 1. New X-ray Sources require a new generation of optics and metrology to harness high brightness and coherence:**
  - $\leq 100$  nrad slope error:** beyond the limits of available metrology;  
**“If you can’t measure it, you can’t make it.”**
- 2. Dedicated in situ and ex situ metrology and adjustment techniques have to be developed:**
  - ‘traditional’ optical metrology methods: LTP, interferometers, interferometric microscopes
  - Hartmann wavefront sensing, shearing interferometry ... at x-ray and optical wavelengths;
  - methods that can be replicated and incorporated into various beam line designs;
  - theoretical methods and metrology data analysis for beam-line performance evaluation;
  - techniques for testing super high resolution diffractive optics and dedicated beam lines.
- 3. Ultra-precision optics and dedicated metrology are seen to be an important technology base for economy development in the 21st century;**
  - help and stimulate industry to develop new technologies for fabrication of x-ray optics.
- 4. The complexity and importance of this task demands centralization of efforts in a national center.**
- 5. DOE has to take national leadership, direct development of the core instrumentation and organize a national center for x-ray metrology and advanced manufacture.**



**DOE Center(s) for X-ray Metrology**

Welcome to discuss on Metrology Workshop at the ALS User Meeting, October 2007

# THANKS!



*BERKELEY, CALIFORNIA*



**OPTICAL METROLOGY LABORATORY  
EXPERIMENTAL SYSTEMS GROUP  
ADVANCED LIGHT SOURCE  
LAWRENCE BERKELEY NATIONAL LABORATORY**

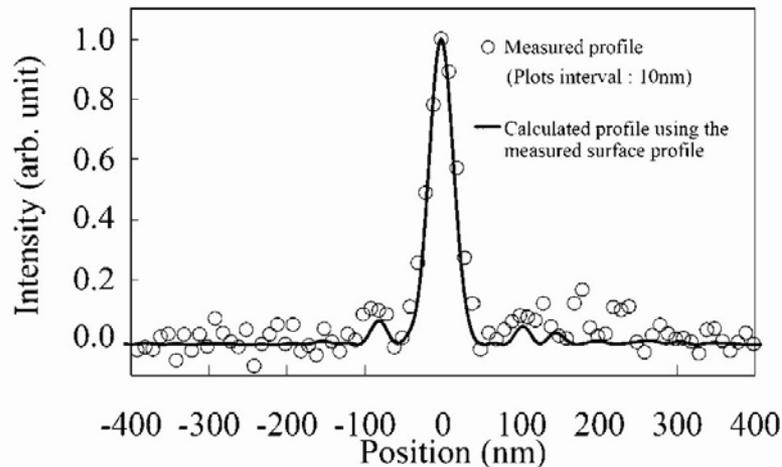
[vvyashchuk@lbl.gov](mailto:vvyashchuk@lbl.gov)

# Diffraction-limited x-ray focusing at Spring-8/RIKEN (Japan)

- The incident beam is almost perfectly coherent (coherent length,  $W$ , greater than mirror size,  $L$ )
- Diffraction-limited focal spot diameter:

$$D \approx \frac{2\lambda r'}{L \sin \theta} \leq 30 \text{ nm}; \quad \lambda \approx 0.8 \text{ \AA}.$$

- Deterministic Fresnel-Kirchhoff diffraction integral calculation of the intensity profile in focal plane
- based on metrology with stitching interferometry over spatial wavelength range from 0.1 mm to  $L$



Intensity profile measured under best focusing conditions at an x-ray energy of 15 keV

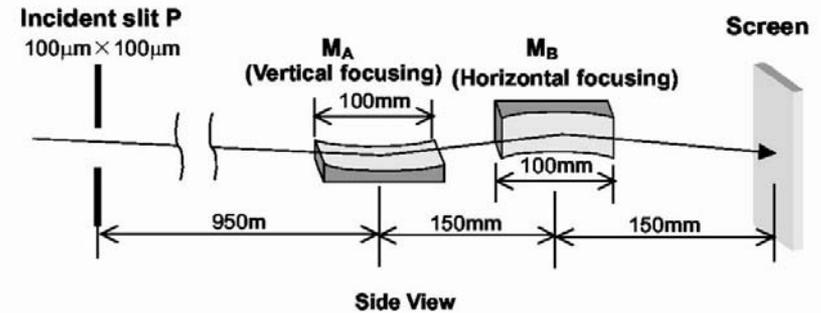


FIG. 1. Configuration of the optical system. The employed system is fitted to BL29XUL of Spring-8.

## Japanese collaboration project on fabrication and metrology of elliptically figured mirrors for focusing hard x-rays to size less than 30 nm

- Osaka University
- Research Center for Ultra-precision Science and Technology
- Spring-8/Japan Synchrotron Radiation Research Institute (JASRI)
- Spring-8/RIKEN

...The mirrors are fabricated with a computer-controlled fabrication system using plasma chemical vaporization machining and elastic emission machining, on the basis of surface profiles accurately measured by combining micro-stitching interferometry with relative angle determinable stitching interferometry...