

Electronic Health Records Based Prediction of Future Incidence of Alzheimer's Disease Using Machine Learning

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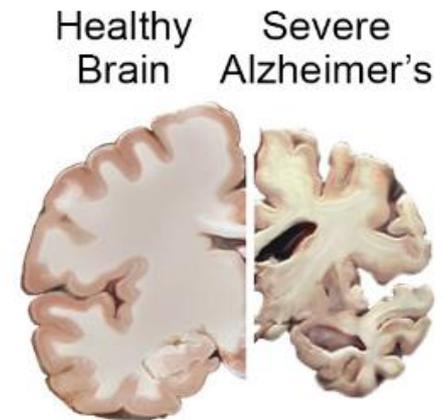
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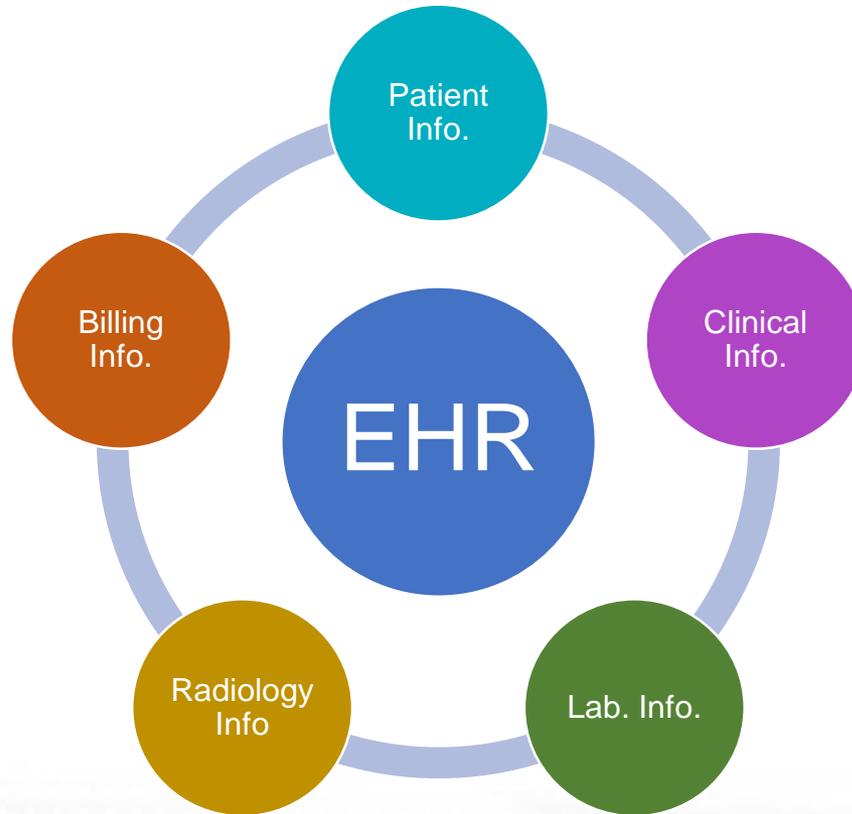
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Affordable EHR for Screening Alzheimer's disease (AD)

- Biomarkers - the collection of bio-specimen (e.g., serum or fluid) or imaging data
 - Time consuming
- Electronic health records (EHR)
 - not require additional time or effort for data collection
 - Increase the size of EHR data due to digitalization



Overview of EHR



A few predefined features

- In prior work, predefined features
 - sociodemographic (age, sex, education)
 - lifestyle (physical activity)
 - midlife health risk factors (systolic blood pressure, BMI and total cholesterol level)
 - cognitive profiles
- **Multi-factor** models best predict risk for dementia
- **Machine learning**

Machine learning on high-dimensional EHR

- Use a large nationally representative (South Korea) sample cohort
- Construct and validate data-driven **machine learning** models to predict future incidence of AD using the extensive measures collected within high-dimensional EHR
- Demonstrate the feasibility of developing accurate prediction models for AD

Korean EHR data

- Korean National Health Insurance Service - National Elderly cohort Database
- 6,435 features
- 430,133 individuals (> 65 yrs, 10% sample of randomly selected elderly individuals)
- 2002 – 2010, South Korea

High-dimensional Features

National Elderly cohort
Database (DB)

Health Screening (HS)
DB

21 Features: laboratory
values, health profiles,
history of family illness

Participant Insurance
Eligibility (PIE) DB

2 Features: sex, age

Healthcare Utilization
(HU) DB

6,412 features including
ICD-10 codes and
medication codes

Machine learning analysis

- Input: High-dimensional EHR data
- Methods
 - Random forest, support vector machine (SVM), logistic regression
- Task: Can machine learning be used to predict future incidence of Alzheimer's disease using electronic health records?

Definition of data

- Two criteria
 - (Korean) ICD-10 code:
 - Dementia in AD - F00, F00.0, F00.1, F00.2, F00.9
 - AD - G30, G30.0, G30.1, G30.8, G30.9
 - Dementia medication: e.g., donepezil, rivastigmine, galantamine, and memantine
- ***Definite AD: ICD-10 code + medication***
- ***Probable AD: only ICD-10***

Data range for n-year prediction

- AD group: between 2002 and the year of incident AD – n
- Non-AD group: 2002 to 2010 – n

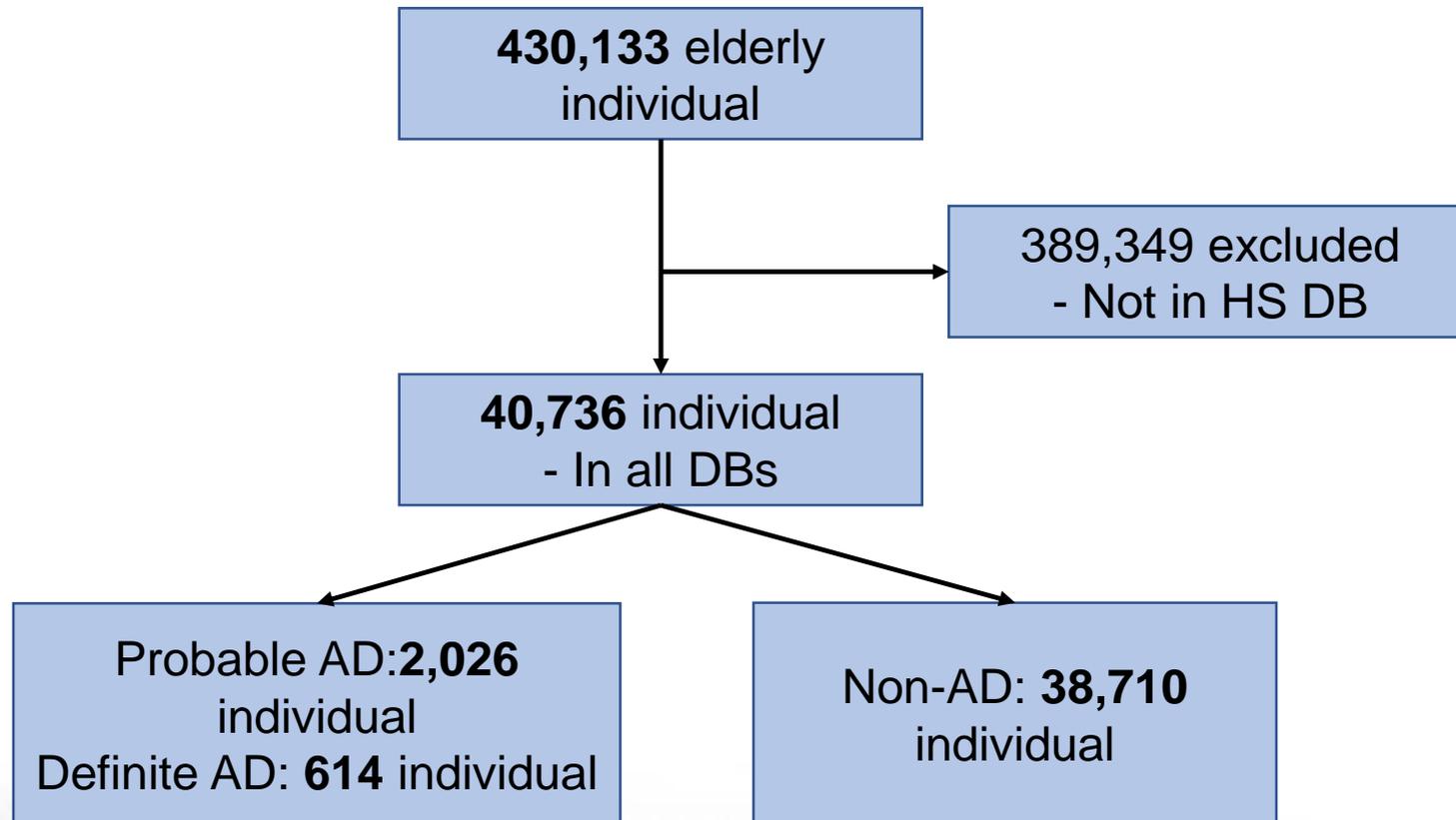
AD
↓



Data Preprocessing

- EHR alignment
- ICD-10 and medication coding
 - the first disease category codes: e.g., **F00.0**
 - the first 4 characters for the medication codes representing main ingredients: e.g., **149801**ATB
- Rare disease exclusion (≤ 5)
- Records exist in all the three databases (HS, PIE, HU)

of data samples

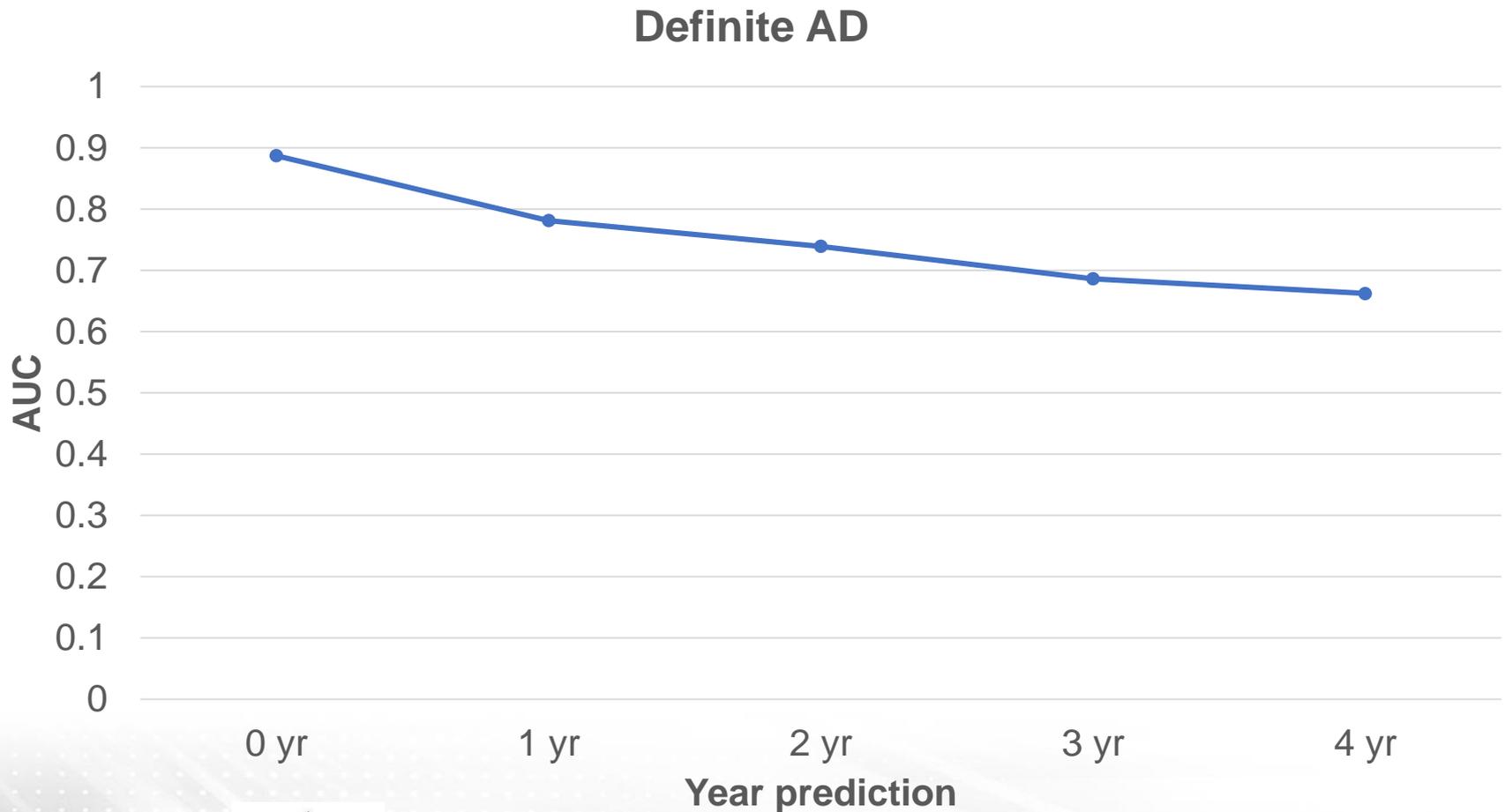


Sample characteristics

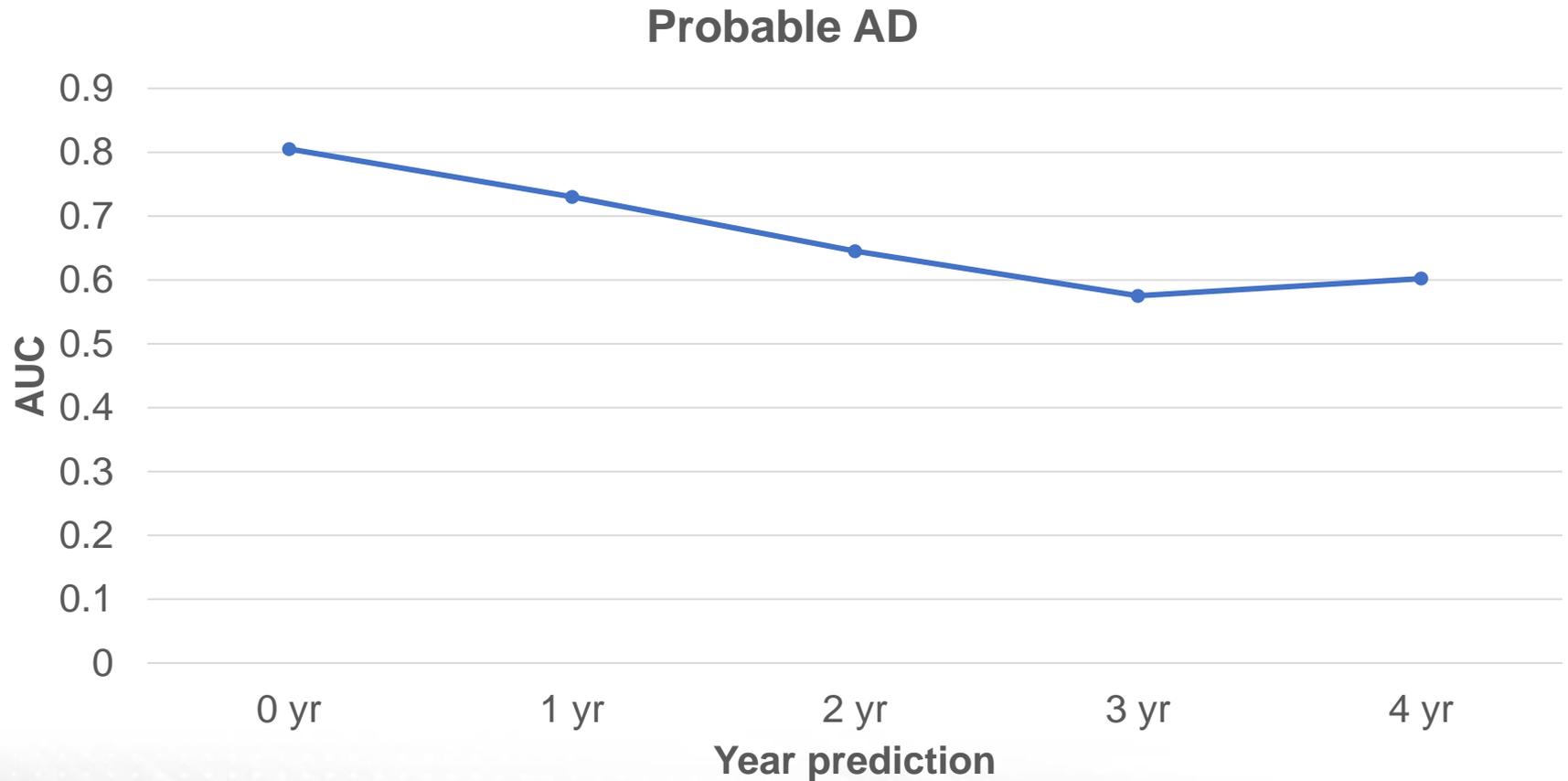
| | Definite AD | Probable AD | Non-AD |
|---------------|--|---|--|
| Number | 614 | 2,026 | 38,710 |
| Income | \$ 60k (\$57.3k- \$62.7k) | \$59k (\$58.7k- \$59.3k) | \$60.2k (\$58.7k- \$61.7k) |
| Age | 80.67 (80.2-81.1) | 79.2 (79.0-79.5) | 74.5 (74.4-74.5) |
| sex | Male:229 (37%) Female:285 (63%) | Male:733 (36%) Female:1,293 (64%) | Male:18,200 (47%) Female:20,510 (53%) |

*Based on the 0-year prediction model.

N-year prediction for definite AD



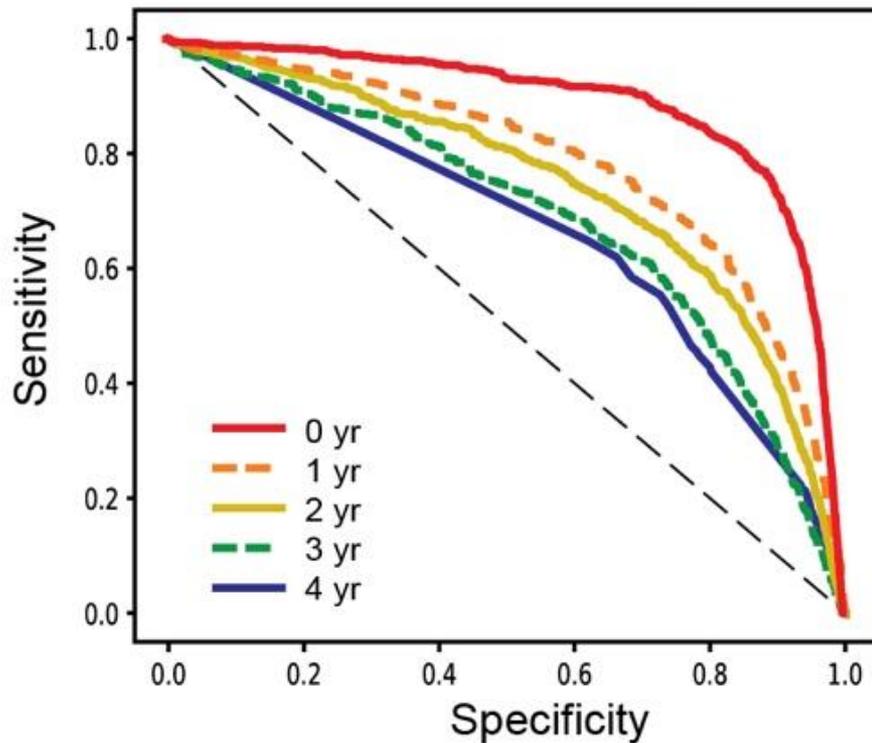
N-year prediction for probable AD



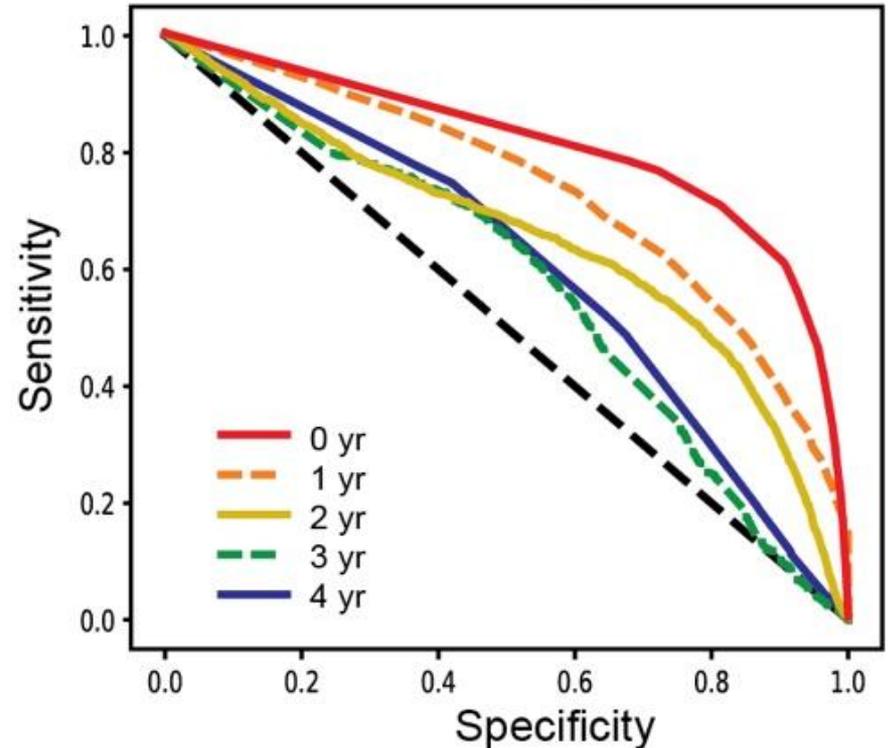
Model prediction result - ROC

Receiver-Operating Characteristics

Definite AD



Probable AD



Important features

| Name | b value |
|---|---------|
| Hemoglobin (H) | -0.902 |
| Age (Demo) | 0.689 |
| Urine protein (H) | 0.303 |
| Zotepine (antipsychotic drug) (M) | 0.303 |
| Nicametate Citrate (vasodilator) (M) | -0.297 |
| Other degenerative disorders of nervous system in diseases classified elsewhere (D) | -0.292 |
| Disorders of external ear in diseases classified elsewhere (D) | 0.274 |
| Tolfenamic acid 200mg (pain killer) (M) | 0.266 |
| Adult respiratory distress syndrome (D) | -0.259 |
| Eperisone Hydrochloride (antispasmodic drug) (M) | 0.255 |

(H): Health checkup
 (M): Medication
 (Demo): Demographics
 (D): Disease

Summary (1)

- Our model AUC: **0.887** (0yr), **0.781** (1yr), **0.662** (4yr)
- Prior models AUC: 0.5 ~ 0.78
- Detected interesting EHR-based features associated with incident AD

Summary (2)

- Presents the first data in predicting future incident AD using **data-driven machine learning** based on **large-scale EHR**
- Support to the development of **EHR-based AD risk prediction** that may enable **better selection of individuals at risk for AD** in clinical trials or early detection in clinical settings

Future work

- Generalize our findings to ethnicities other than Korean or to different healthcare systems
- Apply deep neural networks such as a recurrent neural network (RNN)



Model prediction results (1)

| Definite AD (AD codes and dementia prescription) | | | | | |
|--|-------------|------------|--------------|--|--|
| | Classifier* | AD/non-AD | AUC | Sensitivity** (when 90% specificity) | Specificity** (when 90% Sensitivity) |
| 0 yr | RF | 614/38,710 | 0.887 | 0.687 | 0.737 |
| 1 yr | SVM | 672/38,967 | 0.781 | 0.380 | 0.475 |
| 2 yr | SVM | 640/38,605 | 0.739 | 0.281 | 0.400 |
| 3 yr | SVM | 605/29,983 | 0.686 | 0.227 | 0.291 |
| 4 yr | RF | 491/14,196 | 0.662 | 0.000 | 0.151 |

*best classifiers based on AUC. **closest values with sensitivity or specificity set to 90%. LR, logistic regression; RF, random forest; SVM, support vector machine

Model prediction results (2)

| Probable AD (AD codes) | | | | | |
|------------------------|-------------|--------------|--------------|--|--|
| | Classifier* | AD/non-AD | AUC | Sensitivity** (when 90% specificity) | Specificity** (when 90% Sensitivity) |
| 0 yr | RF | 2,026/38,710 | 0.805 | 0.240 | 0.456 |
| 1 yr | RF | 2,049/38,967 | 0.730 | 0.170 | 0.338 |
| 2 yr | LR | 1,892/38,605 | 0.645 | 0.136 | 0.301 |
| 3 yr | LR | 1,697/29,983 | 0.575 | 0.085 | 0.253 |
| 4 yr | RF | 1,412/14,196 | 0.602 | 0.020 | 0.018 |

*best classifiers based on AUC. **closest values with sensitivity or specificity set to 90%. LR, logistic regression; RF, random forest; SVM, support vector machine