## Artificial Intelligence for Near-Real Time Cancer Surveillance: Challenges and Opportunities

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Presented at the NYSDS Summit June 13, 2019

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DOE-NCI partnership

National Cancer Surveillance Program

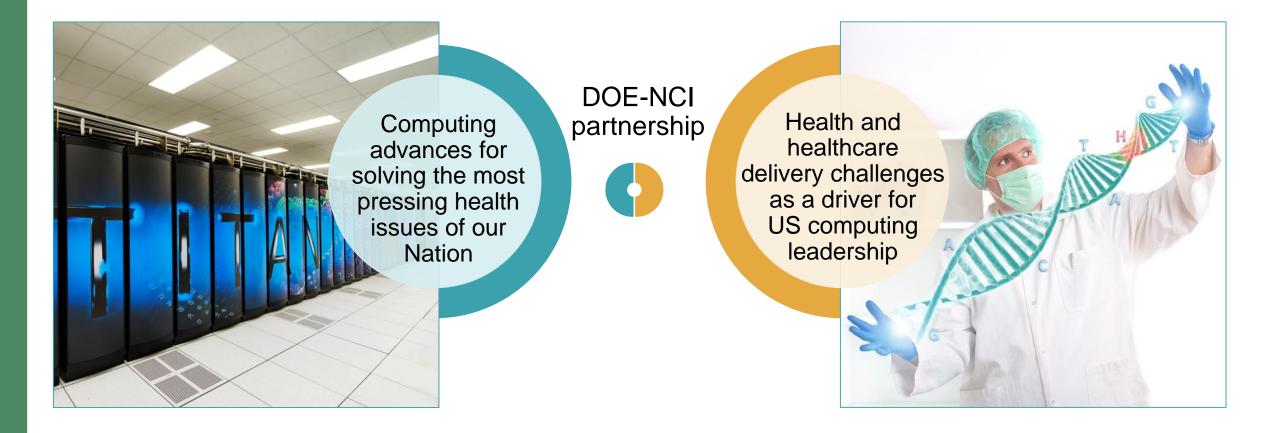
Research Highlight: AI solutions to support cancer surveillance

Final thoughts and next directions





**DOE-NCI Partnership:** Enable the most challenging deep learning problems in cancer research to run on the most capable supercomputers in the DOE



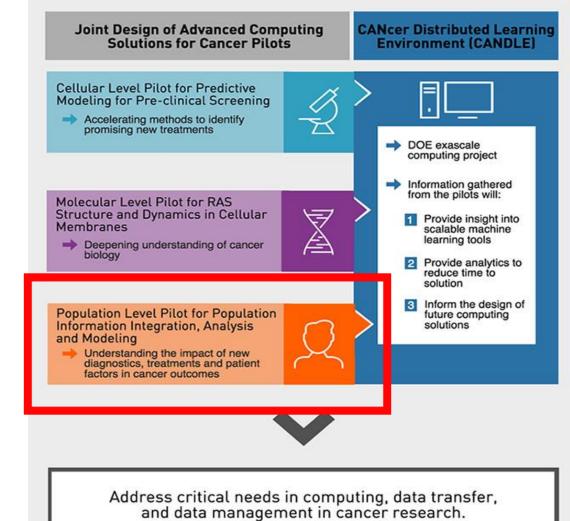




#### National Cancer Institute & Department of Energy Collaborations

#### Division of Cancer Control Argonne National Laboratory (ANL) and Population Science Lawrence Livermore 4 SEER Registries National Laboratory (LLNL) National Department Cancer of Energy Institute Los Alamos National IMS Laboratory (LANL) Oak Ridge National Clinical Collaborators Laboratory (ORNL)

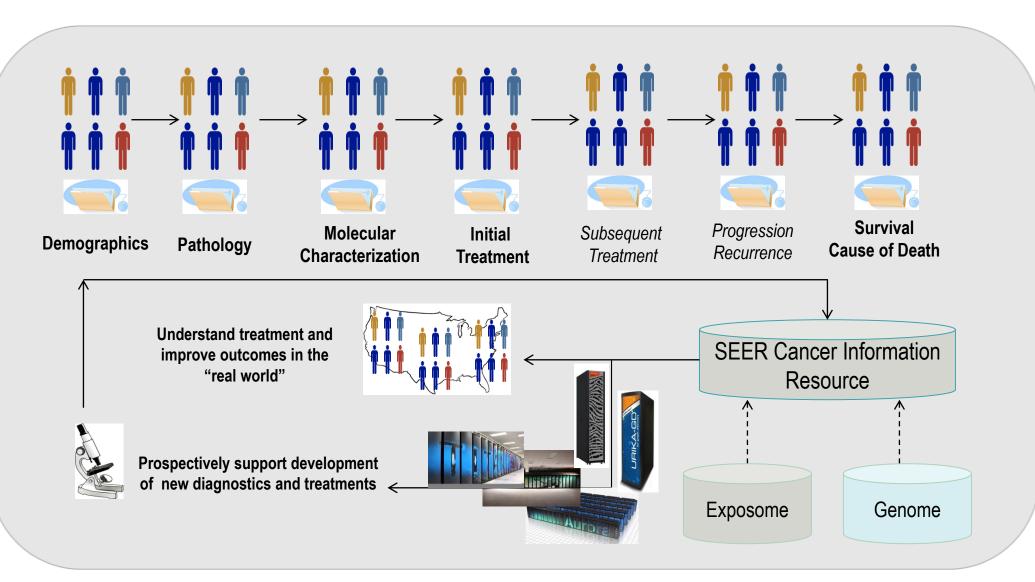
**DOE-NCI** partnering entities



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# Al to support national cancer surveillance



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Improve the effectiveness of cancer treatment in the "real world" through computing



# **Overarching Goal**

- Short-Term:
  - Deliver a scalable AI solution for large scale, near-real time information capture from unstructured clinical text with state-of-the-art clinical accuracy to semiautomate the cancer surveillance program
- Long-Term:
  - Scalable and precise phenotype information extraction to understand the effects of genetic and epigenetic changes on tumor behavior and responsiveness.

### Critical Challenge:

- How to scale across
  - volumes and types of text documents,
  - information extraction tasks / phenotypes
  - cancer registries

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# State-of-the-Art Approaches in Clinical NLP

- Current NLP thinking is TASK-specific
- Rule-based effective but require intense domain expert involvement
  - Task-specific dictionaries of phrases and medical terms
  - Manual effort not easily scalable across tasks
- Traditional machine learning scalable but require intense feature engineering
  - N-gram based
  - Concept-extraction-based methods
- Deep Learning scalable with enough compute power and enough data
  - Does not require dictionaries, not susceptible to misspellings etc.
  - Lots of new DL architectures proposed for NLP
  - No clear winner depends on the global semantics required for the task at hand

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# Path NLP is an outstanding challenge



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**Technical Note** 

#### The feasibility of using natural language processing to extract clinical information from breast pathology reports

Julliette M. Buckley, Suzanne B. Coopey, John Sharko, Fernanda Polubriaginof, Brian Drohan, Ahmet K. Belli, Elizabeth M. H. Kim, Judy E. Garber<sup>1</sup>, Barbara L. Smith, Michele A. Gadd, Michelle C. Specht, Constance A. Roche, Thomas M. Gudewicz<sup>2</sup>, Kevin S. Hughes

Departments of Surgical Oncology and <sup>2</sup>Surgical Pathology, Massachusetts General Hospital, <sup>1</sup>Department of Surgical Oncology, Dana Farber Cancer Institute, Boston, Massachusetts, USA

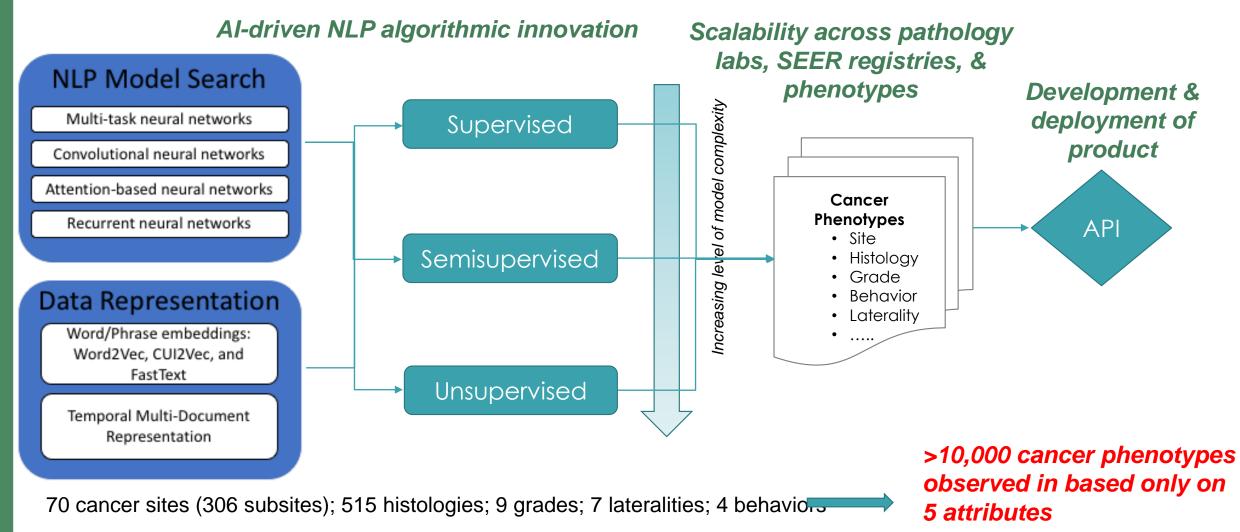
E-mail: \*Kevin S. Hughes - kshughes@partners.org \*Corresponding author

Cancer surveillance programs deals with 70+ cancer sites and 500+ histologies! In 76,333 breast pathology reports, multiple entities were identified that represented each of the significant buckets. Excluding typographical errors and spacing errors, we identified 124 ways of saying invasive ductal cancer; 95 ways of saying invasive lobular cancer; 52 ways of saying DCIS; 14 ways of saying severe ADH; 53 ways of saying lobular carcinoma *in situ*; 17 ways of saying atypical lobular hyperplasia and 14 ways of saying atypical ductal hyperplasia [Table 1]. Examples of ways to describe ADH and invasive carcinoma are shown in Tables 2 and 3.

In addition, we identified 21 ways of negating a diagnosis when the words appeared before the diagnosis (e.g., No evidence of invasive ductal carcinoma), and an additional 12 ways of negating the diagnosis when the words fell after the diagnosis (e.g., ADH was not seen). As each entity can potentially be negated by a pre- or postnegative one, must multiply the number of ways of stating the negation by the number of ways of stating the negation by the number of ways of stating that particular diagnostic entity. For example, with invasive ductal cancer; that means 124 ways of saying IDC multiplied by 33 ways of saying "not" gives a total of 4092 potential ways to say IDC was not present.



# AI and HPC for clinical text understanding @ scale



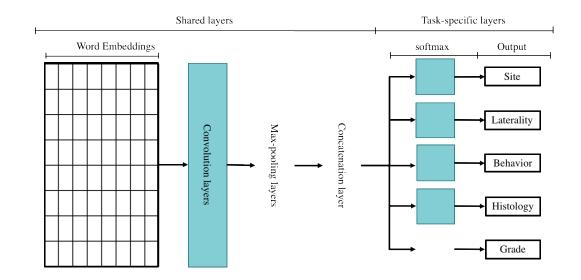
Extension to other NLP tasks to extract more data elements (e.g., biomarkers) will increase the number and complexity of cancer phenotypes observed – combinatorial explosion in computational cancer phenotyping HEALTH DATA SCIENCES INSTITUTE

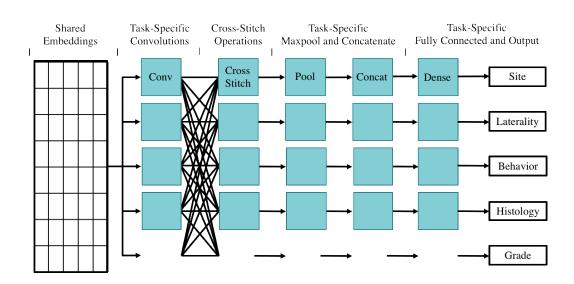
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# Methodology

- Two different implementations of a multitask convolutional neural network
  - Hard-Parameter Sharing
  - Cross-stitch
- Minimal pre-processing
- Simultaneous learning of 5 information extraction tasks:
  - site, histology, behavior, laterality, grade
- Gold standard: The variables coded in the registry abstract
- Benchmarking against traditional machine learning algorithms
- Testing within and across SEER registries







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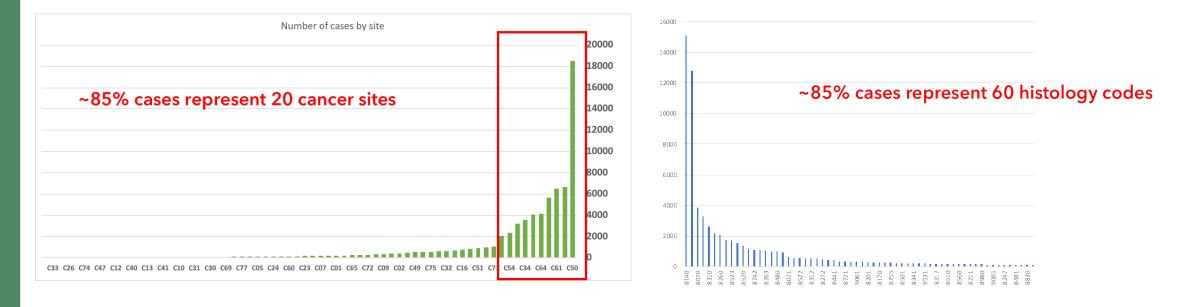
# Louisiana Tumor Registry

- 2004-2018
- 374,826 pathology documents

## Kentucky Cancer Registry

• 2004-2018

• 171,890 pathology documents



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### **Performance Metrics**

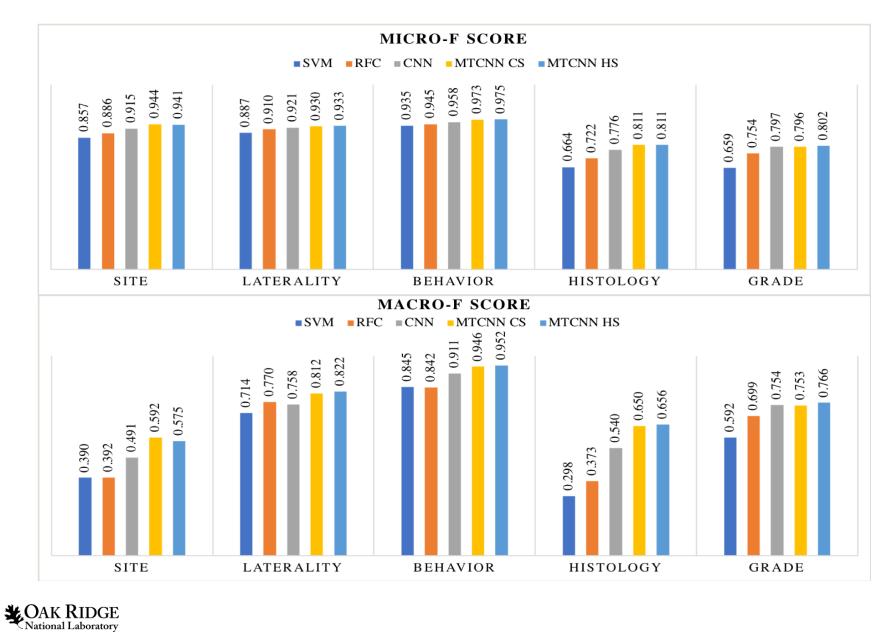
- Precision = TP/(TP+FP)
  - Precision=PPV
- Recall = TP / (TP+FN)
  - Recall=Sensitivity
- F1 = (2xPre x Rec) / (Pre + Rec)
  - A measure that combines both precision and recall

- Macro-Averaging
  - Average all Pre/Rec/F1 values
  - i.e., all classes are weighted equally
- Micro-Averaging
  - Sum up classification decisions for each case
  - Calculate Pre/Rec/F1 from the summations
  - i.e., all cases are weighted equally

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### 2-fold Cross-Validation results

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SVM: Support Vector Machine RFC: Random Forest CNN: Single-task Convolutional Neural Network MT-CNN (CS): Multi-task CNN (cross stitch) MT-CNN (HP): Multi-task CNN (hard parameter sharing)

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# API Deployment and Testing: across 11 SEER Registries / ~3M docs micro-F1 scores

REGISTRY	A	В	с	D	E	F	G	н	I.	J	к	Avg. across Registries	All Documents
Site	0.89	0.86	0.88	0.91	0.88	0.88	0.87	0.89	0.88	0.90	0.89	0.88	0.885
Histology	0.75	0.63	0.71	0.72	0.71	0.71	0.68	0.71	0.68	0.68	0.71	0.70	0.702
Laterality	0.89	0.88	0.90	0.88	0.89	0.88	0.88	0.88	0.88	0.85	0.88	0.88	0.880
Behavior	0.97	0.96	0.96	0.96	0.96	0.97	0.95	0.96	0.97	0.95	0.96	0.96	0.960
Grade	0.71	0.63	0.67	0.75	0.67	0.67	0.66	0.68	0.70	0.67	0.71	0.68	0.684
Total # of documents	381,316	40,535	322,462	236,933	166,247	79,634	923,086	345,118	172,153	234,493	125,568		3,027,545

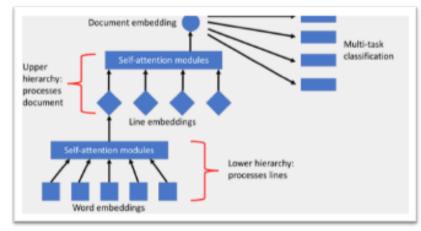
42.5% correctly classified across (S+H+B+L+G) 31.2% correctly classified across (SS+H+B+L+G) 64.2% correctly classified across (S+H+B) 45.3% correctly classified across (SS+H+B)

#### **Impact on Cancer Registry Workflow**

- Mean time for a registrar to code site, histology, behavior, grade, and laterality:
  - 55 seconds per clinical report
- Mean time for AI:
  - 12 milliseconds per report
- Real-world testing on 10 cancer registries and ~600K pathology reports (2018):
  - 4,048 hours for manual processing
  - 53 minutes with AI
- Al provides an opportunity for "real time" incidence reporting
  - goal to report at beginning of calendar year for prior calendar year (within 2-3 years)

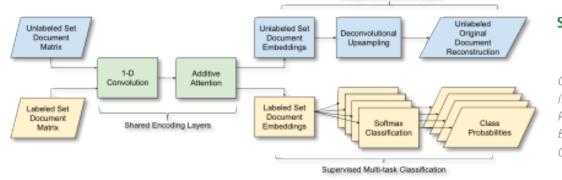


## Newer NLP models, continuing to improve performance

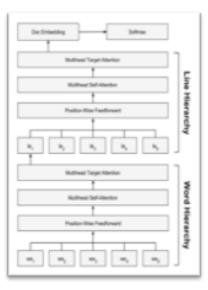


#### Multi-Task Hierarchical Convolutional Attention Network (MT-HCAN)

Gao, S. et al. "Hierarchical Convolutional Attention Networks for Text Classification." Proceedings of The 3rd Workshop on Representation Learning for NLP, pp. 11-23 2018. http://www.aclweb.org/anthology/W18-3002

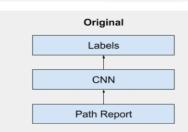


Unsupervised Decoder Layers





**Hierarchical Self-Attention** Network (HiSAN)



Label,

RNN

CNN

Path₁

#### MT-HCAN-RNN for longitudinal analysis of text documents records

#### Semi-Supervised Multi-Task Attention CNN

Qiu J.X. et al. "Semi-Supervised Information Extraction for Cancer Pathology Reports." 2019 IEEE Biomedical and Health Informatics Conference (submitted)

Enhanced w/ Temporal Context Label Label RNN RNN CNN CNN Path<sub>2</sub> Path<sub>2</sub>

Gao, S. et al. "Classifying Cancer

Nature Medicine)

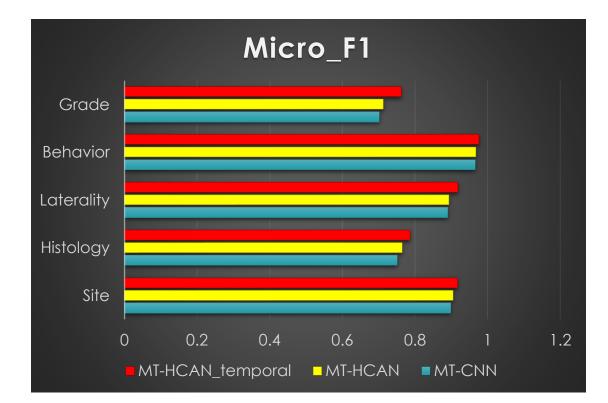
Attention Networks." (submitted to

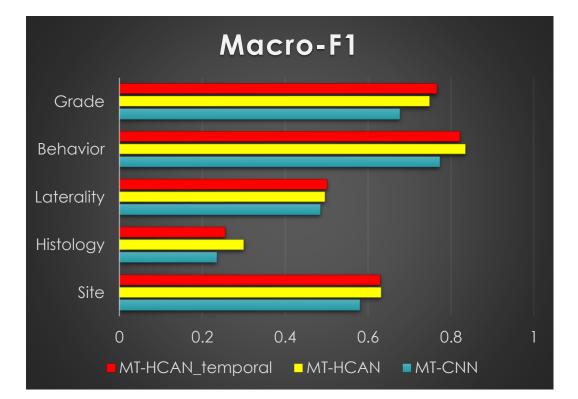
Pathology Reports with Hierarchical Self-



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## **Preliminary Results**



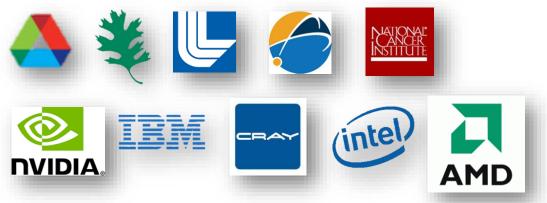


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# Software Deployment via DOE's CANDLE framework

- Cancer Distributed Learning Environment (CANDLE) Program
  - An exscale deep learning environment for cancer research
  - Building on open source Deep Learning frameworks
  - Collaboration between DOE computing centers, HPC vendors and ECP co-design and software technology projects
- ECP-CANDLE GitHub: <u>https://github.com/ECP-CANDLE</u>
- ECP-CANDLE FTP Site: http://ftp.mcs.anl.gov/pub/candle/public/





# Software release via JDACS4C IP Committee Repository

- Multi-Task Convolutional Neural Networks (<u>https://github.com/CBIIT/jdacs4c-staging/tree/master/ORNL\_MT-CNN</u>)
- PathRepHan (<u>https://github.com/CBIIT/jdacs4c-staging/tree/master/PathRepHAN</u>)



# **Conclusions & Next Steps**

- Deep learning shows promise for automated information extraction from unstructured pathology reports to increase efficiency, data quality, and timeliness of cancer surveillance.
- MT-CNN performance exceeded that of traditional ML and single-task CNNs
- Our hard-parameter sharing MT-CNN is capable of scaling effectively across documents and information extraction tasks without additional computational or domain expert demands.
- Cross-registry performance remained fairly robust across all tasks.
- Other DL methods in the pipeline
- Human-AI integration is an open-ended question
  - What is the most effective way to integrate AI in national cancer surveillance?
  - Is interpretability possible and/or important?
  - Case-level uncertainty quantification maybe helpful



# Final Thoughts on AI for Health

#### • Hope

• The convergence of big data and AI will enable the accumulation and automation of functional knowledge in biomedicine

## • Hype

- Al solutions are superior to collective intelligence of the experts
- o Practical translation of AI tools is straightforward

## • Hard Truth

- Need for sustainable infrastructure to democratize AI innovation
- Need for scalable algorithms to support the continuum of scientific discovery and clinical application
- Human-AI integration approach will impact real-world value
- Al interpretability and (real-time) uncertainty quantification are important future directions
- Vulnerability issues for AI models and AI users (cognitive hacking) are critical





# ACKOWLEDGEMENTS

This work has been supported in part by the Joint Design of Advanced Computing Solutions for Cancer (JDACS4C) program established by the U.S. Department of Energy (DOE) and the National Cancer Institute (NCI) of the National Institutes of Health. This work was performed under the auspices of the U.S. Department of Energy by Argonne National Laboratory under Contract DE-AC02-06-CH11357, Lawrence Livermore National Laboratory under Contract DE-AC02-06-CH11357, Lawrence Livermore National Laboratory under Contract DE-AC02-07NA27344, Los Alamos National Laboratory under Contract DE-AC05-000R22725.

The authors gratefully acknowledge the contributions of the state and regional cancer registry staffs for their work in collecting the data used in this study.

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# THANK YOU!!!