

**NYSDS**

**October 23**

**2020**

# Many-Body Quantum Systems as a Challenge for Machine Learning

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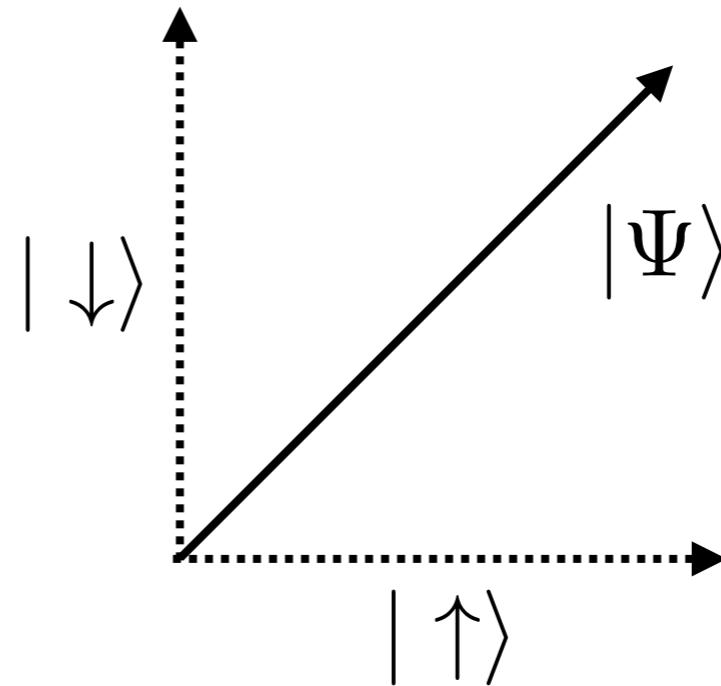
**EPFL**

# A Major Problem In Quantum Physics

# The State of a Two-Level System

The state of a spin/qubit/etc is a complex-valued vector (the wave function)

$$|\Psi\rangle = c_{\uparrow}|\uparrow\rangle + c_{\downarrow}|\downarrow\rangle$$



## Probability of Observing a Given State

$$P(\uparrow) = |c_{\uparrow}|^2$$

$$P(\downarrow) = |c_{\downarrow}|^2$$

A quantum spin can be found in either up or down state with a given probability

# The State of a Many Body System

The wave function is a vector  
in a huge ( $2^N$ )  
Complex Vector Space

$$|\Psi\rangle = c_{\uparrow\uparrow\uparrow\dots} |\uparrow\uparrow\uparrow\dots\rangle + c_{\downarrow\uparrow\uparrow\dots} |\downarrow\uparrow\uparrow\dots\rangle + \dots c_{\downarrow\downarrow\downarrow\dots} |\downarrow\downarrow\downarrow\dots\rangle$$

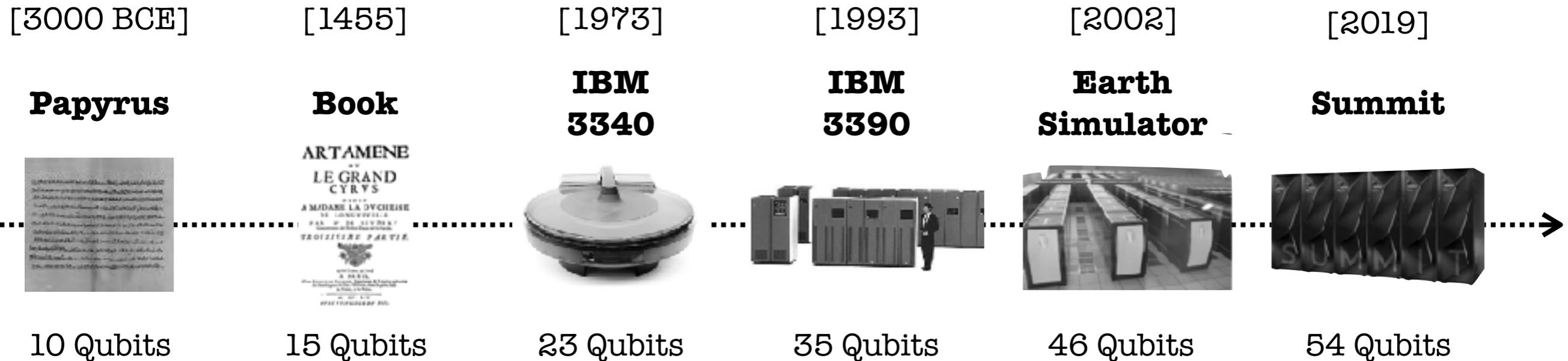
• Complex-Valued Coefficients

## Schroedinger's Equation

$$\mathcal{H} |\Psi\rangle = E |\Psi\rangle$$

Eigenvalue Problem  
for given Hamiltonian  
(sparse matrix)

# Quantum Many-Body Problem



Is this complexity “truly”  
exponential for physical systems?

# Corners of Hilbert space

# Hilbert Space

Physical  
States

Hilbert Space

# Our Best Hope: Variational Formulation

$$E(\mathbf{W}) = \frac{\langle \Psi(\mathbf{W}) | \mathcal{H} | \Psi(\mathbf{W}) \rangle}{\langle \Psi(\mathbf{W}) | \Psi(\mathbf{W}) \rangle} \geq E_0$$

↓      ↓  
Rayleigh      Exact Ground-  
Quotient      State Energy

## Two ML-Inspired Approaches In This Talk

Classical Variational States

***Require a CPU/GPU/TPU...***

Quantum Variational States

***Require a QPU...***

# Classical Variational States

# Issue

Can We Have A Sufficiently Flexible And  
Computationally Efficient Classical Ansatz?

# Neural-Network Quantum States

Carleo, and Troyer  
Science 355, 602 (2017)

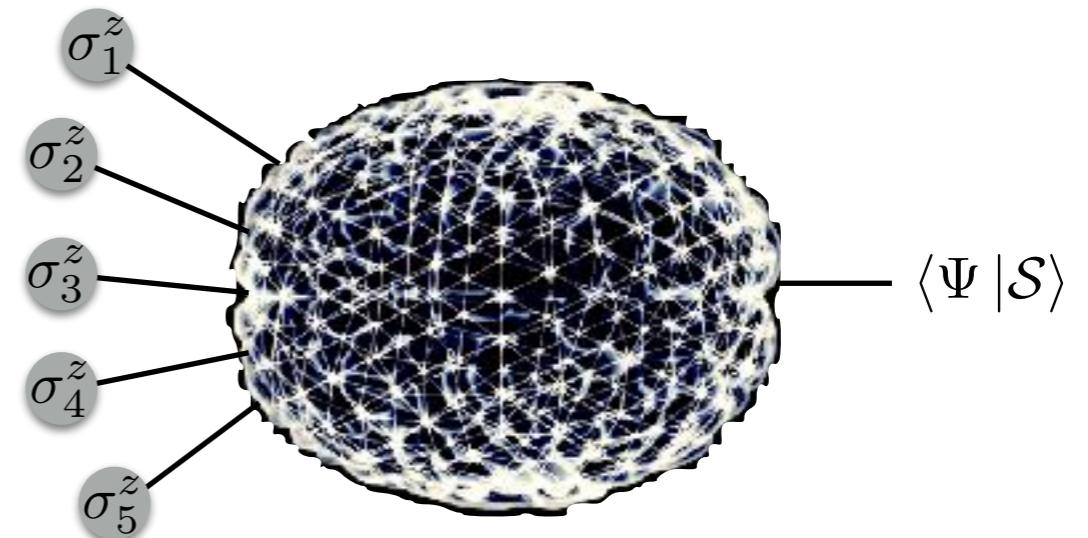
$$c_{\sigma_1^z, \sigma_2^z \dots \sigma_5^z}$$

Many-Body Amplitudes

Quantum  
Numbers

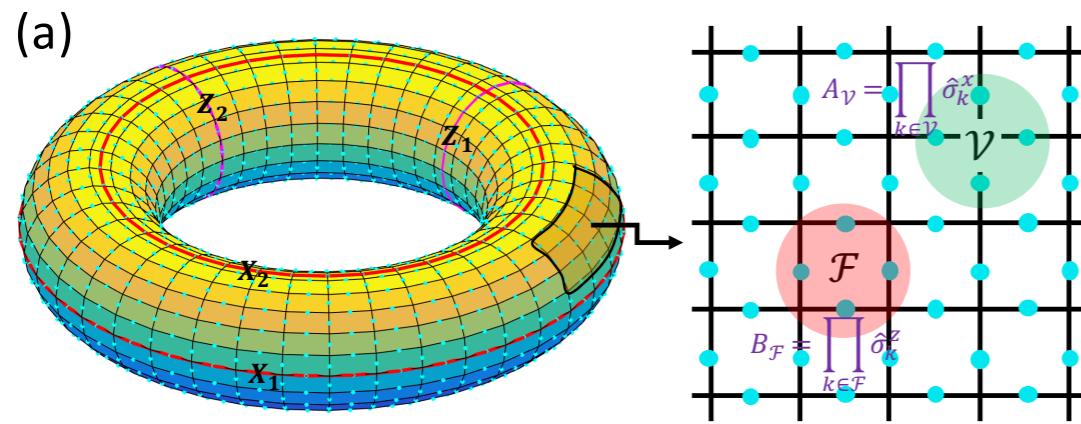
$$\Psi(s_1 \dots s_N) = g^{(L)} \circ W^{(L)} \dots g^{(2)} \circ W^{(2)} g^{(1)} \circ W^{(1)} \mathbf{s}$$

Nonlinear Activation  
Functions Applied  
Component-Wise



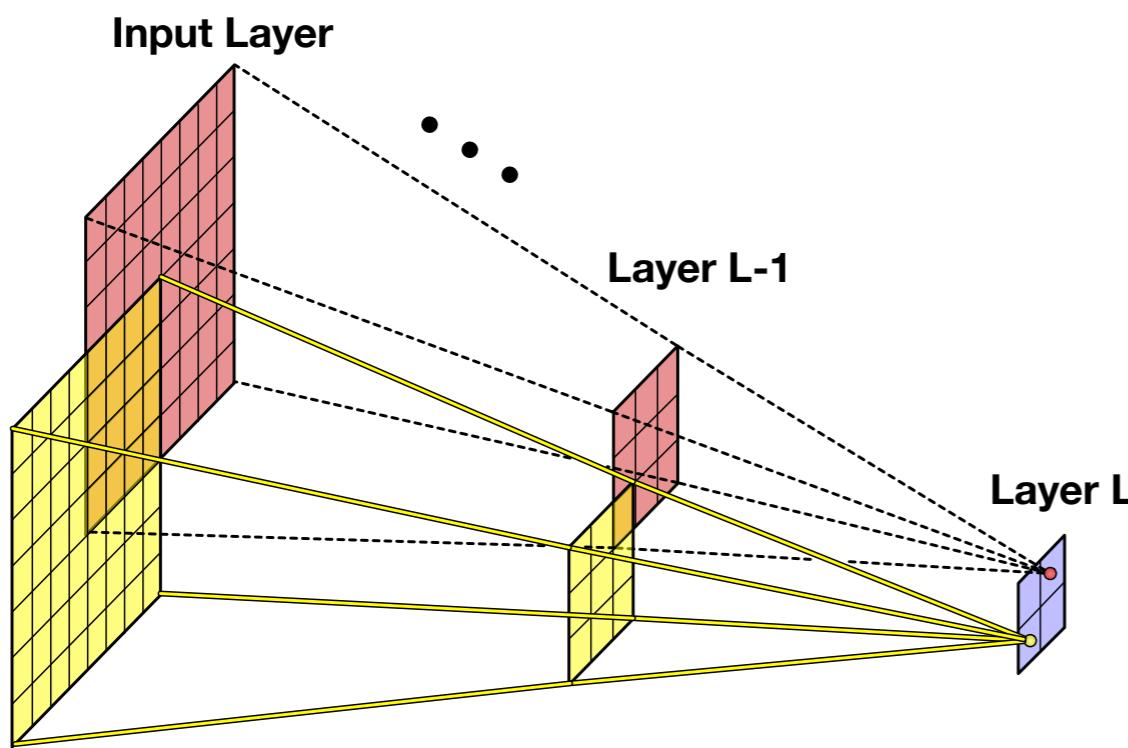
Variational Parameters

# Some Properties



Compact Representations of  
Almost all known **efficient**  
Variational States (Jastrow,  
Laughlin, MPS, etc)

*For a review: see Carleo et al.  
Reviews of Modern Physics 91, 045002 (2020)*



Efficient Volume-Law States  
With Shallow or (better) Deep  
Convolutional Networks

*Levine, Sharir, Cohen, and Shashua  
Physical Review Letters 122, 065301 (2019)*

# Ground-State Search

# Variational Sampling

## Expectation Minimization

$$\mathcal{L}(W) = \frac{\sum_s |\Psi(s, W)|^2 E_{\text{loc}}(s, W)}{\sum_s |\Psi(s, W)|^2}$$

McMillan  
Phys. Rev. 138,  
A442 (1965)

Loss Function

No “Dataset” here, closer in  
spirit to reinforcement learning

# Energy Gradient

$$\nabla_k E = 2 \left( \langle \mathcal{O}_k^* E_{\text{loc}} \rangle - \langle \mathcal{O}_k^* \rangle \langle E_{\text{loc}} \rangle \right)$$

$$E_{\text{loc}}(\sigma_1 \dots \sigma_N) = \sum_{\sigma'_1, \dots \sigma'_N} \frac{\Psi(\sigma'_1 \dots \sigma'_N)}{\Psi(\sigma_1, \dots \sigma_N)} \mathcal{H}_{\sigma, \sigma'}$$

$$\mathcal{O}_k(\sigma_1 \dots \sigma_N) = \frac{1}{\Psi(\sigma_1 \dots \sigma_N)} \frac{\partial \Psi(\sigma_1 \dots \sigma_N)}{\partial p_k}$$

$$\langle \dots \rangle = \frac{\sum_{\sigma_1 \sigma_2 \dots \sigma_N} |\Psi(\sigma_1, \sigma_2 \dots \sigma_N)|^2 \dots}{\sum_{\sigma_1 \sigma_2 \dots \sigma_N} |\Psi(\sigma_1, \sigma_2 \dots \sigma_N)|^2}$$

# Computationally Tractable States

# “Computationally Tractable” States

Van den Nest  
arXiv:0911.1624 (2009)

**Definition 1** An  $n$ -qubit state  $|\psi\rangle$  is called ‘computationally tractable’ (CT) if the following conditions hold:

- (a) it is possible to sample in  $\text{poly}(n)$  time with classical means from the probability distribution  $\text{Prob}(x) = |\langle x|\psi\rangle|^2$  on the set of  $n$ -bit strings  $x$ , and
- (b) upon input of any bit string  $x$ , the coefficient  $\langle x|\psi\rangle$  can be computed in  $\text{poly}(n)$  time on a classical computer.

**Theorem 3** Let  $|\psi\rangle$  and  $|\varphi\rangle$  be CT  $n$ -qubit states and let  $A$  be an efficiently computable sparse (not necessarily unitary)  $n$ -qubit operation with  $\|A\| \leq 1$ . Then there exists an efficient classical algorithm to approximate  $\langle\varphi|A|\psi\rangle$  with polynomial accuracy.

**Corollary 1** Let  $|\psi\rangle$  be an  $n$ -qubit CT state and let  $O$  be a  $d$ -local observable with  $d = O(\log n)$  and  $\|O\| \leq 1$ . Then there exists an efficient classical algorithm to estimate  $\langle\psi|O|\psi\rangle$  with polynomial accuracy.

# Examples

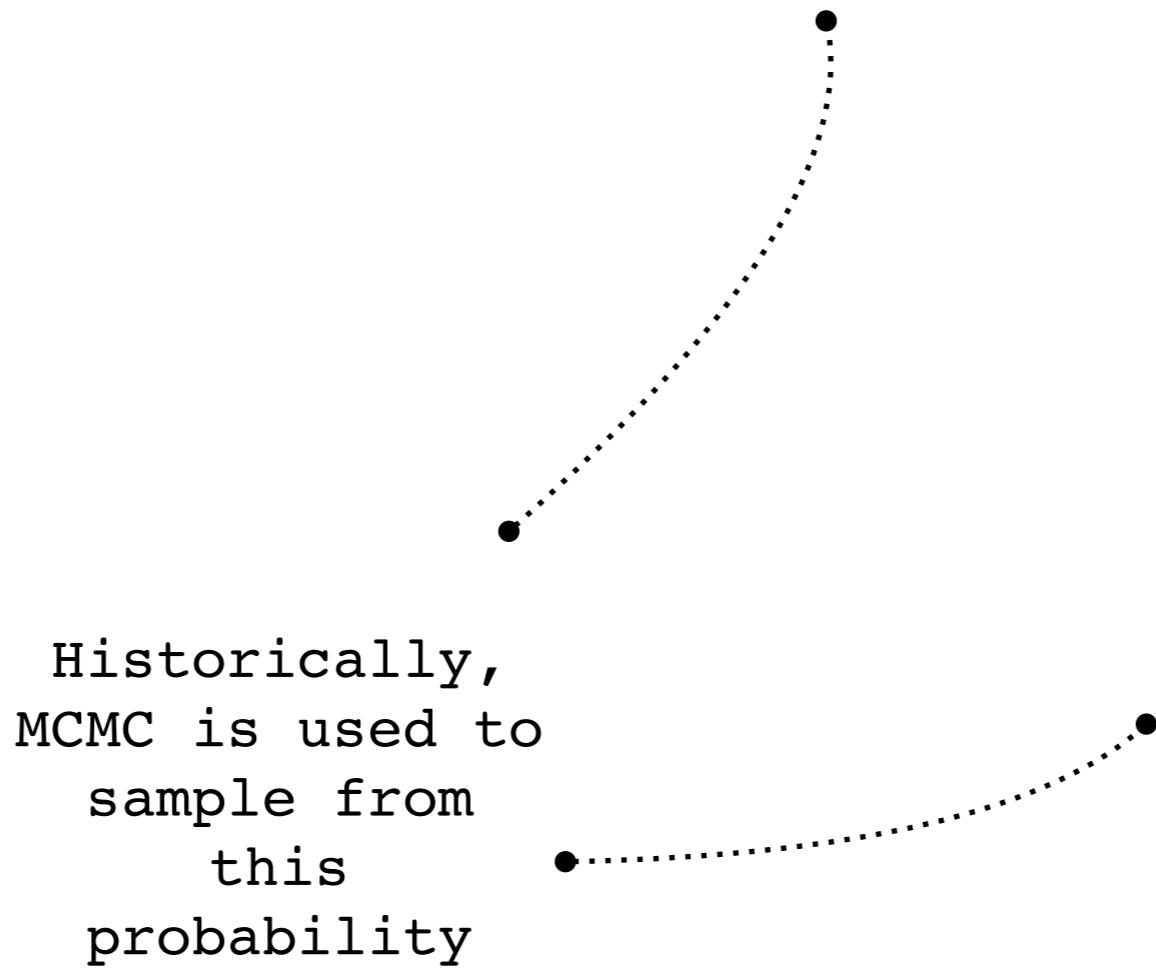
Matrix Product States  
Are Computationally  
Tractable

PEPS are **not**  
Computationally  
Tractable

Generic neural deep  
quantum states are  
**not** computationally  
Tractable

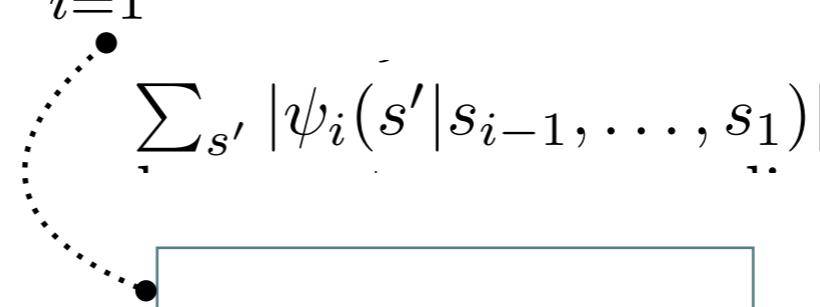
# NQS: MCMC Breaks Tractability

$$\langle \dots \rangle = \frac{\sum_{\sigma_1 \sigma_2 \dots \sigma_N} |\Psi(\sigma_1, \sigma_2 \dots \sigma_N)|^2 \dots}{\sum_{\sigma_1 \sigma_2 \dots \sigma_N} |\Psi(\sigma_1, \sigma_2 \dots \sigma_N)|^2}$$



# Autoregressive Quantum States

*Sharir, Levine, Wies, Carleo, and Shashua*  
Phys. Rev. Lett. 124, 020503 (2020)

$$\Psi(s_1, \dots, s_N) = \prod_{i=1}^N \psi_i(s_i | s_{i-1}, \dots, s_1)$$

$$\sum_{s'} |\psi_i(s' | s_{i-1}, \dots, s_1)|^2 = 1$$

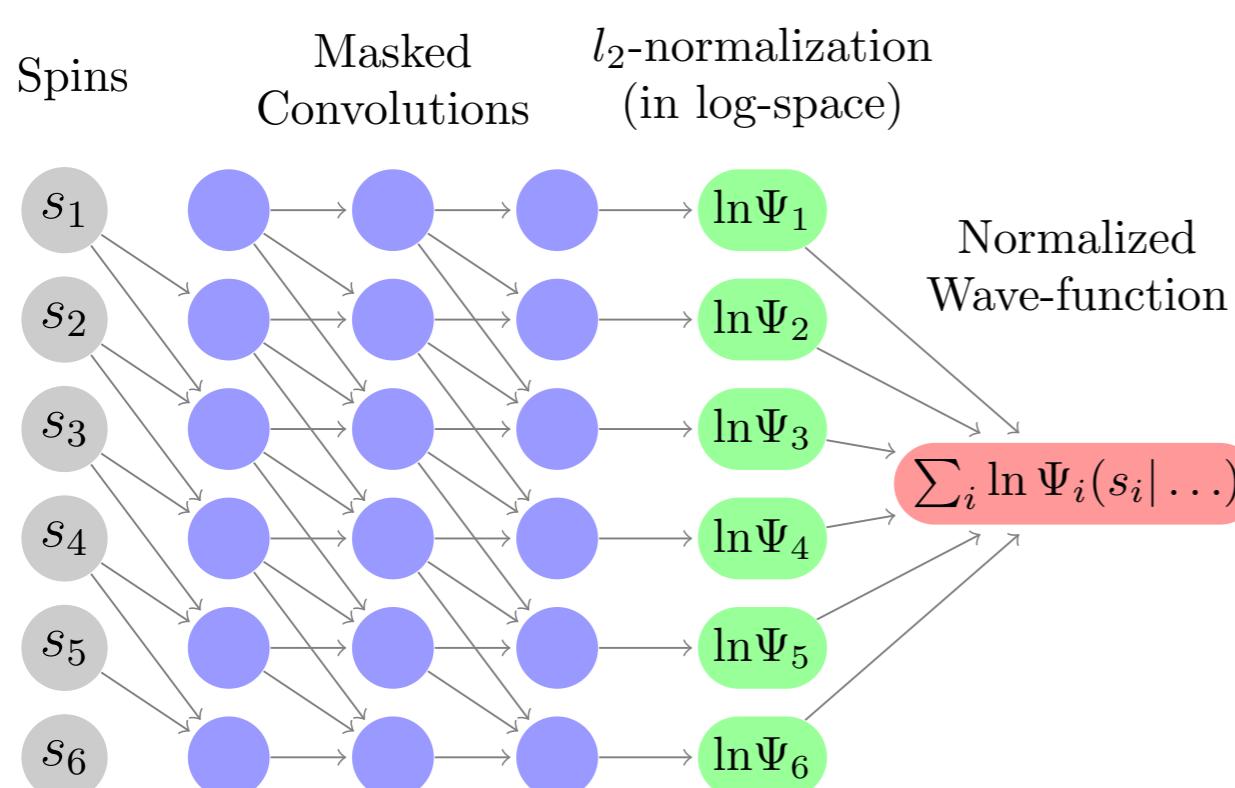
Normalized  
“Conditionals”

These Are Computationally Tractable

(a) Exact Sampling

(b) Computing Normalized Amplitudes is Efficient

# Masked deep networks



Masked Fully  
Connected  
Network

1	1	1	1	1
1	1	1	1	1
1	1	0	0	0
0	0	0	0	0
0	0	0	0	0

Masked  
Convolutions

PixelCNN

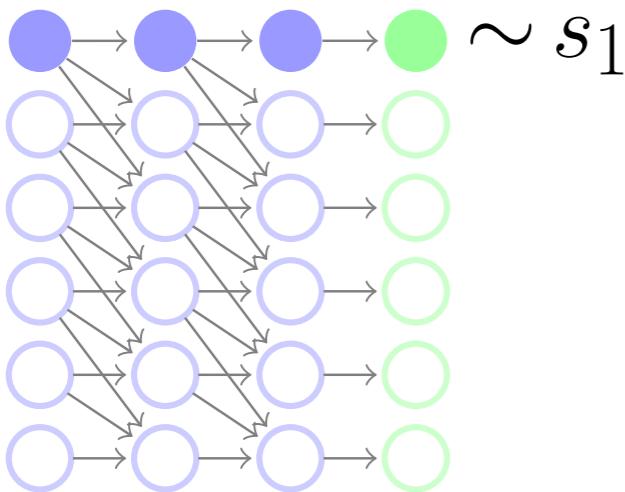
Van den Oord et al.  
arXiv:1606.05328 (2016)

Salimans et al.  
arXiv:1701.05517 (2017)

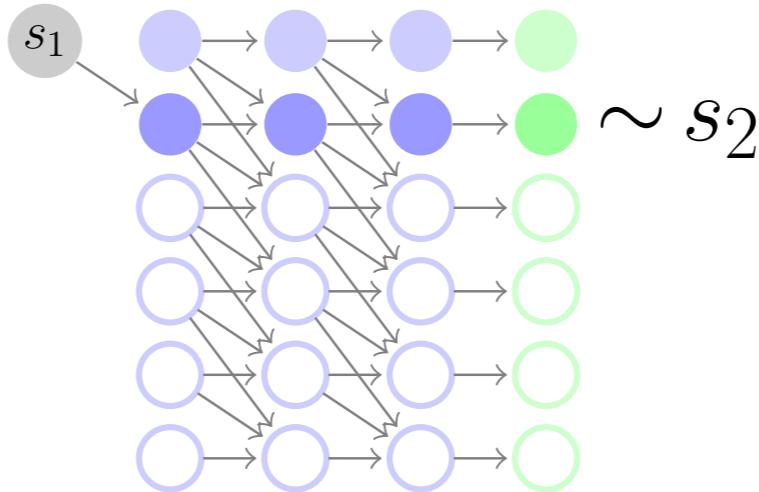
Ramachandran et al.  
arXiv:1704.06001 (2017)

# Exact Sampling

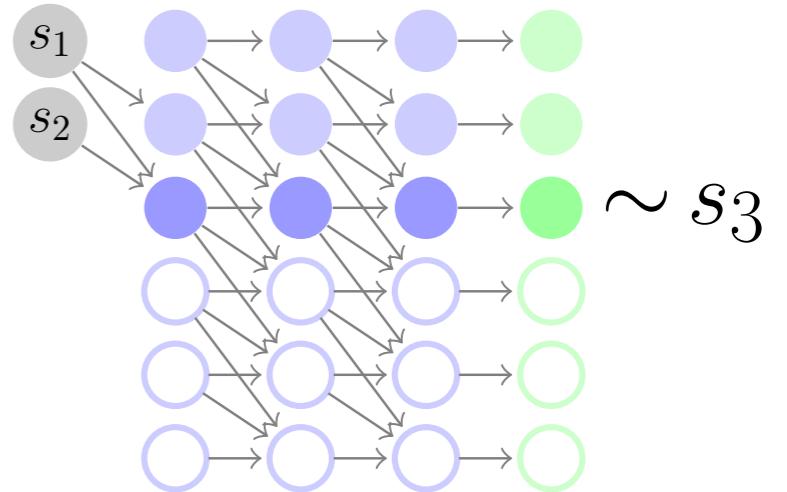
Step 1:



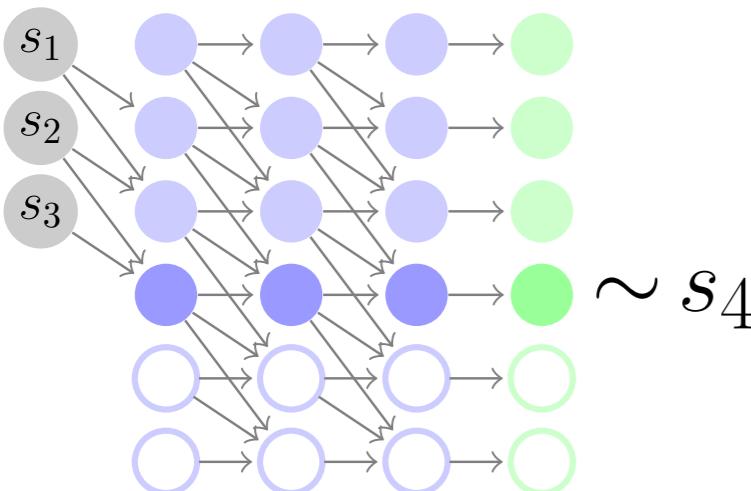
Step 2:



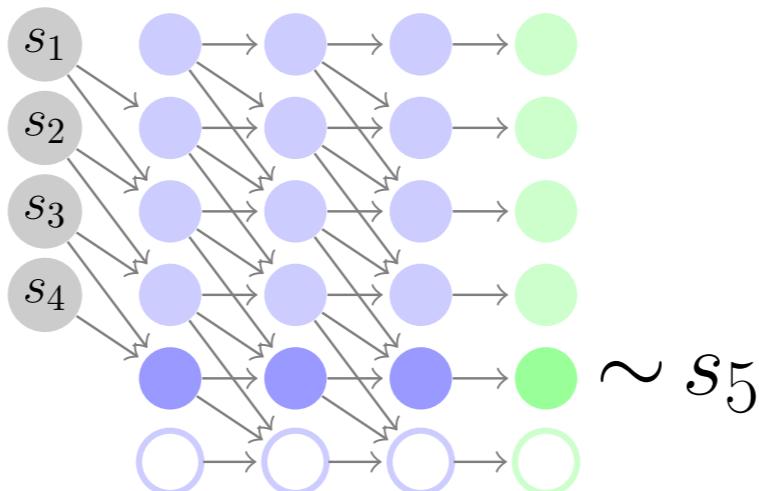
Step 3:



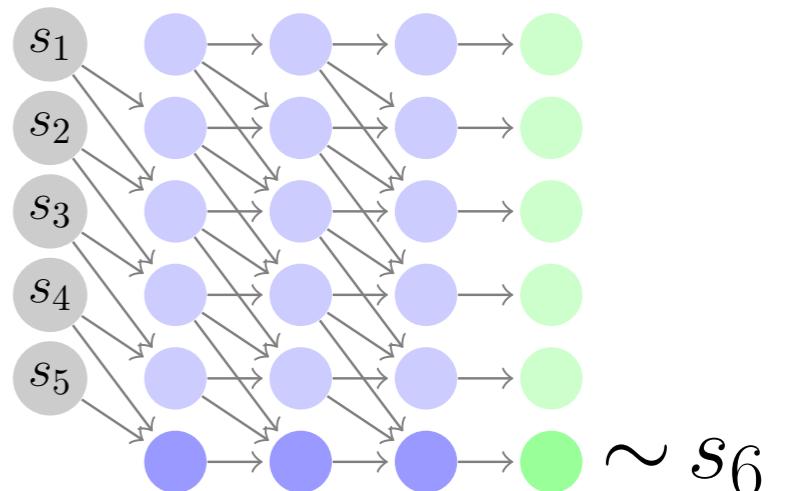
Step 4:



Step 5:



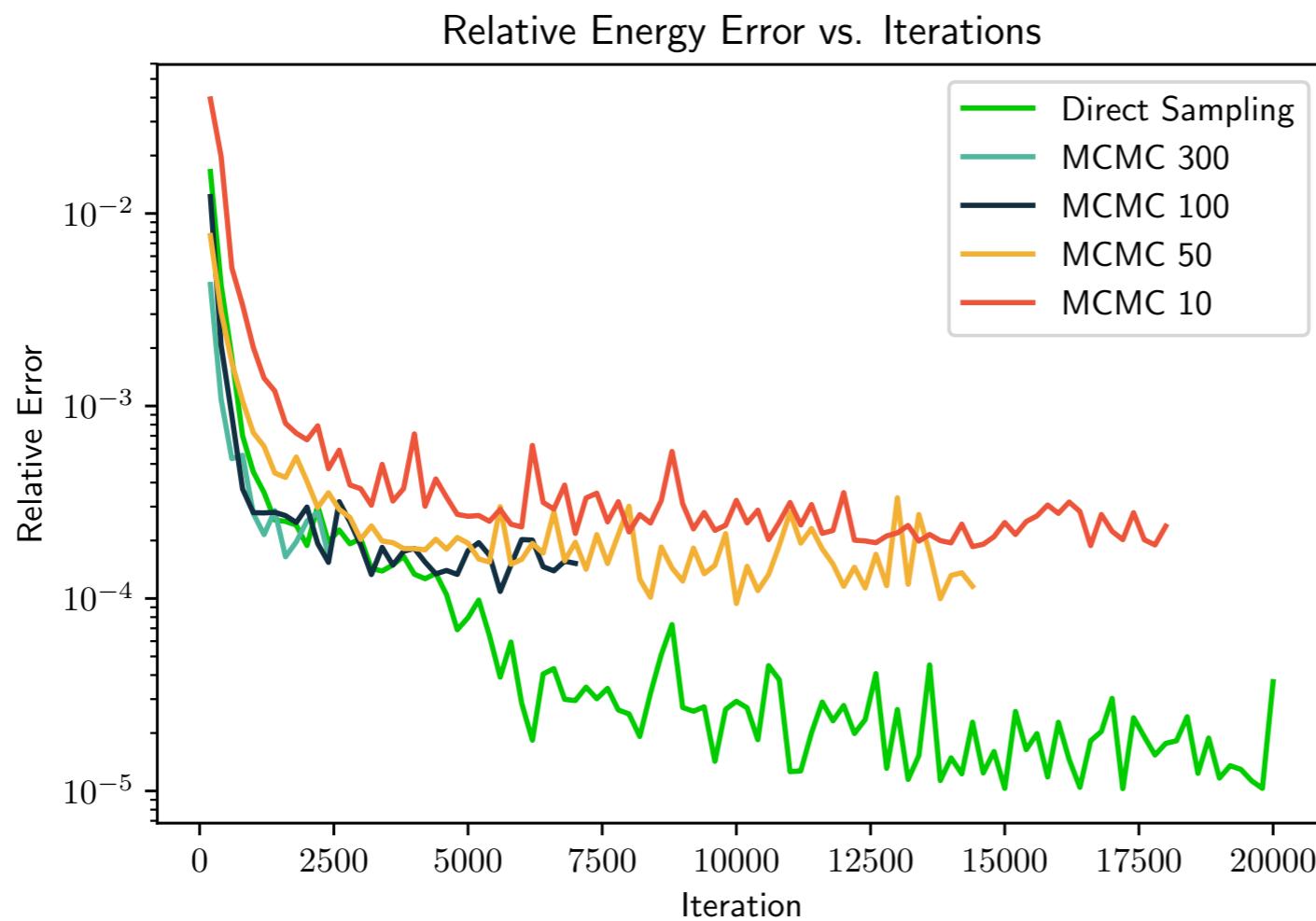
Step 6:



# Removing The Sampling Bottleneck Pays Off

*Sharir, Levine, Wies, Carleo, and Shashua*

Phys. Rev. Lett. 124, 020503 (2020)

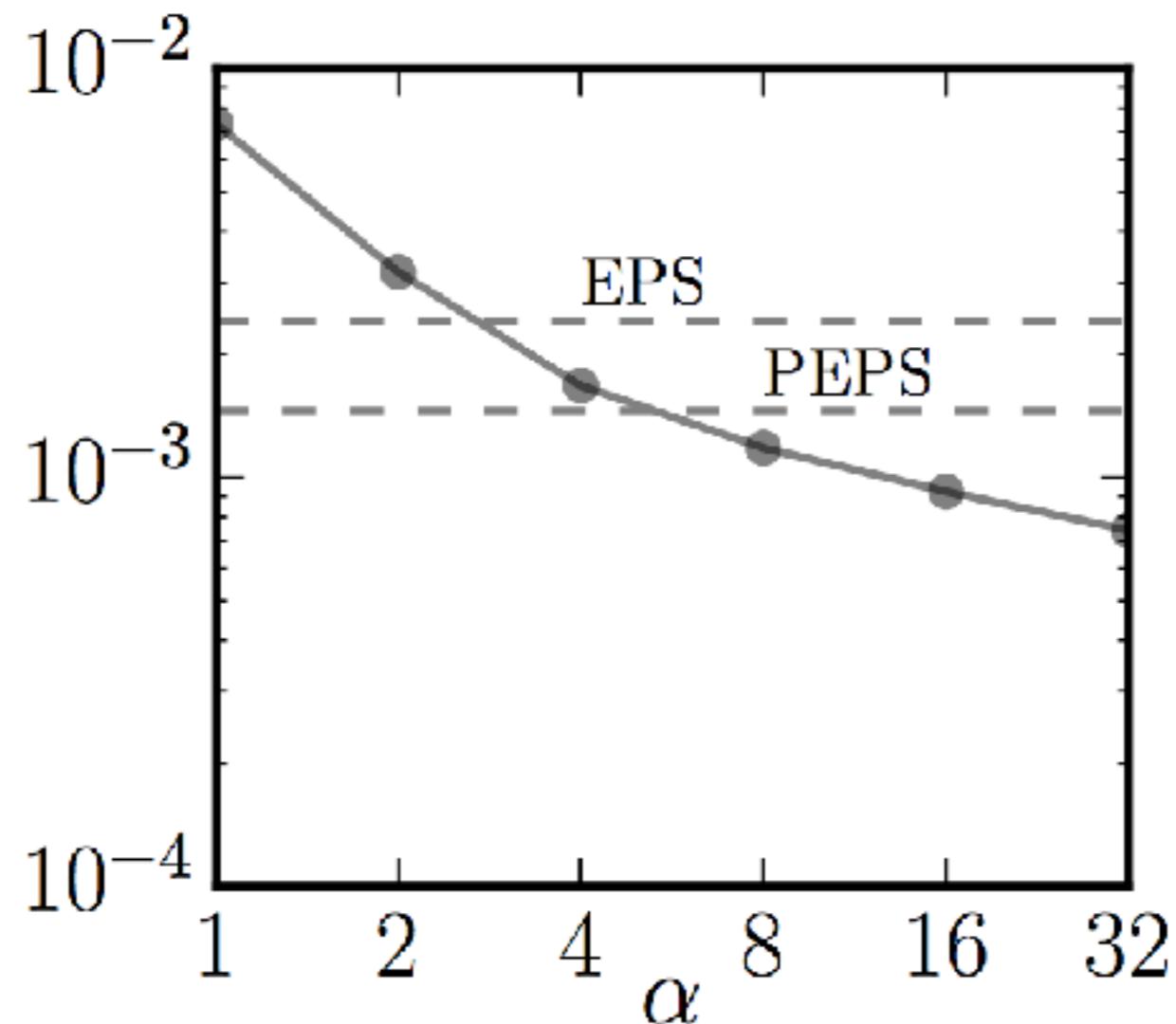


21x21  
Transverse-  
Field Ising  
in 2d

Depth 20  
About 1  
Million  
Parameters

# Heisenberg Model

*Carleo, and Troyer*  
Science 355, 602 (2017)

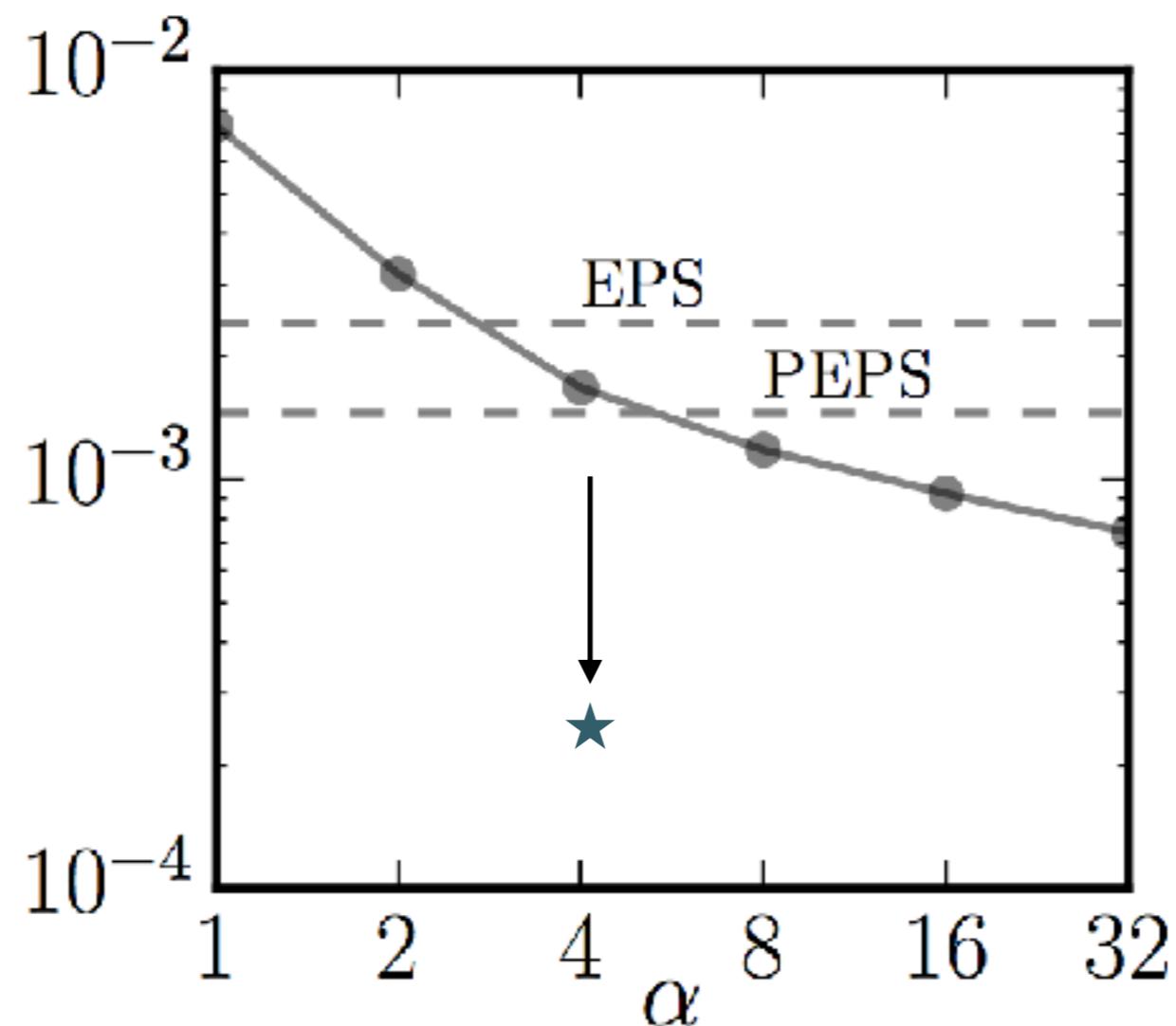


10 by 10 cluster

Early (2016) Results With  
Shallow (RBM) Network

# Heisenberg Model

*Choo, Neupert, and Carleo*  
Phys. Rev. B 100, 125124 (2019)

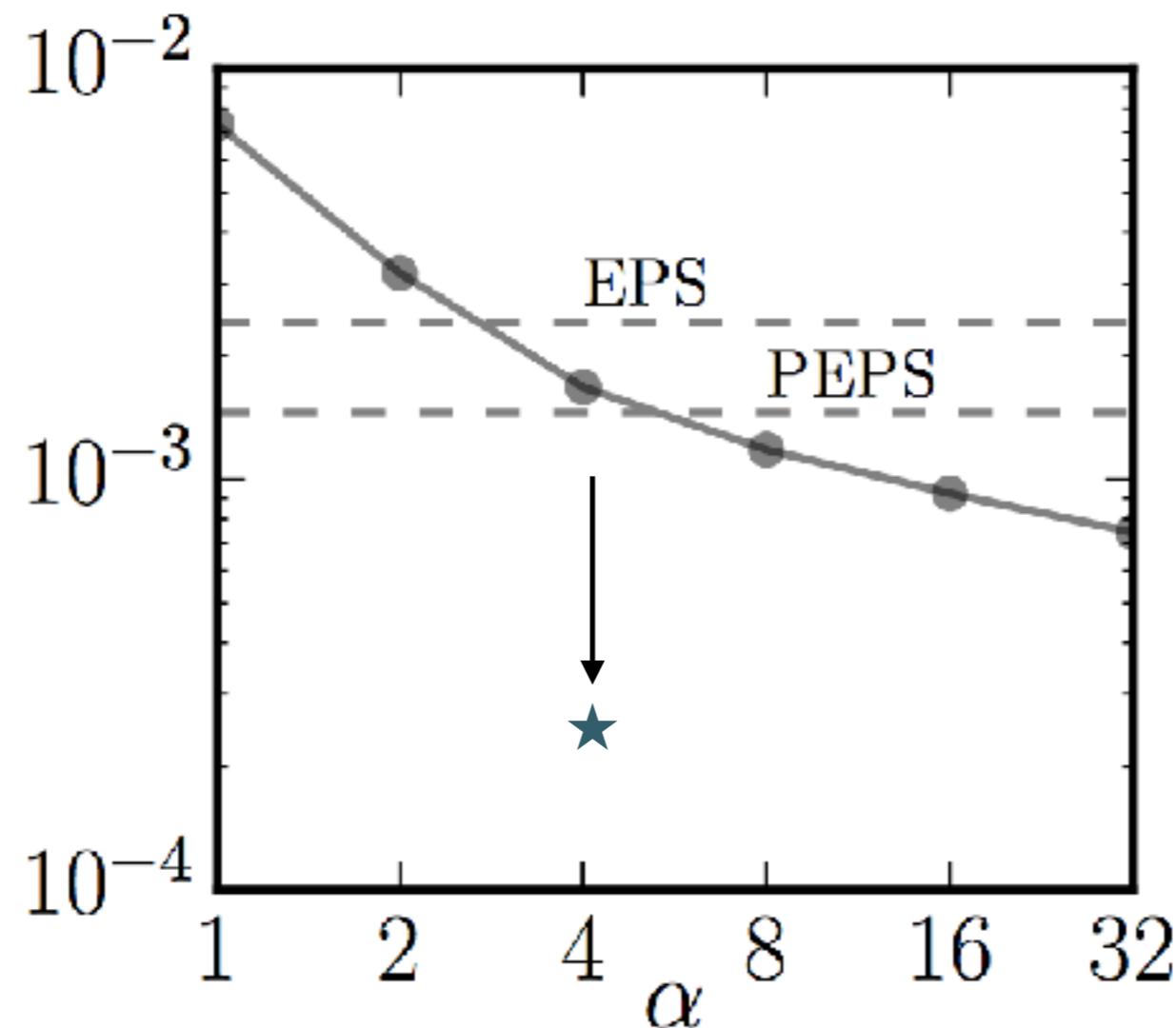


(Mildly) deep network further improves

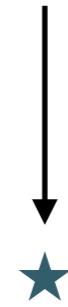
# Heisenberg Model

*Sharir, Levine, Wies, Carleo, and Shashua*

Phys. Rev. Lett. 124, 020503 (2020)



10 by 10 cluster

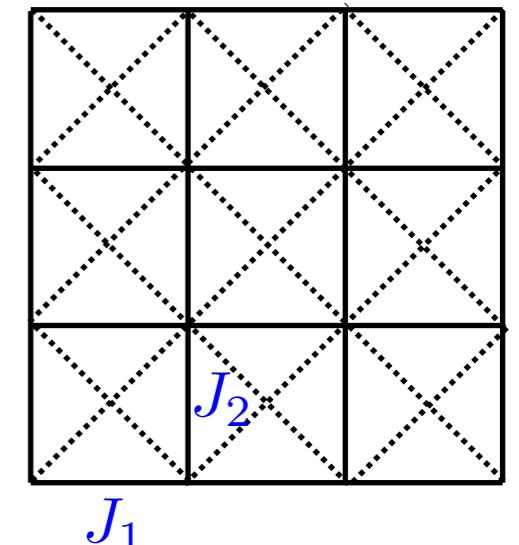


# A Challenging Benchmark

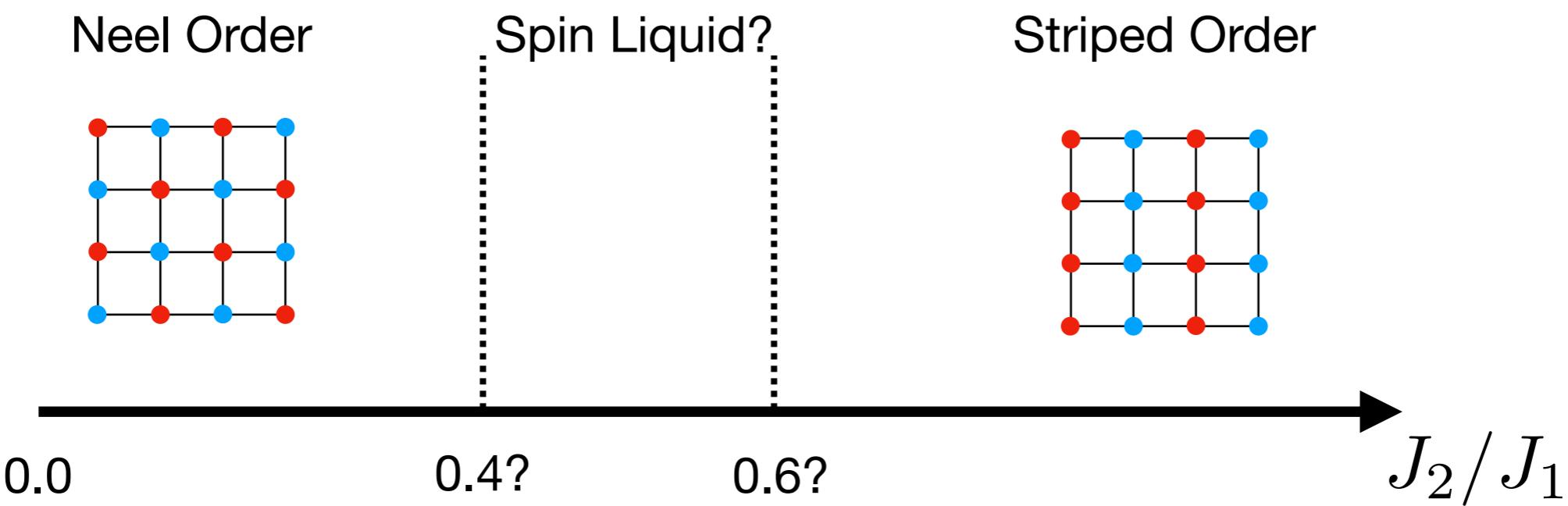
# Frustrated 2D Spins

## J1-J2 Model

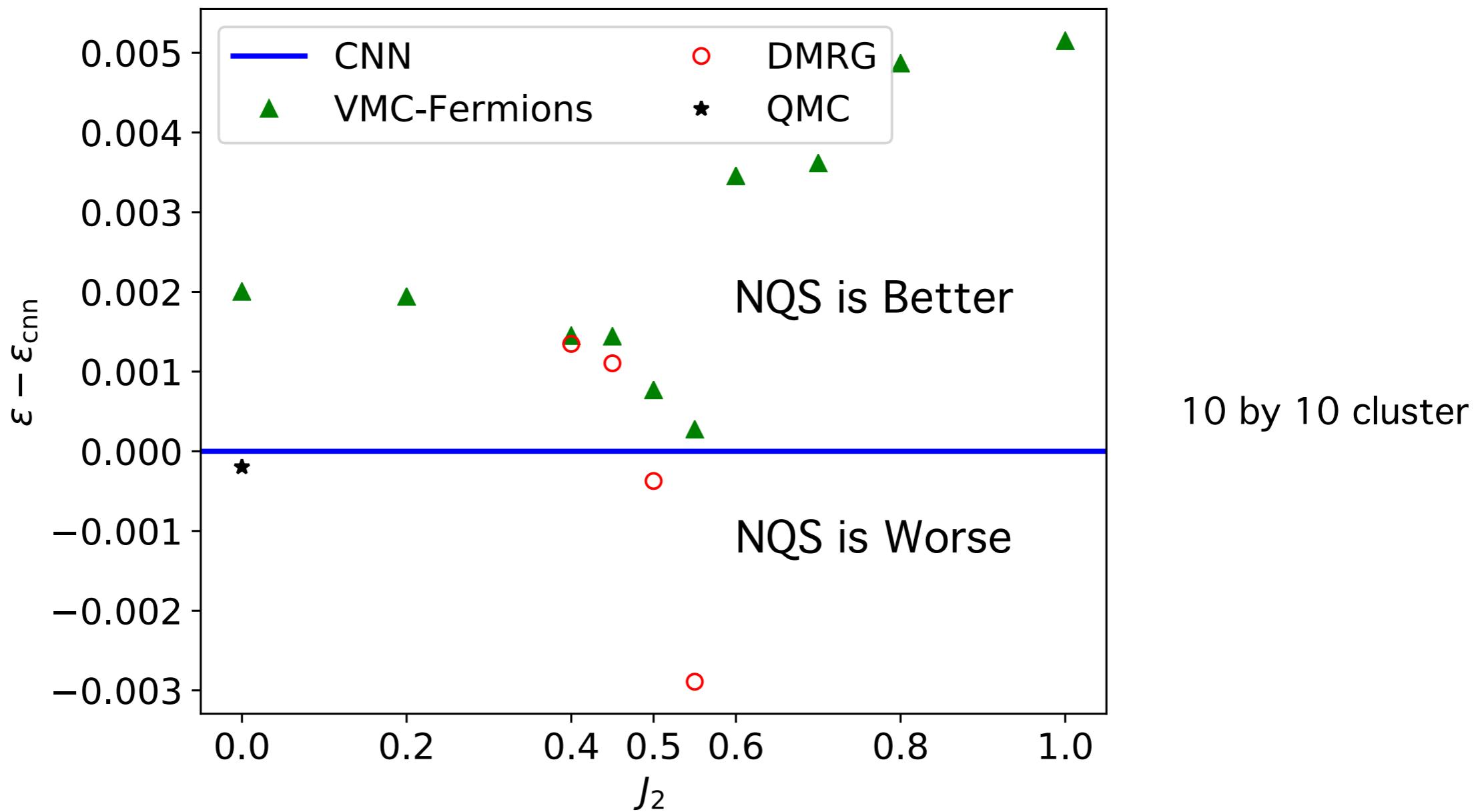
$$\hat{H} = J_1 \sum_{\langle ij \rangle} \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j + J_2 \sum_{\langle\langle ij \rangle\rangle} \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j$$



## Phase Diagram

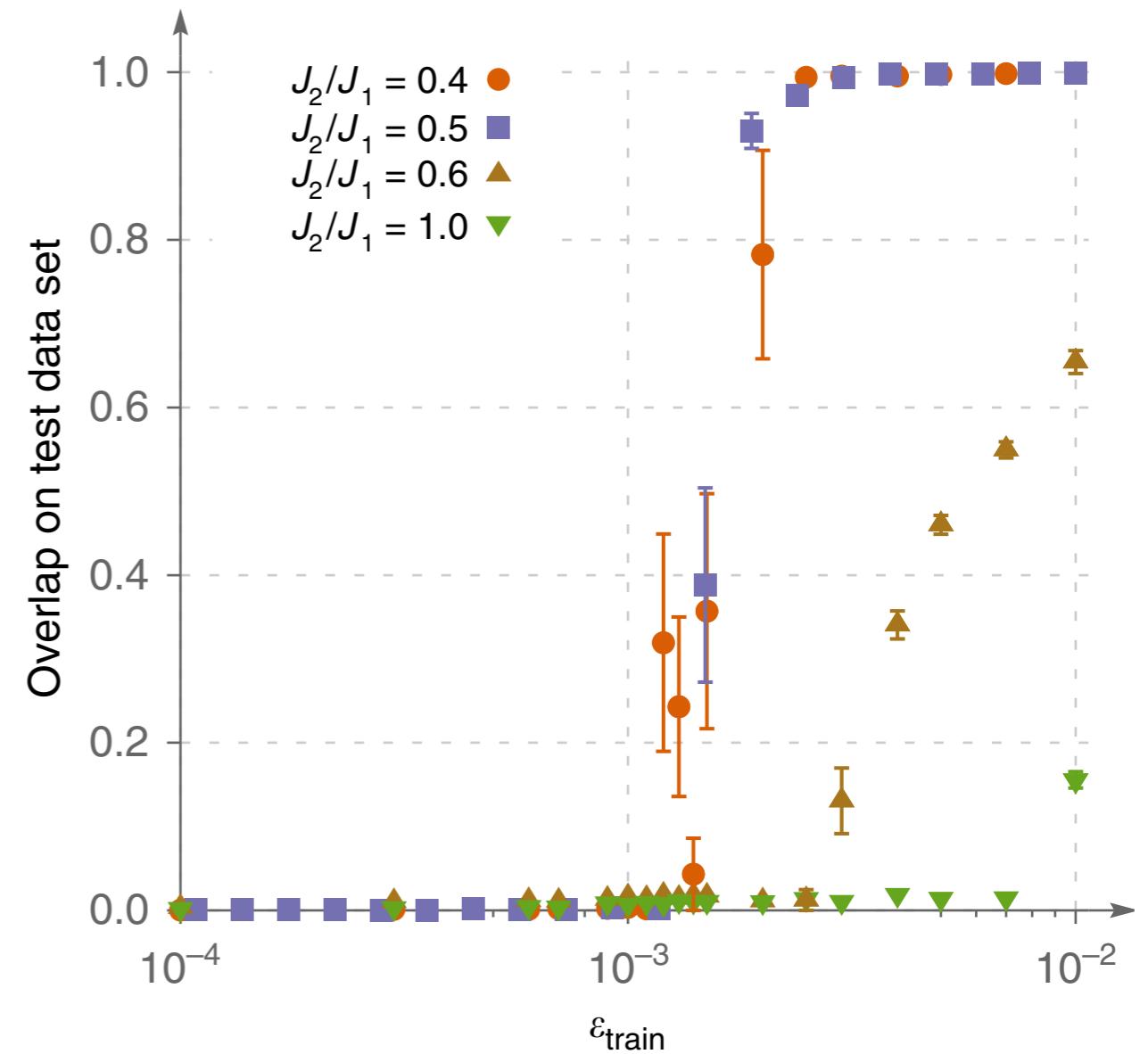
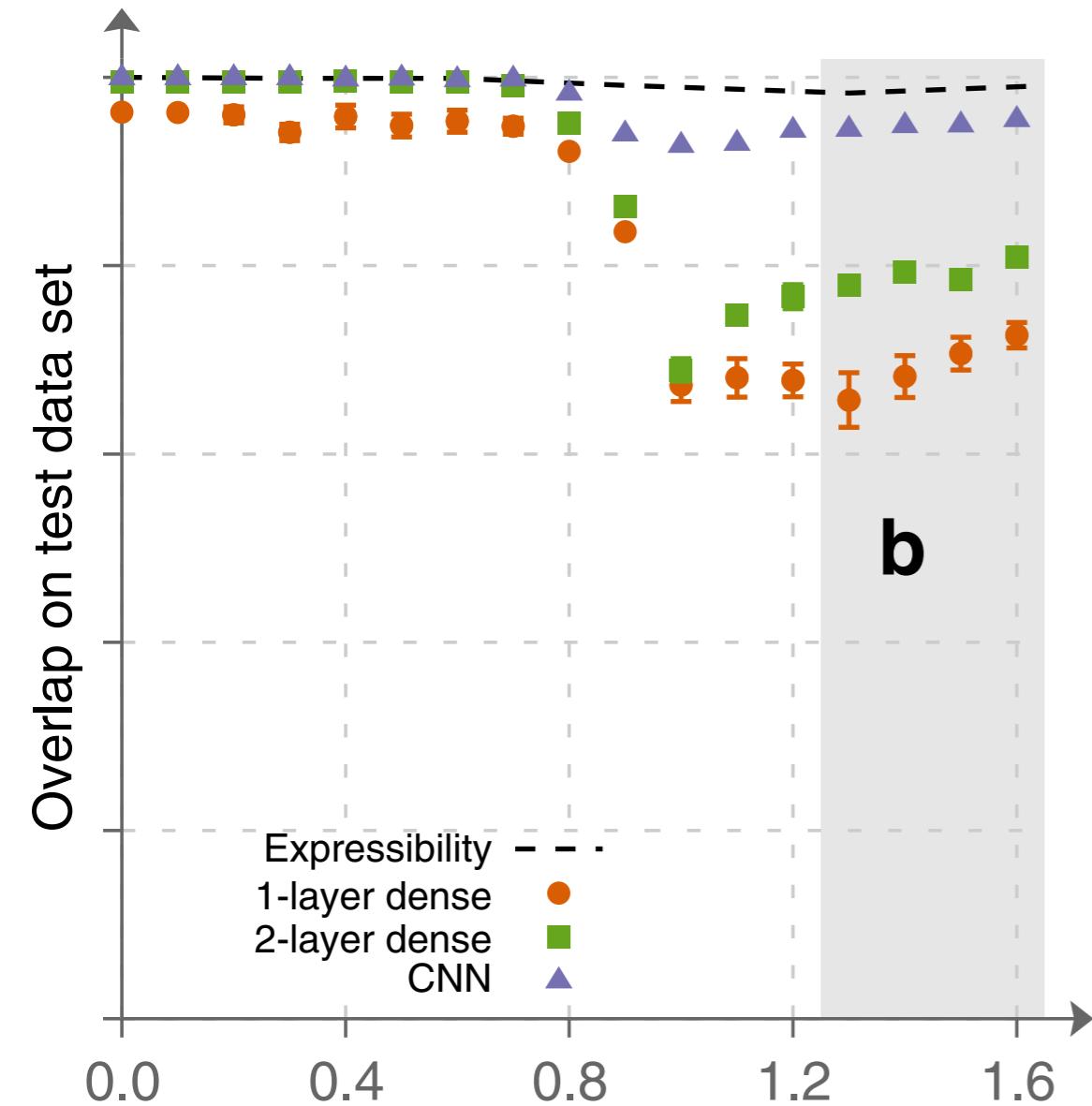


# J1-J2 Model Square Lattice



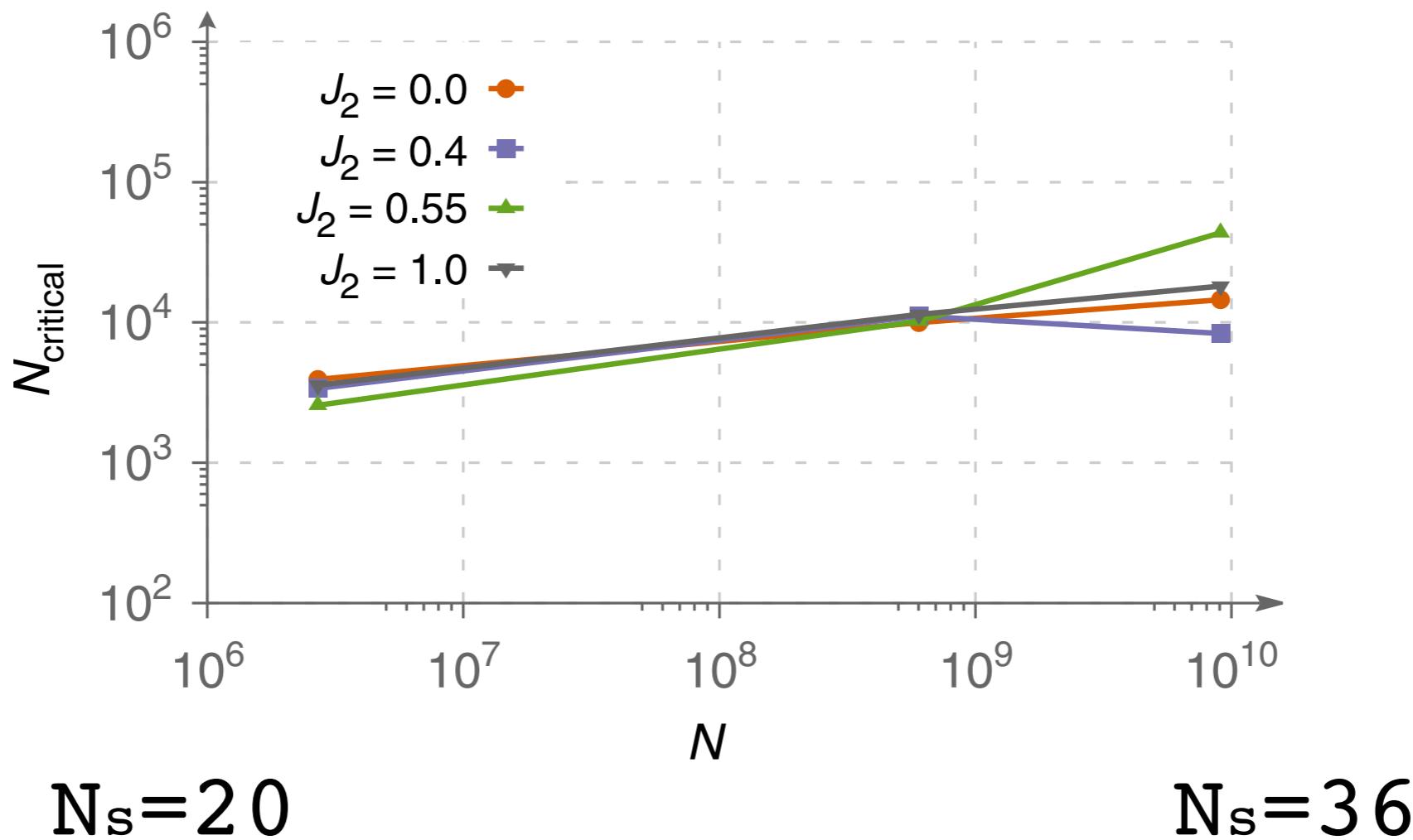
# Origin of the challenge: generalization for sign structure

Triangular lattice



Westerhout, Astrakhantsev, Tikhonov, Katsnelson, Bagrov  
Nature Comm. 11, 1593 (2020)

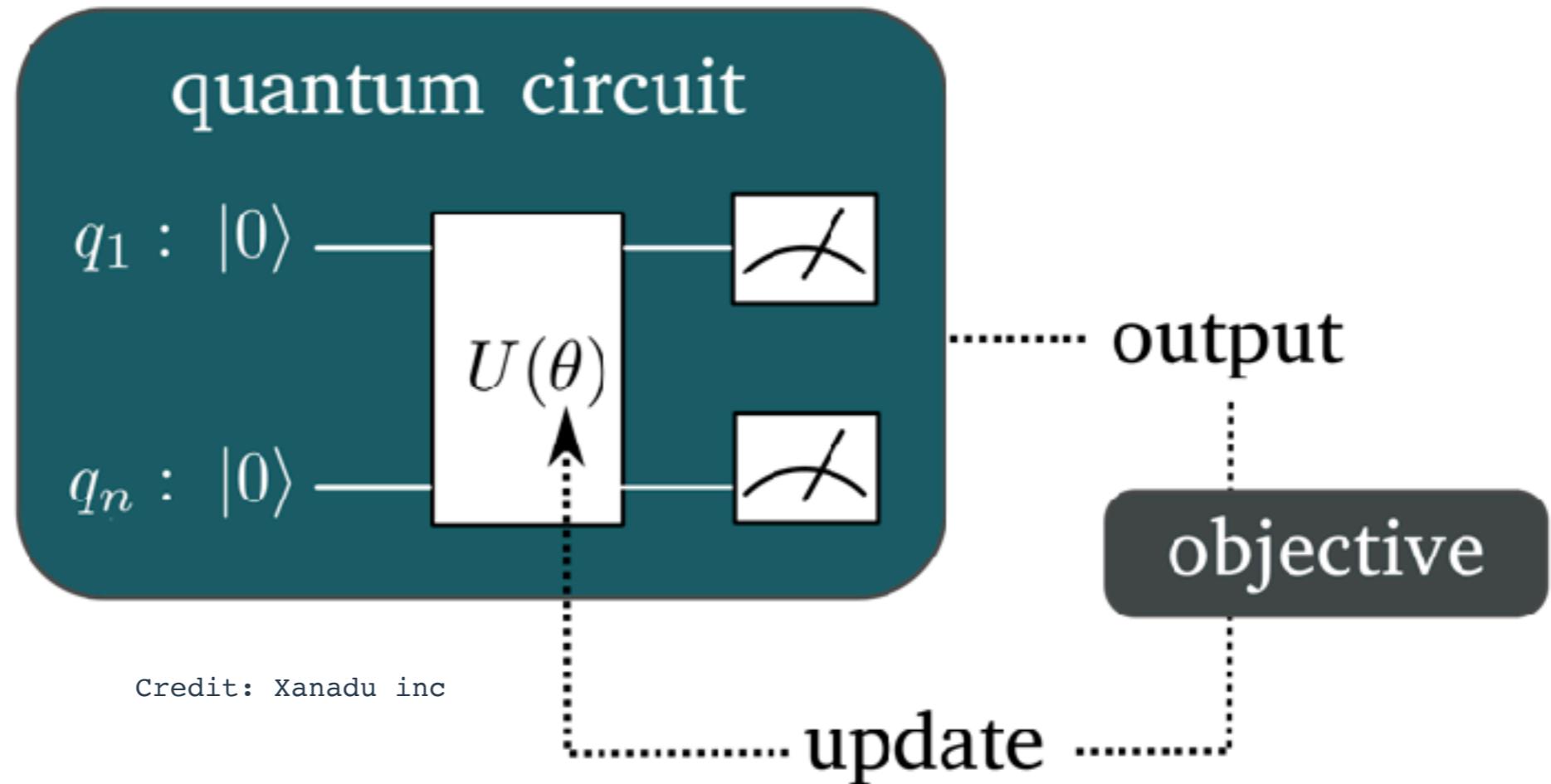
# Main Open Issue: Sample Complexity For Sign Structure



Westerhout, Astrakhantsev, Tikhonov, Katsnelson, Bagrov  
Nature Comm. 11, 1593 (2020)

# Quantum Variational States

# Variational Quantum Eigensolvers



$$E(\theta) = \langle \Psi_0 | U(\theta)^\dagger \mathcal{H} U(\theta) | \Psi_0 \rangle.$$

$$\mathcal{H} = \sum_k c_k \sigma_1^{(x,y,z)} \dots \sigma_N^{(x,y,z)}$$

• Energy is Estimated Stochastically on Samples From Measurements

# Strong Connection With Stochastic Classical Counterparts

*Stokes, Izaac, Killoran, and Carleo*  
Quantum 4, 269 (2020)

Quantum  
Variational  
Imaginary-Time  
Evolution

Stochastic  
Reconfiguration

Higher-Order  
Optimizer for  
Quantum Machine  
Learning

.....  
Stochastic  
Estimates of  
the Quantum  
Geometric  
Tensor  
.....

Natural  
Gradient

Quantum  
Variational  
Real-Time  
Evolution

Time-Dependent  
Variational  
Monte Carlo

# Stochastic Reconfiguration (Natural Gradient)

Sandro Sorella et al.  
Physical Review Letters  
80, 4558 (1998)

Shun-Ichi Amari  
Journal Neural Computation  
10, 251 (1998)

$$\sum_{k'} S_{k,k'} \Delta p_{k'} = -G_k$$

“Second-Order”  
Method

$$S_{k,k'} = \langle \mathcal{O}_k^* \mathcal{O}_{k'} \rangle - \langle \mathcal{O}_k^* \rangle \langle \mathcal{O}_{k'} \rangle$$

Quantum Geometric  
Tensor or Quantum  
Fisher Information

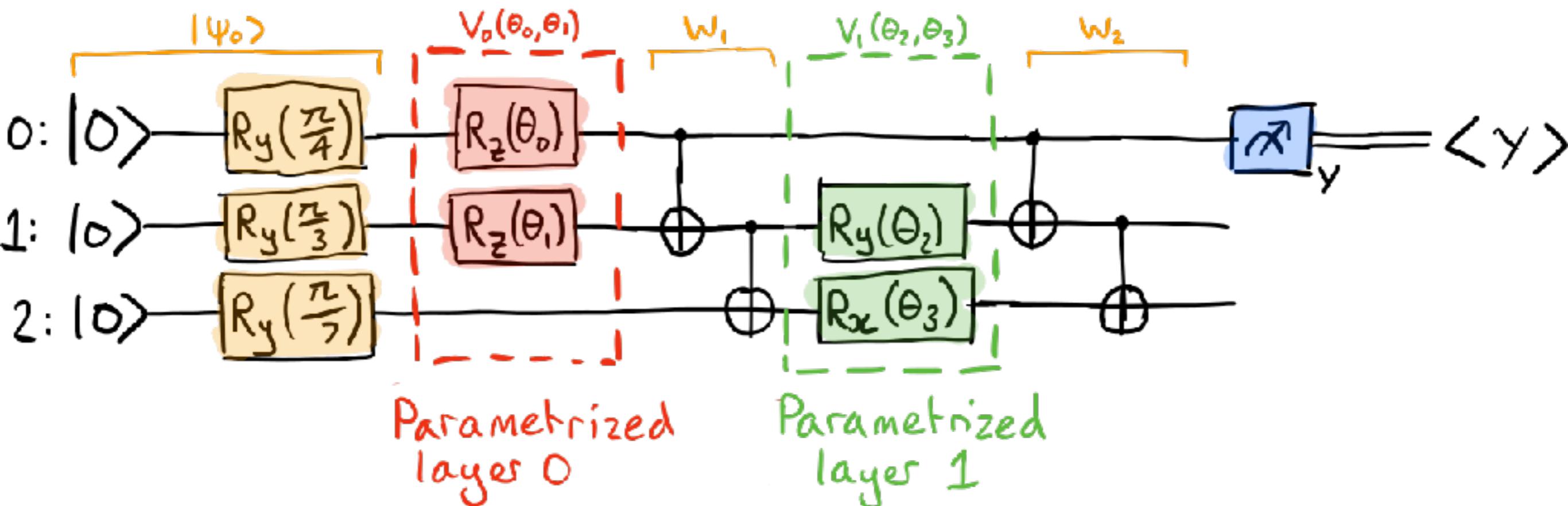
Linear System to be Solved at each  
iteration of the optimizer

Sparse solvers can be used when dealing  
with large number of parameters

# Quantum Natural Gradient

Stokes, Izaac, Killoran, and Carleo

Quantum 4, 269 (2020)



2x2 matrix  
for layer 0

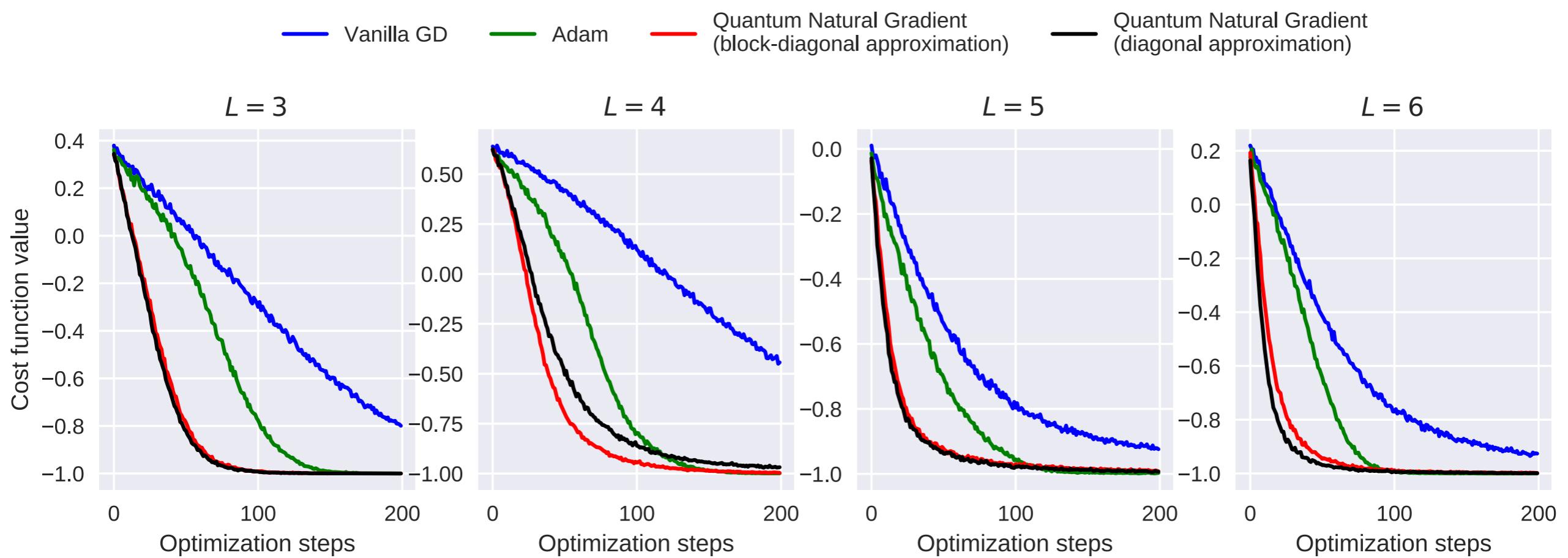
$$g = \begin{bmatrix} g^{(0)} & 0 \\ 0 & g^{(1)} \end{bmatrix}$$

2x2 matrix  
for layer 1

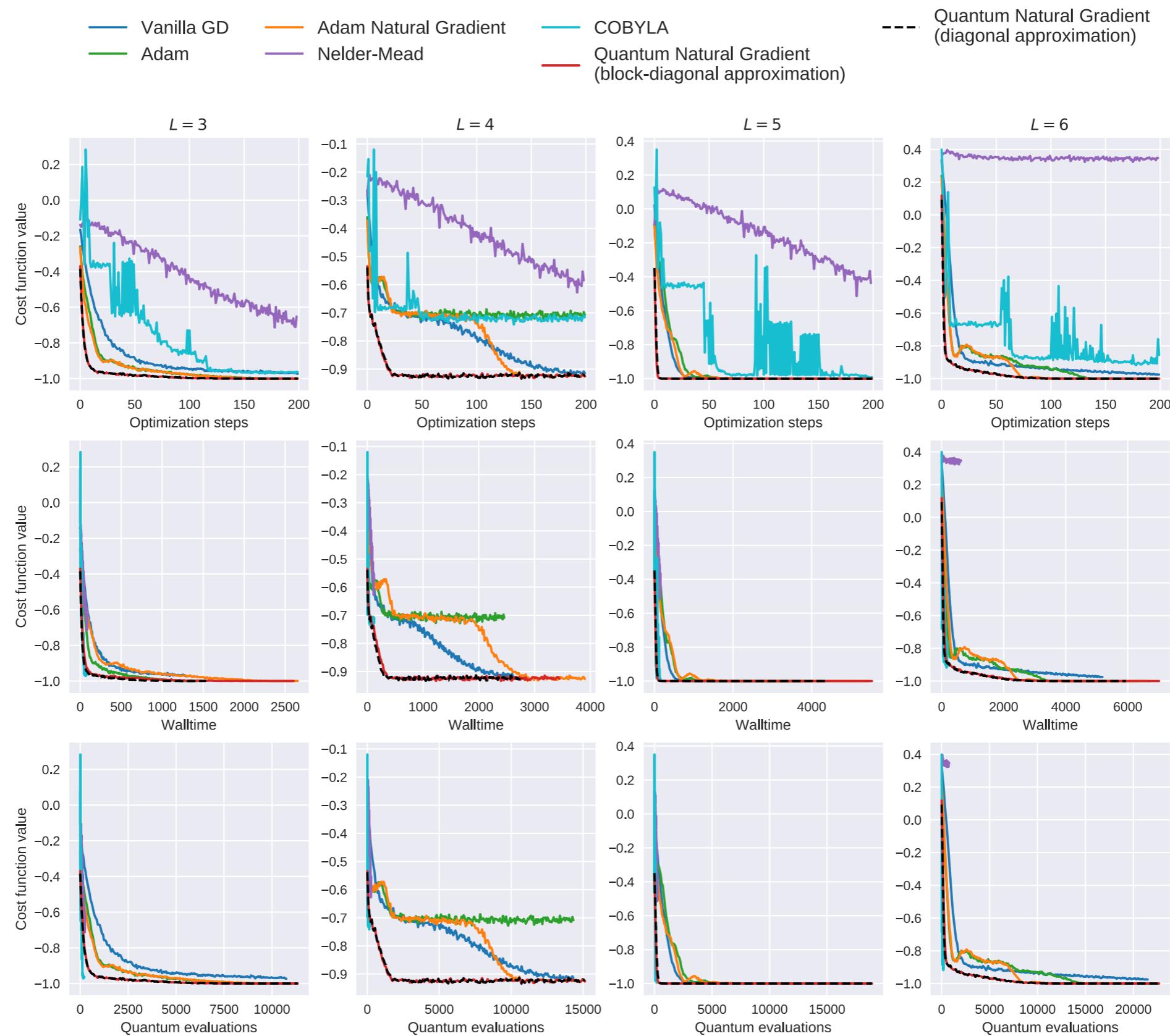
$$\theta_{t+1} = \theta_t - \eta g^+(\theta) \nabla L(\theta),$$

# Faster Convergence

*Stokes, Izaac, Killoran, and Carleo*  
Quantum 4, 269 (2020)

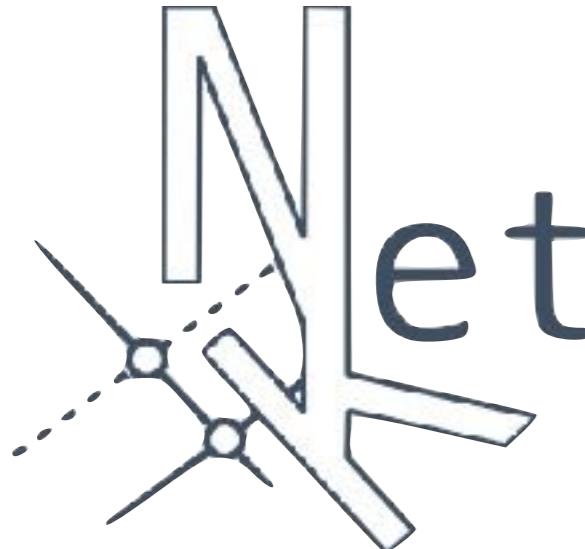


# Quantum Evaluations



# Software

# The NetKet Project



[www.netket.org](http://www.netket.org)

## NetKet: A Machine Learning Toolkit for Many-Body Quantum Systems

Giuseppe Carleo,<sup>1</sup> Kenny Choo,<sup>2</sup> Damian Hofmann,<sup>3</sup> James E. T. Smith,<sup>4</sup> Tom Westerhout,<sup>5</sup> Fabien Alet,<sup>6</sup> Emily J. Davis,<sup>7</sup> Stavros Efthymiou,<sup>8</sup> Ivan Glasser,<sup>8</sup> Sheng-Hsuan Lin,<sup>9</sup> Marta Mauri,<sup>1,10</sup> Guglielmo Mazzola,<sup>11</sup> Christian B. Mendl,<sup>12</sup> Evert van Nieuwenburg,<sup>13</sup> Ossian O'Reilly,<sup>14</sup> Hugo Théveniaut,<sup>6</sup> Giacomo Torlai,<sup>1</sup> and Alexander Wietek<sup>1</sup>

<sup>1</sup>Center for Computational Quantum Physics, Flatiron Institute, 162 5th Avenue, NY 10010, New York, USA

<sup>2</sup>Department of Physics, University of Zurich, Winterthurerstrasse 190, 8057 Zürich, Switzerland

<sup>3</sup>Max Planck Institute for the Structure and Dynamics of Matter, Luruper Chaussee 149, 22761 Hamburg, Germany

<sup>4</sup>Department of Chemistry, University of Colorado Boulder, Boulder, Colorado 80302, USA

<sup>5</sup>Institute for Molecules and Materials, Radboud University, NL-6525 AJ Nijmegen, The Netherlands

<sup>6</sup>Laboratoire de Physique Théorique, IRSAMC, Université de Toulouse, CNRS, UPS, 31062 Toulouse, France

<sup>7</sup>Department of Physics, Stanford University, Stanford, California 94305, USA

<sup>8</sup>Max-Planck-Institut für Quantenoptik, Hans-Kopfermann-Straße 1, 85748 Garching bei München, Germany

<sup>9</sup>Department of Physics, T42, Technische Universität München, James-Franck-Straße 1, 85748 Garching bei München, Germany

<sup>10</sup>Dipartimento di Fisica, Università degli Studi di Milano, via Celoria 16, I-20133 Milano, Italy

<sup>11</sup>Theoretische Physik, ETH Zürich, 8093 Zürich, Switzerland

<sup>12</sup>Technische Universität Dresden, Institute of Scientific Computing, Zellescher Weg 12-14, 01069 Dresden, Germany

<sup>13</sup>Institute for Quantum Information and Matter,

California Institute of Technology, Pasadena, CA 91125, USA

<sup>14</sup>Southern California Earthquake Center, University of Southern California, 3651 Trousdale Pkwy, Los Angeles, CA 90089, USA

```
import netket as nk

# 1D Lattice
g = nk.graph.Hypercube(length=20, n_dim=1, pbc=True)

# Hilbert space of spins on the graph
hi = nk.hilbert.Spin(s=0.5, graph=g)

# Ising spin hamiltonian
ha = nk.operator.Ising(h=1.0, hilbert=hi)

# RBM Spin Machine
ma = nk.machine.RbmSpin(alpha=1, hilbert=hi)
ma.init_random_parameters(seed=1234, sigma=0.01)

# Metropolis Local Sampling
sa = nk.sampler.MetropolisLocal(machine=ma)

# Optimizer
op = nk.optimizer.Sgd(learning_rate=0.1)

# Stochastic reconfiguration
gs = nk.variational.Vmc(
    hamiltonian=ha,
    sampler=sa,
    optimizer=op,
    n_samples=1000,
    diag_shift=0.1,
    method='Sr')

gs.run(output_prefix='test', n_iter=300)
```

# Release 3.0 (soon out...)

Same APIs but Almost Entire Rewriting Under the Hood

**Pure Python:**  
Entirely Remove  
C++ codebase

Numba Kernels  
For Few Hotspots

Seamless  
Integration with  
**DL Frameworks**

Support for **GPU**  
and **TPU**



*GPU Tests on V100 Cards*

Up to 100X Speedup on Gradients

Up to 30X Speedup on Sampling

# Overview

# Outlook/Challenges

We need better  
optimization strategies  
and parameterizations  
for signs/phases

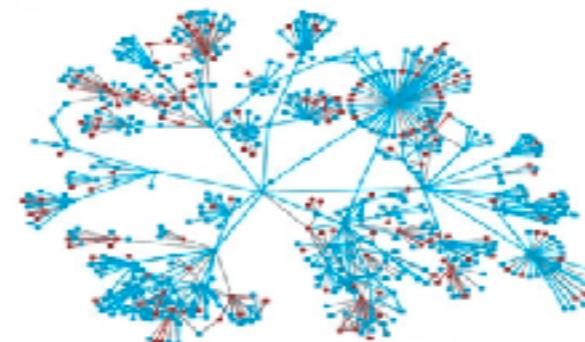
Still “Heroic”  
phase for  
fermions  
developments

Symmetries in  
networks play a  
fundamental role

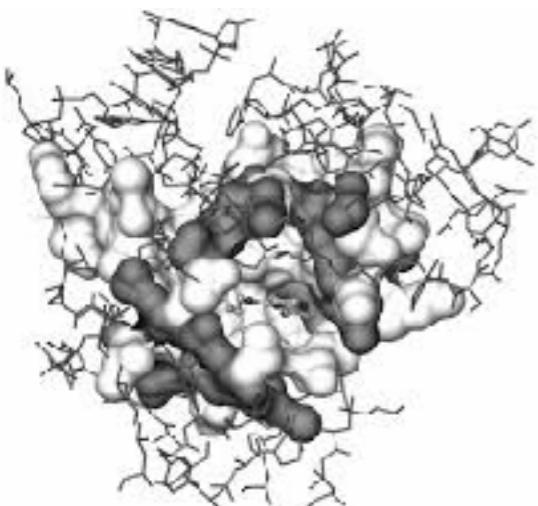
# Machine Learning in Physics



**Particle  
Physics**



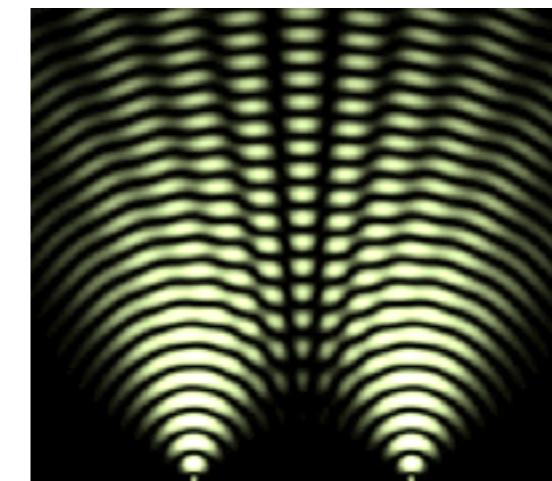
**Statistical  
Physics**



**Chemistry/  
Materials**



**Astrophysics**



*Carleo, Cirac, Cranmer, Daudet,  
Schuld, Tishby, Vogt, and Zdeborovà  
Rev. Mod. Phys. 91, 045002 (2019)*

**Quantum  
Physics**

# Issue 2: Resources

# Number of Measurements

## The Problem

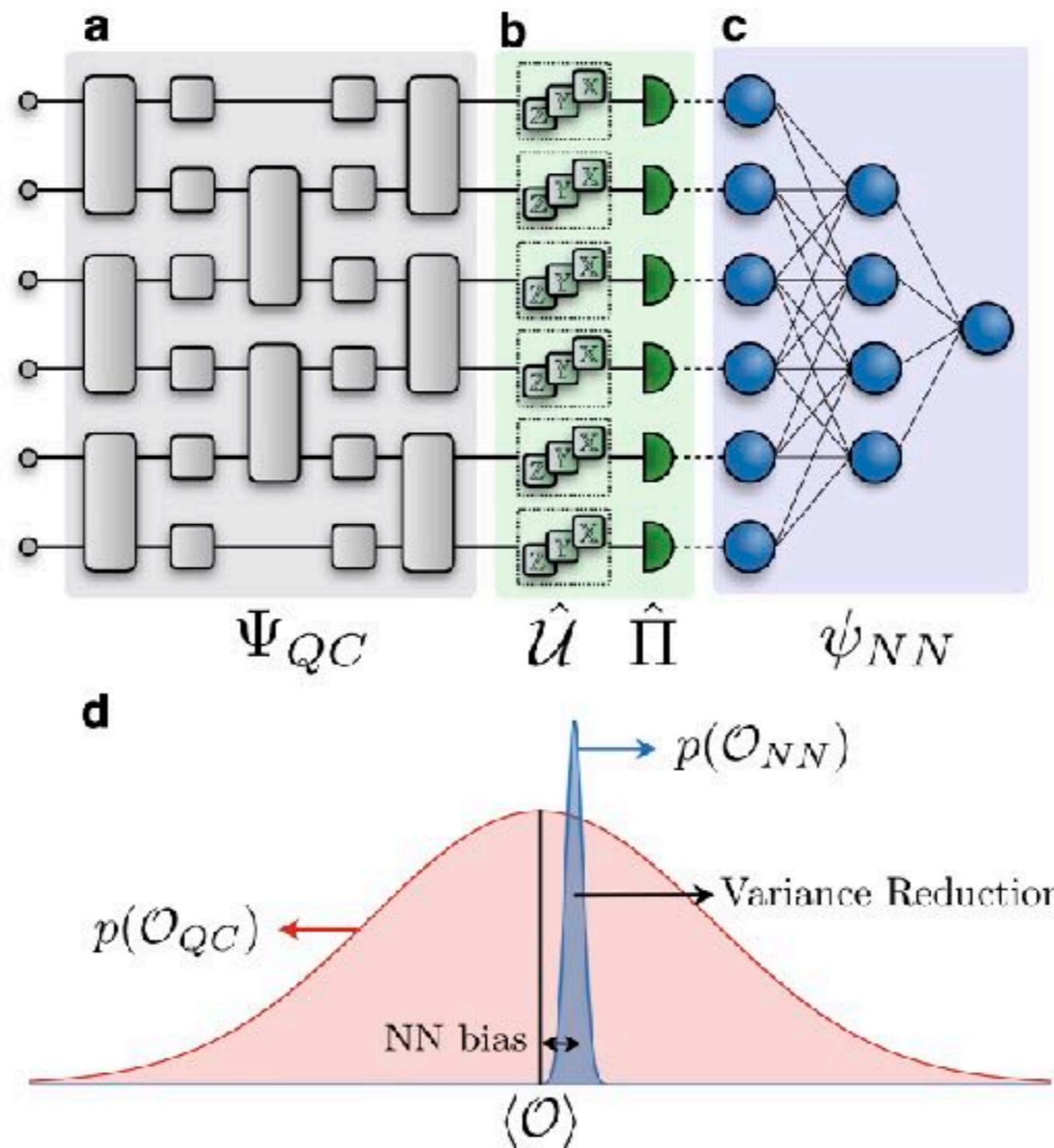
Precise Estimates of Physical Observables typically require several millions or more of measurements even on very small systems

$$\mathcal{H} = \sum_k c_k \sigma_1^{(x,y,z)} \dots \sigma_N^{(x,y,z)}$$

Example: Estimate Energy Using Measurements in Pauli Basis

# Neural-Network State Parameterization

*Torlai, Mazzola, Carleo, and Mezzacapo*  
Phys. Rev. Research 2, 022060 (2020)



# Neural-Network Tomography

*Torlai, Mazzola, Carrasquilla,  
Troyer, Melko, and Carleo  
Nature Physics (2018)*

Neural-Network Training: find  $\mathbf{W}$  such that

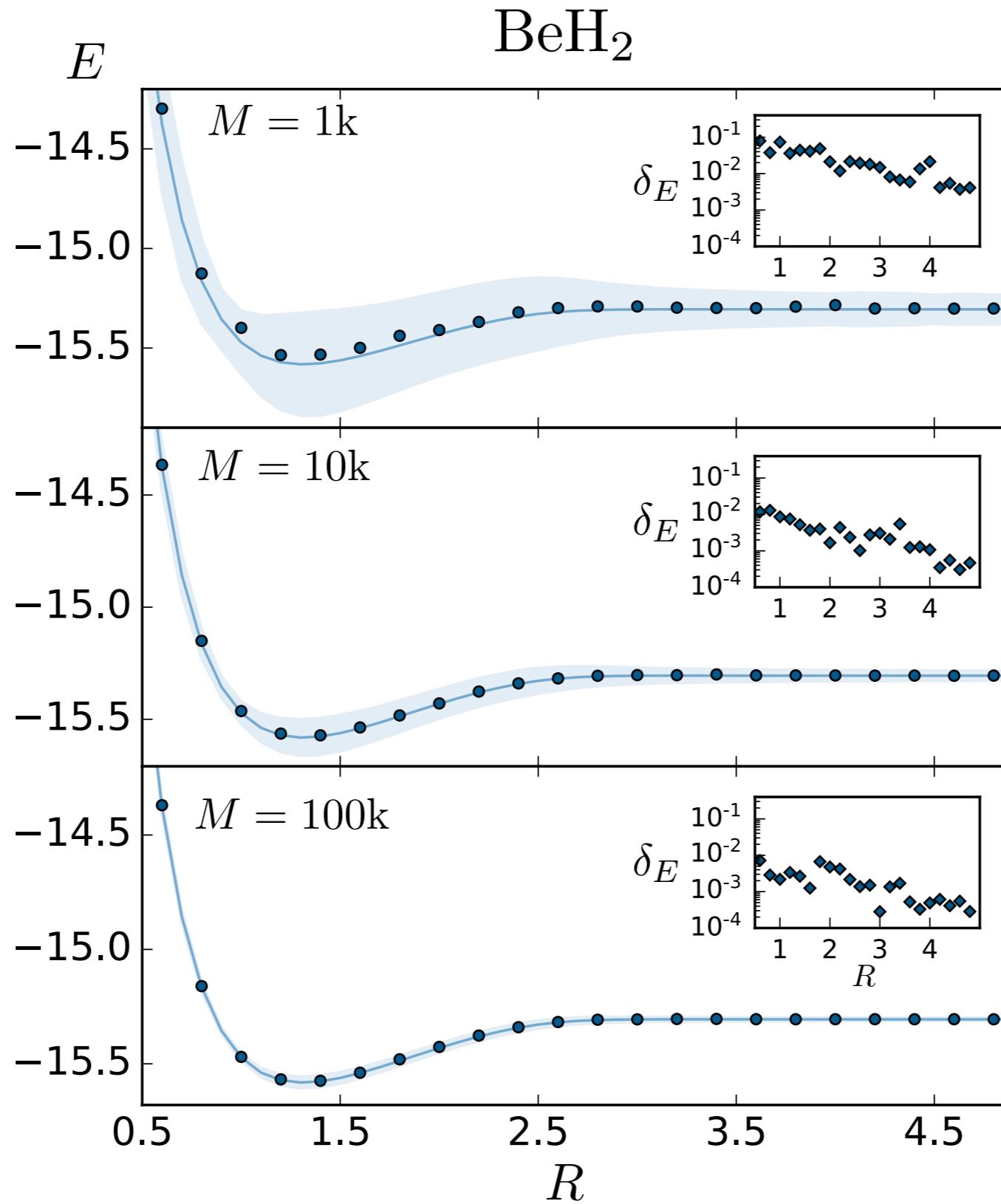
$$|\Psi_b(\mathbf{s}, \mathbf{W})|^2 \simeq P_b(\mathbf{s}) \quad \text{in all given bases}$$

- $\Psi_b(\mathbf{s}, \mathbf{W}) = \sum_{\mathbf{s}'} \Psi(\mathbf{s}, \mathbf{W}) U_{s,s'}^b$
  - Sparse Unitary Matrices

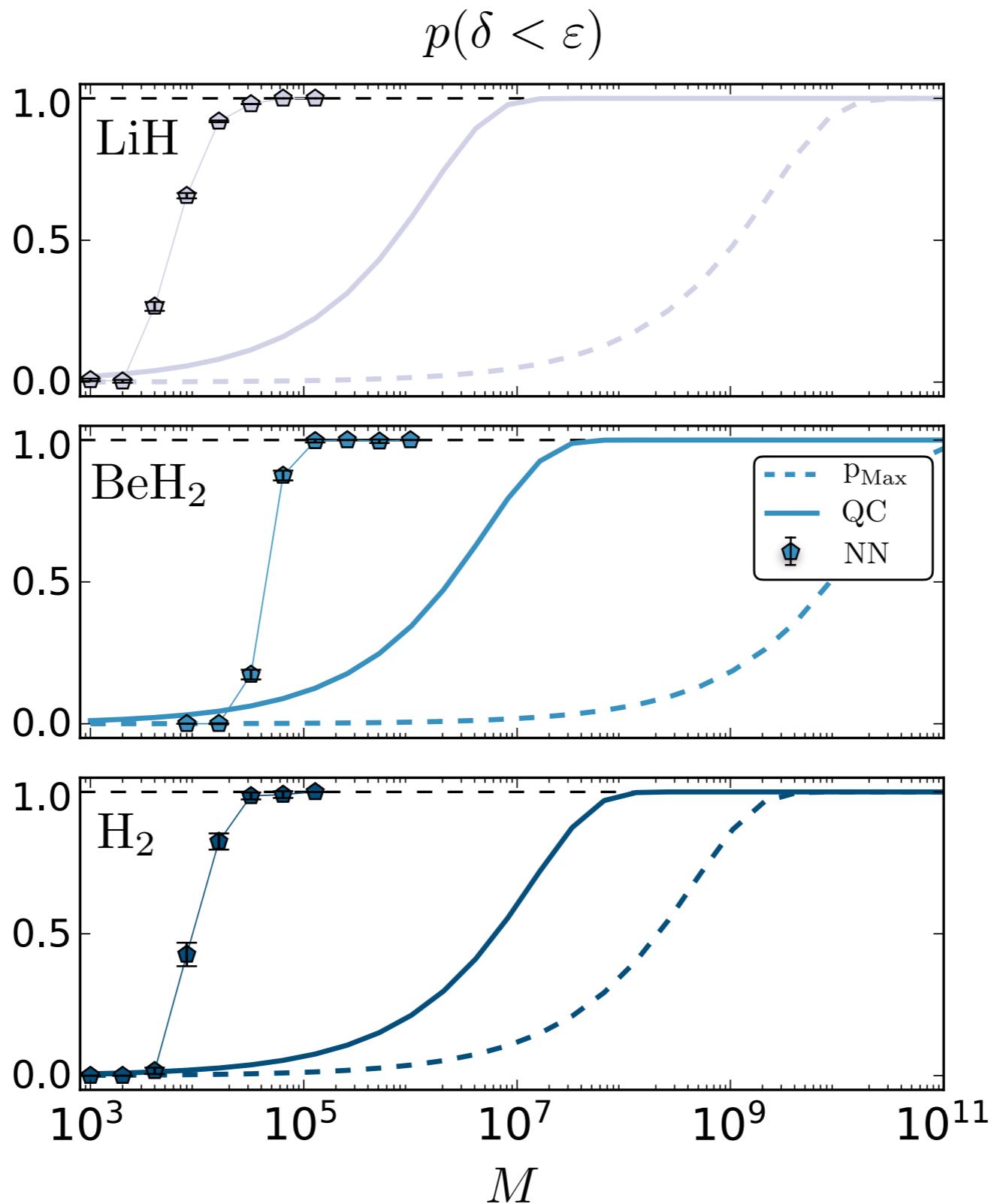
$$\mathcal{L}(\mathbf{W}) = \sum_b \sum_{\mathbf{s}} P_b(\mathbf{s}) \log \frac{P_b(\mathbf{s})}{|\Psi_b(\mathbf{s}, \mathbf{W})|^2}$$

Minimise Sum  
of Kullback-  
Leibler  
Divergences

# Quantum Chemistry Problems



# Prob. Of “Chemical Accuracy”



Reduce number of measurements by few orders of magnitude

Torlai, Mazzola,  
Carleo, and  
Mezzacapo  
Phys. Rev. Research  
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