The BHSO/BSA Partnership Agreement

Bob Gordon, BHSO
Jack Anderson, BSA

May 14, 2015
It's all about Respect, Engagement and Sharing in Mission Success!

Department of Energy/Brookhaven Group & Brookhaven Science Associates

PARTNERING AGREEMENT

On behalf of the American public, the Department of Energy has entrusted a national asset, the Brookhaven National Laboratory, to Brookhaven Science Associates, LLC, to serve the public interest in performing world-class science. BSA has committed to bring its resources and talent to bear in managing and operating BNL for the conduct of scientific programs, and to exercise appropriate stewardship of laboratory assets. Prime contract No. DE-AC02-98CH10886 between DOE and BSA embodies the overall commitments and responsibilities of the parties with respect to BNL, but the contract itself is but a manifestation of the legal obligations of the parties. The parties desire to establish a spirit of partnership that transcends the contract, and to develop a framework for cooperation based on shared values, objectives and goals.

To effectively serve the public interest, BHG and BSA agree to work in a partnering relationship to define common goals and objectives, improve communications, and foster a cooperative problem-solving attitude to promote mutually beneficial results in achieving the mission of Brookhaven National Laboratory.

SHARED VALUES: BHG and BSA agree that these values are important and crucial to the partnering effort at BNL:

- **Teamwork** – Partners each take an active role in achieving missions and resolving problems or challenges, with the collective and cohesive team being more powerful than either of its parts.
- **Mutual Trust** – Partners’ actions are consistent and predictable. Trust is earned when actions are proven to be consistent with words and commitments.
- **Respect** – Partners understand and honor the other’s authorities, boundaries, and honest differences.
- **Open Communication** – Partners encourage communications at all levels, and share.
- **Honesty** – Partners have the courage to confront and resolve conflict in an open and forthright manner.
- **Accountability** – Partners recognize and accept commitments and choices, and are responsible to each other for meeting commitments.
- **Continuous Improvement** – Partners recognize the value of change in the ways of doing business to eliminate unnecessary processes and procedures that provide little benefit to either party.

PARTNERSHIP OBJECTIVES: In partnering, BHG and BSA agree on the following set of objectives:

1. BHG and BSA will jointly define and achieve objectives, which are defined as Critical Outcomes.
2. BSA will have full responsibility and accountability for management and operation of BNL, consistent with the contract, and will identify, propose and carry out agreed to work programs.
3. BHG will exercise ownership responsibilities, including the formulation of program definition, program planning, and the general direction of Laboratory mission. BHG will provide necessary resources consistent with applicable law.
4. BHG will provide oversight of program objectives, accomplishments and Laboratory management at a level commensurate with risk.
5. BHG and BSA will institute performance-based management assessment and apply best management practices and industry standards.
6. BHG and BSA will mutually respect each others’ management structure and decision-making processes, while striving to solve problems at the lowest possible management level where appropriate.

Department of Energy – Brookhaven Group

May 13, 1998

John H. Martinson

Brookhaven Science Associates

May 5, 1998

date

Brookhaven National Laboratory
An important day -- December 18, 2014

DECLARATION

This agreement and opportunity for prolonged scientific discovery at the Brookhaven National Laboratory (BNL) are collectively vested in the Department of Energy and Brookhaven Science Associates, LLC (BSA). DOE and BSA will ensure the overall success of science, engineering, and education in research and community service. This agreement is committed to principles of accountability, exceptional stewardship, and responsibility in the spirit of collaboration that enhances the contract.

The Department of Energy (DOE) and BSA Laboratory and Corporate leadership will work together to advance the missions of Brookhaven National Laboratory in alignment with the Department’s management principles:

- Our mission is vital and urgent
- Excellence in Science and Technology: We set the benchmark for excellence
- We need our partners on our greatest asset
- We will pursue our mission in a manner that is safe, secure, healthy, equal, and socially responsible
- We will prioritize and efficiently manage risk in fulfilling our mission and maintaining strong internal and external accountability
- We will invest in our science team and continuously improve

DOE and BSA Laboratory and Corporate leadership will additionally work together as follows:

- DOE is responsible for management of the basic science and technical infrastructure of the Laboratory and ensures an integrated security program, Laboratory charter, and laboratory safety program. BSA ensures the full range of Laboratory programs and initiatives, partnering with DOE in support of DOE
- BSA Laboratory and Corporate leadership is accountable for safe, secure, and compliant implementation of programs, services, and customer relations, and to implementing a robust cost management program for all aspects of scientific research and development
- DOE and BSA Laboratory and Corporate leadership will continue to establish performance-based management goals and strategies, and develop metrics to assess and monitor the Laboratory’s performance and internal alignment with the Laboratory’s mission

December 18, 2014

[Signatures]

BSA Laboratory and Corporate leadership
The Brookhaven Site Office (BHSO) and BSA Laboratory and Corporate leadership will work together to achieve the missions of Brookhaven National Laboratory in alignment with the Department’s management principles:

- Our mission is vital and urgent
- Excellence in Science and Technology lies at the heart of our mission
- We treat our people as our greatest asset
- We will pursue our mission in a manner that is safe, secure, legally sound, and fiscally responsible
- We will efficiently and effectively manage risk in fulfilling our mission and institutionalize and excel at Contractor Assurance
- We will succeed only through teamwork and continuous improvement

The Brookhaven Site Office (BHSO) and BSA Laboratory and Corporate leadership will work together to achieve the missions of Brookhaven National Laboratory in alignment with the Department’s management principles:

- Our mission is vital and urgent
- Excellence in Science and Technology lies at the heart of our mission
- We treat our people as our greatest asset
- We will pursue our mission in a manner that is safe, secure, legally sound, and fiscally responsible
- We will efficiently and effectively manage risk in fulfilling our mission and institutionalize and excel at Contractor Assurance
- We will succeed only through teamwork and continuous improvement

BHSO and BSA Laboratory and Corporate leadership will additionally work together as follows:

- BHSO is responsible for management of the prime contract and federal stewardship of the Laboratory and maintains an integrated awareness of Laboratory planning, programs and operations. BSA Laboratory and Corporate leadership will engage BHSO across the full range of Laboratory programs and functions, partnering with BHSO in support of its roles and responsibilities.
- BSA Laboratory and Corporate leadership are accountable for safe, secure, and compliant execution of all programs. Laboratory and Corporate leadership are committed to achieving excellence in science/technology, operations/business, and community relations and to implementing a robust contractor assurance system for all aspects of Laboratory operations.
- BHSO, BSA Laboratory and Corporate leadership will continue to institute performance-based management processes, manage risk by optimizing performance requirements and cost, mutually respect each other’s management structure and decision-making process, and mutually embrace a system of self-assessment and continuous improvement.

December 19, 2014

DATE

[Signatures]

Frederic Crescenz
BHSO

Donald Gibbons
BNL

Samuel Stanford
BSA - SBG

Ronald Townsend
BSA - Battelle

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