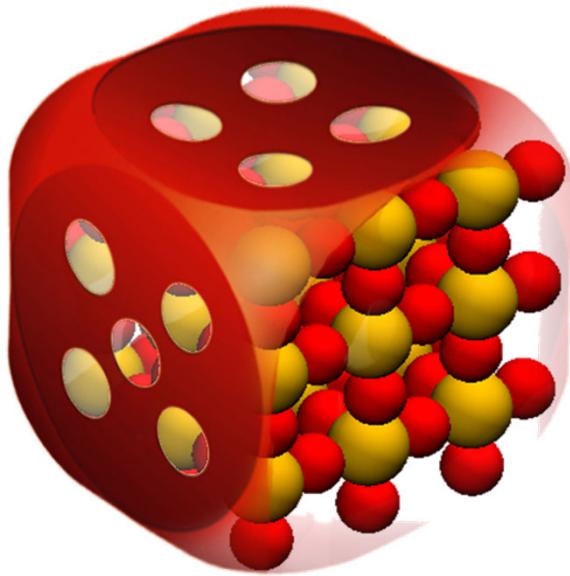


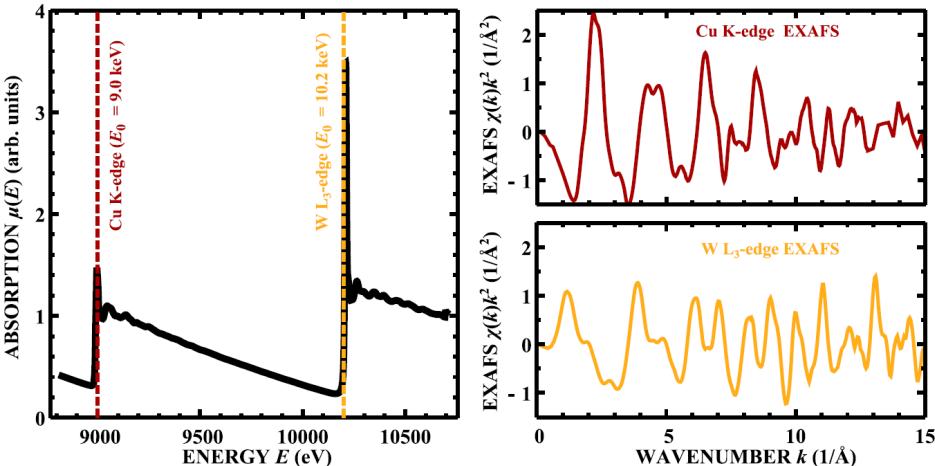
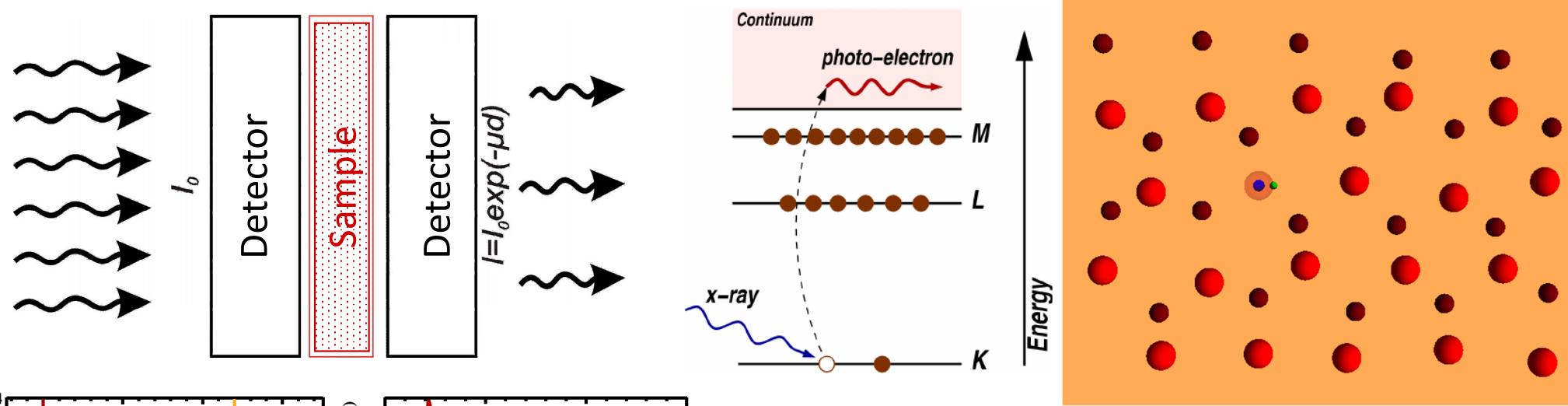
Reverse Monte Carlo simulations of EXAFS spectra



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Fritz Haber Institute of Max Planck Society, Berlin, Germany
janis@fhi-berlin.mpg.de

XAS basics



J.Rehr et al, Rev. Mod. Phys 72 620 (2000)

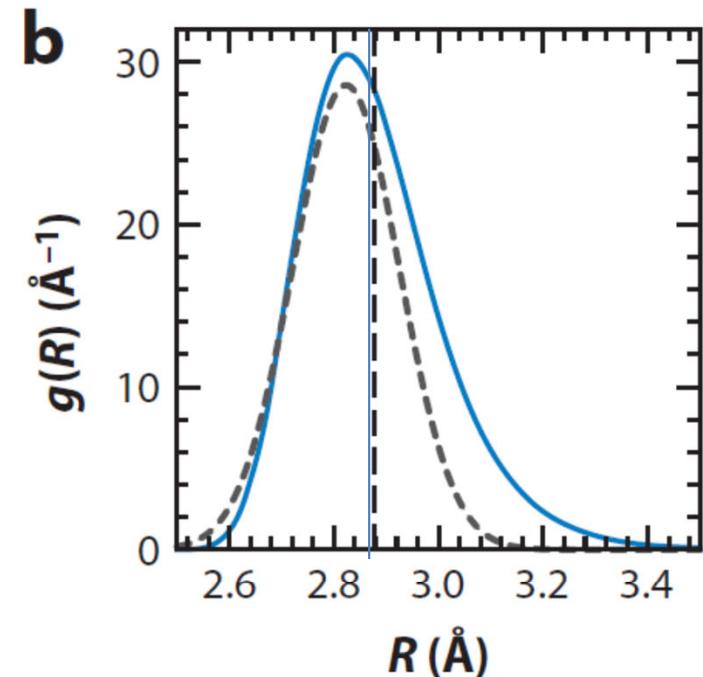
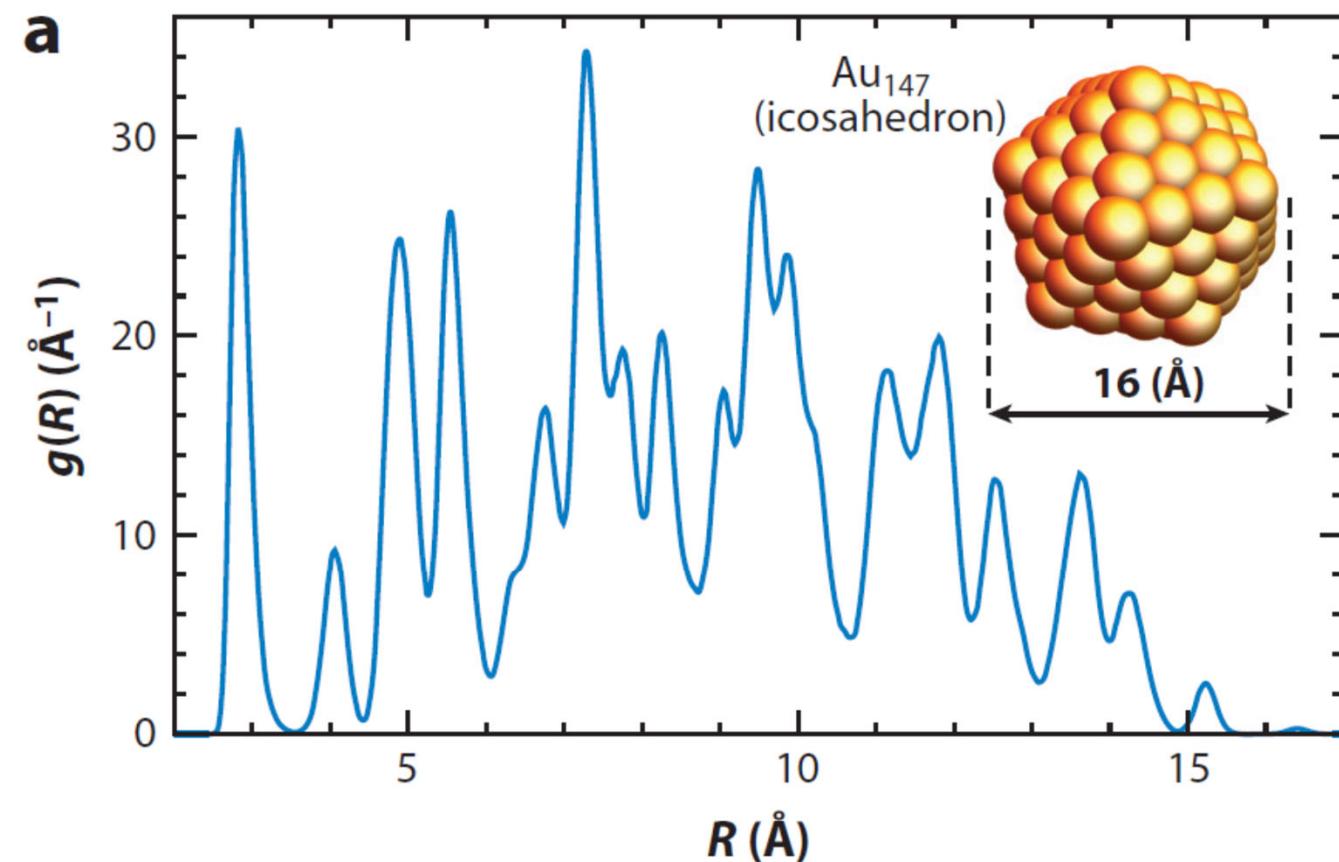
$$\chi_p(k) = S_0^2 \int_0^{+\infty} \underline{g_p(R)} \frac{f_p(k,R)}{kR^2} \sin(2kR + \phi_p(k)) dR$$

$$k = \sqrt{\frac{2m}{\hbar^2} (E_{\text{photon}} - E_0)}$$

$$\chi(k) = \sum_p \chi_p(k)$$

Radial distribution function

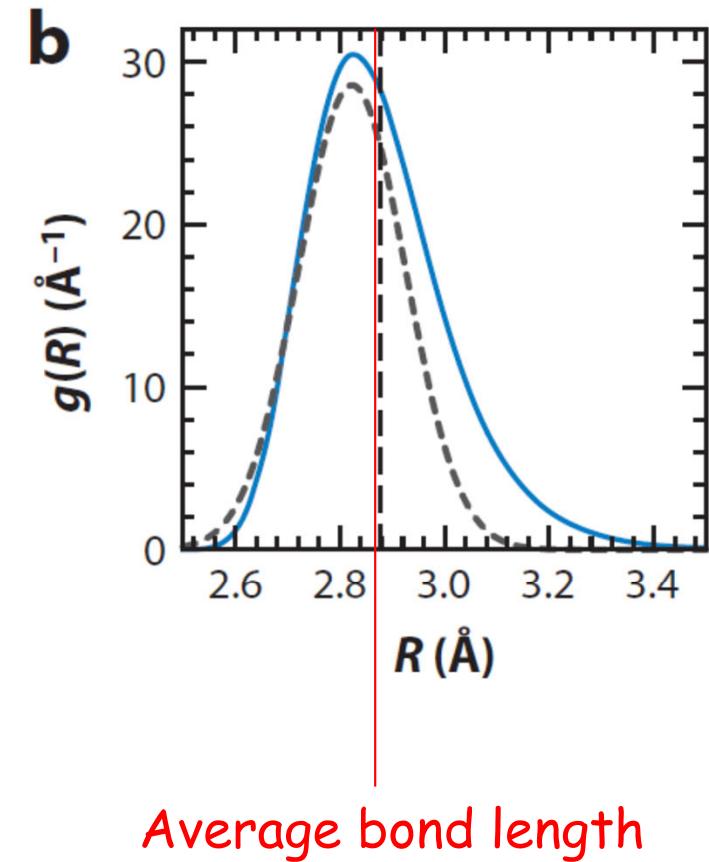
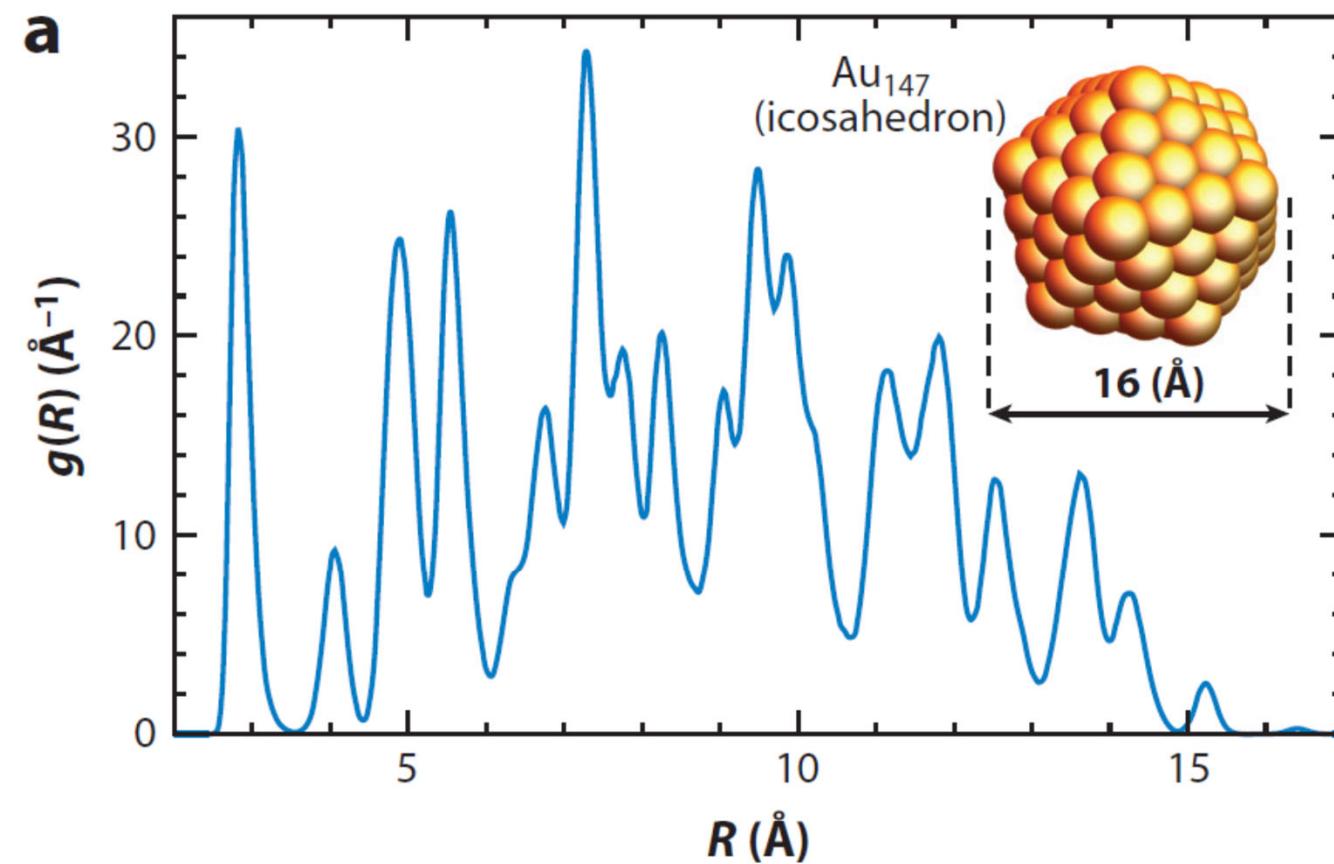
$$\chi_p(k) = S_0^2 \int_0^{+\infty} \mathbf{g}_p(R) \frac{f_p(k,R)}{kR^2} \sin\left(2kR + \phi_p(k)\right) dR$$



Timoshenko et al, Annu. Rev. Anal. Chem. 12 (2019)

Radial distribution function

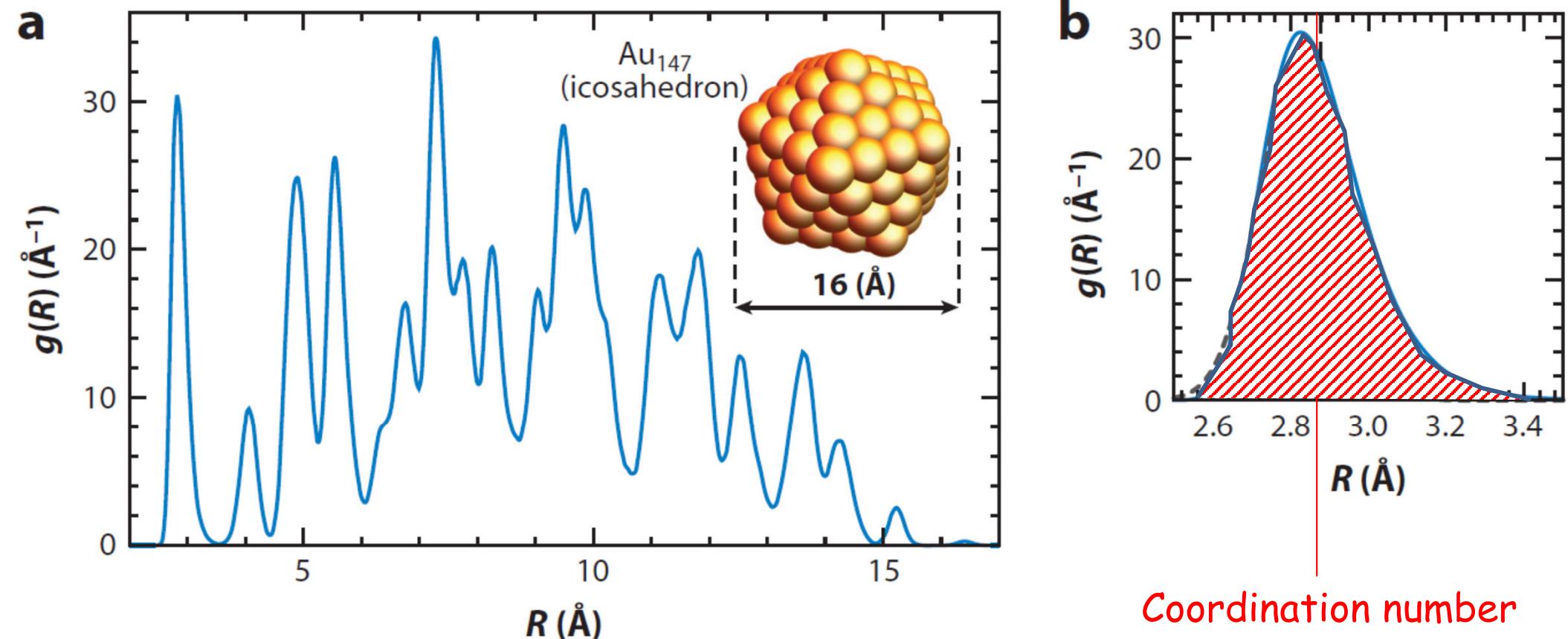
$$\chi_p(k) = S_0^2 \int_0^{+\infty} \mathbf{g}_p(R) \frac{f_p(k,R)}{kR^2} \sin\left(2kR + \phi_p(k)\right) dR$$



Timoshenko et al, Annu. Rev. Anal. Chem. 12 (2019)

Radial distribution function

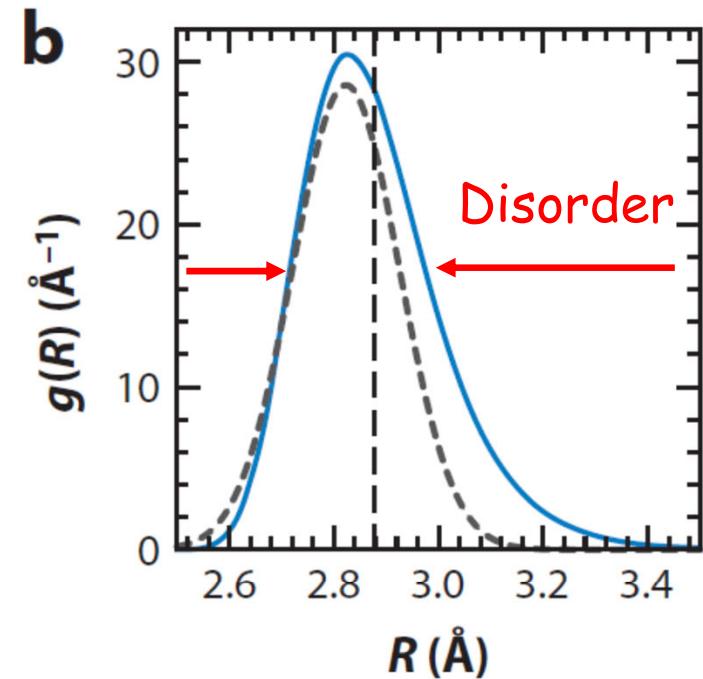
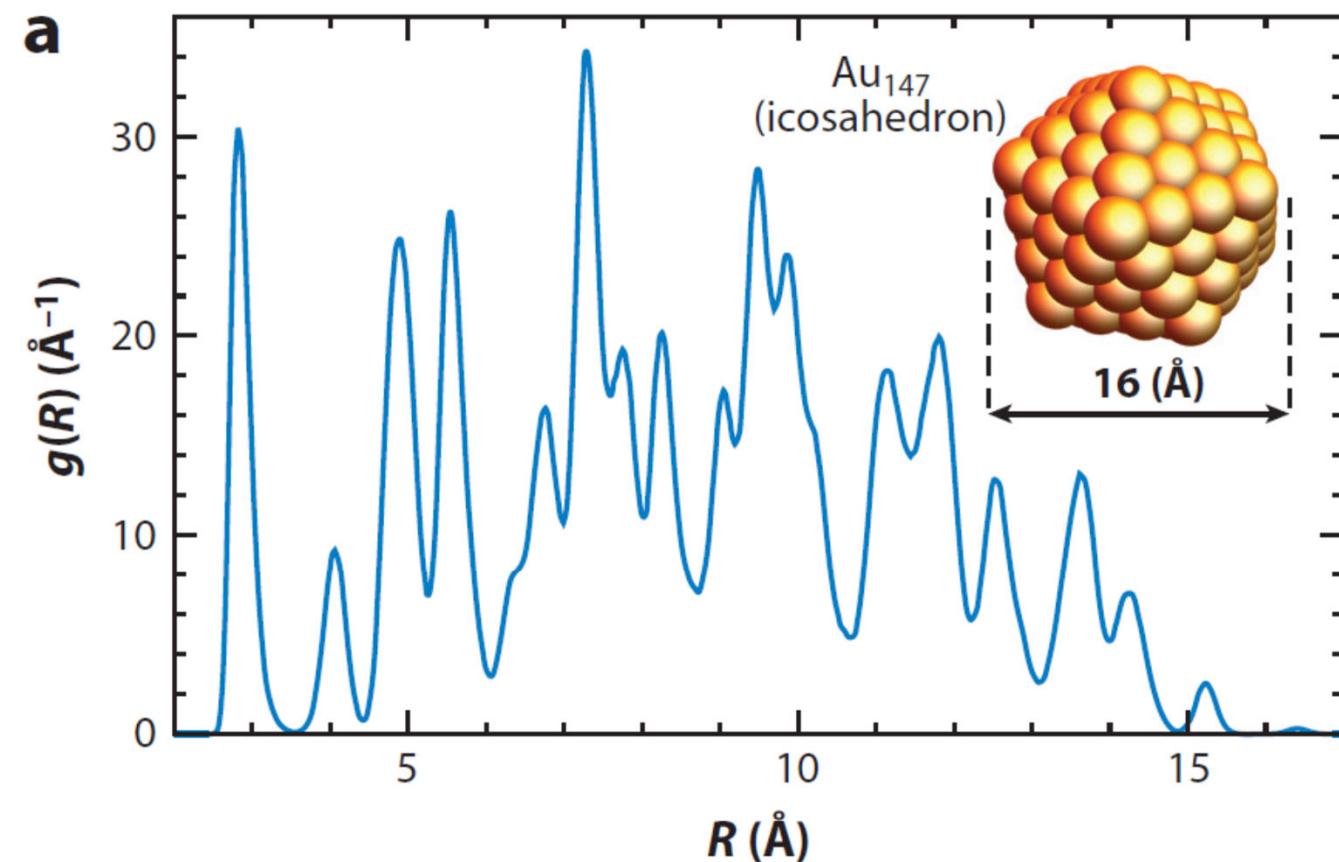
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Timoshenko et al, Annu. Rev. Anal. Chem. 12 (2019)

Radial distribution function

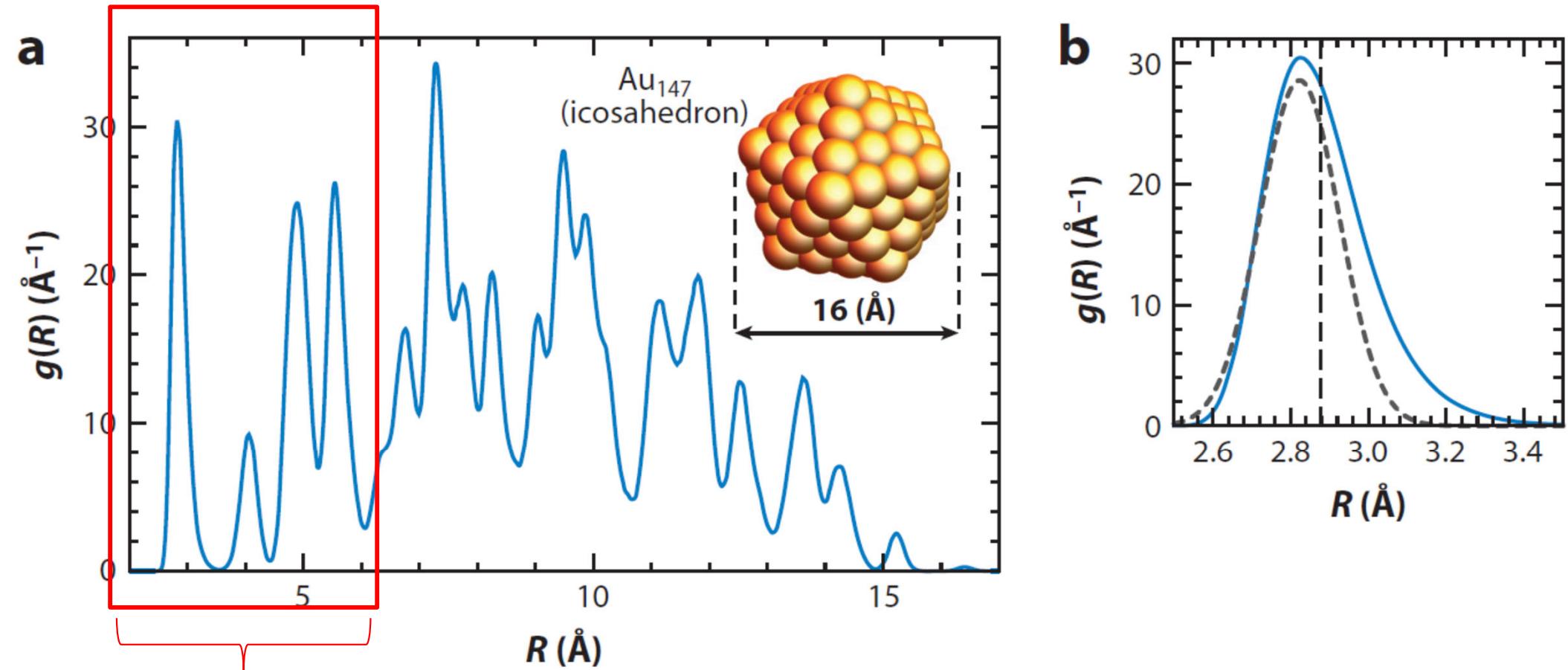
$$\chi_p(k) = S_0^2 \int_0^{+\infty} \mathbf{g}_p(R) \frac{f_p(k,R)}{kR^2} \sin\left(2kR + \phi_p(k)\right) dR$$



Timoshenko et al, Annu. Rev. Anal. Chem. 12 (2019)

Radial distribution function

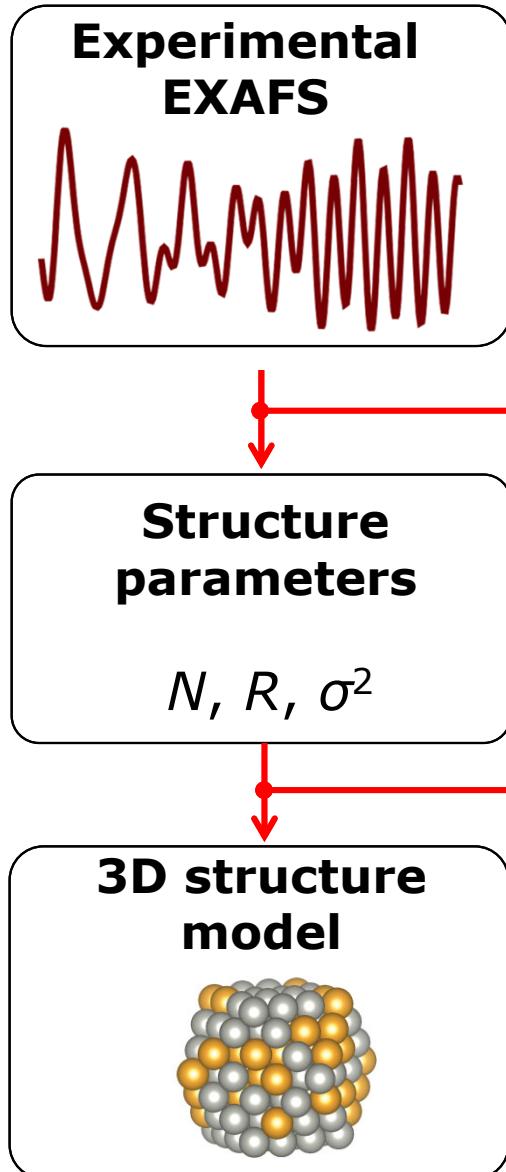
$$\chi_p(k) = S_0^2 \int_0^{+\infty} \mathbf{g}_p(R) \frac{f_p(k,R)}{kR^2} \sin(2kR + \phi_p(k)) dR$$



RDF accessible by EXAFS

Timoshenko et al, Annu. Rev. Anal. Chem. 12 (2019)

Conventional EXAFS analysis



$$\chi_p(k) = S_0^2 \int_0^{+\infty} \mathbf{g}_p(\mathbf{R}) \frac{f_p(k, R)}{kR^2} \sin(2kR + \phi_p(k)) dR$$

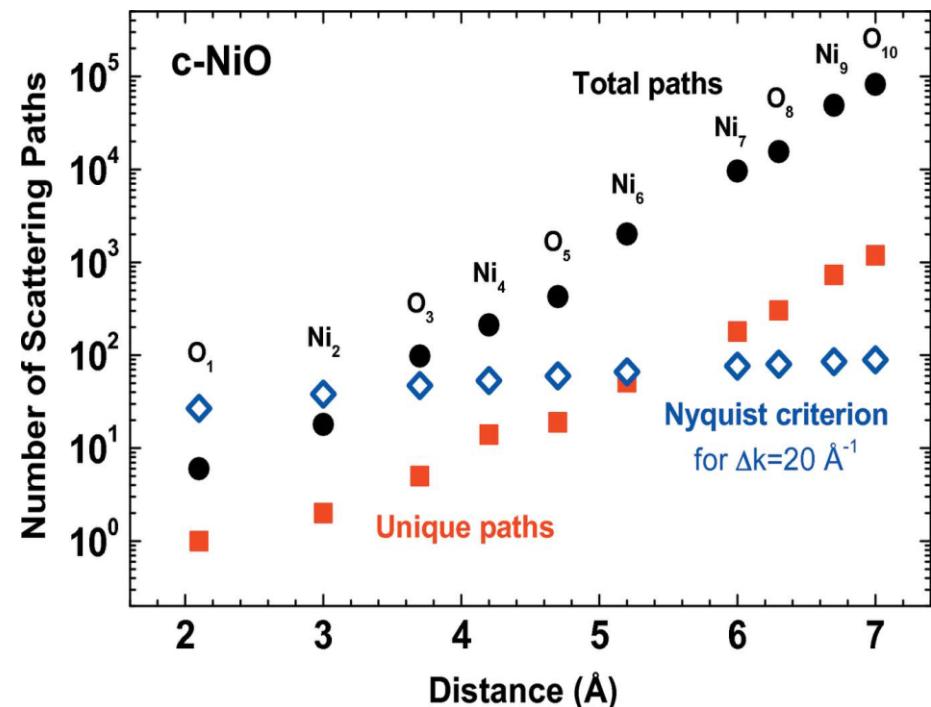
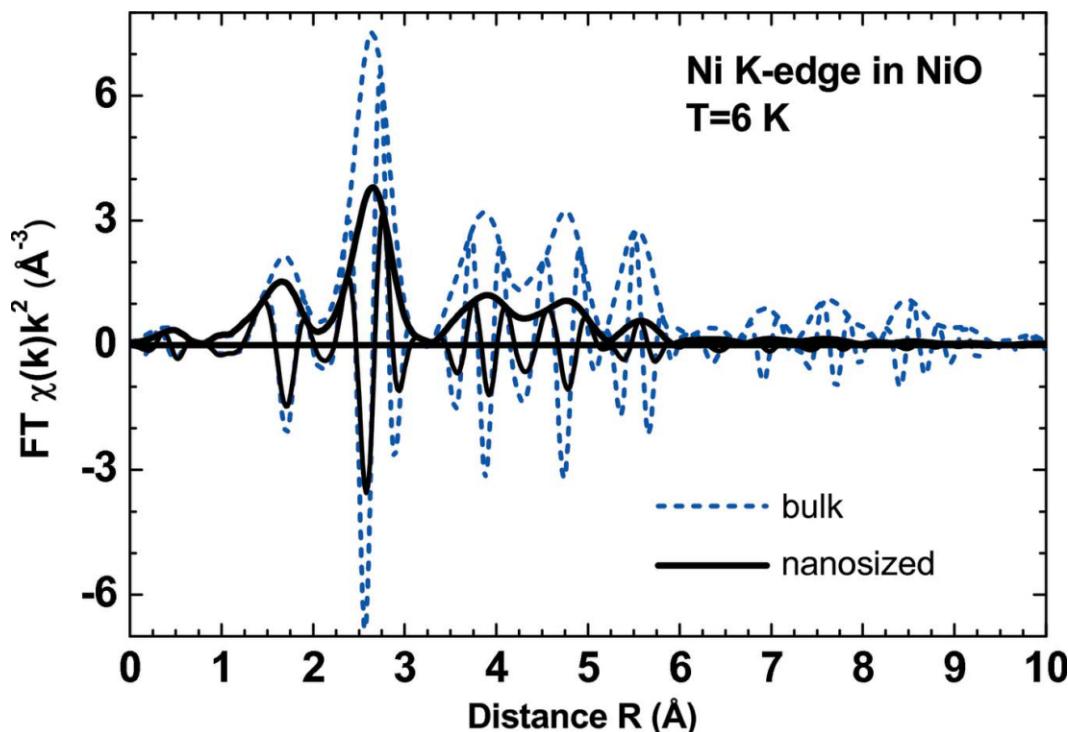
- Some a-priori shape for bond length distribution (e.g., Gaussian) needs to be assumed: may be unreliable for disordered materials;
- Challenging to analyze contributions beyond the first coordination shell;

$$\chi_p(k) = S_0^2 N_p \frac{f_p(k)}{kR_p^2} e^{-2k^2\sigma_p^2} \sin(2kR_p + \phi_p(k))$$

- Not a straightforward procedure
- No unique solution
- Gets very complicated, if different species are present in the sample

Challenges I

Information from atoms at distances up to 10 Å can sometimes be encoded in experimental EXAFS. Our ability to access this information, however, is very limited.



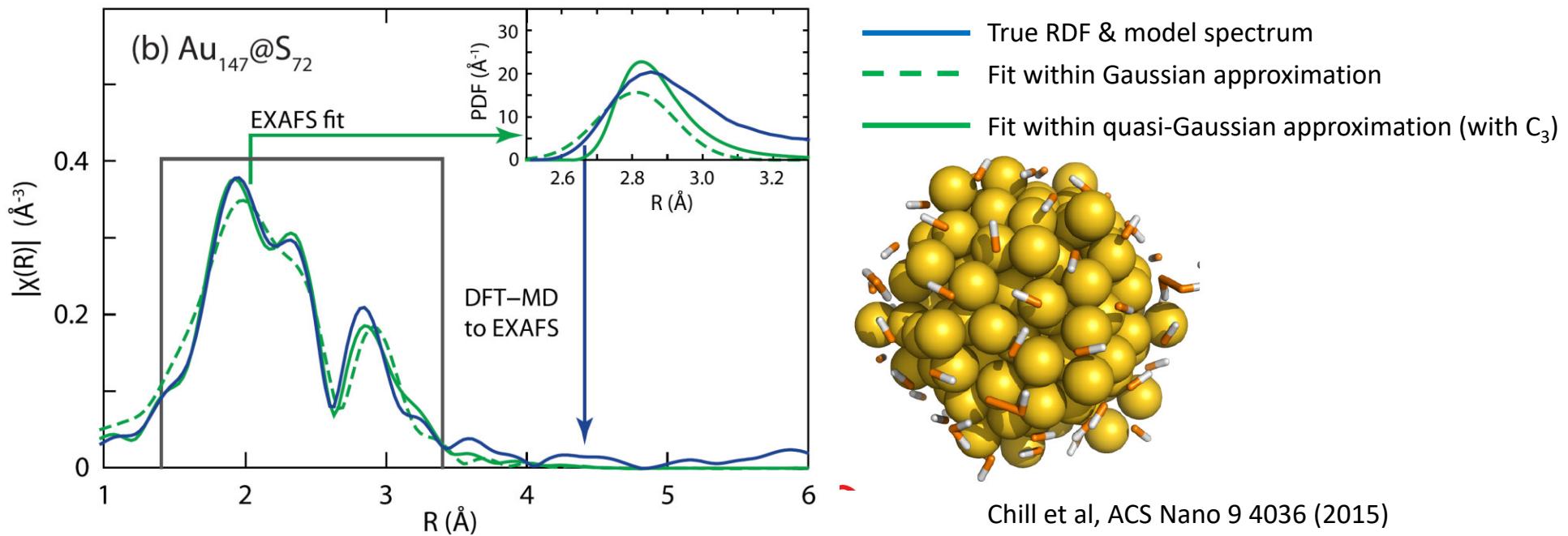
A. Kuzmin and J. Chaboy, IUCrJ 1 (2014) 571-589.

List of paths, included in the analysis,
should be very carefully considered

Challenges II

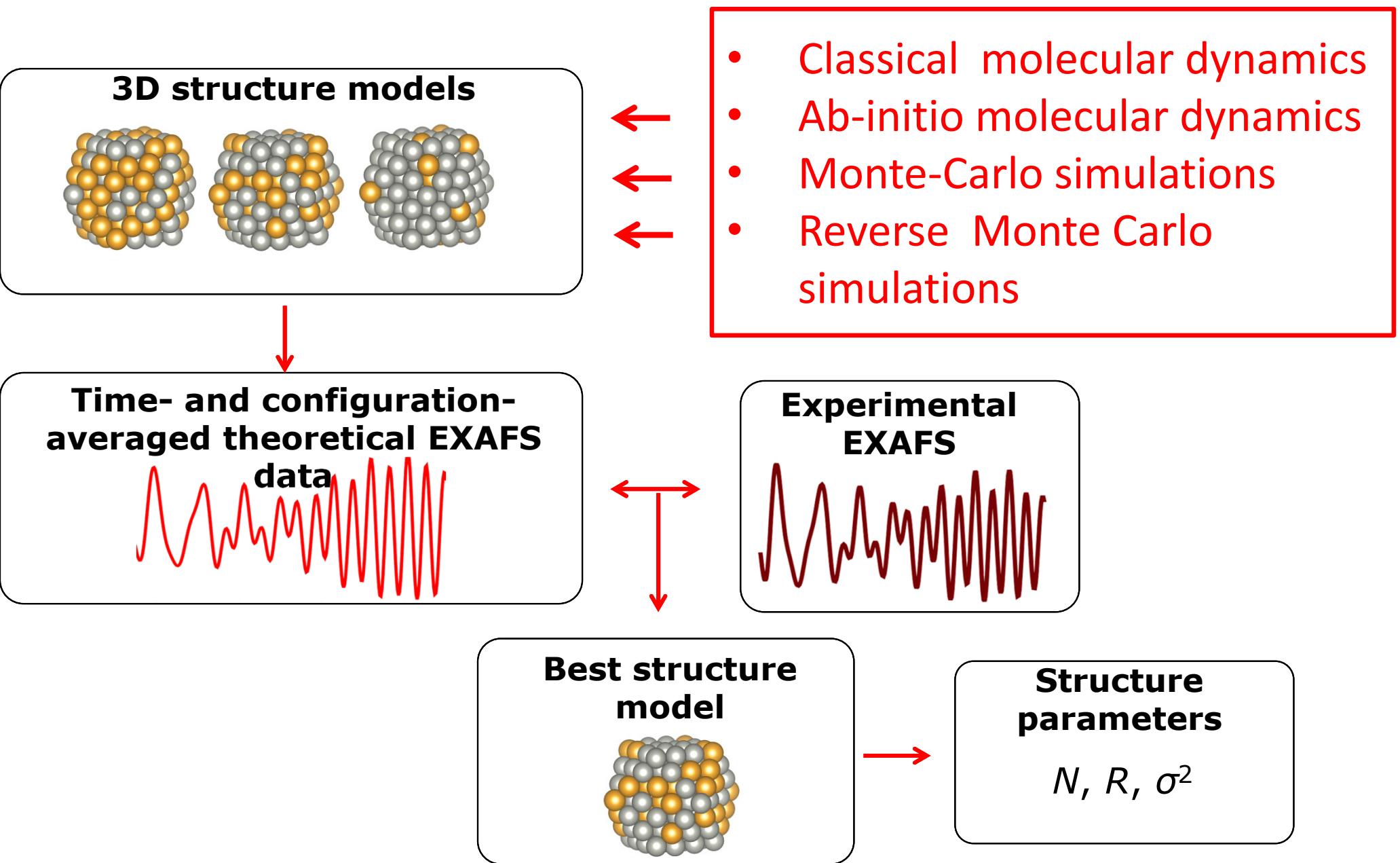
Additional parameters needs to be included in EXAFS equation to account for deviations from Gaussian distributions (skewness (C_3), flatness (C_4), ...)

$$\chi_p(k) = S_0^2 \frac{1}{k} F(k) \exp(C_0 - 2C_2 k^2 + \frac{2}{3} C_4 k^4 - \frac{4}{45} C_6 k^6) \\ \times \sin\left(2kC_1 - \frac{4}{3} C_3 k^3 + \frac{4}{15} C_5 k^5 + \phi(k)\right)$$



However, for very distorted materials cumulant expansion does not converge!

Simulations-based EXAFS analysis



Reverse Monte Carlo simulations

- RMC procedure fits the experimental EXAFS spectra, but the variables are now the atomic coordinates in the 3D structure model of the material (rather than R , σ^2 , N)
- Stabilizes solution by using geometrical constraints
- No additional information is required (but may be used, if available)
- Allows analysis of distant coordination shell and MS effects
- No assumptions of the shape of bond length distribution is required

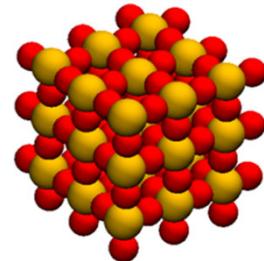
Outline

- Monte Carlo, Reverse Monte Carlo, Hill Climbing and Simulated Annealing
- Evolutionary algorithm
- Examples of RMC/EA-EXAFS applications

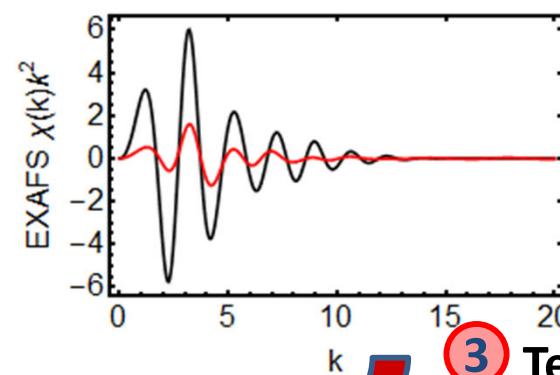
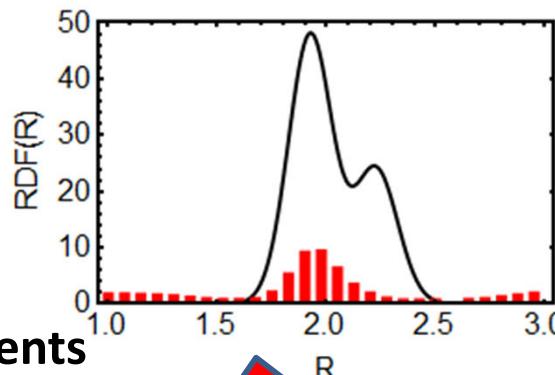
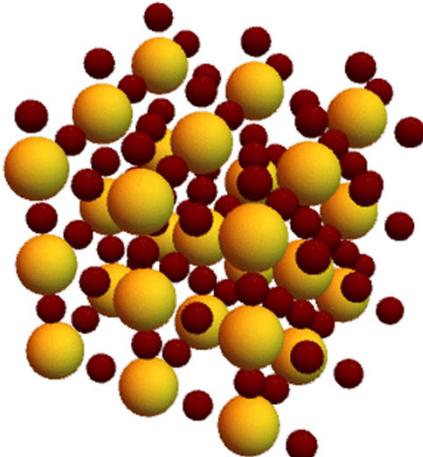
Reverse Monte Carlo simulations

R.L. McGreevy and L. Pusztai. Mol. Simul., 1988

- ① Initial structure model

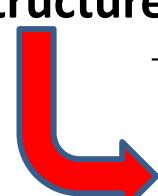


- ② Calculations of EXAFS data



- ③ Testing agreement of theoretical data with experimental

- ④ Random displacements of atoms (or some other update of 3D structure)

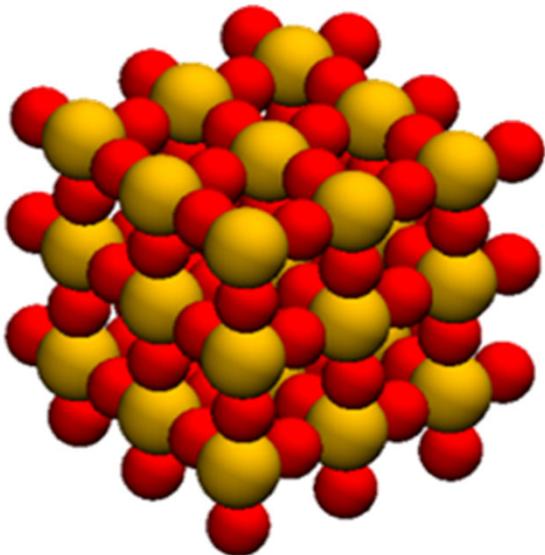


- ⑤ Analysis of final structure

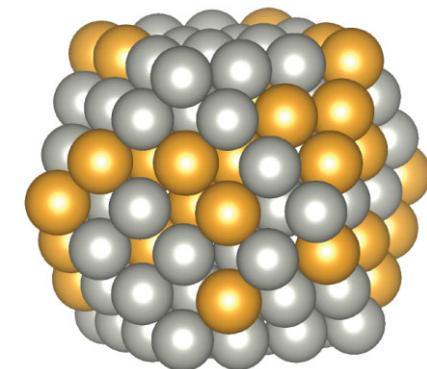


N, R, σ^2

Initial structure model

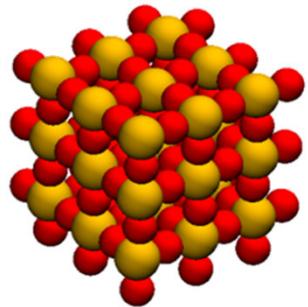


- For crystals - equilibrium structure from XRD
- For disordered materials – random mixture of atoms (with specified density)
- For nanomaterials – stable structures, DFT predictions, MD results, something derived from bulk structure motifs...

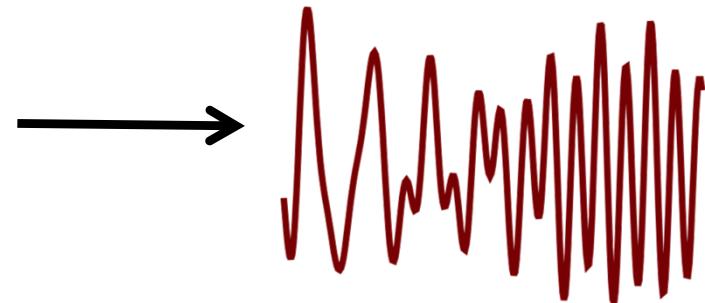


Calculations of EXAFS spectra

- Codes like FEFF allow one to obtain EXAFS spectra, knowing atomic coordinates

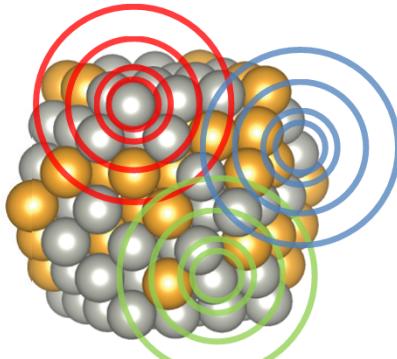


```
1  TITLE  EXAFS_FEFF_inp
2
3
4  EDGE    K
5  S02    1.000000000000
6
7  *      pot   xeph   fms   paths  genfmt ff2chi
8  CONTROL 1     1     1     1     1
9  PRINT   1     0     0     0     0
10
11 *      r_scf [ l_scf n_scf ca ]
12 SCF   4.128968281384 0     30   0.100000000000     1
13
14 *      ixc  [ Vr  Vi ]
15 EXCHANGE 0     0     0
16
17 EXAFS 20.0
18 RPATH 6.000000000000
19 NLEGS 8
20 CRITERIA 0.0 1.500000000000
21 CFAVERAGE 1 1 6.000000000000
22
23
24 POTENTIALS
25 *      ipot  z [ label  l_scmt  l_fms  stoichiometry ]
26   0 28  N1   -1     -1     0
27   1 28  N1   -1     -1     1
28
29 ATOMS
30 7.101266483000 7.157770981000 7.012750102000 1 N1
31 8.774130656000 7.075883002000 5.310066029000 1 N1
32 5.373045190000 6.990703735000 5.344682689000 1 N1
33 8.837092820000 8.859356899000 7.034820065000 1 N1
34 7.030365631000 8.808748666000 8.811565053000 1 N1
```



A. Ankudinov A, B. Ravel , J. Rehr, Phys. Rev. B 1998

- Unlike conventional EXAFS fitting, **inclusion of additional scattering paths in the analysis does not increase the number of variables**: one can (and should) include **all** relevant scattering paths: contributions form distant coordination shells, multiple scattering effects
- Spectra should be calculated **for all absorbing atoms in the model**, and then averaged



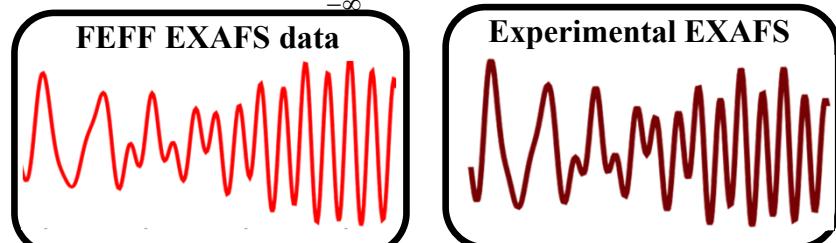
For bulk materials special attention needs to be paid to boundary conditions

Comparison of EXAFS spectra

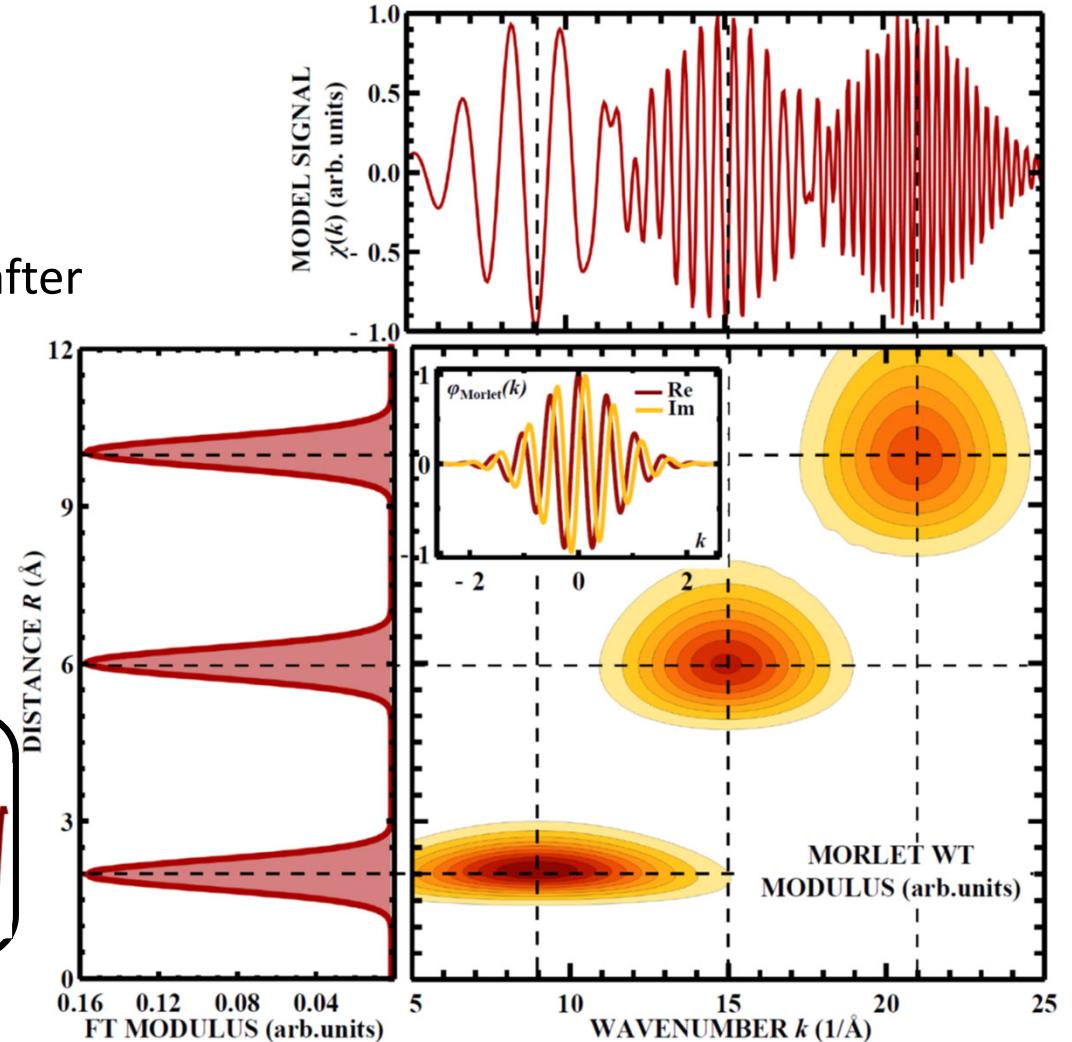
Comparison of theoretical and experimental spectra can be done in

- k -space
- in R -space (after Fourier trasform)
- in q -space (after Fourier filtering)
- in k - and R - spaces simultaneously (after wavelet transform)

$$w(R, k) = \sqrt{R/R_0} \int_{-\infty}^{+\infty} \chi(k') \phi((R/R_0)(k' - k)) dk'$$



$$\xi_{k,R} = \frac{\|w_{tot}(R, k) - w_{exp}(R, k)\|_2}{\|w_{exp}(R, k)\|_2}$$

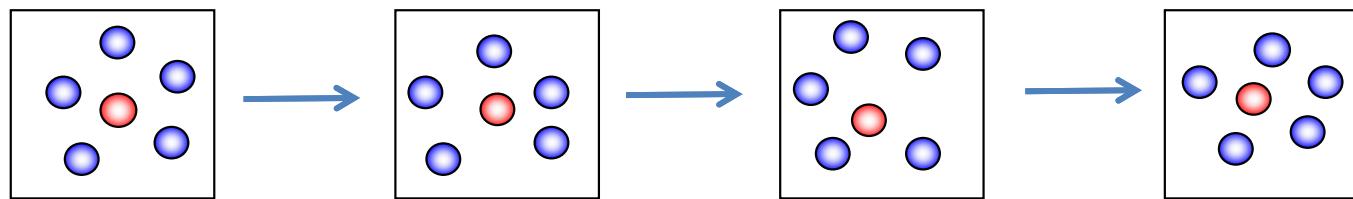


M. Munoz et al, Am. Min., 2003
H. Funke, et al, Phys. Rev. B, 2005.

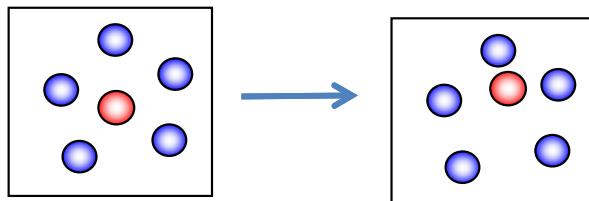
Update of structure model

1) Displacements of all atoms:

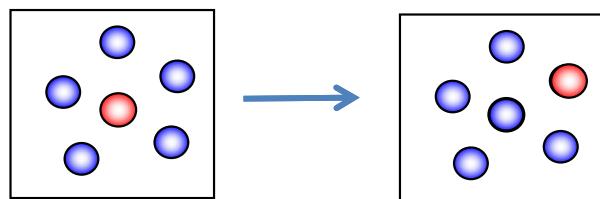
$$\mathbf{r}_i(t + \Delta t) = \mathbf{r}_i(t) + \boldsymbol{\delta}_i$$



2) Displacements of one, randomly selected atom



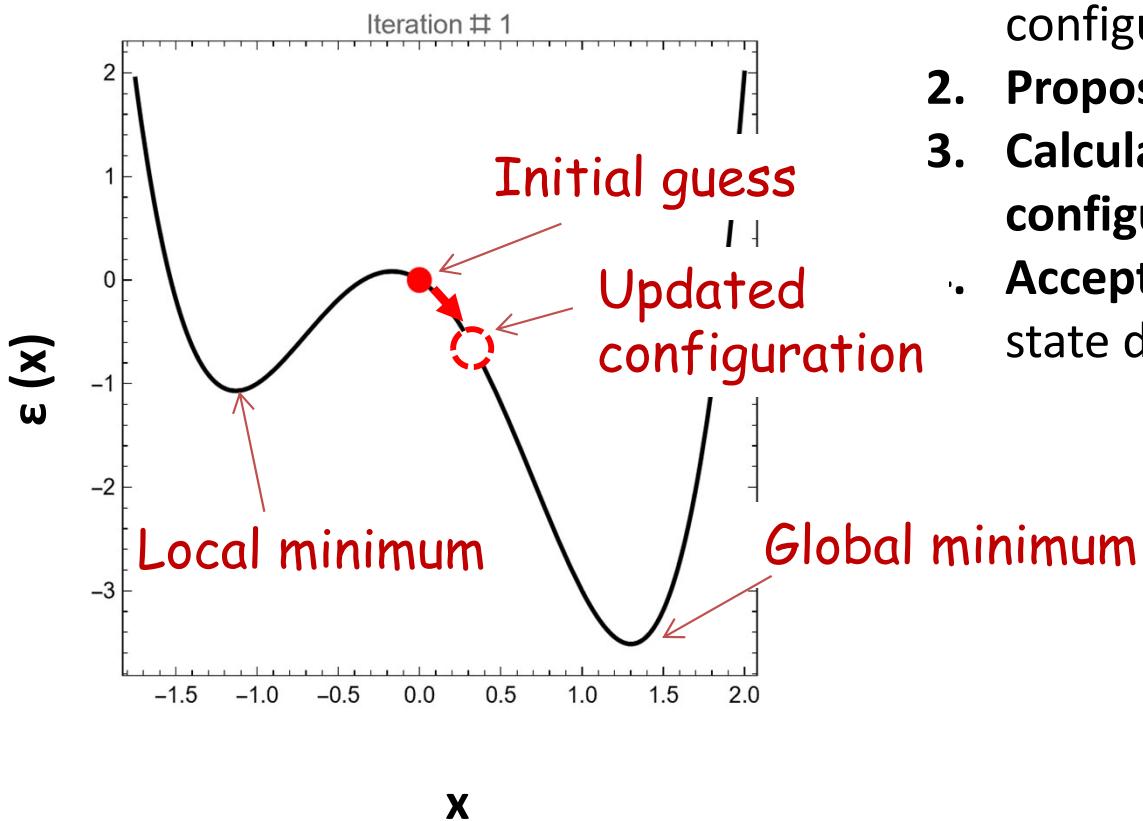
3) Swapping two atoms



4)

M. Munoz *et al*, Am. Min., 2003
H. Funke, *et al*, Phys. Rev. B, 2005.

Structure model optimization: Hill Climbing

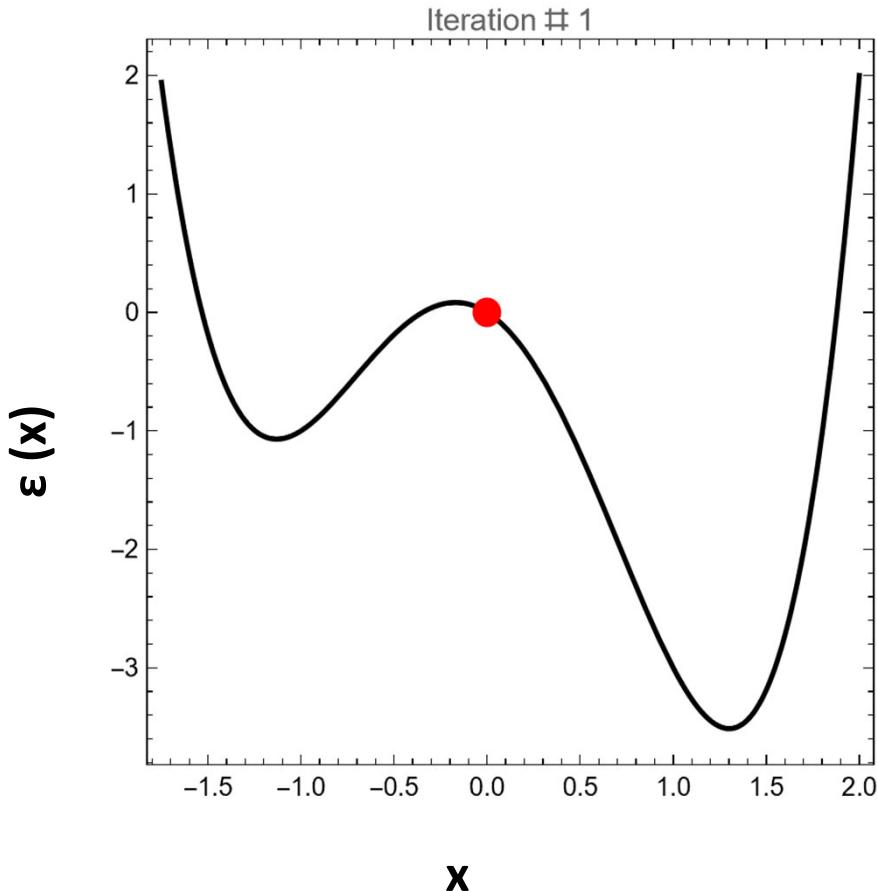


1. Calculate property ϵ (e.g., EXAFS) for given configuration x .
2. Propose a **random** update of configuration
3. Calculate property (e.g., EXAFS) for **updated configuration**
4. Accept the update **or return** to the previous state dependently on the new and old values of ϵ

Hill Climbing algorithm:

- $\epsilon < \epsilon_{\text{old}}$: configuration is accepted

Structure model optimization: Hill Climbing



1. Calculate property ϵ (e.g., EXAFS) for given configuration x .
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Hill Climbing algorithm:

- $\epsilon < \epsilon_{\text{old}}$: configuration is accepted

- HC is very simple and works well in many cases, where the good initial structure model is known
- For more complicated cases it can stuck in the local minimum

(Metropolis) Monte Carlo

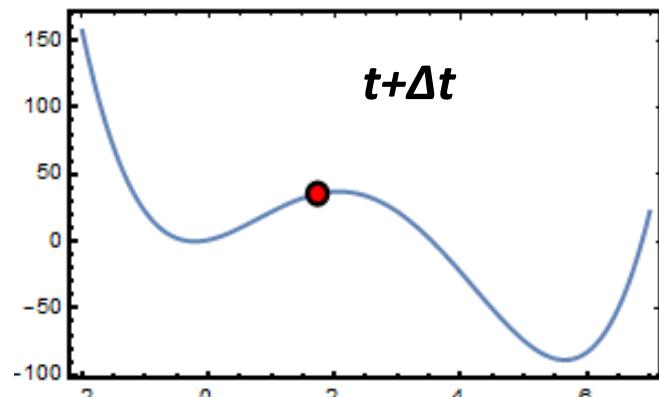
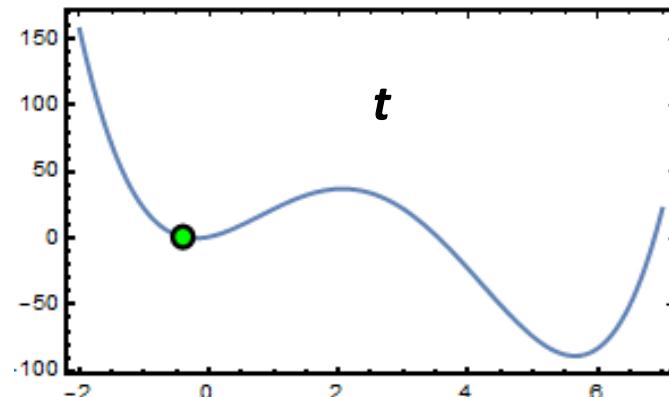
A method for configurations sampling according to desired distribution:

1. Calculate property ε (e.g., energy) for given configuration x .
2. Propose a random update of configuration
3. Calculate property (e.g., energy) for updated configuration

4. Accept the update or return to the previous state dependently on the new and old values of ε

Typical application: sampling configurations, so that their energies follow Gibbs' distribution

Energy $\varepsilon(x)$



Variable x

Metropolis algorithm:

- $\varepsilon < \varepsilon_{\text{old}}$: configuration is accepted
- $\varepsilon > \varepsilon_{\text{old}}$: configuration is accepted, if
$$\exp[-(\varepsilon - \varepsilon_{\text{old}}) / T] > \rho$$

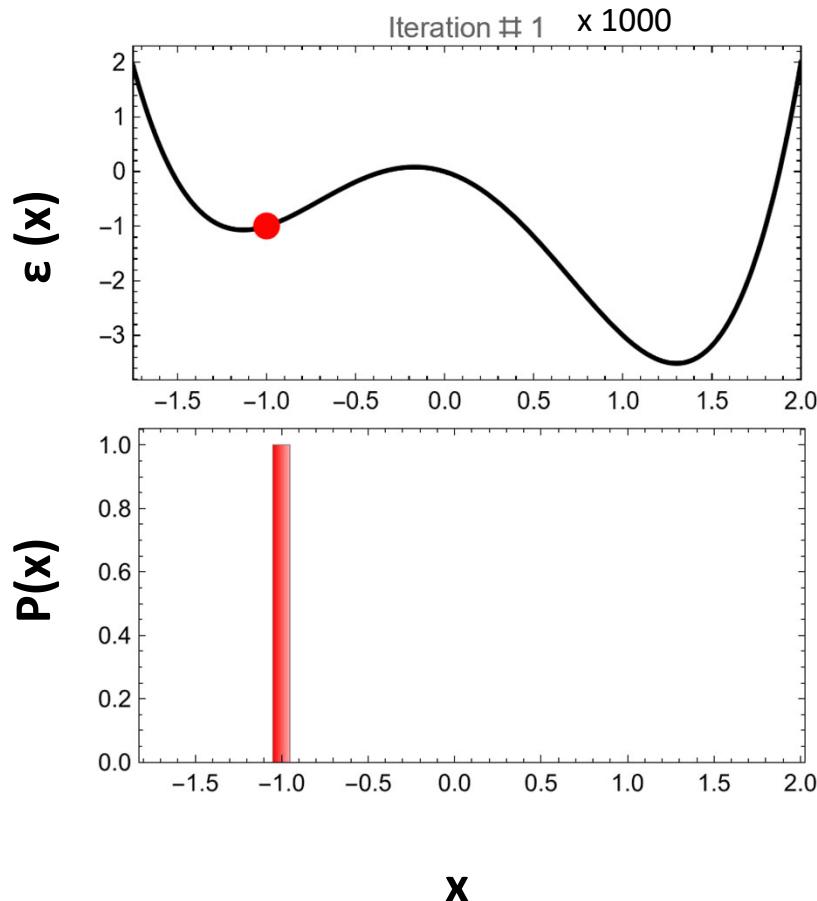
T – scaling parameter

ρ – random number (0..1)

Metropolis, Rosenbluth, Rosenbluth, Teller, Teller 1953 J.Chem. Phys. 21 1087

Reverse Monte Carlo

After sufficient number of iterations the probability to find system in a given state x will be proportional to $\exp[-\varepsilon(x)/T]$



«Direct» Monte Carlo:

Energy function → correctly sampled configurations → properties (e.g., heat capacity, average interatomic distance, averaged spectra, etc.)

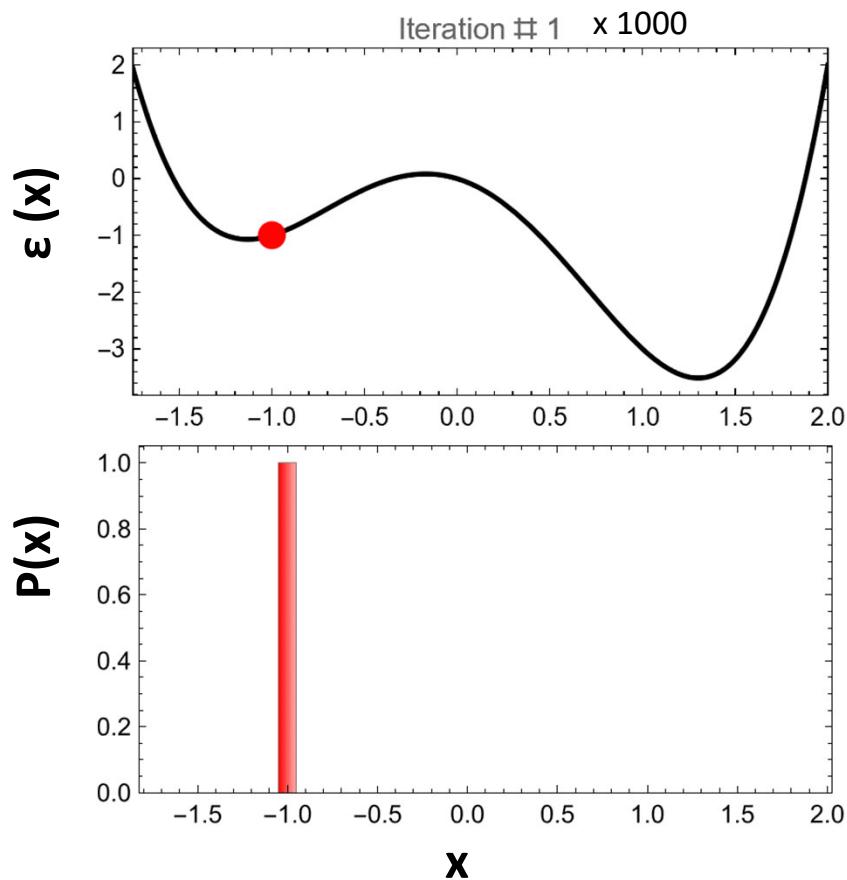
Reverse Monte Carlo: $\varepsilon(x)$ will be the difference between experimental and theoretical data

Properties (e.g., EXAFS spectra) → atomic configurations

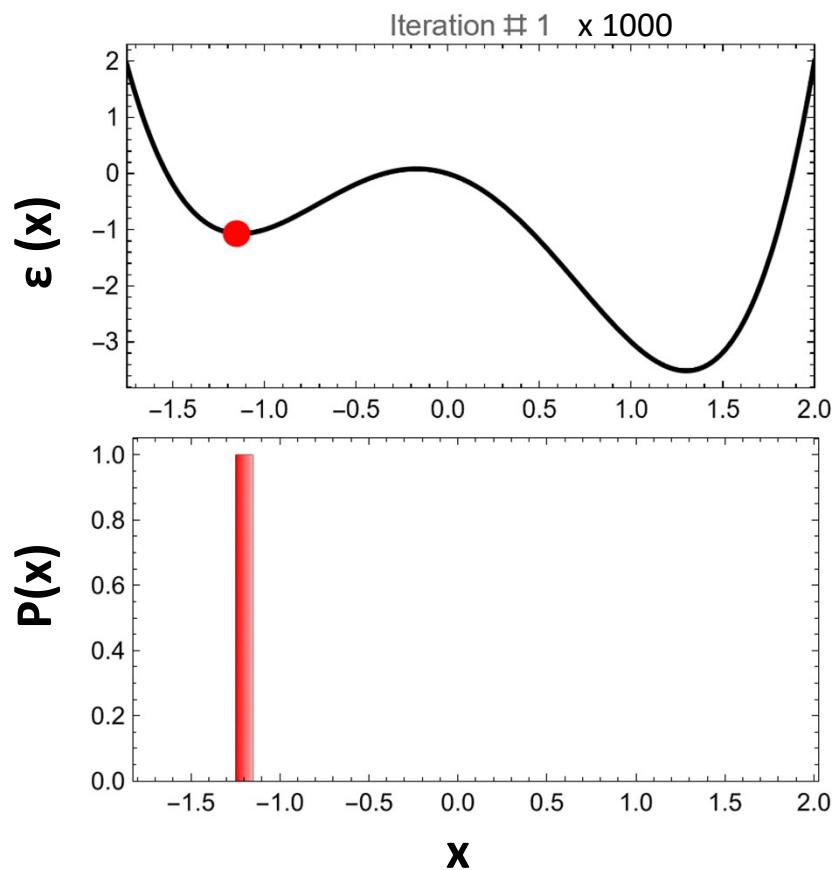
R.L. McGreevy and L. Pusztai. Mol. Simul, 1988

Influence of T

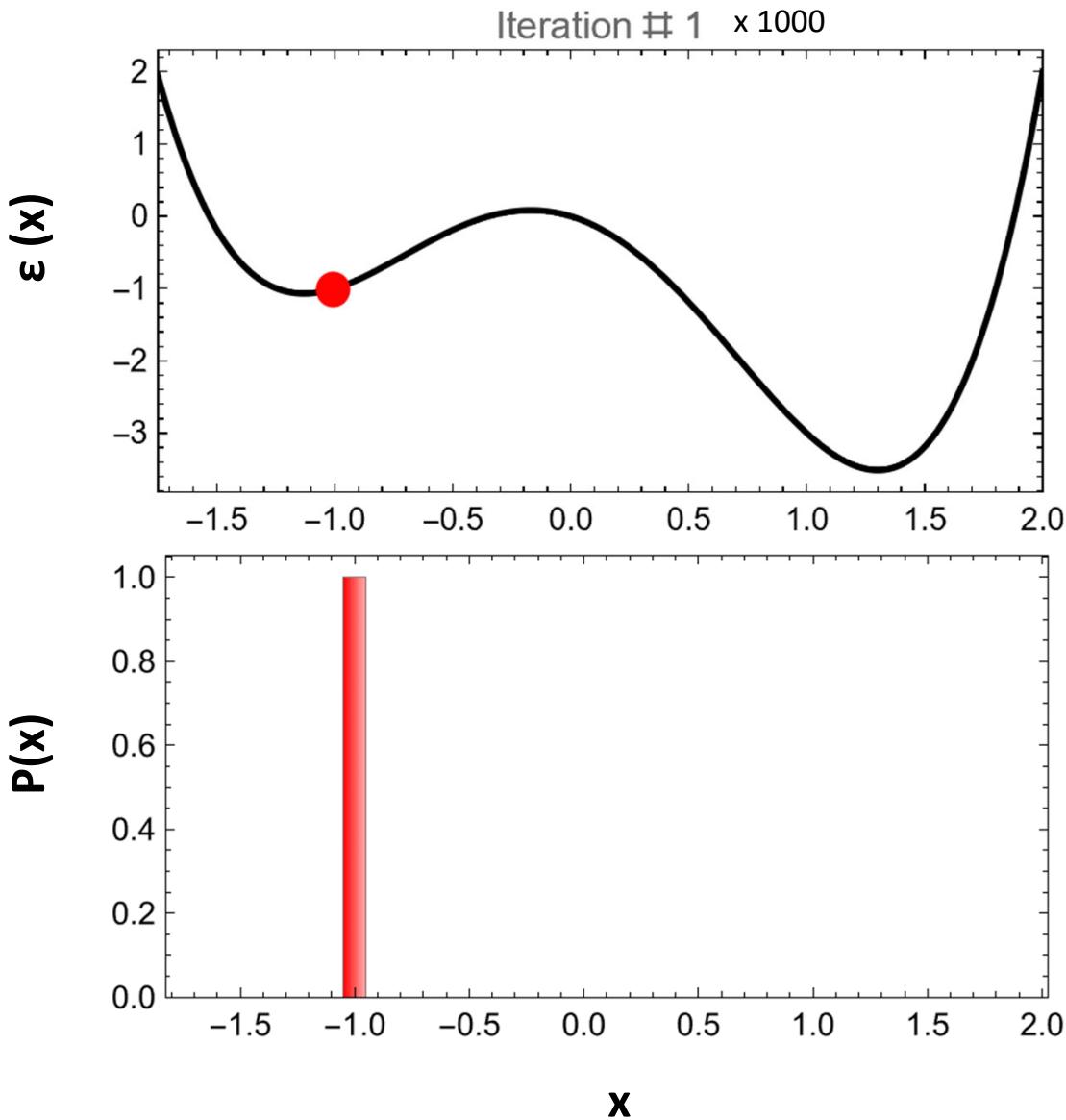
Too low T : system never leaves the local minimum



Too high T : all x are almost equally probable



Simulated annealing



Large T at the beginning of simulations

to facilitate quick exploration of configuration space

Low T at the end of simulations

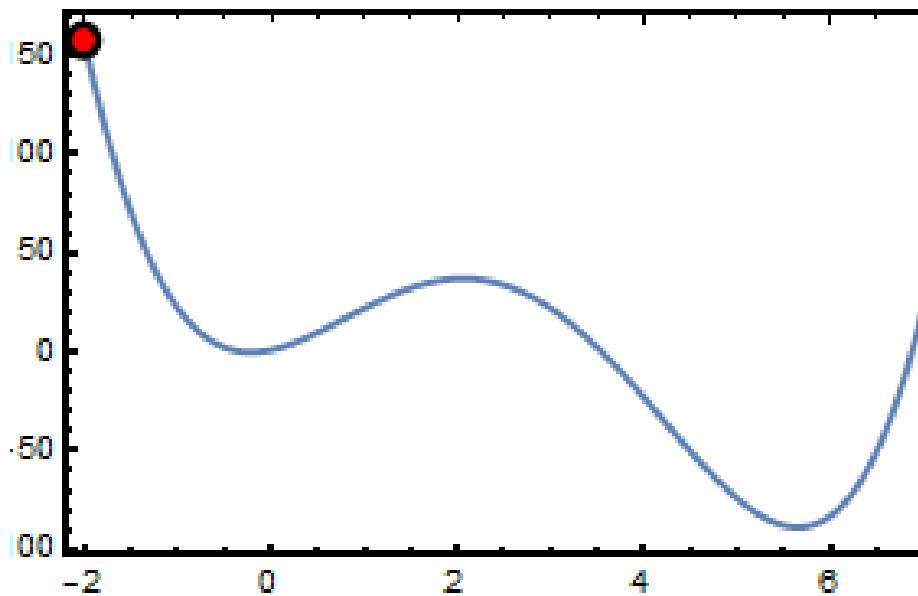
to end up with a single, «globally» optimal configuration

Kirkpatrick S, Gelatt C D and Vecchi M P 1983 Science 220 671

Constraints

are important, because reduce significantly the size of configurational space

In RMC method constraints are very easy to implement, by adding some penalty to $\varepsilon(x)$ function, when x gets unacceptable.



Useful constraints for atomistic simulations:

minimal allowed interatomic distance

maximal allowed displacement from initial position

...

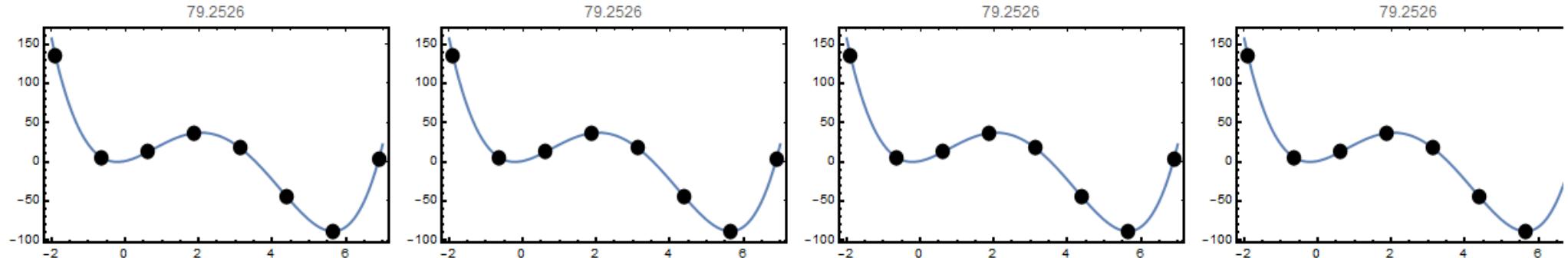
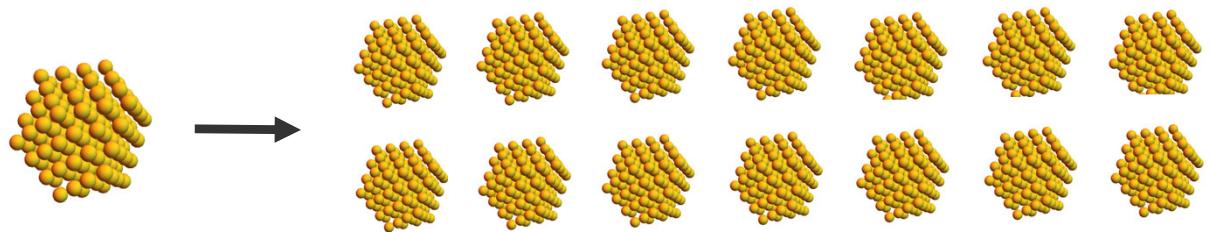
Evolutionary algorithm

More realistic situation: **many variables** need to be optimized.

The more variables (dimensions) the harder it is to find a good solution (**“dimensionality curse”**).

Some of the many-dimensional problems can be solved more efficiently with so called **evolutionary algorithms** (genetic algorithms)

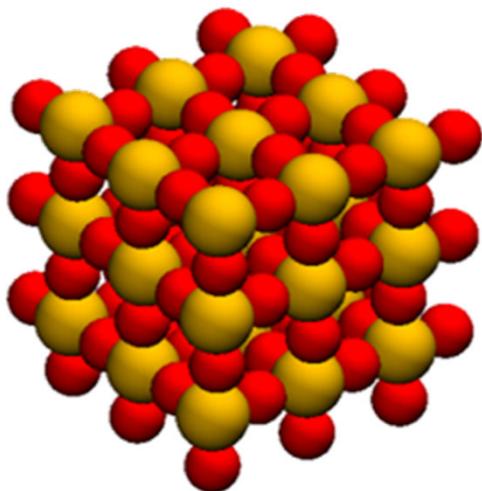
Several copies of the model that needs to be optimized



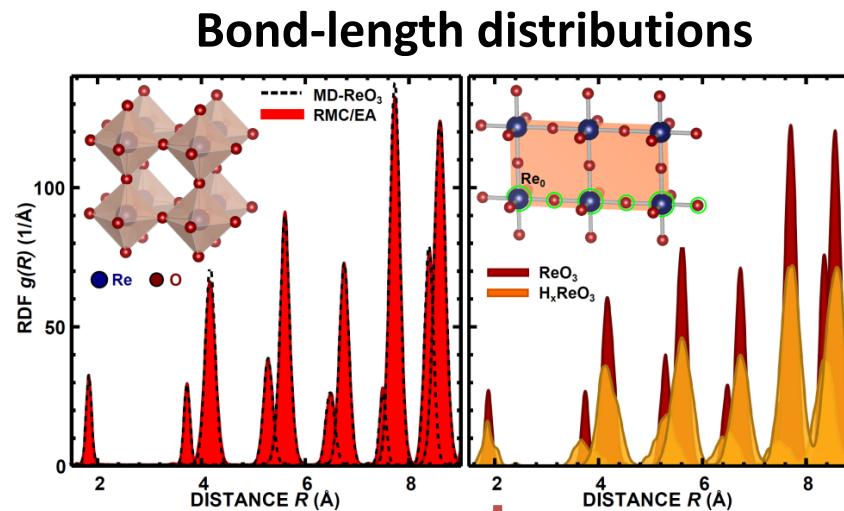
Interpretation of results

Final results of RMC simulations – **set of atomic coordinates**.
It is **not unique** and **not meaningful**.

Statistical analysis of coordinates is necessary: calculations of average distances, angles, construction of bond-length histograms, etc.



3D structure model from RMC

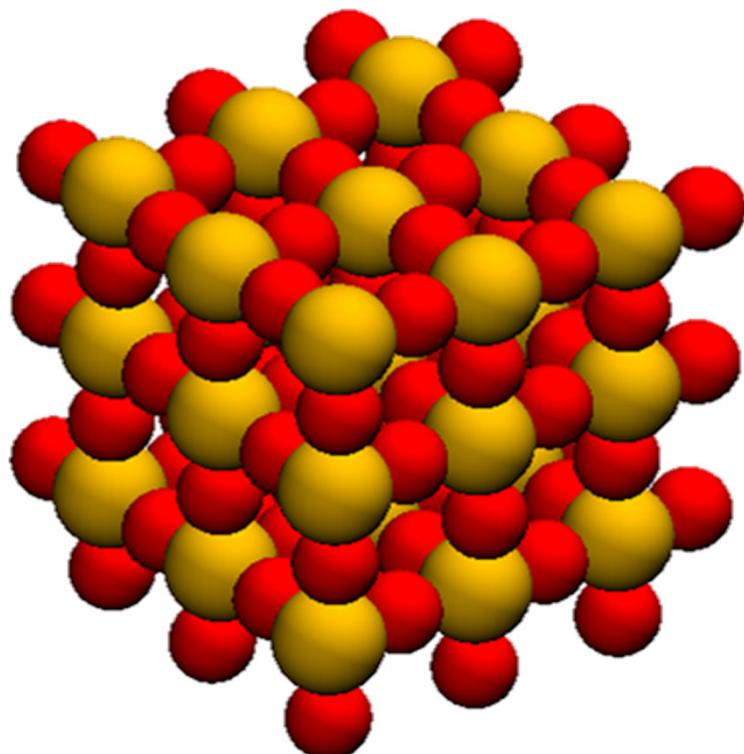


Structure parameters

$$R_{EXAFS} = \langle R \rangle = \frac{1}{N} \int_{-\infty}^{+\infty} g_p(R) R \, dR$$

$$\sigma^2 = \frac{1}{N} \int_{-\infty}^{+\infty} g_p(R) (R - R_{EXAFS})^2 \, dR$$

ReO_3



ReO_3 : crystalline material with cubic, perovskite-type structure (Pm-3m)

Metallic conduction (delocalized 5d electron of rhenium), but also a good ionic conductor (hydrogen ions easily intercalate into the lattice)

Very strong correlations of atomic motion: Re-O bonds are very strong and their length is weakly dependent on temperature, “rigid” ReO_6 octahedra

Model material for negative thermal expansion studies

Focusing effect: very strong influence of multiple scattering effects

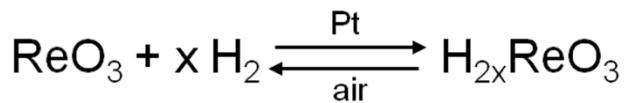
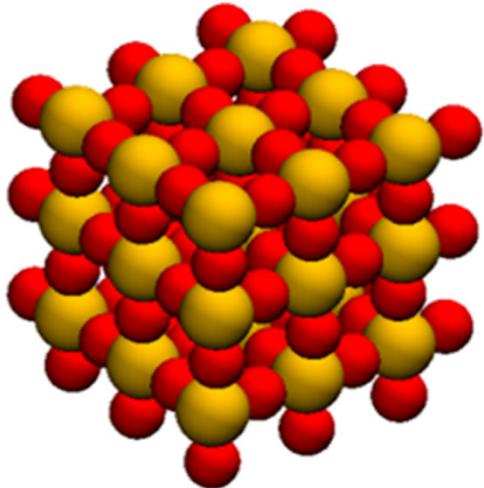
N Alberding *et al*, Le Journal de Physique Colloques, 1986;
A Ferretti *et al*, J. Phys. Chem. Solids, 1965;
T. Chatterji *et al*, Appl. Phys. Lett, 2009.
E. Cazzanelli *et al*, J. Appl. Phys, 2009

Examples

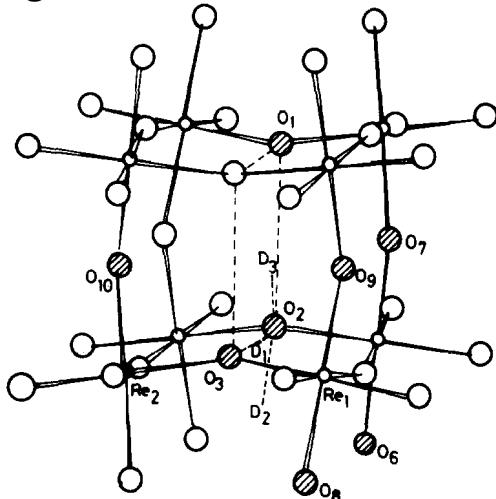
- **RMC for crystalline materials (ReO_3 and W)**
 - EXAFS analysis beyond the 1st coordination shell in the presence of strong multiple-scattering effects
 - Disorder in a crystalline material
 - Anisotropy of atomic motion
 - Correlations in atomic motion
- **Structure of disordered materials (Zn and Au_{147} particles):**
 - EXAFS analysis of non-periodic systems
 - Probing non-Gaussian bond-length distributions
- **Structure of multielement nanoparticles (CuWO_4 and CoWO_4):**
 - Simultaneous analysis of EXAFS data from multiple edges
 - RMC-EXAFS for validation of structure models

Hydrogen intercalation into ReO_3

Perovskite-type structure of ReO_3

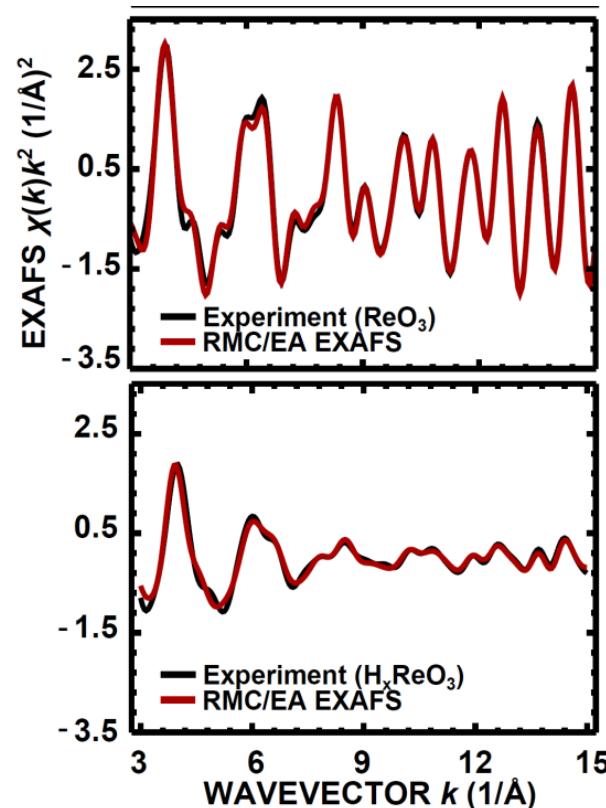


XRD suggests only minor rotation of ReO_6 units upon hydrogen intercalation, no significant distortions



We use RMC/EA method to solve this controversy

Changes in EXAFS, however, are dramatic

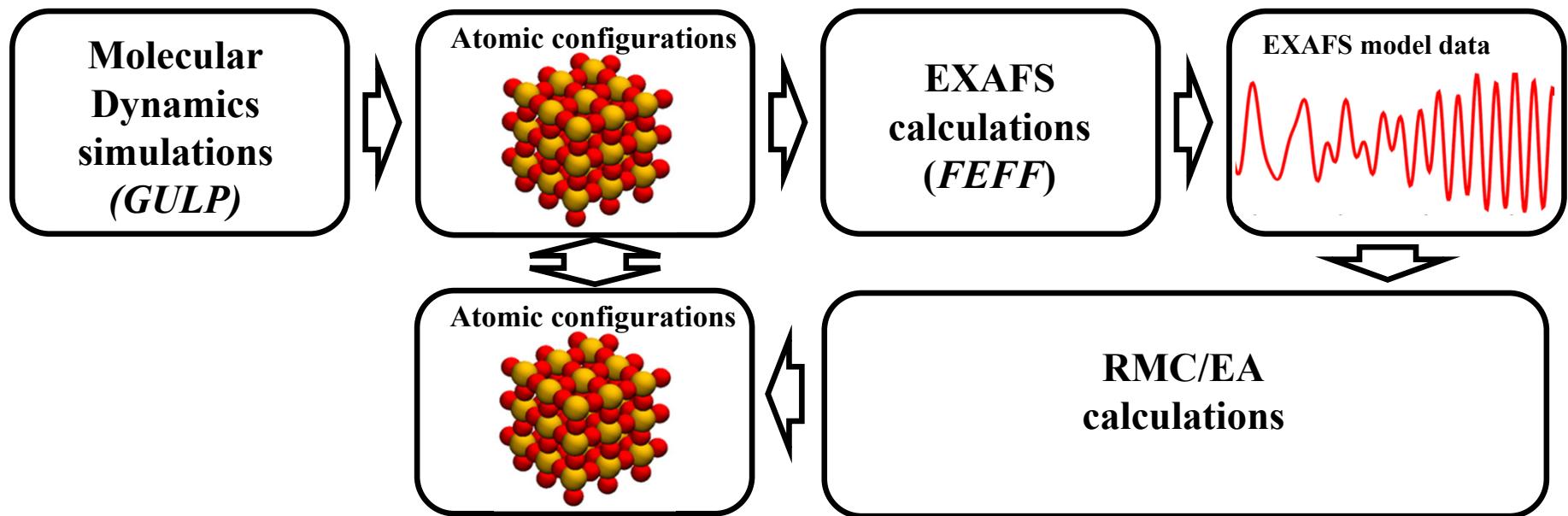


Dickens P G and Weller M T, *J. Solid State Chem.* (1983)

J. Timoshenko et al, *J. Phys.: Condens. Matter* 26 (2014) 055401.
J. Timoshenko et al, *J. Phys.: Conf. Ser.* 712 (2016) 012003:1-4.

How accurate RMC-EXAFS can be?

Validation of RMC method with model data

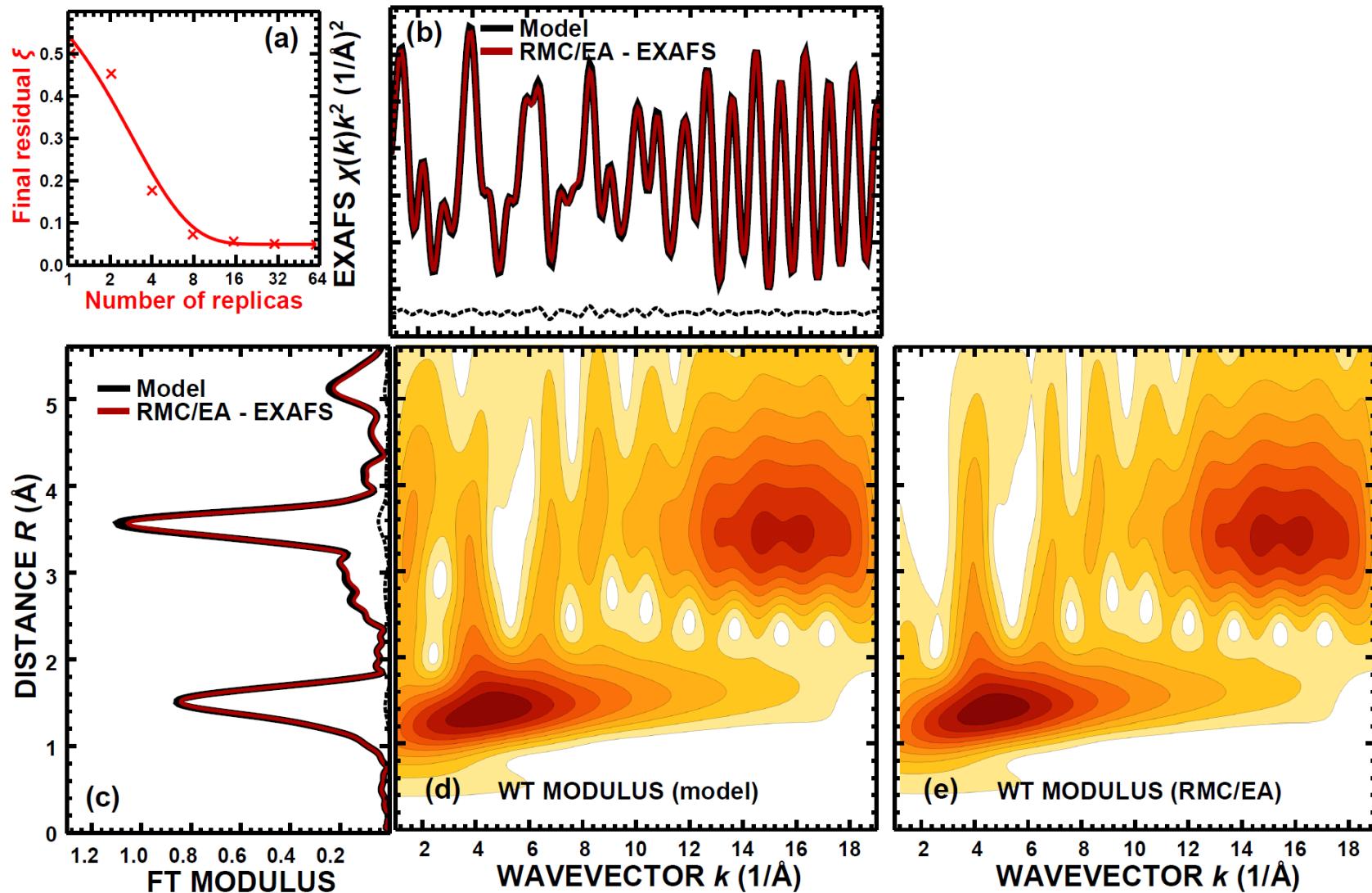


A. Kalinko, R. A. Evarestov, A. Kuzmin, J. Purans, J. Phys.: Conf. Series 2009
J. D. Gale and A. L. Rohl.). Mol.Simul. 29 (2003) 291.

J. Timoshenko et al, J. Phys.: Condens. Matter 26 (2014) 055401.
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How accurate RMC-EXAFS can be?

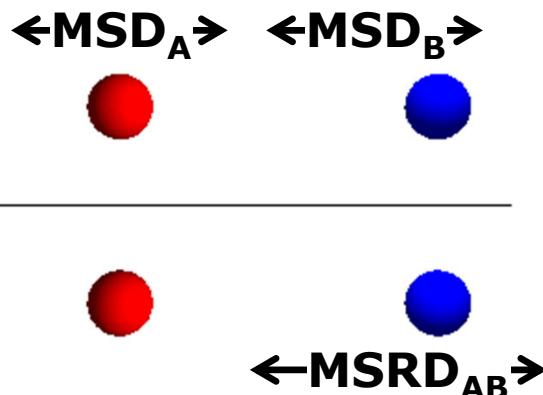
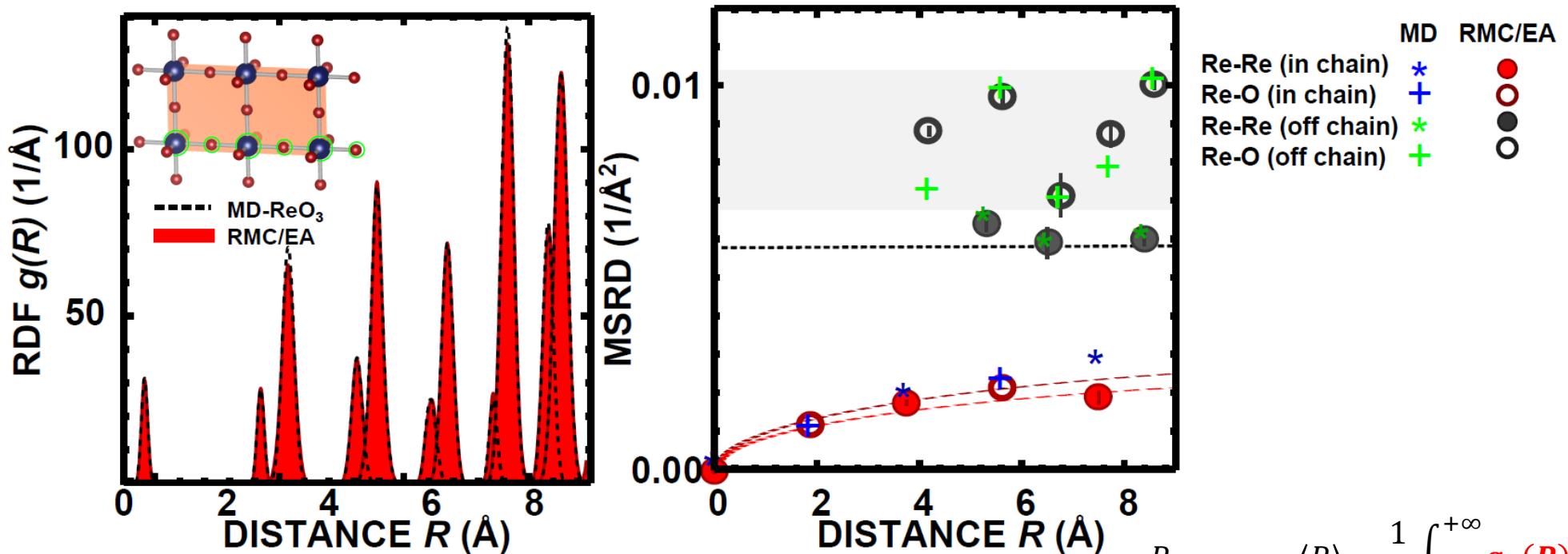
Validation of RMC method with model data



J. Timoshenko et al, J. Phys.: Condens. Matter 26 (2014) 055401.

J. Timoshenko et al, J. Phys.: Conf. Ser. 712 (2016) 012003:1-4.

Validation of method: RDF, MSRD, MSD



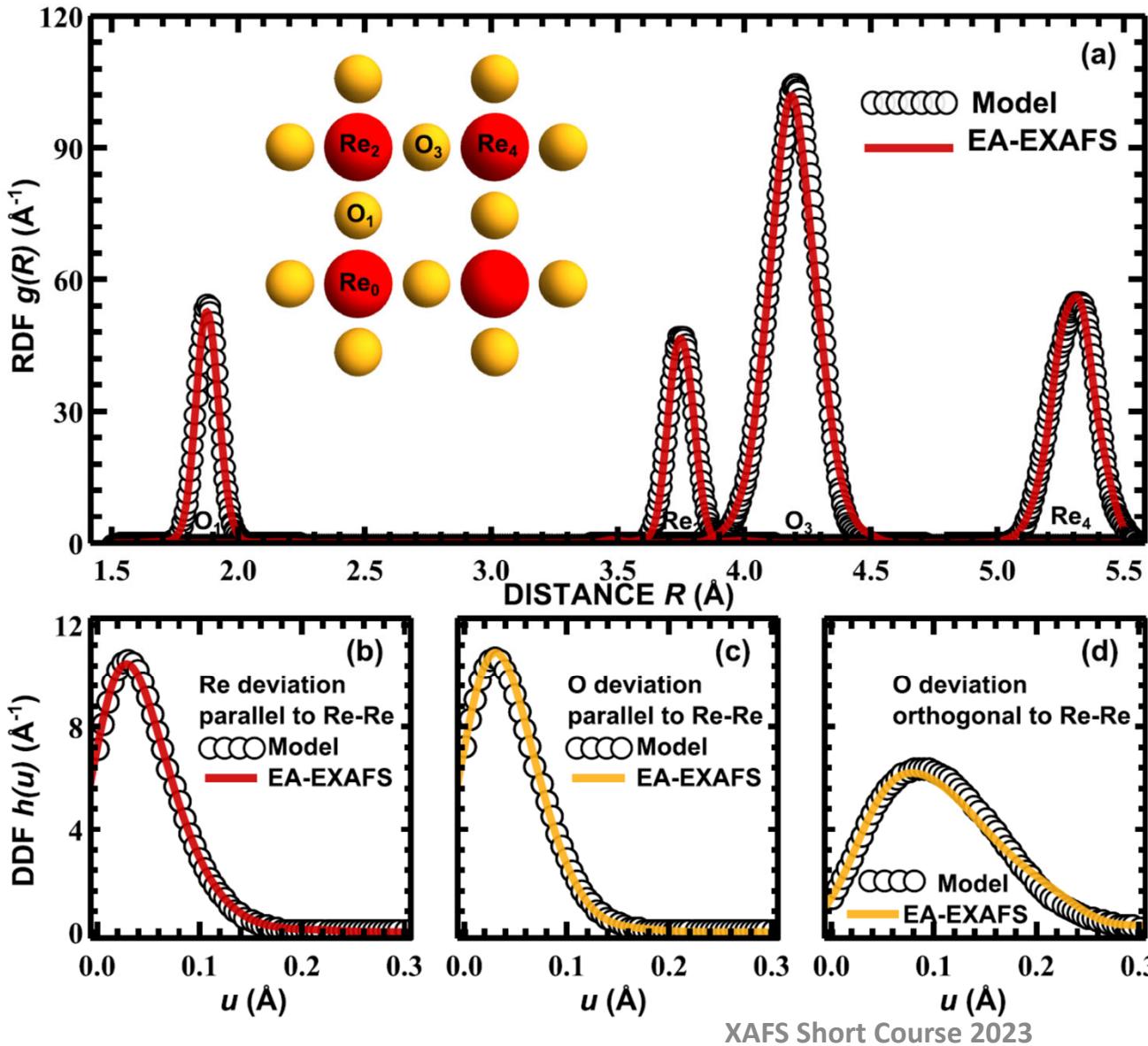
$$R_{EXAFS} = \langle R \rangle = \frac{1}{N} \int_{-\infty}^{+\infty} g_p(R) R \, dR$$

$$\sigma^2 = \frac{1}{N} \int_{-\infty}^{+\infty} g_p(R) (R - R_{EXAFS})^2 \, dR$$

- J. J. Rehr and R. C. Albers, Rev. Mod. Phys., 72 (2000).
 J. Timoshenko et al, J. Phys.: Condens. Matter 26 (2014) 055401.
 J. Timoshenko et al. J. Phys.: Conf. Ser. 712 (2016) 012003:1-4.

RMC/EA study of ReO_3 :

Validation of method: RDF

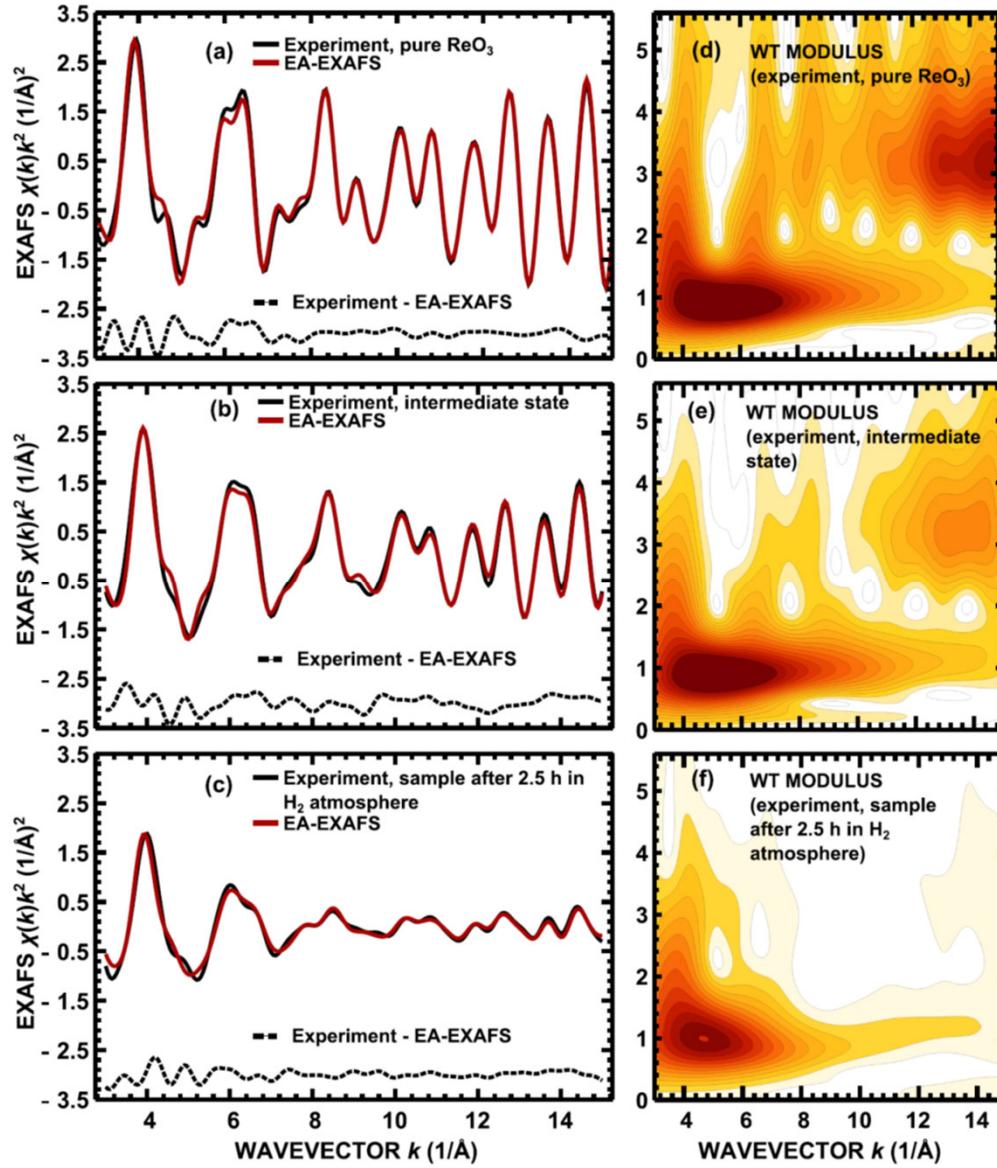


Not only relative, but also absolute atomic displacements can be accessed by RMC-EXAFS method!

$$\sigma_{AB}^2 = \underbrace{\langle \vec{u}_A^2 \rangle}_{\text{MSRD}} + \underbrace{\langle \vec{u}_B^2 \rangle}_{\text{MSD}} - 2 \underbrace{\langle \vec{u}_A \vec{u}_B \rangle}_{\text{Correlation}}$$

J. Timoshenko et al, J. Phys.: Condens. Matter 26 (2014) 055401.
J. Timoshenko et al, J. Phys.: Conf. Ser. 712 (2016) 012003:1-4.

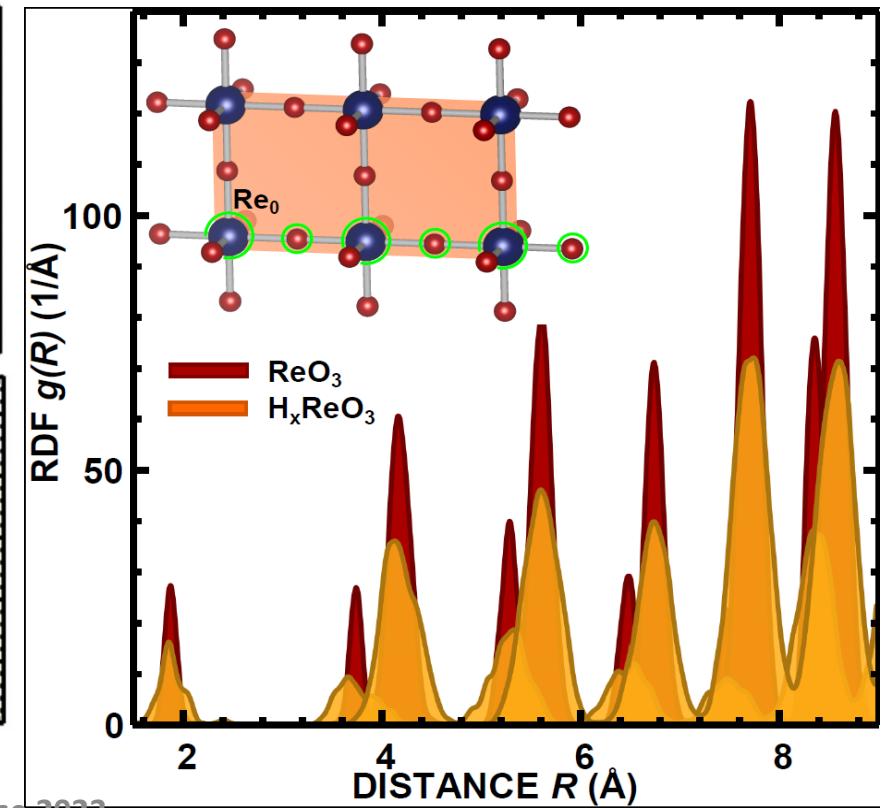
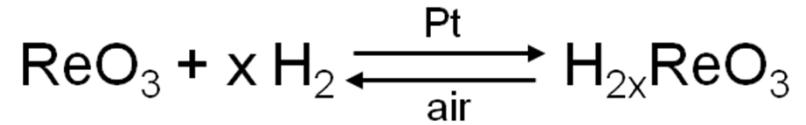
RMC/EA study of H_xReO_3 : Hydrogen intercalation into ReO_3 lattice

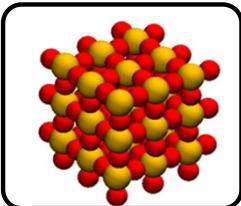


J. Gaidelene, A. Kuzmin, J. Purans, and C. Guery, Phys. Status Sol. C, 2005.

J. Timoshenko et al, J. Phys.: Condens. Matter 26 (2014) 055401.

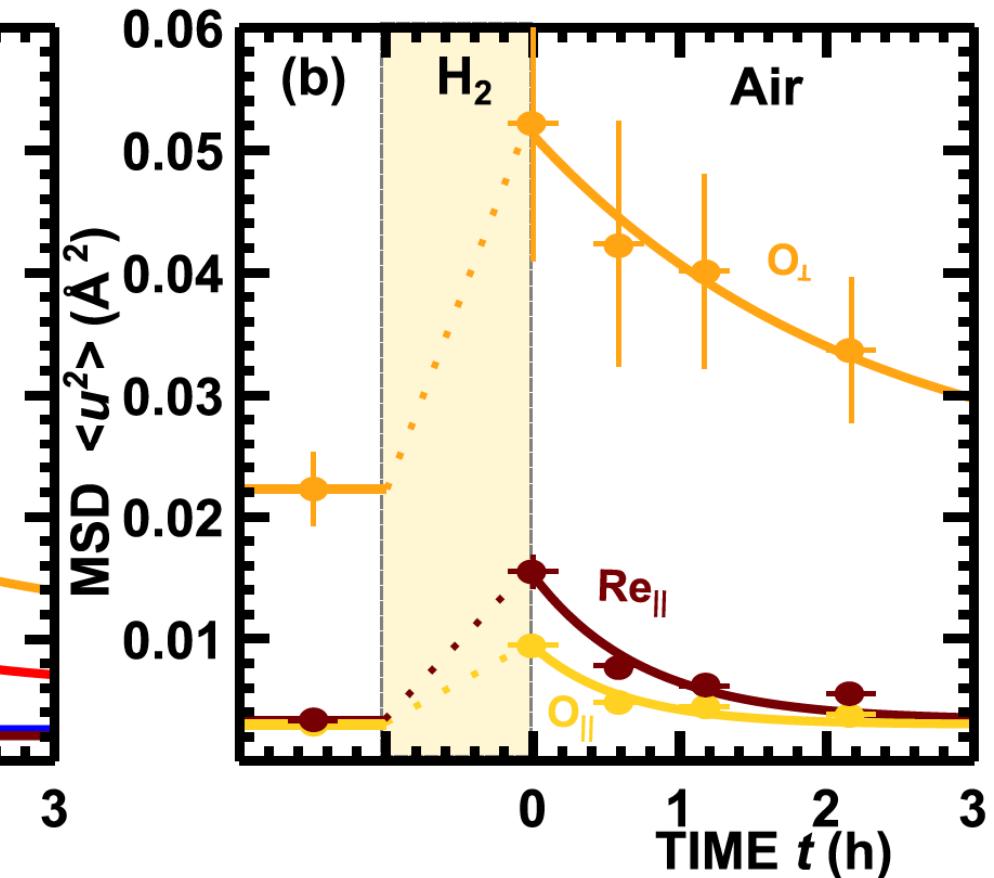
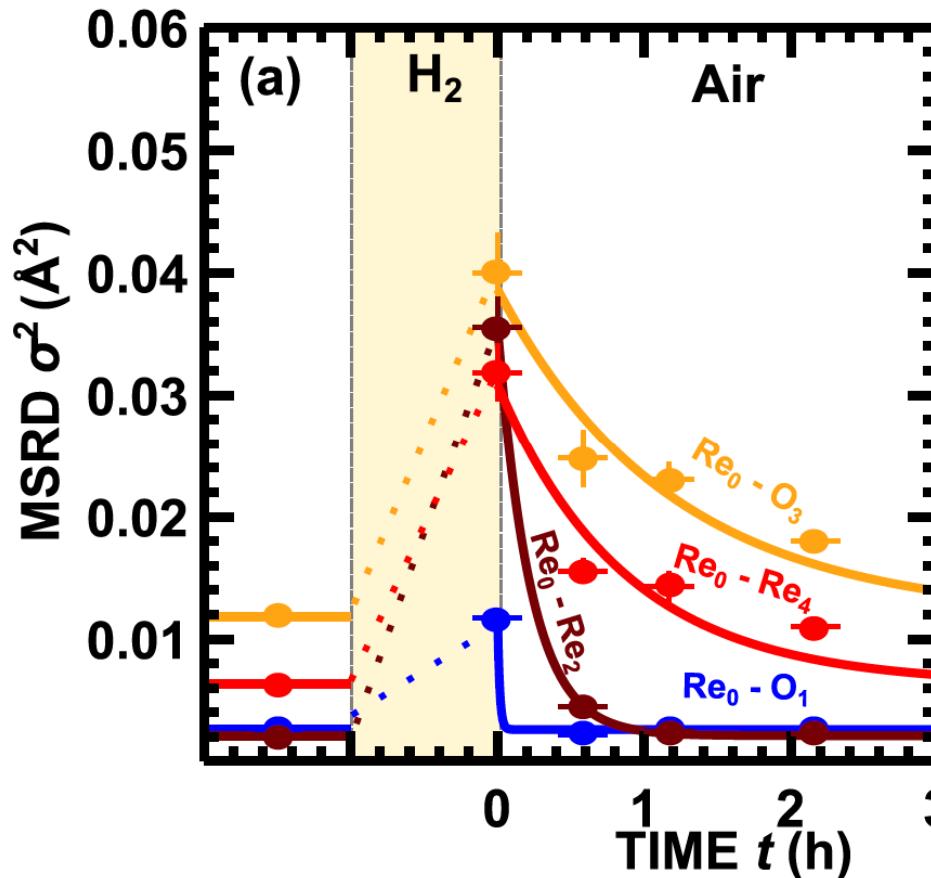
LURE DCI storage ring, EXAFS-3 beamline





RMC/EA study of H_xReO_3 : MSRD & MSD

J. Timoshenko et al, J. Phys.: Condens. Matter 26 (2014) 055401.



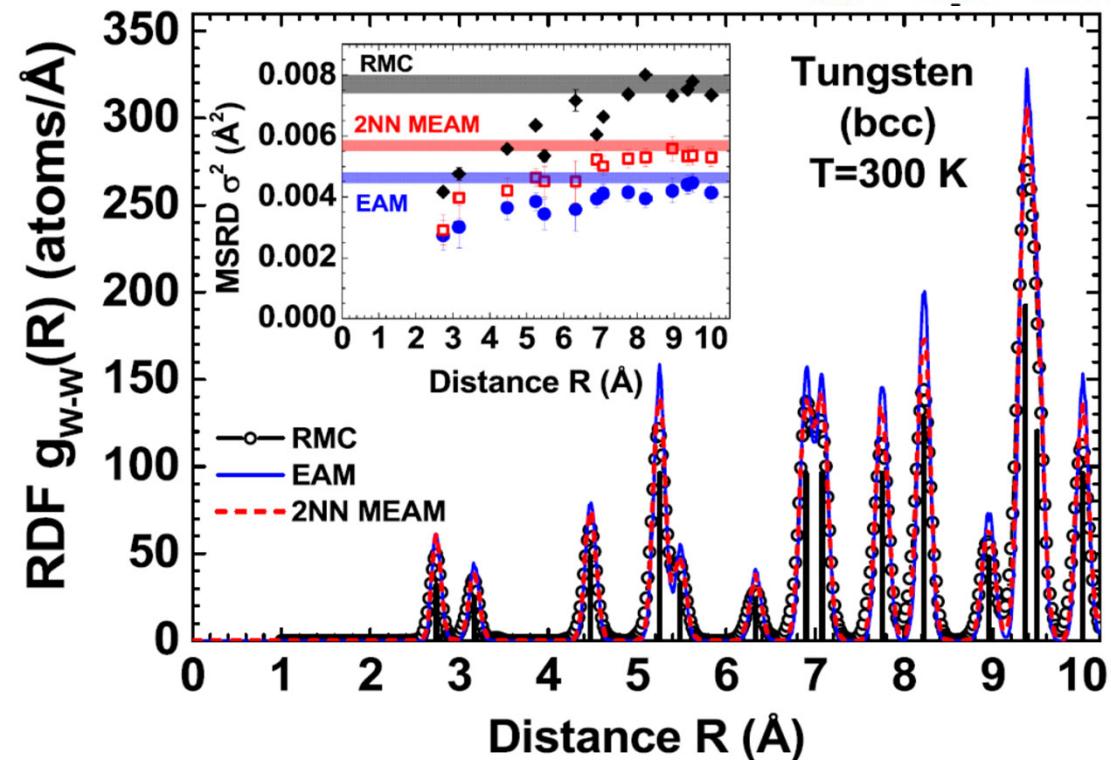
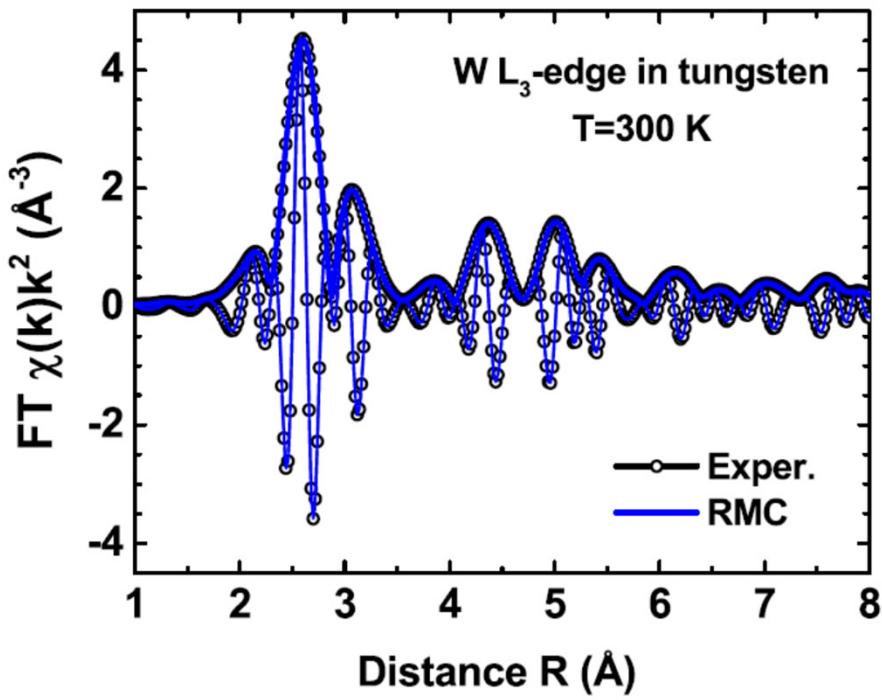
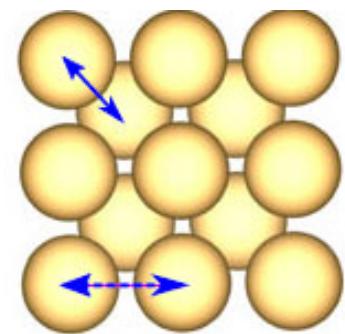
$$\sigma_{AB}^2 = \underbrace{\langle \vec{u}_A^2 \rangle}_{\text{MSRD}} + \underbrace{\langle \vec{u}_B^2 \rangle}_{\text{MSD}} - 2 \underbrace{\langle \vec{u}_A \vec{u}_B \rangle}_{\text{Correlation}}$$

Intercalation of hydrogen ions results in two separate effects with different characteristic relaxation times:

- (i) the disappearance of correlation in the atomic motion (fast process with electronic origin)
- (ii) the tilting of ReO_6 octahedra (much slower process).

How far we can go with RMC?

EXAFS analysis for metallic tungsten

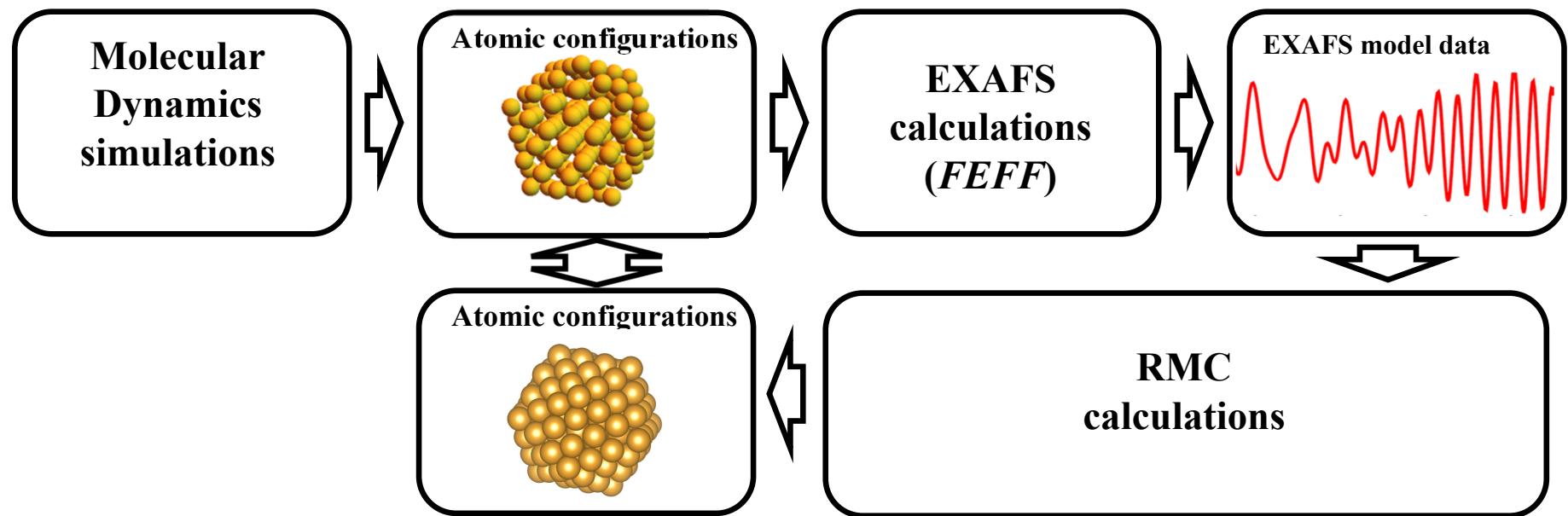


Jonane et al, Modelling Simul. Mater. Sci. Eng. 26 (2018) 025004

In crystalline materials, analysis up to $R = 10 \text{ \AA}$ is possible using RMC approach!

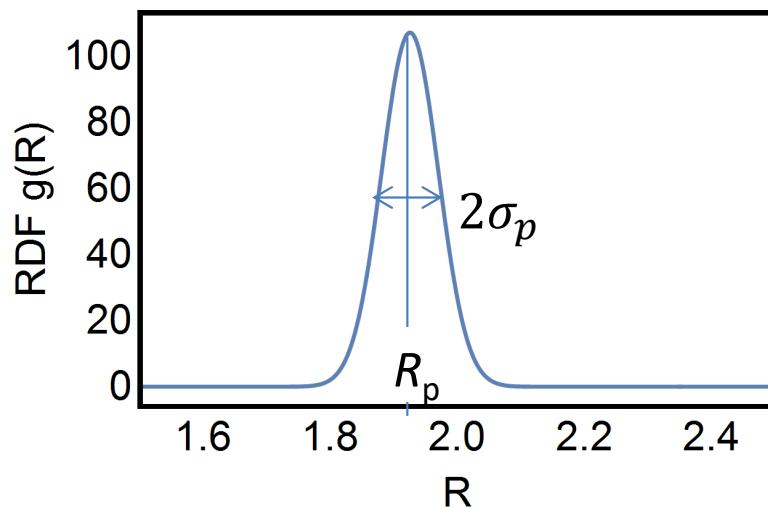
Can non-Gaussian effects be reliably probed by RMC?

Validation of RMC method with model data: Au_{147}



J. Timoshenko et al, J. Phys.: Condens. Matter 26 (2014) 055401.

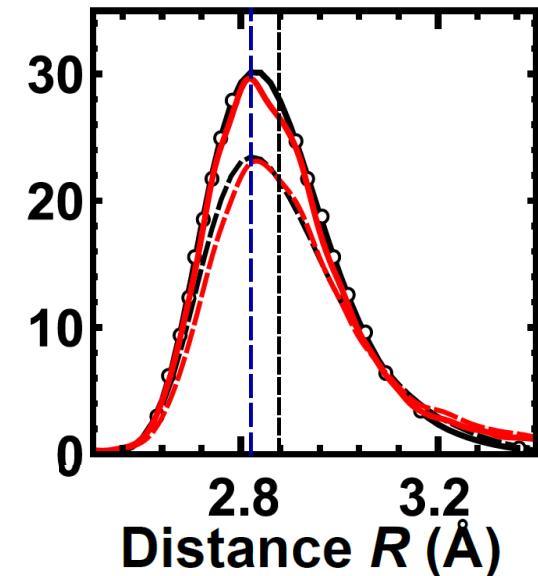
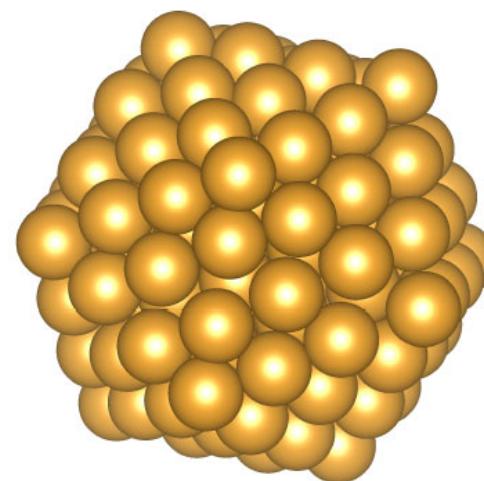
EXAFS for disordered materials



For systems with small **disorder** (e.g., crystalline materials), **harmonic approximation** is usually adequate

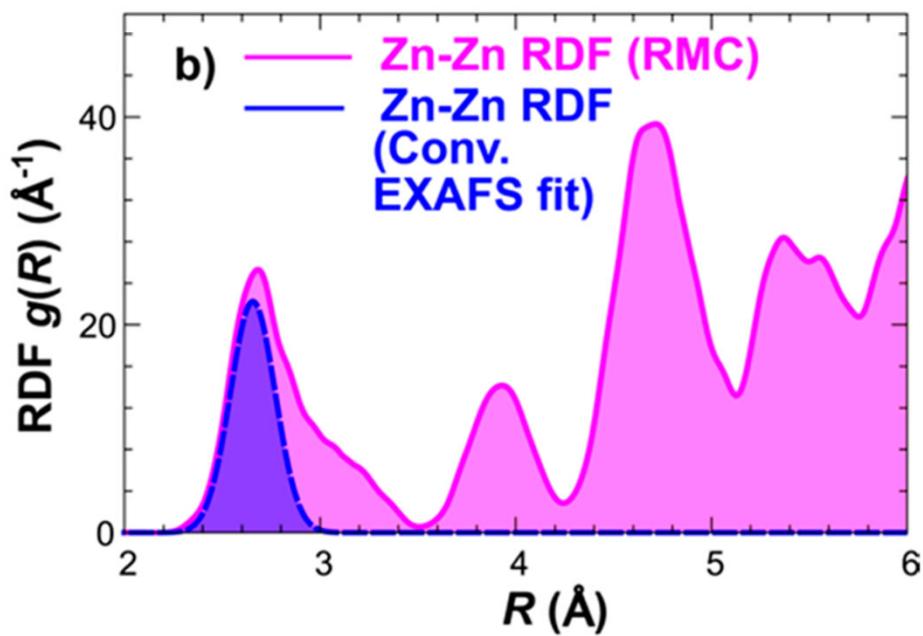
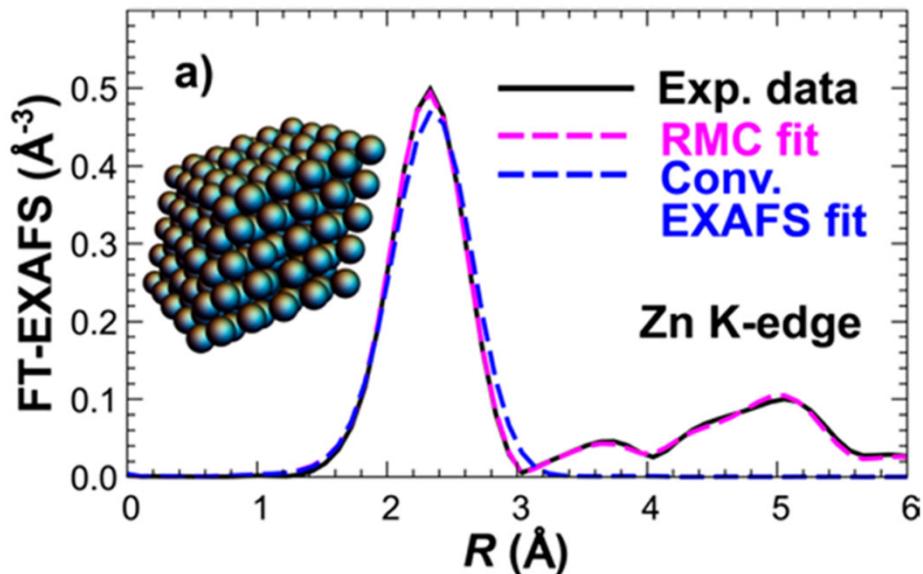
$$\chi_p(k) = S_0^2 N_p \frac{f_p(k)}{k R_p^2} e^{-2k^2 \sigma_p^2} \sin(2kR_p + \phi_p(k))$$

For disordered materials (e.g., small nanoparticles) distribution of bond lengths is often **non-Gaussian**. Conventional approach will be inaccurate!



EXAFS for disordered materials. How bad can it be?

RMC simulations for metallic Zn

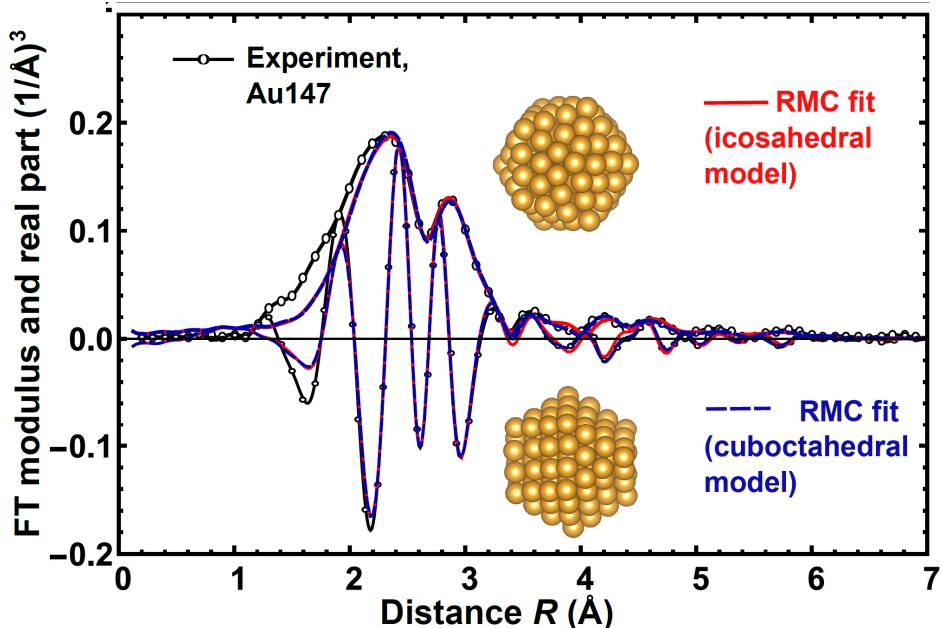


Conventional fitting can underestimate number of nearest neighbors by 50%!

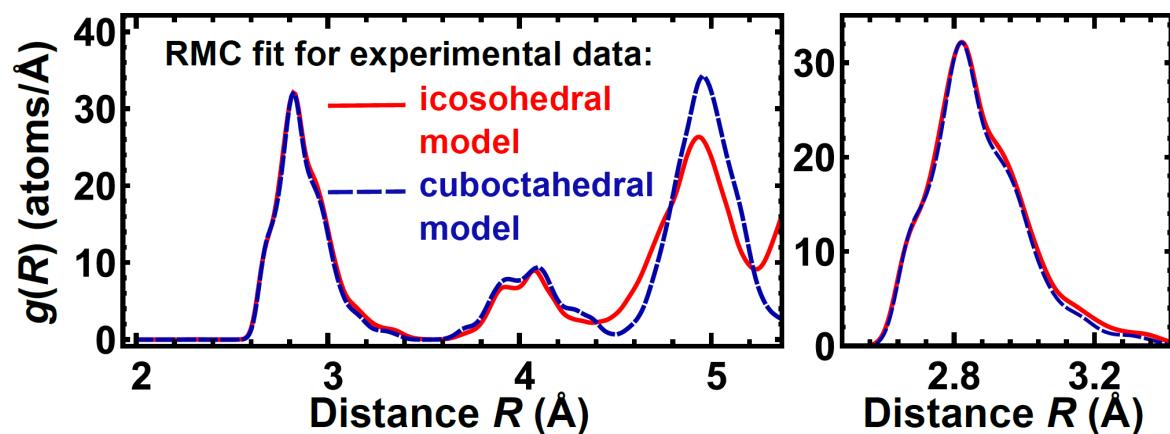
Interatomic distances and disorder factors are also strongly underestimated

Timoshenko & Roldan Cuenya
Chem. Rev. 2021

RMC for Au₁₄₇



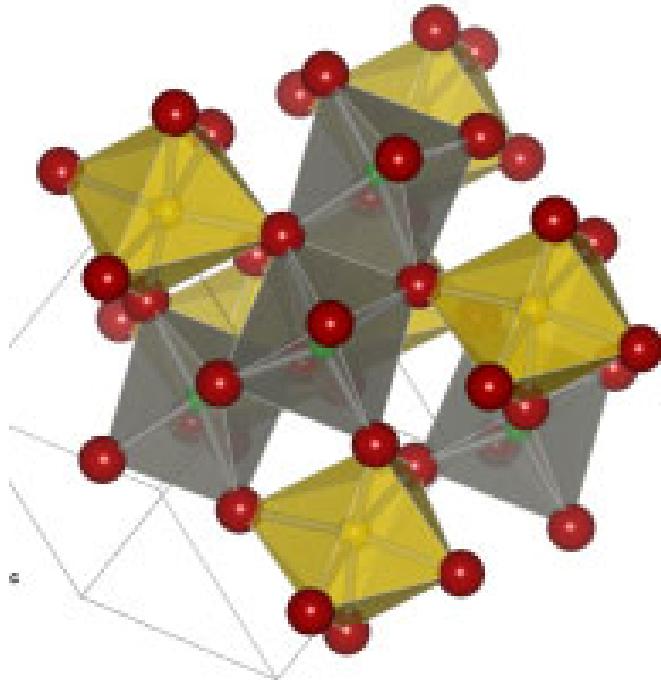
- RMC/EA method provides excellent fit of experimental data, and allows to reconstruct reliably the asymmetric RDF
- Due to limited information, contained in EXAFS data, RMC/EA cannot distinguish between two different structure models
- Additional information can be used here (in this case we know from MD that iosoohedral model is better)
- Results of RMC/EA analysis can be directly compared with DFT modelling results



Parameter	FEFFIT _H	RMC/EA	ab initio MD
N_1	9(1)	9.47	9.47
R_1 (Å)	2.816(5)	2.881(4)	2.871
σ_1^2 (Å ²)	0.012(1)	0.017(1)	0.00173
N_2	–	3.27	–
R_2^2 (Å)	–	4.05(1)	–
σ_2^2 (Å ²)	–	0.025(1)	–

Timoshenko & Frenkel, Catalysis Today, 2016

Nanosized tungstates



● Co
● W
● O

Bulk CoWO_4 is wolframite-type compounds with monoclinic symmetry ($P_{2/c}$ space group)

CoWO_4 – antiferromagnetic material ($T_N = 55 \text{ K}$)

Nanosized CoWO_4 has very small sizes of nanocrystallites (smaller than 2 nm) and has pronounced catalytic properties.

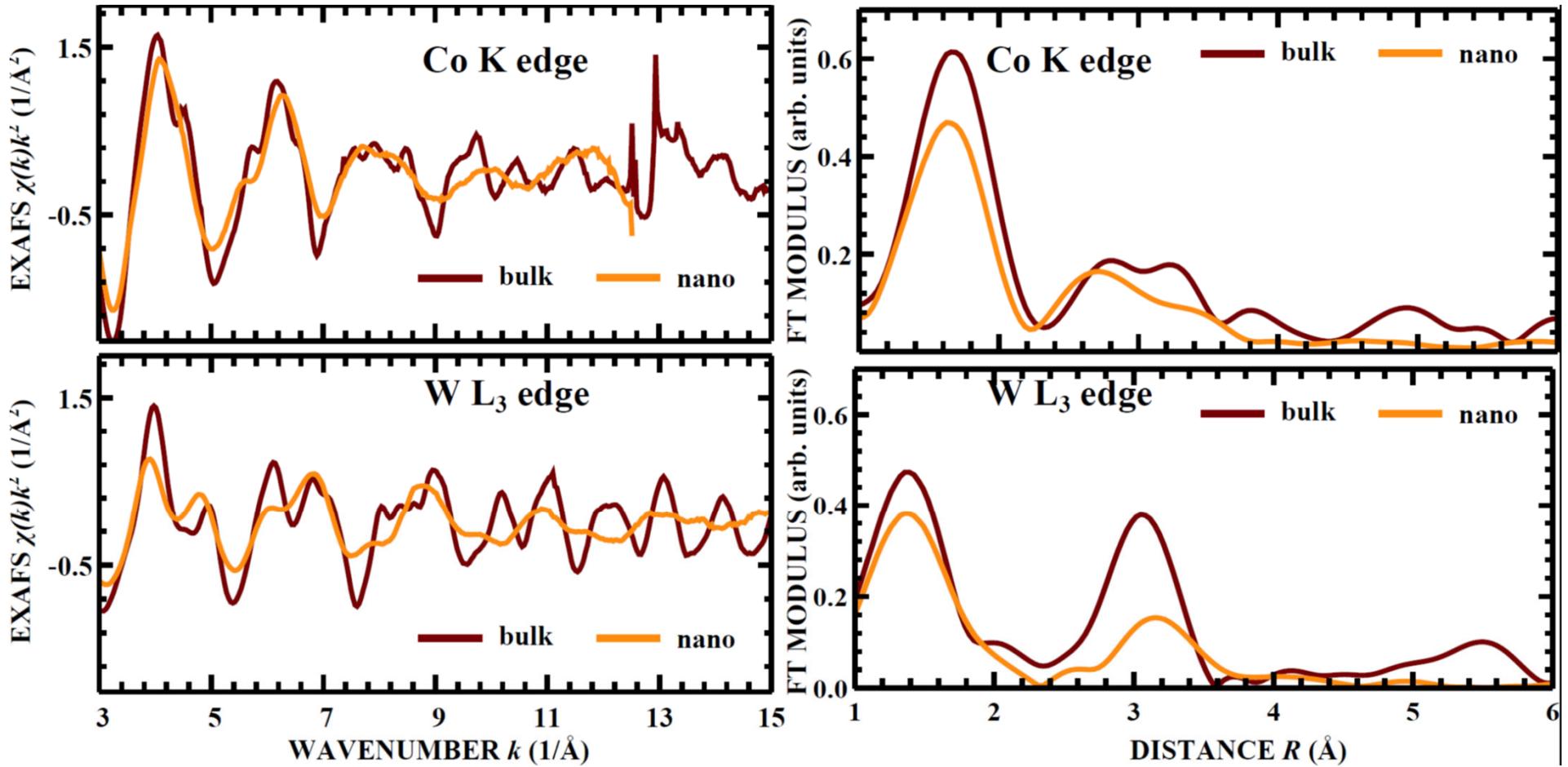
S.J. Naik and A.V.Salker, Solid State Sciences 2010'

H. Weitzel, Solid State Commun. 1970;

Y.S. Song *et al*, Phys. Rev. B 2009;

P. Schmitt *et al* Adv. Funct. Mater. 2011.

Co K-edge and W-L₃ edge EXAFS for bulk and nano CoWO₄



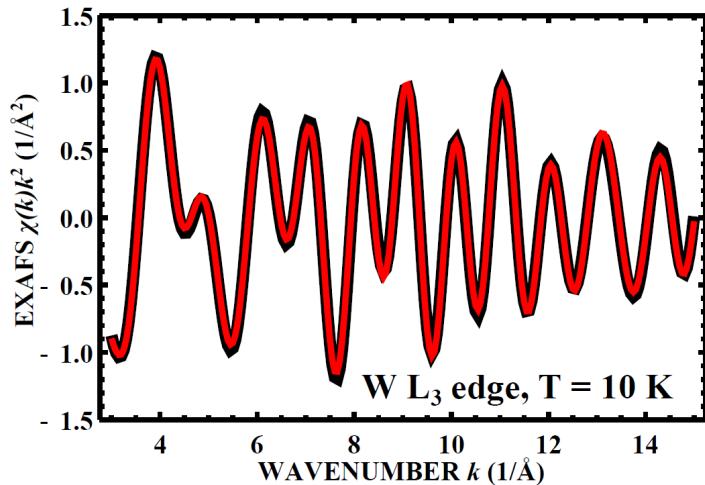
EXAFS measurements for crystalline and nanocrystalline CuWO₄ and CoWO₄ powders have been performed in transmission mode at HASYLAB/DESY C beamline (Hamburg). The intensity of X-rays was measured with ionization chambers, filled with argon an krypton mixture. Energy scan of incident radiation ensured by rotation of Si(111) double-crystal monochromator. Crystalline and nanocrystalline tungstates powders were deposited on Millipore filter and fixed by Scotch tape. For temperature control liquid helium cryostat has been employed.



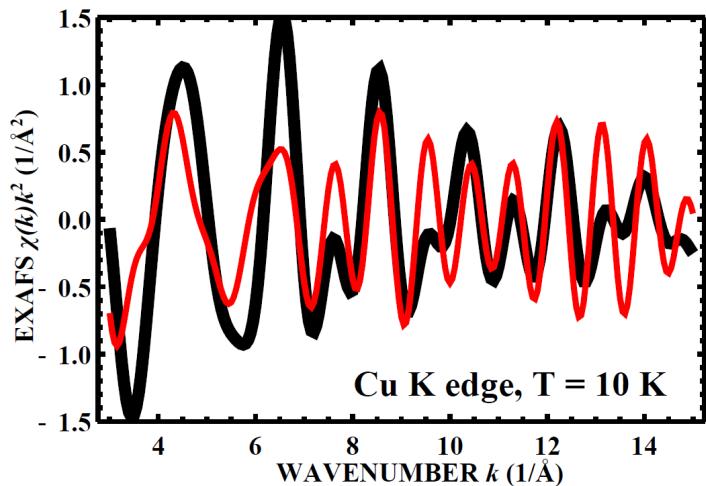
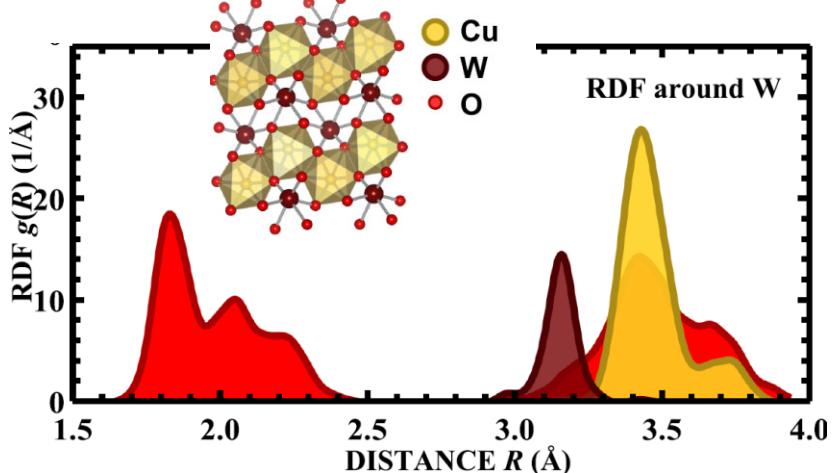
Polycrystalline CuWO₄

RMC-EXAFS analysis

1 RMC calculations using W L₃ edge EXAFS



2 Structure model

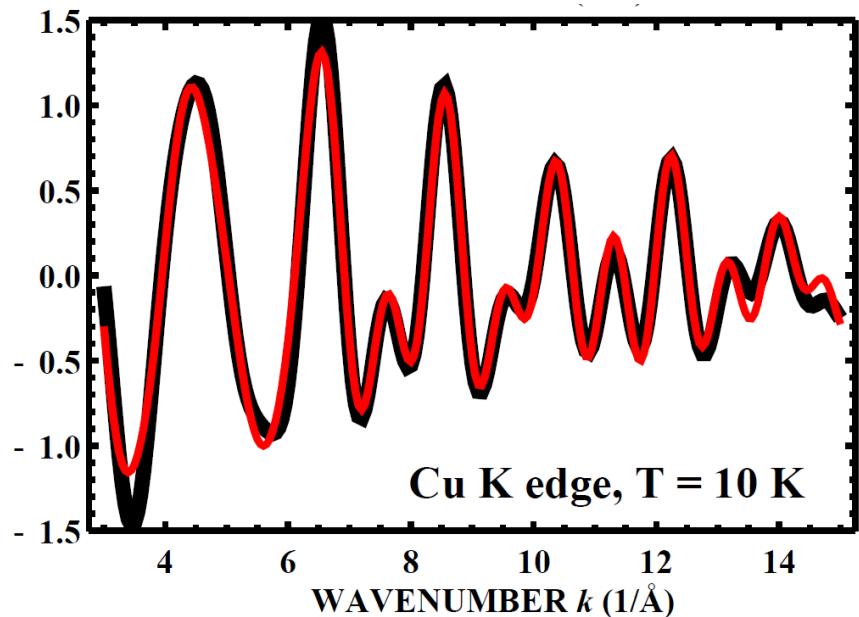
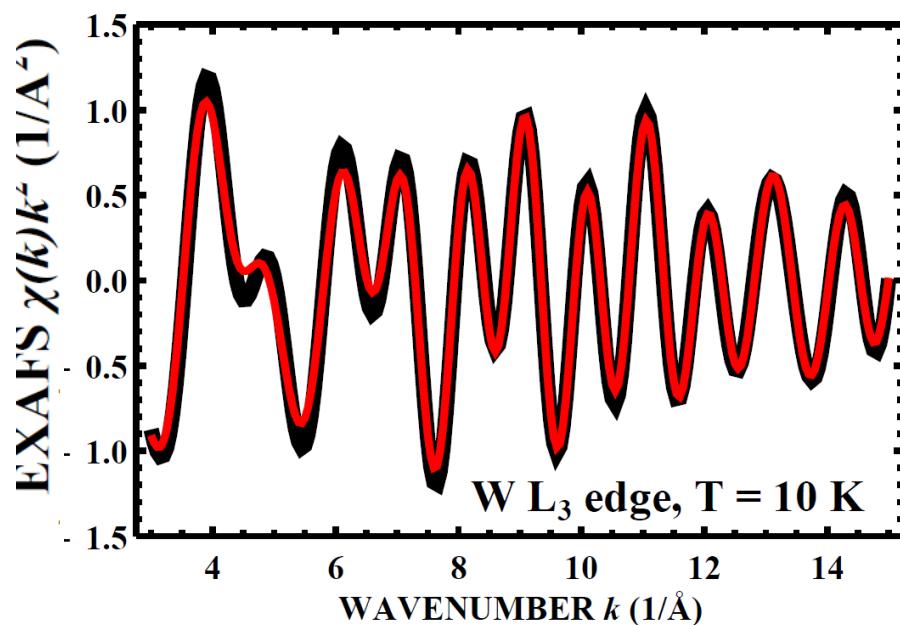


3 Calculations of
Cu K-edge EXAFS

Polycrystalline CuWO₄

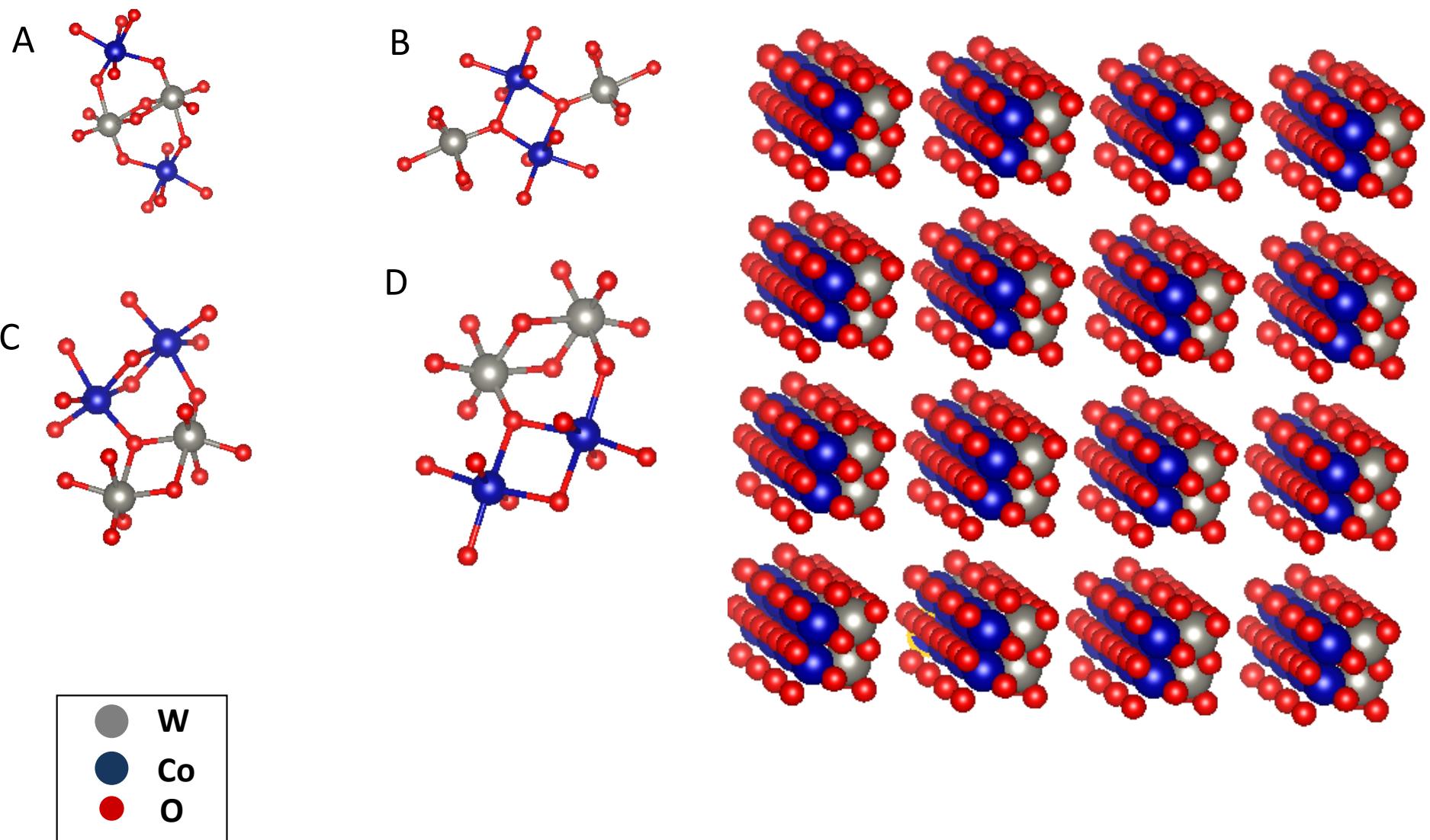
RMC-EXAFS analysis

- ④ RMC fit of single structure model using W L₃ edge and Cu K-edge EXAFS simultaneously:



Nanosized CoWO_4

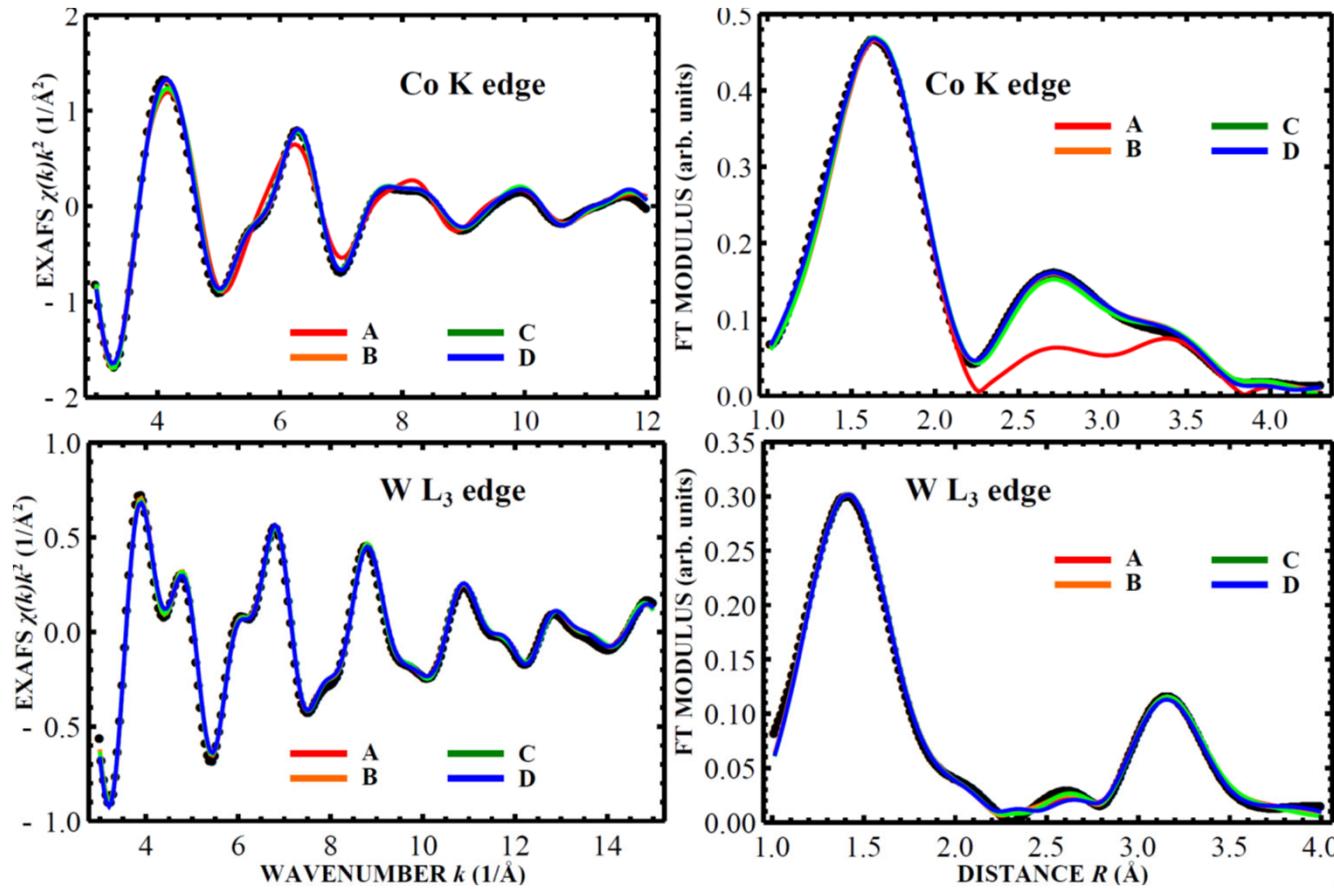
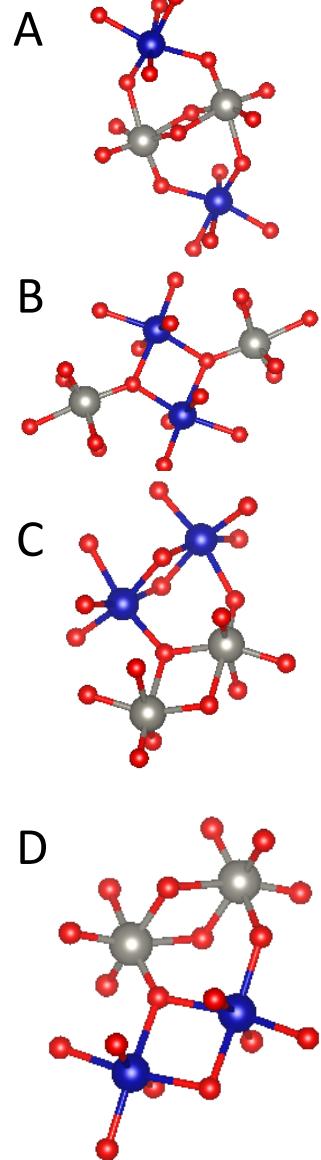
RMC/EA modeling



J. Timoshenko, A. Anspoks, A. Kalinko, A. Kuzmin,
Phys. Status Solidi A 212 (2015) 265-273
XAFS Short Course 2023

Nanosized CoWO₄

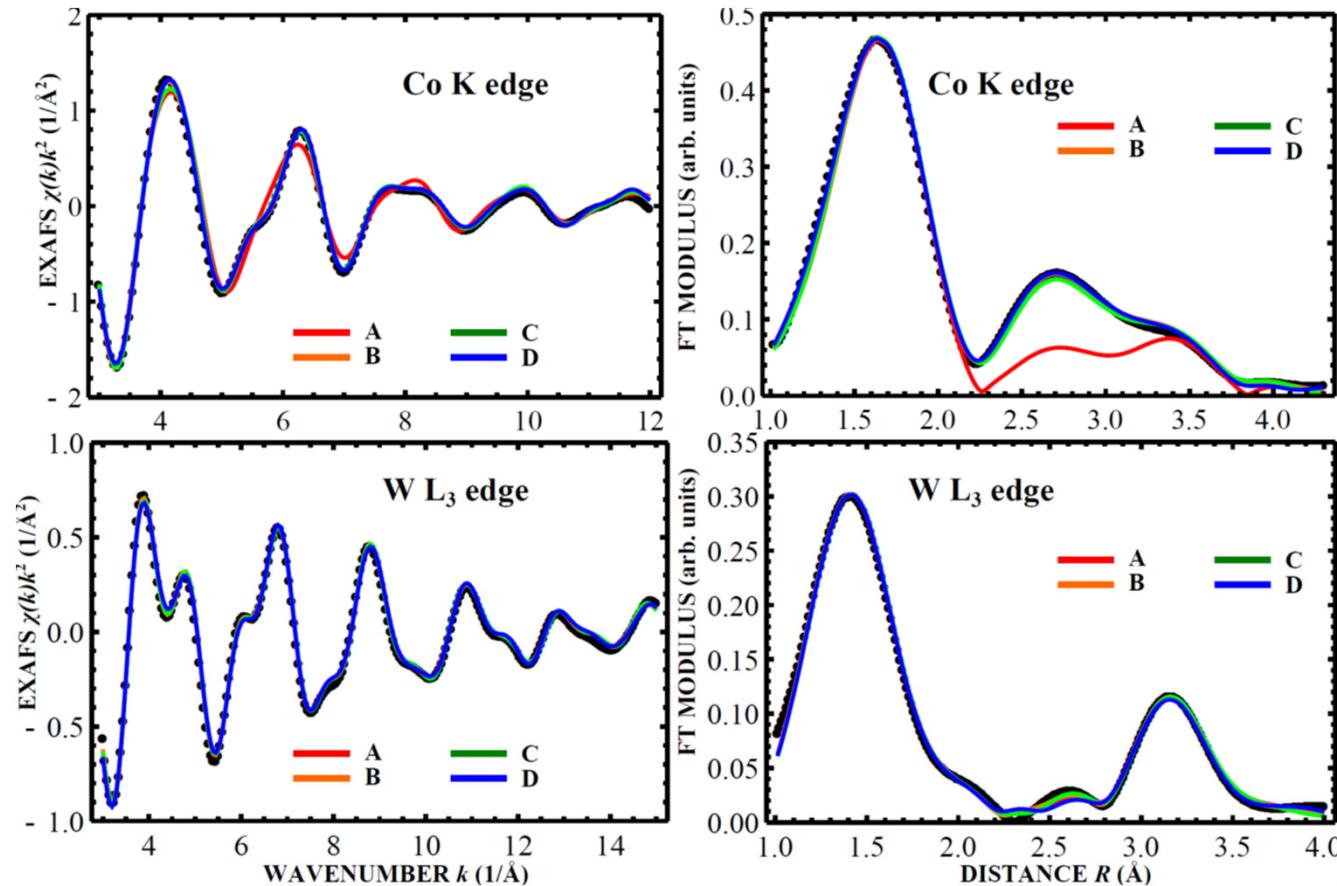
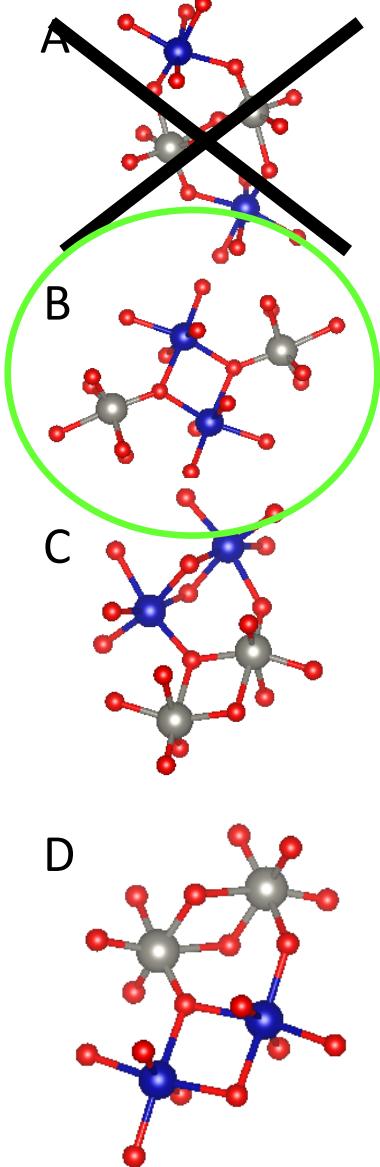
RMC/EA results



Model	Co K-edge residual	W L ₃ -edge residual	Average residual
A	0.183(8)	0.057(5)	0.120(2)
B	0.053(5)	0.053(2)	0.053(4)
C	0.093(8)	0.056(5)	0.075(2)
D	0.061(3)	0.070(9)	0.066(3)

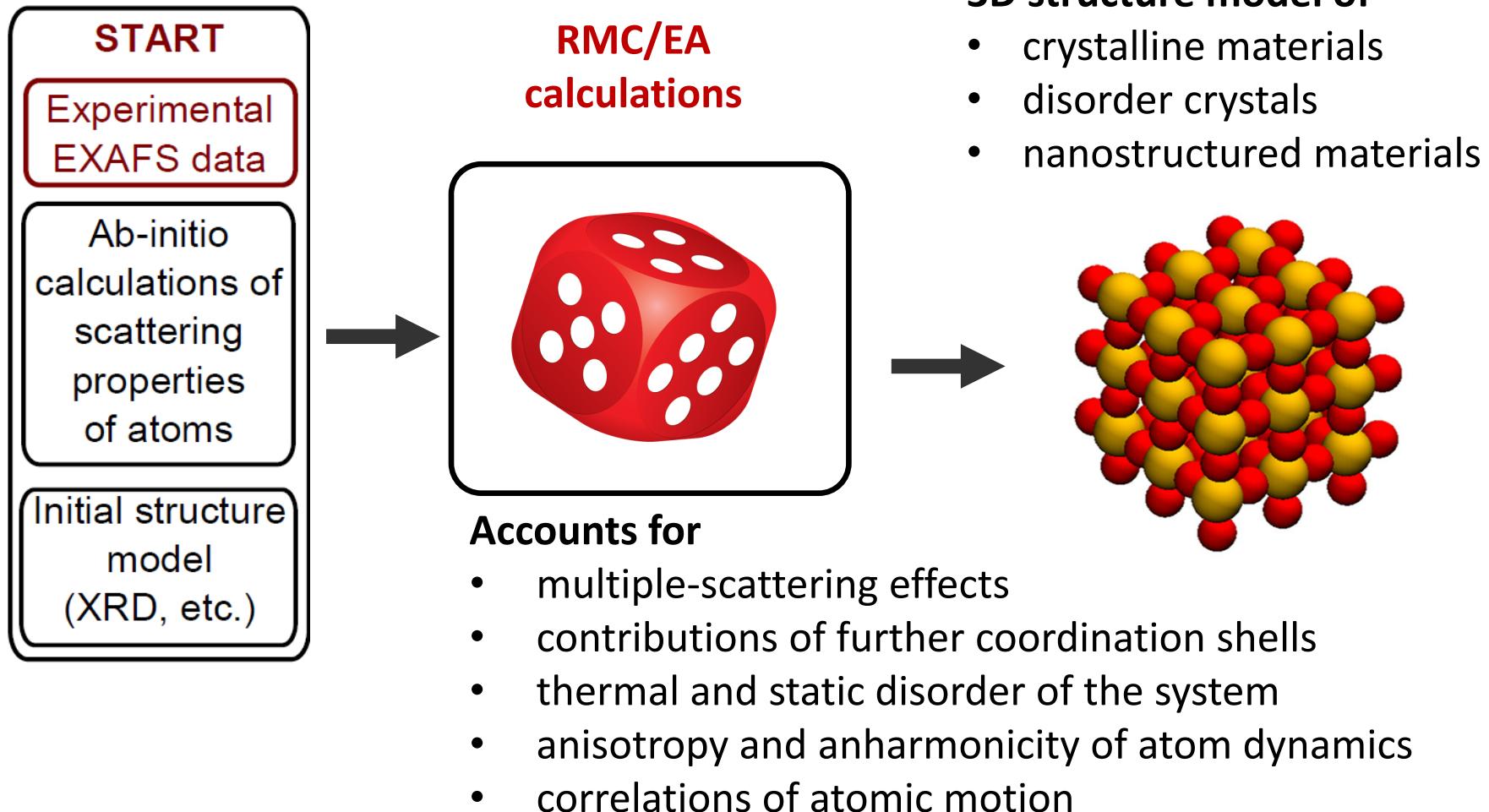
Nanosized CoWO₄

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Summary and conclusions



Summary and conclusions

Additonal advantages:



3D structure models, obtained in RMC-EXAFS, can be directly compared with the results of theoretical simulations (MD, DFT)



“Easy” to incorporate information from other experiments (XRD, total scattering, NMR, etc.)



Easy to incorporate constraints (ensure physically reasonable values for coordination numbers, bond-lengths, bonding angles)



The structure model, obtained in RMC simulations, is only as good as experimental data. If experimental data are not sensitive to some structure feature, it will not be possible to probe it in RMC analysis: it is always a good idea to **validate RMC accuracy** for particular task with some model data.

More details:

J. Timoshenko, A. Kuzmins, J. Purans, EXAFS STUDY OF HYDROGEN INTERCALATION INTO ReO_3 USING THE EVOLUTIONARY ALGORITHM; *J. Phys.: Condens. Mat.* (2014)

www.dragon.lv/evax

When to use RMC?

Whenever you have a good initial structure guess.

- Crystalline materials with structure known from XRD
- Nanocrystalline materials with well-defined particles
- Amorphous materials with known density (input from other experimental techniques besides EXAFS may be needed)

When NOT to use RMC?

- Mixtures
 - Materials experiencing phase transitions
 - Caution is needed, if EXAFS spectra are very short ($< 10 \text{ \AA}^{-1}$)
- 
- Machine learning methods
may be better suited

Thank you for your attention!